



# Bott–Chern Laplacian on almost Hermitian manifolds

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## Abstract

Let  $(M, J, g, \omega)$  be a  $2n$ -dimensional almost Hermitian manifold. We extend the definition of the Bott–Chern Laplacian on  $(M, J, g, \omega)$ , proving that it is still elliptic. On a compact Kähler manifold, the kernels of the Dolbeault Laplacian and of the Bott–Chern Laplacian coincide. We show that such a property does not hold when  $(M, J, g, \omega)$  is a compact almost Kähler manifold, providing an explicit almost Kähler structure on the Kodaira–Thurston manifold. Furthermore, if  $(M, J, g, \omega)$  is a connected compact almost Hermitian 4-manifold, denoting by  $h_{BC}^{1,1}$  the dimension of the space of Bott–Chern harmonic  $(1, 1)$ -forms, we prove that either  $h_{BC}^{1,1} = b^-$  or  $h_{BC}^{1,1} = b^- + 1$ . In particular, if  $g$  is almost Kähler, then  $h_{BC}^{1,1} = b^- + 1$ , extending the result by Holt and Zhang (Harmonic forms on the Kodaira–Thurston manifold. [arXiv:2001.10962](https://arxiv.org/abs/2001.10962), 2020) for the kernel of Dolbeault Laplacian. We also show that the dimensions of the spaces of Bott–Chern and Dolbeault harmonic  $(1, 1)$ -forms behave differently on almost complex 4-manifolds endowed with strictly locally conformally almost Kähler metrics. Finally, we relate some spaces of Bott–Chern harmonic forms to the Bott–Chern cohomology groups for almost complex manifolds, recently introduced in Coelho et al. (Maximally non-integrable almost complex structures: an h-principle and cohomological properties, [arXiv:2105.12113](https://arxiv.org/abs/2105.12113), 2021).

**Keywords** Almost-complex manifold · Bott–Chern harmonic form · Kodaira–Thurston manifold

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### 1 Introduction

On a complex manifold, given a Hermitian metric, several elliptic operators naturally arise from the union of the complex and the Hermitian structure. As a typical example, the *Dolbeault Laplacian* is defined as  $\Delta_{\bar{\partial}} = \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}$ , where the exterior differential defined on the space  $A^{p,q}$  of  $(p, q)$ -forms decomposes as  $d = \partial + \bar{\partial}$  and, if  $*$  :  $A^{p,q} \rightarrow A^{n-q,n-p}$  is the  $\mathbb{C}$ -linear complex Hodge star operator, where  $n$  is the complex dimension of the complex manifold, then  $\partial^* = - * \bar{\partial} *$  and  $\bar{\partial}^* = - * \partial *$  are the formal adjoints of the operators  $\partial$  and  $\bar{\partial}$ , respectively. Denote by  $\mathcal{H}_{\bar{\partial}}^{p,q}$  the space of Dolbeault harmonic forms, i.e., the kernel of  $\Delta_{\bar{\partial}}$ . Since  $\Delta_{\bar{\partial}}$  is elliptic, when the manifold is compact, by Hodge theory then  $\mathcal{H}_{\bar{\partial}}^{p,q}$  is isomorphic to the Dolbeault cohomology

$$H_{\bar{\partial}}^{p,q} = \frac{\ker \bar{\partial}}{\text{im } \bar{\partial}}$$

and it is finite dimensional; denote by  $h_{\bar{\partial}}^{p,q}$  its finite complex dimension.

In 1960 Kodaira and Spencer [16], in order to prove the stability of the Kähler condition under small deformations, introduced the following 4th-order elliptic and formally self adjoint differential operator

$$\tilde{\Delta}_{BC} = \partial\bar{\partial}\bar{\partial}^*\partial^* + \bar{\partial}^*\partial^*\partial\bar{\partial} + \partial^*\bar{\partial}\bar{\partial}^*\partial + \bar{\partial}^*\partial\partial^*\bar{\partial} + \partial^*\partial + \bar{\partial}^*\bar{\partial}.$$

Schweitzer [17] in 2007 studied the operator  $\tilde{\Delta}_{BC}$  on compact Hermitian manifolds, naming it the *Bott–Chern Laplacian*. In particular, denoting by  $\mathcal{H}_{BC}^{p,q}$  the space of Bott–Chern harmonic  $(p, q)$ -forms on a given compact Hermitian manifold  $(M, J, g, \omega)$ , he proved the following Bott–Chern decomposition of the space of  $(p, q)$ -forms

$$A^{p,q} = \mathcal{H}_{BC}^{p,q} \oplus \partial\bar{\partial}A^{p-1,q-1} \oplus \partial^*A^{p+1,q} + \bar{\partial}^*A^{p,q+1}. \tag{1}$$

As a consequence, the space  $\mathcal{H}_{BC}^{p,q}$  is finite dimensional and  $\mathcal{H}_{BC}^{p,q} \cong H_{BC}^{p,q}$ , where

$$H_{BC}^{p,q} = \frac{\ker d}{\text{im } \partial\bar{\partial}}$$

denotes the  $(p, q)$ -*Bott–Chern cohomology group*. In particular, the complex dimension  $h_{BC}^{p,q} = \dim_{\mathbb{C}} \mathcal{H}_{BC}^{p,q}$  is a complex invariant of  $(M, J)$ , which does not depend on the Hermitian metric  $g$ .

If the compact Hermitian manifold  $(M, J, g, \omega)$  is Kähler, i.e.,  $d\omega = 0$ , then

$$\tilde{\Delta}_{BC} = \Delta_{\bar{\partial}}\Delta_{\bar{\partial}} + \partial^*\partial + \bar{\partial}^*\bar{\partial} \tag{2}$$

and the spaces of Bott–Chern and Dolbeault harmonic forms coincide, i.e.,

$$\mathcal{H}_{BC}^{p,q} = \mathcal{H}_{\bar{\partial}}^{p,q}. \tag{3}$$

Now, let  $(M, J, g, \omega)$  be an almost Hermitian manifold, i.e., the almost complex structure  $J$  may not be integrable, i.e.,  $J$  may not derive from a complex-manifold structure on  $M$ . The exterior differential decomposes as  $d = \mu + \partial + \bar{\partial} + \bar{\mu}$ , and Dolbeault and Bott–Chern cohomologies are, in general, no more well defined. However, the Dolbeault Laplacian  $\Delta_{\bar{\partial}}$  is still well defined and elliptic, resulting in  $\mathcal{H}_{\bar{\partial}}^{p,q}$  being finite dimensional when  $M$  is compact.

The study of Dolbeault harmonic forms on almost Hermitian manifold of real dimension 4 has been very recently developed by Holt and Zhang [12,13], and by Tardini and the second author [19]. Holt and Zhang working on the Kodaira–Thurston manifold, showed that the number  $h_{\bar{\partial}}^{0,1}$  may become arbitrarily large when varying continuously the almost complex structure with an associated almost Kähler metric and that  $h_{\bar{\partial}}^{0,1}$  may vary with different choices of almost Hermitian metrics. Furthermore, they proved that  $h_{\bar{\partial}}^{0,1}$  may vary with different choices of almost Kähler metrics. In this way they answered a question by Kodaira and Spencer [10, Problem 20]. Moreover, they showed  $h_{\bar{\partial}}^{1,1} = b^- + 1$  on every compact almost Kähler 4-manifold, where  $b^-$  is the dimension of the space of anti-self-dual, i.e.,  $*\alpha = -\alpha$ , Hodge harmonic 2-forms, which is a topological invariant. Tardini and the second author proved that  $h_{\bar{\partial}}^{1,1} = b^-$  on every compact almost complex 4-manifold with a strictly locally conformally almost Kähler metric.

In this paper, we focus on the study of the Bott–Chern Laplacian on almost Hermitian manifolds. Note that, analogously to the Dolbeault Laplacian, also the Bott–Chern Laplacian  $\tilde{\Delta}_{BC}$  is still well defined on almost Hermitian manifolds  $(M, J, g, \omega)$ , and it is straightforward to show that it is also elliptic, see Proposition 3.2. Therefore, when  $M$  is compact, the Bott–Chern decomposition (1) still holds, and  $\mathcal{H}_{BC}^{p,q}$  is finite dimensional.

We prove the following

**Theorem (Theorem 4.3)** *Let  $(M, J, g, \omega)$  be a compact almost Hermitian manifold of real dimension 4. Then either  $h_{BC}^{1,1} = b^-$  or  $h_{BC}^{1,1} = b^- + 1$ .*

Moreover, we specialize the previous theorem when the almost Hermitian metric  $\omega$  is almost Kähler, i.e.,  $d\omega = 0$ , obtaining that  $h_{BC}^{1,1}$  is independent of the choice of an almost Kähler metric on a given compact almost complex 4-manifold, that is,

**Theorem (Corollary 4.4)** *Let  $(M, J, g, \omega)$  be a compact almost Kähler manifold of real dimension 4. Then,  $h_{BC}^{1,1} = b^- + 1$  and  $\mathcal{H}_{BC}^{1,1} = \mathcal{H}_{\bar{\partial}}^{1,1}$ .*

Note that in the integrable case, i.e., on compact complex surfaces, it holds that  $h_{BC}^{1,1} = b^- + 1$  on Kähler surfaces, on complex surfaces diffeomorphic to solvmanifolds, and on complex surfaces of class VII (see [1], [2, Chapter IV, Theorem 2.7]).

We also provide a non integrable almost complex structure on a hyperelliptic surface, endowed with a strictly locally conformally almost Kähler metric, such that  $h_{BC}^{1,1} = b^- + 1$ . This proves that the dimension of Bott–Chern harmonic  $(1, 1)$ -forms behaves differently than the dimension of Dolbeault harmonic  $(1, 1)$ -forms [19], when the almost complex 4-manifold is endowed with a strictly locally conformally almost Kähler metric.

Very recently Holt improved the result of Theorem 4.3, by showing that

$$h_{BC}^{1,1} = b^- + 1$$

on any given compact almost Hermitian 4-manifold, see [11, Theorem 4.2].

Taking into account the integrable case, one may ask whether (2) and (3) holds or not, when the almost Hermitian metric is almost Kähler. We show that (3) is not true, describing an explicit example on the Kodaira–Thurston manifold. This also implies that (2) does not hold. In fact, working on a family of almost Kähler metrics on the Kodaira–Thurston manifold, we show

**Theorem (Corollary 5.3)** *There exists an almost Kähler 4-manifold  $(M, J, g, \omega)$  such that for some bidegree  $(p, q)$  it holds that*

$$\mathcal{H}_{BC}^{p,q} \neq \mathcal{H}_{\bar{\partial}}^{p,q}.$$

Finally, we recall a very recent definition of Bott–Chern cohomology for almost complex manifolds [5], obtaining a natural injection of some spaces of Bott–Chern harmonic forms into this new Bott–Chern cohomology.

For other results concerning Bott–Chern-like harmonic forms on almost complex manifolds, equipped with cohomological counterparts, see [18].

The present paper is organized in the following way. In Sect. 2, we review some basic facts on almost complex manifolds and elliptic differential operators. Section 3 is devoted to the definition and to the proof of the fundamental properties of the Bott–Chern Laplacian in the almost complex setting. In Sect. 4, we study Bott–Chern harmonic  $(1, 1)$ -forms on almost Hermitian 4-manifolds, proving Theorem 4.3. In Sect. 5, we describe a family of almost Kähler structures on the Kodaira–Thurston manifold, comparing the two spaces  $\mathcal{H}_{BC}^{p,q}$  and  $\mathcal{H}_{\bar{\partial}}^{p,q}$  and proving Corollary 5.3. In Sect. 6, we describe an almost complex structure on a hyperelliptic surface, endowed with a strictly locally conformally almost Kähler metric, such that  $h_{BC}^{1,1} = b^- + 1$ . Finally, in Sect. 7, we recall a very recent definition of Bott–Chern cohomology for almost complex manifolds by Coelho et al. [5], and briefly analyse its relation with the space of Bott–Chern harmonic forms (see Proposition 7.1).

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## 2 Preliminaries

Throughout this paper, we will only consider connected manifolds without boundary.

Let  $(M, J)$  be an almost complex manifold of dimension  $2n$ , i.e., a  $2n$ -differentiable manifold together with an almost complex structure  $J$ , that is  $J \in \text{End}(TM)$  and  $J^2 = -\text{id}$ . The complexified tangent bundle  $T_{\mathbb{C}}M = TM \otimes \mathbb{C}$  decomposes into the two eigenspaces of  $J$  associated to the eigenvalues  $i, -i$ , which we denote respectively by  $T^{1,0}M$  and  $T^{0,1}M$ , giving

$$T_{\mathbb{C}}M = T^{1,0}M \oplus T^{0,1}M.$$

Denoting by  $\Lambda^{1,0}M$  and  $\Lambda^{0,1}M$  the dual vector bundles of  $T^{1,0}M$  and  $T^{0,1}M$ , respectively, we set

$$\Lambda^{p,q}M = \bigwedge^p \Lambda^{1,0}M \wedge \bigwedge^q \Lambda^{0,1}M$$

to be the vector bundle of  $(p, q)$ -forms, and let  $A^{p,q} = A^{p,q}(M) = \Gamma(\Lambda^{p,q}M)$  be the space of smooth sections of  $\Lambda^{p,q}M$ . We denote by  $A^k = A^k(M) = \Gamma(\Lambda^k M)$  the space of  $k$ -forms. Note that  $\Lambda^k M \otimes \mathbb{C} = \bigoplus_{p+q=k} \Lambda^{p,q}M$ .

Let  $f \in C^\infty(M, \mathbb{C})$  be a smooth function on  $M$  with complex values. Its differential  $df$  is contained in  $A^1 \otimes \mathbb{C} = A^{1,0} \oplus A^{0,1}$ . On complex 1-forms, the exterior differential acts as

$$d : A^1 \otimes \mathbb{C} \rightarrow A^2 \otimes \mathbb{C} = A^{2,0} \oplus A^{1,1} \oplus A^{0,2}.$$

Therefore, it turns out that the differential operates on  $(p, q)$ -forms as

$$d : A^{p,q} \rightarrow A^{p+2,q-1} \oplus A^{p+1,q} \oplus A^{p,q+1} \oplus A^{p-1,q+2},$$

where we denote the four components of  $d$  by

$$d = \mu + \partial + \bar{\partial} + \bar{\mu}.$$

From the relation  $d^2 = 0$ , we derive

$$\begin{cases} \mu^2 = 0, \\ \mu\partial + \bar{\partial}\mu = 0, \\ \partial^2 + \mu\bar{\partial} + \bar{\partial}\mu = 0, \\ \partial\bar{\partial} + \bar{\partial}\partial + \mu\bar{\mu} + \bar{\mu}\mu = 0, \\ \bar{\partial}^2 + \bar{\mu}\partial + \partial\bar{\mu} = 0, \\ \bar{\mu}\bar{\partial} + \bar{\partial}\bar{\mu} = 0, \\ \bar{\mu}^2 = 0. \end{cases}$$

Let  $(M, J)$  be an almost complex manifold. If the almost complex structure  $J$  is induced from a complex manifold structure on  $M$ , then  $J$  is called integrable. It is equivalent to the decomposition of the exterior differential as  $d = \partial + \bar{\partial}$ .

A Riemannian metric on  $M$  for which  $J$  is an isometry is called almost Hermitian. Let  $g$  be an almost Hermitian metric, the 2-form  $\omega$  such that

$$\omega(u, v) = g(Ju, v) \quad \forall u, v \in \Gamma(TM)$$

is called the fundamental form of  $g$ . We will call  $(M, J, g, \omega)$  an almost Hermitian manifold. We denote by  $h$  the Hermitian extension of  $g$  on the complexified tangent bundle  $T_{\mathbb{C}}M$ , and by the same symbol  $g$  the  $\mathbb{C}$ -bilinear symmetric extension of  $g$  on  $T_{\mathbb{C}}M$ . Also denote by the same symbol  $\omega$  the  $\mathbb{C}$ -bilinear extension of the fundamental form  $\omega$  of  $g$  on  $T_{\mathbb{C}}M$ . Thanks to the elementary properties of the two extensions  $h$  and  $g$ , we may want to consider  $h$  as a Hermitian operator  $T^{1,0}M \otimes T^{1,0}M \rightarrow \mathbb{C}$  and  $g$  as a  $\mathbb{C}$ -bilinear operator  $T^{1,0}M \otimes T^{0,1}M \rightarrow \mathbb{C}$ . Recall that  $h(u, v) = g(u, \bar{v})$  for all  $u, v \in \Gamma(T^{1,0}M)$ .

Let  $(M, J, g, \omega)$  be an almost Hermitian manifold of real dimension  $2n$ . Extend  $h$  on  $(p, q)$ -forms and denote the Hermitian inner product by  $\langle \cdot, \cdot \rangle$ . Let  $*$  :  $A^{p,q}(M) \rightarrow A^{n-q, n-p}(M)$  the  $\mathbb{C}$ -linear extension of the standard Hodge  $*$  operator on Riemannian manifolds with respect to the volume form  $\text{Vol} = \frac{\omega^n}{n!}$ , i.e.,  $*$  is defined by the relation

$$\alpha \wedge * \bar{\beta} = \langle \alpha, \beta \rangle \text{Vol} \quad \forall \alpha, \beta \in A^{p,q}.$$

Then the operators

$$d^* = - * d *, \quad \mu^* = - * \bar{\mu} *, \quad \partial^* = - * \bar{\partial} *, \quad \bar{\partial}^* = - * \partial *, \quad \bar{\mu}^* = - * \mu *,$$

are the formal adjoint operators respectively of  $d, \mu, \partial, \bar{\partial}, \bar{\mu}$ . Recall  $\Delta_d = dd^* + d^*d$  is the Hodge Laplacian, and, as in the integrable case, set

$$\Delta_{\partial} = \partial\partial^* + \partial^*\partial, \quad \Delta_{\bar{\partial}} = \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial},$$

respectively as the  $\partial$  and  $\bar{\partial}$  Laplacians.

If  $M$  is compact, then we easily deduce the following relations

$$\begin{cases} \Delta_d \alpha = 0 \iff d\alpha = 0, d^* \alpha = 0, \forall \alpha \in A^k \\ \Delta_{\partial} \alpha = 0 \iff \partial\alpha = 0, \bar{\partial}^* \alpha = 0, \forall \alpha \in A^{p,q} \\ \Delta_{\bar{\partial}} \alpha = 0 \iff \bar{\partial}\alpha = 0, \partial^* \alpha = 0, \forall \alpha \in A^{p,q} \end{cases}$$

which characterizes the spaces of harmonic forms

$$\mathcal{H}_d^k, \quad \mathcal{H}_{\partial}^{p,q}, \quad \mathcal{H}_{\bar{\partial}}^{p,q},$$

defined as the spaces of forms which are in the kernel of the associated Laplacians. All these Laplacians are elliptic operators on the almost Hermitian manifold  $(M, J, g, \omega)$ , implying

that all the spaces of harmonic forms are finite dimensional when the manifold is compact. Denote by

$$b^k, h_{\partial}^{p,q}, h_{\bar{\partial}}^{p,q}$$

respectively the real dimension of  $\mathcal{H}_d^k$  and the complex dimensions of  $\mathcal{H}_{\partial}^{p,q}, \mathcal{H}_{\bar{\partial}}^{p,q}$ .

Since we will need to use the maximum principle for second order uniformly elliptic differential operators, let us recall some definitions and the results which will be useful. Let  $M$  be a differentiable manifold of dimension  $m$ , and let  $E, F$  be  $\mathbb{K}$ -vector bundles over  $M$ , with  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{K} = \mathbb{C}$ ,  $\text{rank } E = r, \text{rank } F = s$ . A differential operator of order  $l$  from  $E$  to  $F$  is a  $\mathbb{K}$ -linear operator  $P : \Gamma(M, E) \rightarrow \Gamma(M, F)$  of the form

$$Pu(x) = \sum_{|\alpha| \leq l} a_{\alpha}(x) D^{\alpha} u(x) \quad \forall x \in \Omega,$$

where  $E|_{\Omega} \simeq \Omega \times \mathbb{K}^r, F|_{\Omega} \simeq \Omega \times \mathbb{K}^s$  are trivialized locally on some open chart  $\Omega \subset M$  equipped with local coordinates  $x^1, \dots, x^m$ , and the functions

$$a_{\alpha}(x) = (a_{\alpha ij}(x))_{1 \leq i \leq s, 1 \leq j \leq r}$$

are  $s \times r$  matrices with smooth coefficients on  $\Omega$ . Here  $D^{\alpha} = (\partial/\partial x^1)^{\alpha_1} \dots (\partial/\partial x^m)^{\alpha_m}$ , and  $u = (u_j)_{1 \leq j \leq r}, D^{\alpha} u = (D^{\alpha} u_j)_{1 \leq j \leq r}$  are viewed as column matrices. Moreover, we require  $a_{\alpha} \neq 0$  for some open chart  $\Omega \subset M$  and for some  $|\alpha| = l$ .

Let  $P : \Gamma(M, E) \rightarrow \Gamma(M, F)$  be a  $\mathbb{K}$ -linear differential operator of order  $l$  from  $E$  to  $F$ . We define the principal symbol of  $P$  as the operator

$$\sigma_P : T^*M \rightarrow \text{Hom}(E, F) \quad (x, \xi) \mapsto \sum_{|\alpha|=l} a_{\alpha}(x) \xi^{\alpha},$$

where  $\xi^{\alpha} = (\xi_1)^{\alpha_1} \dots (\xi_m)^{\alpha_m}$ , given that  $\xi = (\xi_1, \dots, \xi_m)$ . Note that, if  $u \in \Gamma(M, E)$  is a smooth section of  $E$  and  $f \in C^{\infty}(M)$  is a smooth real valued function, then

$$P(f^l u)(x) = l! \sigma_P(x, df(x))(u(x)). \tag{4}$$

We say that  $P$  is elliptic if  $\sigma_P(x, \xi) \in \text{Hom}(E_x, F_x)$  is an isomorphism for every  $x \in M$  and  $0 \neq \xi \in T_x^*M$ . By (4), we observe that  $P$  is elliptic if and only if for all  $x \in M, u \in \Gamma(M, E)$  and  $f \in C^{\infty}(M)$  such that  $u(x) \neq 0, f(x) = 0$  and  $df(x) \neq 0$  we have

$$P(f^l u)(x) \neq 0.$$

Let  $E = F$  and consider a Riemannian or a Hermitian metric  $g$  on  $E$ . We say that  $P$  is strongly elliptic if  $l = 2k$  and there exists  $C > 0$  such that

$$(-1)^k \text{Re}(g(\sigma_P(x, \xi)(u(x)), u(x))) \geq C |\xi|^{2k} g(u(x), u(x))$$

for all  $x \in M, u \in \Gamma(M, E)$  and  $\xi \in T^*M$ , see [15, Definition 4.2].

We will make use of the following statement of the maximum principle for strongly elliptic operators of second order, see [7, Chapter 6, Section 4, Theorem 3].

**Theorem 2.1** *Let  $\Omega \subset M$  be a relatively compact domain, with  $\bar{\Omega}$  contained in a local chart, and let  $P : C^{\infty}(\Omega) \rightarrow C^{\infty}(\Omega)$  be a strongly elliptic  $\mathbb{R}$ -linear differential operator of order 2 without zero order terms, i.e., such that  $P(1) = 0$ . If  $Pu = 0$  in  $\Omega$  and  $u \in C(\bar{\Omega})$  attains its maximum or minimum over  $\bar{\Omega}$  at an interior point, then  $u$  is constant within  $\Omega$ .*

### 3 Bott–Chern and Aepli Laplacians

Let  $(M, J, g, \omega)$  be an almost Hermitian manifold. As in the integrable setting, we define

$$\tilde{\Delta}_{BC} = \partial\bar{\partial}\bar{\partial}^*\partial^* + \bar{\partial}^*\partial^*\partial\bar{\partial} + \partial^*\bar{\partial}\bar{\partial}^*\partial + \bar{\partial}^*\partial\partial^*\bar{\partial} + \partial^*\partial + \bar{\partial}^*\bar{\partial},$$

and

$$\tilde{\Delta}_A = \partial\bar{\partial}\bar{\partial}^*\partial^* + \bar{\partial}^*\partial^*\partial\bar{\partial} + \partial\bar{\partial}^*\bar{\partial}\partial^* + \bar{\partial}\partial^*\partial\bar{\partial}^* + \partial\partial^* + \bar{\partial}\bar{\partial}^*,$$

and still call them Bott–Chern and Aepli Laplacian, respectively. Note that

$$*\tilde{\Delta}_{BC} = \tilde{\Delta}_A * \quad *\tilde{\Delta}_{BC}* = *\tilde{\Delta}_A. \tag{5}$$

If  $M$  is compact, then we easily deduce the following relations

$$\begin{cases} \tilde{\Delta}_{BC}\alpha = 0 & \iff \partial\alpha = 0, \bar{\partial}\alpha = 0, \partial\bar{\partial}*\alpha = 0, \\ \tilde{\Delta}_A\alpha = 0 & \iff \partial*\alpha = 0, \bar{\partial}*\alpha = 0, \partial\bar{\partial}\alpha = 0, \end{cases}$$

for any given  $\alpha \in A^{p,q}$  which characterizes the spaces of harmonic  $(p, q)$ -forms

$$\mathcal{H}_{BC}^{p,q}, \quad \mathcal{H}_A^{p,q},$$

defined as the spaces of  $(p, q)$ -forms which are in the kernel of the associated Laplacians.

**Remark 3.1** By Eq. (5), note that  $*\mathcal{H}_{BC}^{p,q} = \mathcal{H}_A^{n-q,n-p}$  and  $*\mathcal{H}_A^{p,q} = \mathcal{H}_{BC}^{n-q,n-p}$ . In the following, we will study only the spaces  $\mathcal{H}_{BC}^{p,q}$  on an almost complex manifolds; this is sufficient to describe also the spaces  $\mathcal{H}_A^{p,q}$ .

We are interested in studying the kernel of the Bott–Chern Laplacian  $\tilde{\Delta}_{BC}$  on almost complex manifolds. The kernel of an elliptic operator is finite dimensional on a compact manifold. Therefore, the first thing we verify is that  $\tilde{\Delta}_{BC}$  is elliptic. The proofs known by the authors of the ellipticity of  $\tilde{\Delta}_{BC}$ , see, e.g., [16, Proposition 5] by Kodaira and Spencer or [17, Page 8] by Schweitzer, make use of local complex coordinates to compute explicitly the symbol of  $\tilde{\Delta}_{BC}$ , therefore do not hold anymore on almost complex manifolds. Nonetheless, these proofs could be adapted to compute the symbol in suitable local frames on almost complex manifolds.

**Proposition 3.2** *Let  $(M, g, J, \omega)$  be an almost Hermitian manifold of real dimension  $2n$ . The Bott–Chern Laplacian  $\tilde{\Delta}_{BC}$  is elliptic.*

**Proof** To compute the symbol of  $\tilde{\Delta}_{BC}$ , choose a local coframe  $\{\theta^1, \dots, \theta^n\}$  on  $A^{1,0}$  such that the almost Hermitian metric is written

$$\omega = i \sum_{k=1}^n \theta^{k\bar{k}}.$$

We write a form  $\alpha \in A^{p,q}$  locally as

$$\alpha = \alpha_{i_1 \dots i_p j_1 \dots j_q} \theta^{i_1} \wedge \dots \wedge \theta^{j_q}.$$

Its differential then acts as

$$\begin{aligned} d\alpha &= d\alpha_{i_1 \dots j_q} \wedge \theta^{i_1} \wedge \dots \wedge \theta^{j_q} + \alpha_{i_1 \dots j_q} d(\theta^{i_1} \wedge \dots \wedge \theta^{j_q}) \\ &= \partial\alpha_{i_1 \dots j_q} \wedge \theta^{i_1} \wedge \dots \wedge \theta^{j_q} + \bar{\partial}\alpha_{i_1 \dots j_q} \wedge \theta^{i_1} \wedge \dots \wedge \theta^{j_q} + \alpha_{i_1 \dots j_q} d(\theta^{i_1} \wedge \dots \wedge \theta^{j_q}). \end{aligned}$$

In calculating the symbol, we are only interested in the highest order derivatives acting on  $\alpha_{i_1 \dots j_q}$ . Therefore, for the purpose of computing the symbol, we note that  $\partial$  and  $\bar{\partial}$  behave like on a complex manifold. The same reasoning works for  $\partial^*$  and  $\bar{\partial}^*$ . Since  $\tilde{\Delta}_{BC}$  is elliptic on complex manifolds, this ends the proof.  $\square$

The same considerations in the proof of Proposition 3.2 also prove that the  $\partial$ ,  $\bar{\partial}$ , and the Aeppli Laplacians are elliptic, too.

Denote by

$$h_{BC}^{p,q}, \quad h_A^{p,q}$$

respectively the finite complex dimensions of  $\mathcal{H}_{BC}^{p,q}$  and of  $\mathcal{H}_A^{p,q}$ .

### 4 Bott–Chern harmonic (1, 1)-forms on almost Hermitian 4-manifolds

The goal of this section is to study the space of Bott–Chern harmonic forms of bidegree (1, 1) on almost Hermitian manifolds of real dimension 4. We start noting that this space is a conformal invariant of the metric.

**Remark 4.1** Let  $(M, J)$  be a compact almost complex manifold of real dimension  $2n$ . Let  $\tilde{\omega}, \omega = e^t \tilde{\omega}$ , with  $t \in C^\infty(M)$ , be two conformal almost Hermitian metrics. The two Hodge star operators behave, on the space  $A^{p,q}$ , as

$$*_\omega = e^{t(n-p-q)} *_\tilde{\omega}.$$

Therefore, when  $p + q = n$ , the space  $\mathcal{H}_{BC}^{p,q}$  is a conformal invariant of almost Hermitian metrics, thanks to the characterization

$$\tilde{\Delta}_{BC} \alpha = 0 \iff \partial \alpha = 0, \bar{\partial} \alpha = 0, \partial \bar{\partial} * \alpha = 0, \quad \forall \alpha \in A^{p,q}.$$

In particular,  $h_{BC}^{p,q}$  is also a conformal invariant of almost Hermitian metrics for  $p + q = n$ . This is especially true when  $2n = 4$  and  $p = q = 1$ .

By a remarkable result of Gauduchon [8], for any given almost Hermitian metric  $\tilde{\omega}$  on the compact almost complex  $2n$ -manifold  $(M, J)$ , there always exists a unique, up to homothety, Gauduchon metric  $\omega$  conformal to  $\tilde{\omega}$ , i.e.,  $\partial \bar{\partial} \omega^{n-1} = 0$ . In particular, for  $2n = 4$ , we have  $\partial \bar{\partial} \omega = 0$ .

Let  $(M, g)$  be a compact oriented Riemannian manifold of real dimension 4, and set

$$\Lambda^- = \{ \alpha \in \Lambda^2 M : * \alpha = -\alpha \}$$

the bundle of anti self dual 2-forms. Denote by

$$\mathcal{H}^- = \{ \alpha \in A^2 : \Delta_d \alpha = 0, * \alpha = -\alpha \},$$

the subspace of harmonic anti-self-dual 2-forms and set  $b^- = \dim_{\mathbb{R}} \mathcal{H}^-$ . Note that  $b^-$  is metric independent: see [6, Chapter 1] for its topological meaning.

**Remark 4.2** Let  $(M, J, g, \omega)$  be a compact almost Hermitian manifold of real dimension 4. Note that the space of harmonic anti-self-dual complex valued 2-forms  $\mathcal{H}^- \otimes \mathbb{C}$  is indeed a subspace of  $A^{1,1}$ , which will be denoted by  $\mathcal{H}_{\mathbb{C}}^-$ . We remark that every harmonic anti-self-dual (1, 1)-form  $\gamma \in \mathcal{H}_{\mathbb{C}}^-$ , i.e.,  $d\gamma = 0$  and  $*\gamma = -\gamma$ , is a Bott–Chern harmonic (1, 1)-form. In fact, it holds  $\partial \gamma = 0, \bar{\partial} \gamma = 0, \partial \bar{\partial} * \gamma = 0$ . Hence,  $h_{BC}^{1,1} \geq b^-$ .



Now we can state and prove the following theorem, gaining a topological interpretation of the dimension  $h_{BC}^{1,1}$ .

**Theorem 4.3** *Let  $(M, J, g, \omega)$  be a compact almost Hermitian manifold of real dimension 4. Then either  $h_{BC}^{1,1} = b^-$  or  $h_{BC}^{1,1} = b^- + 1$ .*

**Proof** Since  $h_{BC}^{1,1}$  is a conformal invariant of the metric, up to a conformal change of the Hermitian metric  $g$ , we can assume in this proof that  $\omega$  is Gauduchon, i.e.,  $\partial\bar{\partial}\omega = 0$ .

We divide the proof in two steps.

(I) First, we prove that the space of Bott–Chern harmonic  $(1, 1)$ -forms is

$$\mathcal{H}_{BC}^{1,1} = \{f\omega + \gamma \in A^{1,1} \mid f \in \mathbb{C}, *\gamma = -\gamma, d(f\omega + \gamma) = 0\}. \tag{6}$$

(II) Then, we prove that the complex dimension of  $\mathcal{H}_{BC}^{1,1}$  can only be equal to either  $b^-$  or  $b^- + 1$ .

(I) From the Lefschetz decomposition for 2-forms, see [14, Proposition 1.2.30], one gets the following decomposition:

$$\Lambda^{1,1}M = \mathbb{C}(\omega) \oplus (\Lambda^- \otimes \mathbb{C}). \tag{7}$$

Let  $\phi \in \mathcal{H}_{BC}^{1,1}$ . By Eq. (7), we have  $\phi = f\omega + \gamma$ , where  $f$  is a smooth function with complex values on  $M$  and  $*\gamma = -\gamma$ . To prove the characterization of  $\mathcal{H}_{BC}^{1,1}$ , we claim that  $f$  is a complex constant. Note that

$$\partial\phi = 0 \iff \partial f \wedge \omega + f\partial\omega + \partial\gamma = 0, \tag{8}$$

$$\bar{\partial}\phi = 0 \iff \bar{\partial}f \wedge \omega + f\bar{\partial}\omega + \bar{\partial}\gamma = 0, \tag{9}$$

$$\bar{\partial}^*\partial^*\phi = 0 \iff \partial\bar{\partial}*(f\omega + \gamma) = 0. \tag{10}$$

Expanding condition (10), using condition (9) and  $\partial\bar{\partial}\omega = 0$ , we get

$$\begin{aligned} 0 &= \partial\bar{\partial}*(f\omega + \gamma) = \partial\bar{\partial}(f\omega - \gamma) \\ &= \partial(\bar{\partial}f \wedge \omega + f\bar{\partial}\omega - \bar{\partial}\gamma) \\ &= 2\partial(\bar{\partial}f \wedge \omega + f\bar{\partial}\omega) \\ &= 2\partial\bar{\partial}f \wedge \omega - 2\bar{\partial}f \wedge \partial\omega + 2\partial f \wedge \bar{\partial}\omega. \end{aligned}$$

We claim that the differential operator  $P : C^\infty(M, \mathbb{C}) \rightarrow C^\infty(M, \mathbb{C})$  defined by

$$P : f \mapsto -i * (\partial\bar{\partial}f \wedge \omega - \bar{\partial}f \wedge \partial\omega + \partial f \wedge \bar{\partial}\omega)$$

is strongly elliptic, since its principal part is given by

$$-i * (\partial\bar{\partial}f \wedge \omega).$$

Let us then verify that the differential operator  $L : C^\infty(M, \mathbb{C}) \rightarrow C^\infty(M, \mathbb{C})$  defined by

$$L : f \mapsto -i * (\partial\bar{\partial}f \wedge \omega)$$

is strongly elliptic. Choose a local coframe  $\{\zeta^1, \zeta^2\}$  of bi-degree  $(1, 0)$  centered in a point  $m \in M$  and such that the almost Hermitian metric is written

$$\omega = i(\zeta^{1\bar{1}} + \zeta^{2\bar{2}}).$$

Let  $\{V_1, V_2\}$  be the corresponding dual frame. We have

$$\begin{aligned} \partial\bar{\partial}f &= \partial(\bar{V}_1(f)\bar{\zeta}^1 + \bar{V}_2(f)\bar{\zeta}^2) \\ &= V_1(\bar{V}_1(f))\zeta^{1\bar{1}} + V_2(\bar{V}_1(f))\zeta^{2\bar{1}} + \\ &\quad + V_1(\bar{V}_2(f))\zeta^{1\bar{2}} + V_2(\bar{V}_2(f))\zeta^{2\bar{2}} + \\ &\quad + \bar{V}_1(f)\partial\bar{\zeta}^1 + \bar{V}_2(f)\partial\bar{\zeta}^2. \end{aligned}$$

Wedging  $\partial\bar{\partial}f$  together with  $\omega$ , we get

$$\partial\bar{\partial}f \wedge \omega = i(V_1(\bar{V}_1(f)) + V_2(\bar{V}_2(f)) + R(f))\zeta^{1\bar{1}2\bar{2}},$$

where  $R$  is a differential operator which involves at most first order derivatives of  $f$ . Since  $\text{Vol} = \zeta^{1\bar{1}2\bar{2}}$ , it follows that

$$L(f) = -(V_1(\bar{V}_1(f)) + V_2(\bar{V}_2(f)) + R(f)),$$

which is strongly elliptic since  $-V_1\bar{V}_1 - V_2\bar{V}_2$  is strongly elliptic, proving the claim. Note that  $L(f) = i(\partial\bar{\partial}f, \omega)$  is equal, up to a factor  $-2$ , to the complex Laplacian by Gauduchon [8].

Let us prove that the function  $f \in \ker(P)$  is constant. Note that  $P$  is a real differential operator, i.e.,  $P(\bar{f}) = \overline{P(f)}$ . Hence,  $f \in \ker(P)$  iff  $\text{Re } f \in \ker(P)$  and  $\text{Im } f \in \ker(P)$ . By considering  $\text{Re } f$  and  $\text{Im } f$  instead of  $f$ , for the moment we may assume that  $f$  is real valued. Then,  $f : M \rightarrow \mathbb{R}$  has a maximum and a minimum. Let  $m_0 \in M$  be a maximum point for  $f$  and set  $f(m_0) = N$ . Let  $U \ni m_0$  be a local chart and  $r > 0$  such that  $\overline{B(m_0, r)} \subset U$ . The differential operator  $P$  is strongly elliptic on  $M$ , therefore it is strongly elliptic on  $B(m_0, r)$ . Since  $f \in \ker(P)$ , by the maximum principle it follows that  $f$  is constant on  $B(m_0, r)$ . Since  $\{m \in M : f(m) = N\}$  is both open and closed,  $f$  is constant on  $M$ .

Therefore, we have just proved

$$\mathcal{H}_{BC}^{1,1} \subset \{f\omega + \gamma \in A^{1,1} \mid f \in \mathbb{C}, *\gamma = -\gamma, d(f\omega + \gamma) = 0\}.$$

Vice versa, if  $\phi = f\omega + \gamma \in A^{1,1}$ , with  $f \in \mathbb{C}, *\gamma = -\gamma$ , and  $d(f\omega + \gamma) = 0$ , then a straightforward computation shows that (8), (9) and (10) hold, providing the converse inclusion  $\supset$ . Therefore (6) is proved.

(II) Now, let us prove that either  $h_{BC}^{1,1} = b^-$  or  $h_{BC}^{1,1} = b^- + 1$  hold. We have two possible cases:

(a) there exists an element  $f_0\omega + \gamma_0 \in \mathcal{H}_{BC}^{1,1}$  such that

$$f_0 \in \mathbb{C} \setminus \{0\}, \quad *\gamma_0 = -\gamma_0, \quad d(f_0\omega + \gamma_0) = 0;$$

(b) for any given element  $f\omega + \gamma \in \mathcal{H}_{BC}^{1,1}$  we have  $f = 0$ .

In case (a), we claim that

$$\mathcal{H}_{BC}^{1,1} = \{f(f_0\omega + \gamma_0) + \gamma \in A^{1,1} \mid f \in \mathbb{C}, *\gamma = -\gamma, d\gamma = 0\},$$

which yields  $h_{BC}^{1,1} = b^- + 1$ . The inclusion  $\supset$  is immediate. Indeed,

$$d(f(f_0\omega + \gamma_0) + \gamma) = fd(f_0\omega + \gamma_0) + d\gamma = 0,$$

and  $*(f\gamma_0 + \gamma) = -f\gamma_0 - \gamma$ .

To prove the converse inclusion  $\subset$ , let  $f_1\omega + \gamma_1 \in \mathcal{H}_{BC}^{1,1}$ , i.e.,  $f_1 \in \mathbb{C}$ ,  $*\gamma_1 = -\gamma_1$  and  $d(f_1\omega + \gamma_1) = 0$ . We compute

$$f_1\omega + \gamma_1 = \frac{f_1}{f_0}(f_0\omega + \gamma_0) + \gamma_1 - \frac{f_1}{f_0}\gamma_0 = f(f_0\omega + \gamma_0) + \gamma,$$

where we set  $f = \frac{f_1}{f_0}$  and  $\gamma = \gamma_1 - \frac{f_1}{f_0}\gamma_0$ . Note that

$$f \in \mathbb{C}, \quad *\gamma = -\gamma, \quad d\gamma = -f_1d\omega + \frac{f_1}{f_0}f_0d\omega = 0,$$

proving the claim.

In case (b), since for every element  $f\omega + \gamma \in \mathcal{H}_{BC}^{1,1}$  we have  $f = 0$ , it follows that  $\mathcal{H}_{BC}^{1,1}$  coincides with the space of harmonic and anti-self-dual  $(1, 1)$ -forms  $\mathcal{H}_{\mathbb{C}}^{-}$ , yielding  $h_{BC}^{1,1} = b^-$ . The theorem is proved.  $\square$

We specialize Theorem 4.3 when the Hermitian metric is almost Kähler, yielding that  $h_{BC}^{1,1}$  is independent of the choice of almost Kähler metrics  $g$  compatible with  $J$ . The following corollary is the Bott–Chern analogue of [12, Proposition 6.1] by Holt and Zhang.

**Corollary 4.4** *Let  $(M, J, g, \omega)$  be a compact almost Kähler manifold of real dimension 4. Then,  $h_{BC}^{1,1} = b^- + 1$  and  $\mathcal{H}_{BC}^{1,1} = \mathcal{H}_{\bar{\partial}}^{1,1}$ .*

**Proof** By the characterization (6) of Theorem 4.3 and  $d\omega = 0$  we get the characterization

$$\mathcal{H}_{BC}^{1,1} = \{f\omega + \gamma \in A^{1,1} \mid f \in \mathbb{C}, *\gamma = -\gamma, d\gamma = 0\},$$

yielding  $h_{BC}^{1,1} = b^- + 1$ . By [12, Proposition 6.1], the space  $\mathcal{H}_{\bar{\partial}}^{1,1}$  has the same characterization as  $\mathcal{H}_{BC}^{1,1}$ , implying  $\mathcal{H}_{BC}^{1,1} = \mathcal{H}_{\bar{\partial}}^{1,1}$ .  $\square$

By Remark 4.1, note that the same thesis of Corollary 4.4 holds if the almost Hermitian metric is conformal to an almost Kähler metric.

As another consequence of Theorem 4.3, we derive the following

**Corollary 4.5** *Let  $(M, J, g, \omega)$  be a compact almost Hermitian manifold of real dimension 4. If  $\partial\bar{\partial}\omega = 0$  and  $d\omega \neq 0$ , then every Hodge harmonic  $(1, 1)$ -form is anti-self-dual.*

**Proof** Assume  $\partial\bar{\partial}\omega = 0$  and  $d\omega \neq 0$ , and take  $\phi \in A^{1,1}$  such that  $\Delta_d\phi = 0$ . As in Theorem 4.3, by Eq. (7), we have  $\phi = f\omega + \gamma$ , where  $f$  is a smooth function with complex values on  $M$  and  $\gamma$  is anti-self-dual, i.e.,  $*\gamma = -\gamma$ . Recall  $\Delta_d\phi = 0$  if and only if  $d\phi = d*\phi = 0$ . This implies  $\phi \in \mathcal{H}_{BC}^{1,1}$ , since  $d = \partial + \bar{\partial}$  on  $(1, 1)$ -forms, and  $d*\phi = 0$  yields  $\partial\bar{\partial}*\phi = 0$ . By the proof of Theorem 4.3,  $f$  is a complex constant. Computing  $d\phi = d*\phi = 0$ , we find

$$\begin{aligned} 0 &= d\phi = fd\omega + d\gamma, \\ 0 &= d*\phi = fd\omega - d\gamma, \end{aligned}$$

hence

$$fd\omega = 0, \quad d\gamma = 0.$$

Since  $d\omega \neq 0$ , we get  $f = 0$ .  $\square$

### 5 Bott–Chern harmonic forms on the Kodaira–Thurston manifold

In this section, we are going to compare Bott–Chern and Dolbeault harmonic forms on a family of almost Kähler structures on the Kodaira–Thurston manifold, following Holt and Zhang [12].

The Kodaira–Thurston manifold, here denoted by  $M$ , is defined to be the direct product  $S^1 \times (H_3(\mathbb{Z}) \backslash H_3(\mathbb{R}))$ , where  $H_3(\mathbb{R})$  denotes the Heisenberg group

$$H_3(\mathbb{R}) = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \in GL(3, \mathbb{R}) \right\},$$

and  $H_3(\mathbb{Z})$  is the subgroup  $H_3(\mathbb{R}) \cap GL(3, \mathbb{Z})$ , acting on  $H_3(\mathbb{R})$  by left multiplication. The manifold  $M$  is compact and connected. If  $t$  is the coordinate on the circle  $S^1$ , and  $x, y, z$  are the coordinates on  $H_3(\mathbb{Z}) \backslash H_3(\mathbb{R})$  as in the definition of  $H_3(\mathbb{R})$ , we see that the manifold  $M$  can be identified with  $\mathbb{R}^4$ , endowed with the group structure of  $\mathbb{R} \times H_3(\mathbb{R})$ , quotiented by the equivalence relation

$$\begin{pmatrix} t \\ x \\ y \\ z \end{pmatrix} \sim \begin{pmatrix} t + t_0 \\ x + x_0 \\ y + y_0 \\ z + z_0 + x_0 y \end{pmatrix},$$

for every  $t_0, x_0, y_0, z_0 \in \mathbb{Z}$ . The vector fields

$$e_1 = \frac{\partial}{\partial t}, \quad e_2 = \frac{\partial}{\partial x}, \quad e_3 = \frac{\partial}{\partial y} + x \frac{\partial}{\partial z}, \quad e_4 = \frac{\partial}{\partial z}$$

are left invariant and form a basis of  $T_p M$  at each point  $p \in M$ . The dual left invariant coframe is given by

$$e^1 = dt, \quad e^2 = dx, \quad e^3 = dy, \quad e^4 = dz - xdy.$$

Consider the almost complex structure  $J_b$ , for  $b \in \mathbb{R} \setminus \{0\}$ , given by

$$V_1 = \frac{1}{2} \left( \frac{\partial}{\partial t} - i \frac{\partial}{\partial x} \right), \quad V_2 = \frac{1}{2} \left( \left( \frac{\partial}{\partial y} + x \frac{\partial}{\partial z} \right) + \frac{i}{b} \frac{\partial}{\partial z} \right)$$

spanning  $T_p^{1,0} M$  at every point  $p \in M$ , along with their dual  $(1, 0)$ -forms

$$\phi^1 = dt + idx, \quad \phi^2 = dy - ib(dz - xdy).$$

Their structure equations are

$$d\phi^1 = 0, \quad d\phi^2 = \frac{b}{4}(\phi^{12} + \phi^{1\bar{2}} + \phi^{2\bar{1}} - \phi^{\bar{1}2}).$$

Endow every  $(M, J_b)$  with the family of almost Kähler metrics given by the compatible symplectic forms

$$\omega_b = i(\phi^1 \wedge \bar{\phi}^1 + \phi^2 \wedge \bar{\phi}^2) = 2dt \wedge dx + 2bdz \wedge dy.$$

Define the volume form  $\text{Vol}$  such that

$$2 \text{Vol} = \omega_b^2 = 2\phi^1 \wedge \phi^2 \wedge \bar{\phi}^1 \wedge \bar{\phi}^2 = 8bdt \wedge dx \wedge dz \wedge dy.$$

Holt and Zhang [12], computed the spaces  $\mathcal{H}_{\bar{\partial}}^{p,q}$  for every  $p, q$ . We will verify when  $\mathcal{H}_{BC}^{p,q} = \mathcal{H}_{\bar{\partial}}^{p,q}$  and show that this equality is not true for every  $p, q$  on the Kodaira–Thurston manifold  $M$ . Note that  $\mathcal{H}_{\bar{\partial}}^{p,q} = \overline{\ast\mathcal{H}_{\bar{\partial}}^{2-p,2-q}}$  by Serre duality. For Bott–Chern harmonic forms, we have

$$\mathcal{H}_{BC}^{p,q} = \overline{\mathcal{H}_{BC}^{q,p}} \tag{11}$$

if it holds  $\partial\bar{\partial} + \bar{\partial}\partial = 0$  when restricted on  $(n - q, n - p)$  forms. For an almost complex 4-manifold, Eq. (11) is true when

$$(p, q) \in \{(0, 0), (2, 2), (2, 0), (0, 2), (1, 0), (0, 1), (1, 1)\}.$$

Let us compute the spaces  $\mathcal{H}_{BC}^{p,q}, \mathcal{H}_{\bar{\partial}}^{p,q}$  and compare them.

**Bidegrees (0, 0), (2, 2)**

For  $p = q = 0$ , it is immediate to see that both spaces are equal to constant functions on  $M$ . Similarly, for  $p = q = 2$ , both spaces are spanned by  $\phi^{12\bar{1}\bar{2}}$ .

**Bidegrees (2, 0), (0, 2)**

For  $(p, q) \in \{(2, 0), (0, 2)\}$ , note that  $\mathcal{H}_{\bar{\partial}}^{2,0} = \overline{\ast\mathcal{H}_{\bar{\partial}}^{0,2}}$  and  $\mathcal{H}_{BC}^{2,0} = \overline{\mathcal{H}_{BC}^{0,2}}$ , therefore it is sufficient to prove  $\mathcal{H}_{BC}^{2,0} = \mathcal{H}_{\bar{\partial}}^{2,0}$ . This follows immediately since both spaces turn out to be equal to the set of  $\bar{\partial}$ -closed  $(2, 0)$ -forms, i.e.,

$$\mathcal{H}_{BC}^{2,0} = \mathcal{H}_{\bar{\partial}}^{2,0} = \{\alpha \in A^{2,0}(M) \mid \bar{\partial}\alpha = 0\}.$$

In [3, Section 6] it is proved that

$$\mathcal{H}_{\bar{\partial}}^{2,0} = \begin{cases} \mathbb{C} \langle \phi^{12} \rangle & \text{if } 0 \neq b \in 4\pi\mathbb{Z}, \\ 0 & \text{if } b \notin 4\pi\mathbb{Z}. \end{cases}$$

**Bidegree (1, 1)**

For  $(p, q) = (1, 1)$ , in [12] Holt and Zhang proved that on every almost complex 4-manifold with an almost Kähler metric, every  $(1, 1)$ -Dolbeault harmonic form is the sum of a complex multiple of the almost Kähler symplectic form and of an anti-self-dual harmonic form. Corollary 4.4 affirms that the same holds for every  $(1, 1)$ -Bott–Chern harmonic forms. Since  $b^- = 2$ , it follows that  $h_{\bar{\partial}}^{1,1} = h_{BC}^{1,1} = 3$  and it suffices to find three harmonic  $(1, 1)$ -forms to prove

$$\mathcal{H}_{BC}^{1,1} = \mathcal{H}_{\bar{\partial}}^{1,1} = \mathbb{C} \langle \phi^{1\bar{1}}, \phi^{2\bar{2}}, \phi^{1\bar{2}} - \phi^{2\bar{1}} \rangle .$$

**Bidegrees (1, 0), (0, 1)**

For  $(p, q) \in \{(1, 0), (0, 1)\}$ , since  $\mathcal{H}_{BC}^{1,0} = \overline{\mathcal{H}_{BC}^{0,1}}$ , let us compute  $\mathcal{H}_{BC}^{0,1}$ . Let  $s = f\bar{\phi}^1 + g\bar{\phi}^2 \in \mathcal{H}_{BC}^{0,1}$ , i.e.,  $\partial s = 0$  and  $\bar{\partial} s = 0$ . We get

$$\partial s = 0 \iff V_1(f)\phi^{1\bar{1}} + (V_1(g) - \frac{b}{4}g)\phi^{1\bar{2}} + (V_2(f) - \frac{b}{4}g)\phi^{2\bar{1}} + V_2(g)\phi^{2\bar{2}}, \tag{12}$$

$$\bar{\partial} s = 0 \iff (-\bar{V}_2(f) + \bar{V}_1(g) + \frac{b}{4}g)\phi^{1\bar{2}} = 0. \tag{13}$$

By Eq. (12), note that  $V_2(g) = 0$ , implying  $\bar{V}_2 V_2(g) = 0$ . The operator  $-\bar{V}_2 V_2$  is a real operator, and it is strongly elliptic when computed on functions depending only on the coordinates  $y\bar{z}, z\bar{y}$ . Consider the projection  $\pi : M \rightarrow T^2 = \mathbb{Z}^2 \setminus \mathbb{R}^2$  given by  $\pi([t, x, y, z]) = ([t, x])$ . The fiber of  $\pi$  is a torus with coordinates  $y, z$ . As the fiber is compact, by the maximum principle applied to  $\text{Re}(g)$  and  $\text{Im}(g)$ , we get that  $g$  is constant on each fiber. Therefore, the function  $g$  on  $M$  depends only on the coordinates  $t, x$ .

Applying  $V_2$  to the coefficient of  $\phi^{1\bar{2}}$  in (13), taking into account that  $4[\bar{V}_1, V_2] = b(V_2 - \bar{V}_2)$  and  $V_2(g) = 0$ , we infer  $\bar{V}_2 V_2(f) = 0$ . Since  $V_1(f) = 0$ , then  $f$  is in the kernel of the strongly elliptic operator  $-\bar{V}_1 V_1 - \bar{V}_2 V_2$  and therefore it is constant.

Equation (12) also yields

$$V_2(f) - \frac{b}{4}g = 0.$$

Since  $f$  is a complex constant, we get  $g = 0$ .

Therefore

$$\mathcal{H}_{BC}^{0,1} = \mathbb{C} \langle \bar{\phi}^1 \rangle, \quad \mathcal{H}_{BC}^{1,0} = \mathbb{C} \langle \phi^1 \rangle.$$

Analogously, since  $\mathcal{H}_{\bar{\partial}}^{1,0} = \{\alpha \in A^{1,0}(M) \mid \bar{\partial}\alpha = 0\}$ , it is easy to see that

$$\mathcal{H}_{\bar{\partial}}^{1,0} = \mathbb{C} \langle \phi^1 \rangle = \mathcal{H}_{BC}^{1,0},$$

see [3, Section 6] for the proof  $a = 0$ . It is also easy to see

$$\mathbb{C} \langle \bar{\phi}^1 \rangle \subset \mathcal{H}_{\bar{\partial}}^{0,1}.$$

However, in [12], Holt and Zhang proved that  $\sigma = Ce^{2\pi i l x} \bar{\phi}^2 \in \mathcal{H}_{\bar{\partial}}^{0,1}$ , for  $l \in \mathbb{Z}, b = 4\pi l$  and for any  $C \in \mathbb{C}$ . Since  $\sigma \notin \mathcal{H}_{BC}^{0,1}$ , we just proved, for  $b = 4\pi l$ ,

$$\mathcal{H}_{BC}^{0,1} \subsetneq \mathcal{H}_{\bar{\partial}}^{0,1}.$$

**Bidegree (2, 1)**

For  $(p, q) = (2, 1)$ , note that  $\mathcal{H}_{\bar{\partial}}^{2,1} = \overline{* \mathcal{H}_{\bar{\partial}}^{0,1}}$ . Therefore, for  $b = 4\pi l$ , we know  $\overline{* \sigma} \in \mathcal{H}_{\bar{\partial}}^{2,1}$ . We will show that  $\overline{* \sigma} \notin \mathcal{H}_{BC}^{2,1}$ , implying

$$\mathcal{H}_{BC}^{2,1} \neq \mathcal{H}_{\bar{\partial}}^{2,1}.$$

Let us begin by describing the space  $\mathcal{H}_{BC}^{2,1}$ . Let  $s = f\phi^{1\bar{2}} + g\phi^{12\bar{2}}$ , then  $s \in \mathcal{H}_{BC}^{2,1}$  if and only if  $\partial\bar{\partial} * s = 0$  and  $\bar{\partial}s = 0$ , i.e., iff

$$\begin{cases} V_1\bar{V}_1(f) + V_2\bar{V}_1(g) - \frac{b}{4}V_1(f) + \frac{b}{4}\bar{V}_1(f) - \frac{b}{4}\bar{V}_2(g) - \frac{b^2}{8}f = 0, \\ V_1\bar{V}_2(f) + V_2\bar{V}_2(g) + \frac{b}{4}V_2(f) = 0, \\ \bar{V}_1(g) - \bar{V}_2(f) = 0. \end{cases} \tag{14}$$

It is an easy verification that the  $(2, 1)$ -form  $\overline{*s}$  does not satisfy the first equation of the system (14).

**Bidegree (1, 2)**

For  $(p, q) = (1, 2)$ , we know  $\mathcal{H}_{\bar{\partial}}^{1,2} = \overline{* \mathcal{H}_{\bar{\partial}}^{1,0}} = \mathbb{C} \langle \phi^{2\bar{1}\bar{2}} \rangle$ . We will show that for some value of  $b \neq 0$  it holds

$$\mathcal{H}_{\bar{\partial}}^{1,2} \subsetneq \mathcal{H}_{BC}^{1,2}. \tag{15}$$

Let  $s = f\phi^{1\bar{1}\bar{2}} + g\phi^{2\bar{1}\bar{2}} \in \mathcal{H}_{BC}^{1,2}$ , i.e.,  $\partial\bar{\partial} * s = 0$  and  $\partial s = 0$ , i.e.,

$$\begin{cases} V_1\bar{V}_1(f) + V_1\bar{V}_2(g) + \frac{b}{4}V_1(f) - \frac{b}{4}\bar{V}_1(f) - \frac{b}{4}\bar{V}_2(g) - \frac{b^2}{16}f = 0, \\ V_2\bar{V}_1(f) + V_2\bar{V}_2(g) + \frac{b}{4}V_2(f) = 0, \\ V_1(g) - V_2(f) = 0. \end{cases} \tag{16}$$

To prove (15), it will be sufficient to study solutions  $f, g$  of system (16) which only depend on coordinates  $t, x, y$ . We decompose the functions  $f, g$  in Fourier series as

$$f = \sum_{k,l,m \in \mathbb{Z}} f_{k,l,m} e^{2\pi i(kt+lx+my)}, \quad g = \sum_{k,l,m \in \mathbb{Z}} g_{k,l,m} e^{2\pi i(kt+lx+my)}.$$

System (16) rewrites into

$$\begin{cases} (16\pi^2(k^2 + l^2) - 8b\pi l + b^2)f_{k,l,m} + 4\pi m(4\pi k - 4\pi il + ib)g_{k,l,m} = 0, & (17) \\ \pi m(4\pi k + 4\pi il - ib)f_{k,l,m} + 4\pi^2 m^2 g_{k,l,m} = 0, & (18) \\ mf_{k,l,m} = (k - il)g_{k,l,m}, & (19) \end{cases}$$

for all  $k, l, m \in \mathbb{Z}$ . From (18) and (19), we obtain  $k = 0$  and

$$4\pi m^2 + 4\pi l^2 - bl = 0, \tag{20}$$

and, once we impose these conditions, (17) reduces to (19).

Summing up, for any  $l, m \in \mathbb{Z}$  and  $b \neq 0$  such that  $4\pi m^2 + 4\pi l^2 - bl = 0$ , there exists  $s \in \mathcal{H}_{BC}^{1,2}$  given by

$$\begin{cases} s = C e^{2\pi i(lx+my)} \phi^{1\bar{1}\bar{2}} - C \frac{im}{l} e^{2\pi i(lx+my)} \phi^{2\bar{1}\bar{2}} & \text{if } l \neq 0, \\ s = C \phi^{2\bar{1}\bar{2}} & \text{if } l = 0, \end{cases} \tag{21}$$

for any  $C \in \mathbb{C}$ . For  $l = 0$ , we get the inclusion of (15); to show that the inclusion is not an equality, take, e.g.,  $b = 8\pi, l = 1, m = 1$ .

**Remark 5.1** Counting the solutions (21), i.e., finding a lower bound on the complex dimension of  $\mathcal{H}_{BC}^{1,2}$ , is equivalent to asking how many couples  $(l, m) \in \mathbb{Z}^2$  satisfy (20), which is equivalent to counting how many couples  $(l, m) \in \mathbb{Z}^2$  satisfy

$$m^2 + (l - d)^2 = d^2,$$

where we set  $d = b/8\pi$ . Counting the number of solutions can be thought of as asking how many lattice points in  $\mathbb{Z} \times \mathbb{Z}$  lie on a circle with centre  $(d, 0)$  and radius  $d$ . This last number theoretic problem has already been addressed and solved by Holt and Zhang in [12, Section 4], where they show that by changing the choice of  $b$  (or equivalently  $d$ ) one can make the number of solutions become arbitrarily large.

Therefore, in view of the argument as above, we infer that by changing our choice of  $b$ ,  $h_{BC}^{1,2}$  may become arbitrarily large. This conclusion has been already obtained by Holt in [11, Example 4.4] (the case  $\rho = 1$  in the notation of Holt), where the space of Bott–Chern harmonic  $(1, 2)$ -forms is fully characterized.

Summarizing the results just obtained, we state the following proposition.

**Proposition 5.2** *Let  $M$  be the Kodaira–Thurston manifold  $S^1 \times (H_3(\mathbb{Z}) \backslash H_3(\mathbb{R}))$  with local coordinates  $t, x, y, z$ . Consider the almost complex structure  $J_b$ , for  $b \in \mathbb{R} \setminus \{0\}$ , given by*

$$\phi_1 = dt + idx, \quad \phi_2 = dy - ib(dz - xdy).$$

*spanning  $(T_p^{1,0}M)^*$  at every point  $p \in M$ . Endow  $(M, J_{a,b})$  with the almost Kähler metric given by the compatible symplectic form*

$$\omega_b = i(\phi^1 \wedge \bar{\phi}^1 + \phi^2 \wedge \bar{\phi}^2) = 2dt \wedge dx + 2bdz \wedge dy,$$

*and the volume form  $\text{Vol}$  such that  $2 \text{Vol} = \omega_b^2$ . Then, for all  $b \in \mathbb{R} \setminus \{0\}$ , and  $(p, q) \in \{(0, 0), (1, 0), (1, 1), (2, 0), (0, 2), (2, 2)\}$*

$$\mathcal{H}_{BC}^{p,q} = \mathcal{H}_{\frac{b}{9}}^{p,q},$$

*while for  $(p, q) \in \{(0, 1), (2, 1), (1, 2)\}$ , there exists  $b \in \mathbb{R} \setminus \{0\}$  such that  $a \in \mathbb{R}$*

$$\mathcal{H}_{BC}^{p,q} \neq \mathcal{H}_{\frac{a}{9}}^{p,q}.$$

**Corollary 5.3** *There exists an almost Kähler 4-manifold  $(M, J, g, \omega)$  such that for some bidegree  $(p, q)$  it holds that*

$$\mathcal{H}_{BC}^{p,q} \neq \mathcal{H}_{\frac{a}{9}}^{p,q}.$$

## 6 Locally conformally almost Kähler metrics

Let  $(M, J, g, \omega)$  be an almost Hermitian manifold. Following [19], we say  $\omega$  is *strictly locally conformally almost Kähler* if

$$d\omega = \theta \wedge \omega,$$

and  $\theta$  is  $d$ -closed but non  $d$ -exact. Conversely, we say  $\omega$  is *globally conformally almost Kähler*, if

$$d\omega = \theta \wedge \omega,$$



and  $\theta$  is  $d$ -exact. As mentioned in the introduction, Tardini and the second author proved that  $h_{\theta}^{1,1} = b^-$  on every compact almost complex 4-manifold with a strictly locally conformally almost Kähler metric. Here, we prove Bott–Chern harmonic  $(1, 1)$ -forms have a different behaviour than Dolbeault harmonic  $(1, 1)$ -forms. Namely, we describe an almost complex structure on a hyperelliptic surface, endowed with a strictly locally conformally almost Kähler metric, such that  $h_{BC}^{1,1} = b^- + 1$ .

Note that in the integrable case, i.e., on compact complex surfaces, it holds  $h_{BC}^{1,1} = b^- + 1$  on Kähler surfaces, on complex surfaces diffeomorphic to solvmanifolds, and on complex surfaces of class VII (see [1], [2, Chapter IV, Theorem 2.7]).

Following Hasegawa [9], let  $G$  be the group  $\mathbb{C}^2$  together with the multiplication

$$(w^1, w^2) \cdot (z^1, z^2) = (w^1 + e^{i\pi \frac{w^2 + \bar{w}^2}{2}} z^1, w^2 + z^2),$$

and let  $\Gamma$  be the subgroup of  $G$  given by  $(\mathbb{Z} + i\mathbb{Z})^2$ . This corresponds to the hyperelliptic surface with  $\eta = \pi$  and  $p = q = s = t = 0$  in the notation of Hasegawa. Let  $M$  be the solvmanifold  $\Gamma \backslash G$ , and denote by  $x^1, y^1, x^2, y^2$  the local coordinates of  $M$  induced from  $\mathbb{C}^2$ , i.e.,  $z^1 = x^1 + iy^1, z^2 = x^2 + iy^2$ . The vector fields

$$\begin{aligned} e_1 &= \cos(\pi x^2) \frac{\partial}{\partial x^1} + \sin(\pi x^2) \frac{\partial}{\partial y^1}, \\ e_2 &= -\sin(\pi x^2) \frac{\partial}{\partial x^1} + \cos(\pi x^2) \frac{\partial}{\partial y^1}, \\ e_3 &= \frac{\partial}{\partial x^2}, \quad e_4 = \frac{\partial}{\partial y^2} \end{aligned}$$

are left invariant and form a basis of  $TM$  at each point. The dual left invariant coframe is given by

$$\begin{aligned} e^1 &= \cos(\pi x^2) dx^1 + \sin(\pi x^2) dy^1, \\ e^2 &= -\sin(\pi x^2) dx^1 + \cos(\pi x^2) dy^1, \\ e^3 &= dx^2, \quad e^4 = dy^2, \end{aligned}$$

with structure equations

$$de^1 = -\pi e^{23}, \quad de^2 = \pi e^{13}, \quad de^3 = 0, \quad de^4 = 0.$$

The De Rham cohomology of  $M$  is computed using left invariant forms, see e.g. [1], yielding

$$H_{dR}^1 = \mathbb{R} \langle e^3, e^4 \rangle, \quad H_{dR}^2 = \mathbb{R} \langle e^{12}, e^{34} \rangle. \tag{22}$$

Consider the almost complex structure  $J$  given by

$$\begin{aligned} V_1 &= \frac{1}{2}(e_1 - ie_3) = \frac{1}{2} \left( \cos(\pi x^2) \frac{\partial}{\partial x^1} + \sin(\pi x^2) \frac{\partial}{\partial y^1} - i \frac{\partial}{\partial x^2} \right), \\ V_2 &= \frac{1}{2}(e_2 - ie_4) = \frac{1}{2} \left( -\sin(\pi x^2) \frac{\partial}{\partial x^1} + \cos(\pi x^2) \frac{\partial}{\partial y^1} - i \frac{\partial}{\partial y^2} \right), \end{aligned}$$

spanning  $T_p^{1,0}M$  at every point  $p \in M$ , along with their dual  $(1, 0)$ -forms

$$\begin{aligned} \phi^1 &= e^1 + ie^3 = \cos(\pi x^2) dx^1 + \sin(\pi x^2) dy^1 + i dx^2, \\ \phi^2 &= e^2 + ie^4 = -\sin(\pi x^2) dx^1 + \cos(\pi x^2) dy^1 + i dy^2. \end{aligned}$$

Their structure equations are

$$d\phi^1 = i\frac{\pi}{4}(-\phi^{12} - \phi^{1\bar{2}} - \phi^{2\bar{1}} + \phi^{\bar{1}2}), \quad d\phi^2 = i\frac{\pi}{2}\phi^{1\bar{1}}.$$

Endow  $(M, J)$  with the almost Hermitian metric given by the compatible symplectic form

$$\omega = e^{13} + e^{24} = \frac{i}{2}(\phi^1 \wedge \bar{\phi}^1 + \phi^2 \wedge \bar{\phi}^2),$$

and define the volume form  $\text{Vol}$  such that

$$\text{Vol} = \frac{\omega^2}{2} = \frac{1}{4}\phi^1 \wedge \phi^2 \wedge \bar{\phi}^1 \wedge \bar{\phi}^2.$$

Note  $b^- = 1$ . Also note that  $\omega$  is strictly locally conformally almost Kähler, since

$$d\omega = \pi e^{134} = \theta \wedge \omega,$$

with  $\theta = \pi e^4$ , which is closed but not exact by (22).

Let us now compute  $h_{BC}^{1,1}$ . Let  $\psi = f\omega + \gamma \in \mathcal{H}_{BC}^{1,1}$ , with  $f \in \mathbb{C}$  and  $*\gamma = -\gamma$ . The  $(1, 1)$ -form  $\gamma$  can be written as

$$\gamma = A\phi^{1\bar{1}} + B\phi^{1\bar{2}} + C\phi^{2\bar{1}} - A\phi^{2\bar{2}},$$

with  $A, B, C \in C^\infty(M, \mathbb{C})$ . We compute  $d\psi = 0$  and find

$$\begin{cases} 4V_1(C) - 4V_2(A) - 2\pi iA - \pi f = 0, \\ 4V_1(A) + 4V_2(B) + \pi iB + \pi iC = 0, \\ 4\bar{V}_1(B) - 4\bar{V}_2(A) + 2\pi iA + \pi f = 0, \\ 4\bar{V}_1(A) + 4\bar{V}_2(C) - \pi iC - \pi iB = 0. \end{cases} \tag{23}$$

Note that for  $2A = if \neq 0$  and  $B = C = 0$ , we get  $f\omega + \gamma \in \mathcal{H}_{BC}^{1,1}$  with  $f \neq 0$ . By the proof of Theorem 4.3, it immediately yields that  $h_{BC}^{1,1} = b^- + 1$ . However, let us also reprove  $h_{BC}^{1,1} = b^- + 1$  explicitly, without the help of Theorem 4.3.

Every function on  $M$  is, in particular,  $2(\mathbb{Z} + i\mathbb{Z})$ -periodic in both complex variables, therefore we may decompose the functions  $A, B, C$  in Fourier series as

$$\begin{aligned} A &= \sum_{k,l,m,n \in \mathbb{Z}} A_{k,l,m,n} e^{i\pi(kx^1 + ly^1 + mx^2 + ny^2)}, \\ B &= \sum_{k,l,m,n \in \mathbb{Z}} B_{k,l,m,n} e^{i\pi(kx^1 + ly^1 + mx^2 + ny^2)}, \\ C &= \sum_{k,l,m,n \in \mathbb{Z}} C_{k,l,m,n} e^{i\pi(kx^1 + ly^1 + mx^2 + ny^2)}. \end{aligned}$$

For every  $(0, 0, 0, 0) \neq (k, l, m, n) \in \mathbb{Z}^4$  and  $x^2 \in \mathbb{R}$ , system (23) rewrites into

$$\begin{cases} (i \cos(\pi x^2)l + i \sin(\pi x^2)k + m)C_{k,l,m,n} \\ + (i \sin(\pi x^2)l - i \cos(\pi x^2)k - n - i)A_{k,l,m,n} = 0, \\ (2i \cos(\pi x^2)l + 2i \sin(\pi x^2)k + 2m)A_{k,l,m,n} \\ + (-2i \sin(\pi x^2)l + 2i \cos(\pi x^2)k + 2n + i)B_{k,l,m,n} + iC_{k,l,m,n} = 0, \\ (i \cos(\pi x^2)l + i \sin(\pi x^2)k - m)B_{k,l,m,n} \\ + (i \sin(\pi x^2)l - i \cos(\pi x^2)k + n + i)A_{k,l,m,n} = 0, \\ (2i \cos(\pi x^2)l + 2i \sin(\pi x^2)k - 2m)A_{k,l,m,n} \\ + (-2i \sin(\pi x^2)l + 2i \cos(\pi x^2)k - 2n - i)C_{k,l,m,n} - iB_{k,l,m,n} = 0. \end{cases} \tag{24}$$

Differentiate (for sign convenience) system (24) two times with respect to  $x^2$  to find

$$\begin{cases} (-\cos(\pi x^2)l - \sin(\pi x^2)k)C_{k,l,m,n} + (-\sin(\pi x^2)l + \cos(\pi x^2)k)A_{k,l,m,n} = 0, \\ (-\cos(\pi x^2)l - \sin(\pi x^2)k)A_{k,l,m,n} + (\sin(\pi x^2)l - \cos(\pi x^2)k)B_{k,l,m,n} = 0, \\ (-\cos(\pi x^2)l - \sin(\pi x^2)k)B_{k,l,m,n} + (-\sin(\pi x^2)l + \cos(\pi x^2)k)A_{k,l,m,n} = 0, \\ (-\cos(\pi x^2)l - \sin(\pi x^2)k)A_{k,l,m,n} + (\sin(\pi x^2)l - \cos(\pi x^2)k)C_{k,l,m,n} = 0. \end{cases} \tag{25}$$

If  $x^2 = 0$ , it is easy to see that  $(k, l) \neq (0, 0)$  implies  $A_{k,l,m,n} = B_{k,l,m,n} = C_{k,l,m,n} = 0$ . Therefore, the functions  $A, B, C$  depend only on variables  $x^2, y^2$ , and we can assume  $k = l = 0$ . For every  $(0, 0) \neq (m, n) \in \mathbb{Z}^2$ , system (24) rewrites into

$$\begin{cases} mC_{0,0,m,n} - (n + i)A_{0,0,m,n} = 0, \\ 2mA_{0,0,m,n} + (2n + i)B_{0,0,m,n} + iC_{0,0,m,n} = 0, \\ mB_{0,0,m,n} - (n + i)A_{0,0,m,n} = 0, \\ 2mA_{0,0,m,n} + (2n + i)C_{0,0,m,n} + iB_{0,0,m,n} = 0. \end{cases} \tag{26}$$

From system (26), subtracting the third equation from the first, we get

$$m(C_{0,0,m,n} - B_{0,0,m,n}) = 0.$$

If  $m = 0$ , then  $A_{0,0,0,n} = B_{0,0,0,n} = C_{0,0,0,n} = 0$  for every  $0 \neq n \in \mathbb{Z}$ . Conversely, if  $C_{0,0,m,n} = B_{0,0,m,n}$  for all  $m, n \in \mathbb{Z}$  with  $m \neq 0$ , combining the first two equations of (26) we get

$$(m^2 + n^2 - 1 + 2ni)B_{0,0,m,n} = 0,$$

implying either  $n = 0$  and  $m^2 = 1$  or  $B_{0,0,m,n} = 0$  for  $n \neq 0$ . If  $B_{0,0,m,n} = 0$  for all  $m, n \in \mathbb{Z}$  with  $m \neq 0 \neq n$ , it also follows that  $A_{0,0,m,n} = C_{0,0,m,n} = 0$ . On the other hand, if  $n = 0$  and  $m = \pm 1$ , then every choice  $B_{0,0,m,0} = C_{0,0,m,0} = imA_{0,0,m,0} \in \mathbb{C}$  provides a solution of system (24). Therefore

$$B = C = \pm iA = \pm iKe^{\pm i\pi x^2} \tag{27}$$

are solutions of system (23) for every complex constant  $K \in \mathbb{C}$ . However, note that

$$A(z^1, z^2) = Ke^{\pm i\pi x^2} \neq Ke^{\pm i\pi(x^2+1)} = A(-z^1, z^2 + 1) = A((0, 1) \cdot (z^1, z^2))$$

for every  $z^1 = x^1 + iy^1, z^2 = x^2 + iy^2 \in \mathbb{C}$  and  $0 \neq K \in \mathbb{C}$ , thus the functions  $A, B, C$  in (27) are not well defined on  $M$ .

Other solutions of system (23) are found when  $k = l = m = n = 0$  and  $A, B, C \in \mathbb{C}$  are complex constants. More precisely, we get  $2A = if$  and  $B = -C$ .

Therefore, we re-obtain  $h_{BC}^{1,1} = 2 = b^- + 1$  and

$$\mathcal{H}_{BC}^{1,1} = \mathbb{C} < \phi^{1\bar{1}}, \phi^{1\bar{2}} - \phi^{2\bar{1}} > .$$

**Remark 6.1** It is worth asking if on compact almost complex 4-manifolds  $h_{BC}^{1,1}$  may be always equal to  $b^- + 1$  or there are explicit examples where  $h_{BC}^{1,1} = b^-$ . Very recently Holt in [11, Theorem 4.2], proved that  $h_{BC}^{1,1}$  is always equal to  $b^- + 1$ .

### 7 Bott–Chern cohomology of almost complex manifolds

In [4], Cirici and Wilson introduced a generalization of Dolbeault cohomology on almost complex manifolds. Let  $(M, J)$  be an almost complex manifold and

$$H_{\bar{\mu}}^{p,q} = \frac{\ker \bar{\mu} \cap A^{p,q}}{\bar{\mu}A^{p+1,q-2}}$$

be the  $\bar{\mu}$ -cohomology, which is well defined since  $\bar{\mu}^2 = 0$ . Note that  $\bar{\partial}$  induces a morphism of vector spaces

$$\bar{\partial} : H_{\bar{\mu}}^{p,q} \rightarrow H_{\bar{\mu}}^{p,q+1} ,$$

since  $\bar{\mu}\bar{\partial} + \bar{\partial}\bar{\mu} = 0$ . Furthermore,  $\bar{\partial}^2 + \bar{\mu}\partial + \partial\bar{\mu} = 0$  implies  $\bar{\partial}^2 = 0$  on  $H_{\bar{\mu}}^{p,q}$ . Then, the Dolbeault cohomology of  $M$  is defined by

$$H_{Dol}^{p,q} = \frac{\ker \bar{\partial} \cap H_{\bar{\mu}}^{p,q}}{\bar{\partial}H_{\bar{\mu}}^{p,q-1}} .$$

Analogously, define the  $\mu$ -cohomology

$$H_{\mu}^{p,q} = \frac{\ker \mu \cap A^{p,q}}{\mu A^{p-2,q+1}} ,$$

and the conjugated Dolbeault cohomology

$$H_{Dol}^{p,q} = \frac{\ker \partial \cap H_{\mu}^{p,q}}{\partial H_{\mu}^{p-1,q}} .$$

The Dolbeault cohomology of almost complex manifolds generalizes the classical Dolbeault cohomology of complex manifolds, and satisfies some desirable properties. In particular, the authors modify the classical Hodge filtration for complex manifolds by taking into account the presence of  $\bar{\mu}$  and show that the Dolbeault cohomology of every almost complex manifold arises in the first stage of the spectral sequence associated to this new Hodge filtration, which converges to the complex de Rham cohomology of the manifold. However, in [5], Coelho, Placini and Stelzig show that the Dolbeault cohomology of almost complex manifolds is often infinite dimensional.

Still in [5], the authors also give the following definition for Bott–Chern and Aeppli cohomologies of almost complex manifolds. Given any almost complex manifold  $(M, J)$ , consider the spaces of forms

$$A_s^{*,*} := \ker \bar{\mu} \cap \ker \bar{\partial}^2 \cap \ker \partial^2 \cap \ker \mu$$

and

$$A_r^{*,*} := A^{*,*}/(\text{im } \bar{\mu} + \text{im } \bar{\partial}^2 + \text{im } \partial^2 + \text{im } \mu),$$

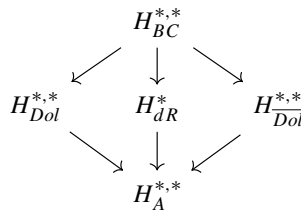
and note that both  $(A_s^{*,*}, \partial, \bar{\partial})$  and  $(A_r^{*,*}, \partial, \bar{\partial})$  are double complexes. Therefore, define the Bott–Chern and Aeppli cohomologies of an almost complex manifold as the usual Bott–Chern and Aeppli cohomologies of respectively the double complexes  $(A_s^{*,*}, \partial, \bar{\partial})$  and  $(A_r^{*,*}, \partial, \bar{\partial})$ . More precisely,

$$H_{BC}^{p,q} := \frac{\ker d \cap A_s^{p,q}}{\partial \bar{\partial} A_s^{p-1,q-1}}$$

and

$$H_A^{p,q} := \frac{\ker \partial \bar{\partial} \cap A_r^{p,q}}{\partial A_r^{p-1,q} + \bar{\partial} A_r^{p,q-1}}.$$

It turns out the following commutative diagram holds as in the integrable case



where arrows are morphisms of vector spaces. Moreover,  $H_A^{*,*}$  is a bigraded module over  $H_{BC}^{*,*}$ , and conjugation induces isomorphisms  $H_{BC}^{p,q} \cong H_{BC}^{q,p}$ ,  $H_A^{p,q} \cong H_A^{q,p}$ . Note that, like Dolbeault cohomology, also Bott–Chern and Aeppli cohomologies may be infinite dimensional on compact almost complex manifolds.

Let  $(M, J, g, \omega)$  be a compact almost Hermitian manifold. Since the Bott–Chern and Aeppli Laplacians are elliptic, the Hodge theory developed by Schweitzer in [17] applies, yielding the  $L^2$ -orthogonal decompositions

$$A^{p,q} = \mathcal{H}_{BC}^{p,q} \oplus \partial \bar{\partial} A^{p-1,q-1} \oplus (\partial^* A^{p+1,q} + \bar{\partial}^* A^{p,q+1})$$

and

$$A^{p,q} = \mathcal{H}_A^{p,q} \oplus \bar{\partial}^* \partial^* A^{p+1,q+1} \oplus (\partial A^{p-1,q} + \bar{\partial} A^{p,q-1}).$$

In general, the spaces  $\mathcal{H}_{BC}^{p,q}$  and  $\mathcal{H}_A^{p,q}$  seem to be unrelated to the Bott–Chern and Aeppli cohomology spaces just introduced.

However, if we take  $n = \dim_{\mathbb{R}} M = 4$  and  $p = q = 1$ , note that  $A_s^{1,1} = A^{1,1} = A_r^{1,1}$ , and the previous Bott–Chern decomposition yields, intersecting with  $\ker d$ ,

$$\ker d \cap A^{1,1} = \mathcal{H}_{BC}^{1,1} \oplus \ker d \cap \partial \bar{\partial} A^{0,0}.$$

Therefore, there is a well defined injection

$$\mathcal{H}_{BC}^{1,1} \xrightarrow{j} H_{BC}^{1,1} = \frac{\ker d \cap A^{1,1}}{\partial \bar{\partial} A_s^{0,0}}.$$

In general, there seems no reason to think this injection is also a surjection. Note that  $j$  being surjective would imply  $h_{BC}^{1,1}$  is an almost complex invariant on 4-manifolds.

We can re-obtain the previous injection of  $(1, 1)$ -forms as a particular case of the following observation. Let  $(M, J, g, \omega)$  be a compact almost Hermitian manifold of real dimension  $2n$  and intersect the Bott–Chern decomposition with the space  $\ker d \cap A_s^{p,q}$ , deriving

$$\ker d \cap A_s^{p,q} = \mathcal{H}_{BC}^{p,q} \cap A_s^{p,q} \oplus \ker d \cap A_s^{p,q} \cap \partial \bar{\partial} A^{p-1,q-1}.$$

Therefore, there is a well defined injection

$$\mathcal{H}_{BC}^{p,q} \cap A_s^{p,q} \xrightarrow{j} H_{BC}^{p,q}.$$

Summing up, we have

**Proposition 7.1** *Let  $(M, J, g, \omega)$  be a compact almost Hermitian manifold of real dimension  $2n$ . Then we have an injection*

$$\mathcal{H}_{BC}^{p,q} \cap A_s^{p,q} \xrightarrow{j} H_{BC}^{p,q}.$$

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