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Integration of a Levee Breach Erosion Model in a GPU-Accelerated 2D Shallow Water Equations Code

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## 18 **Abstract**

19 This paper presents a two-dimensional (2D) shallow water equations code coupled with a  
20 physically-based erosion model, able to predict the opening and evolution of breaches forming in  
21 levees built with either cohesive or non-cohesive material. The bottom elevation change is  
22 evaluated using an excess shear-stress equation, which accounts for the hydrodynamic conditions  
23 and for the material characteristics. The proposed model modifies the local topography at  
24 runtime wherever the levee is overtopped without having to predefine the position and shape of  
25 the breach. The model is implemented in CUDA programming language, so that simulations can  
26 be run on Graphics Processing Units (GPU), guaranteeing fast execution times even for high-  
27 resolution meshes and large domains. The validation is performed based on several experimental  
28 tests, and numerical predictions are in good agreement with the measurements. The strengths and  
29 weaknesses of the proposed approach are also discussed by comparison with a sediment  
30 transport model based on the Exner equation: while the latter gives good results only for  
31 breaches forming in levees built with non-cohesive material, the proposed model can also be  
32 applied to cohesive embankments. The application to a historical flood event is also presented,  
33 showing that the model can effectively be employed for real field simulations also in the case of  
34 multiple breaches.

35

## 36 **1 Introduction**

37 Many lowland areas are protected from flooding by levees, whose main purpose is to confine the  
38 flow inside the river region. Levees are usually built with erodible material (clay, silt or sand), and their  
39 height is designed to contain a specific hydrograph. However, in the case of an extreme event exceeding  
40 their design return period, overtopping occurs, often causing the embankment collapse. Land use  
41 modifications and climate change might also increase the discharge for a given return period, making the  
42 levees no longer adequate. Moreover, earthen embankments may experience breaching for piping and  
43 internal erosion processes, even before the water surface elevation reaches the levee crown. The dens of  
44 burrowing animals (e.g. porcupine, badger, nutria) have been recently identified as another cause for  
45 levee collapse in Northern Italy (Orlandini et al., 2015; Sofia et al., 2017; Viero et al., 2013).

46 Since embankment failures can have damaging consequences and lead to huge economic and  
47 human losses, the design of flood hazard maps and emergency plans is particularly important, and  
48 numerical modeling represents a powerful tool for these analyses. The simplest approach to model the  
49 flood propagation caused by a levee breach is to adopt a one-dimensional (1D) model for the channel, and  
50 a two-dimensional (2D) model for the floodable area outside the river region (e.g. Masoero et al., 2013;  
51 Vorogushyn et al., 2010). The breaches are included in the 1D model as lateral spillway structures  
52 (Mazzoleni et al., 2014), and the outflow from these structures is imposed as upstream boundary  
53 condition to the 2D model, often neglecting possible backwater effects caused by the presence of road or  
54 railway embankments in the floodable region. Moreover, the 1D model cannot accurately describe the  
55 markedly 2D flow near the breach inside the river. On the contrary, when both the river and the floodable

56 area are simulated using fully 2D Shallow Water Equations (SWE) models (e.g. Teng et al., 2017), these  
57 features can inherently be taken into account. In the past, the choice of using 1D-2D models was often  
58 necessary to reduce the computational effort, but the same outcome can now be achieved by using  
59 modern High Performance Computing clusters and/or adopting parallelization techniques, such as  
60 implementing codes able to run on Graphics Processing Units (GPUs) (Dazzi et al., 2018; Vacondio et al.,  
61 2014, 2017).

62 The breach opening must be somehow included in the 2D modeling. The detailed simulation of  
63 the breach process is actually rather difficult, due to its 3D nature and to the complex interactions between  
64 hydrodynamic conditions, bank stability, sediment transport and infiltration processes; however, for  
65 practical use in the field of flood simulation, simplified approaches are often introduced. The gradual  
66 breach opening can be modelled by a time-varying topography with predefined geometric characteristics  
67 (e.g. Dewals et al., 2011), or by coupling the SWE with a 2D sediment transport model (e.g. Faeh, 2007).

68 For example, Vacondio et al. (2016) recently simulated a breach-generated flood with a GPU-  
69 accelerated fully 2D model, adopting a purely geometric approach to describe the breach evolution  
70 (specifying the breach position and assuming a trapezoidal shape, with final width and failure time  
71 defined a priori). In this way, however, the levee material characteristics are completely neglected from  
72 the breach modeling, possibly resulting in inaccurate predictions. The hydrodynamic conditions, such as  
73 the upstream inflow in the river, the presence of river bends and floodplains, possible backwater effects  
74 that reduce the velocity of outflowing water (and consequently the erosion), can also severely affect the  
75 breach evolution (Viero et al., 2013). Moreover, for (lateral) fluvial breaches the choice of “geometric”  
76 parameters cannot be assisted by results provided by the parametric (e.g. Froehlich, 2008; Xu & Zhang,  
77 2009) or simplified (e.g. Chen & Anderson, 1987; Fread, 1988; Macchione, 2008; Mohamed et al., 2002;  
78 Visser, 1999; Wu, 2013) models available in the literature (ASCE/EWRI, 2011), which were developed  
79 for (frontal) dam breach configurations. In fact, recent experimental investigations (Elalfy et al., 2017;  
80 Kakinuma & Shimizu, 2014; Michelazzo et al., 2018; Rifai et al., 2017; Wei et al., 2016) show that, apart  
81 from the very first stages, the evolution of a lateral breach is quite different from what observed in the  
82 frontal configuration, due to the different direction of the flow (parallel/tilted vs perpendicular to the levee  
83 crest).

84 The best option for simulating riverine levee breaches would be the use of detailed physically-  
85 based multi-dimensional models, based on the integration of hydrodynamic and morphodynamic  
86 equations (e.g. Canelas et al., 2013; Li & Duffy, 2011; Murillo & Garcia-Navarro, 2010). In this way, the  
87 flow along the river, through the breach and in the inundated region can be simulated simultaneously  
88 without the need of introducing internal boundary conditions at the breach location. Attempts of applying  
89 2D sediment transport models to simulate breaches in dams built with non-cohesive material include Cao

90 et al. (2011), Evangelista (2015), Guan et al. (2014), Juez et al. (2014), Van Emelen et al. (2015), Volz et  
91 al. (2012), Wang & Bowles (2006), and Wu et al. (2012), while Faeh (2007) performed a fluvial breach  
92 test. Nevertheless, applications to real cases are limited by the heterogeneity and scarcity of data on levee  
93 materials, and by the prohibitive computational time required for running these models (unless  
94 parallelization techniques are introduced, see Juez et al., 2016). Moreover, the application of these models  
95 to breach erosion may be questionable, since most sediment transport equations were derived in uniform  
96 flow conditions, for small slopes, and with non-cohesive materials, while the breach development is  
97 highly unsteady and often involves high slopes and cohesive sediments. For this reason, Morris et al.  
98 (2009) suggested that the employment of erosion laws would be more consistent with the breach process.  
99 Erosion laws have been applied to dam breach modeling by Chen & Anderson (1987), Morris et al.  
100 (2009), and Wang & Bowles (2006), and have the advantage of including specific erodibility parameters  
101 in the computations, and of being applicable also to cohesive embankments. In fact, the erosion process of  
102 dams/levees built with non-cohesive and cohesive material is quite different (e.g. Morris et al., 2007). In  
103 the latter case, headcut erosion is observed: one or more rills develop into a series of overfalls, which  
104 form a headcut (i.e. a vertical or nearly vertical drop on the bed); the headcut migrates upstream and  
105 reduces the dam crest height; this phase is then followed by a breach widening stage. Clearly, this 3D  
106 process cannot be adequately simulated using a 2D depth-averaged model and sediment transport  
107 equations, hence a headcut migration rate is often introduced to model this type of breaches (e.g. Hanson  
108 et al., 2005; Wu, 2013). However, since this quantity depends on the same erodibility coefficients that  
109 appear in simple erosion laws, such laws can arguably be used to model the general breach process, at  
110 least as regards the failure time and the final width, avoiding a detailed description of the headcut  
111 migration process.

112         The present work aims at introducing an efficient numerical tool for the simulation of inundations  
113 generated by levee breaches, including a physically-based prediction of the breach evolution (instead of a  
114 geometric approach) and avoiding the necessity of defining its characteristics a priori. The GPU-  
115 accelerated 2D SWE numerical code PARFLOOD (Vacondio et al., 2014, 2017) was coupled with an  
116 erosion model, in which an excess shear-stress law is employed to predict the time evolution of the  
117 bottom elevation at the levee breach site as a function of the local hydrodynamic conditions and of the  
118 material characteristics. The model can be used for either non-cohesive or cohesive embankments. For  
119 comparison purposes, a simple but robust bedload transport model (Juez et al., 2014) was implemented as  
120 well. Both models are enriched by a bank failure algorithm, which simulates the sudden failure of blocks  
121 of material due to slope instability. Validation is performed based on four experimental tests, and an  
122 example of application to the real levee-breach event occurred on the Enza River (Italy) in December  
123 2017 is also presented.

124 The paper is structured as follows. Section 2 presents the main features of the proposed model.  
 125 Section 3 is dedicated to the description of all the test cases used for the validation and application of the  
 126 model, while in Section 4 a discussion on the advantages and disadvantages of the model is presented. In  
 127 the last Section, conclusions are drawn.

128

## 129 2 Model description

130 The proposed model couples three modules: a hydrodynamic model (already presented in  
 131 previous works, see Vacondio et al., 2014, 2017), and two newly developed models for erosion and bank  
 132 failure simulations. Moreover, a sediment transport model was implemented for comparison purposes (see  
 133 Appendix A).

### 134 2.1 Hydrodynamic model

135 The hydrodynamic model (Vacondio et al., 2014, 2017) is based on the 2D SWEs written in  
 136 integral form (Toro, 2001) as follows:

$$137 \frac{\partial}{\partial t} \int_A \mathbf{U} \, dA + \int_C \mathbf{H} \cdot \mathbf{n} \, dC = \int_A (\mathbf{S}_0 + \mathbf{S}_f) \, dA, \quad (1)$$

138 where  $A$  and  $C$  are the integration volume area and boundary, respectively,  $\mathbf{U}$  is the vector of conserved  
 139 variables,  $\mathbf{H} = (\mathbf{F}, \mathbf{G})$  is the tensor of fluxes in the  $x$ - and  $y$ -directions,  $\mathbf{n}$  is the outward unit vector normal  
 140 to  $C$ ,  $\mathbf{S}_0$  and  $\mathbf{S}_f$  are the bed and friction slope source terms, respectively, and  $t$  is the time. The well-  
 141 balanced form of the SWEs, proposed by Liang & Marche (2009), is adopted:

$$142 \mathbf{U} = \begin{bmatrix} \eta \\ uh \\ vh \end{bmatrix}, \quad \mathbf{F} = \begin{bmatrix} uh \\ u^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \\ uvh \end{bmatrix}, \quad \mathbf{G} = \begin{bmatrix} vh \\ uvh \\ v^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \end{bmatrix}, \quad (2a)$$

$$143 \mathbf{S}_0 = \begin{bmatrix} 0 \\ -g\eta \frac{\partial z}{\partial x} \\ -g\eta \frac{\partial z}{\partial y} \end{bmatrix}, \quad \mathbf{S}_f = \begin{bmatrix} 0 \\ -gh \frac{n_f^2 u \sqrt{u^2 + v^2}}{h^{4/3}} \\ -gh \frac{n_f^2 v \sqrt{u^2 + v^2}}{h^{4/3}} \end{bmatrix}, \quad (2b)$$

144 In Eq. (2),  $\eta = h + z$  is the water surface elevation,  $h$  is the flow depth, and  $z$  is the bed elevation;  $u$  and  $v$   
 145 are the velocity components along the  $x$ - and  $y$ -directions respectively,  $n_f$  is Manning's roughness  
 146 coefficient, and  $g$  is the acceleration due to gravity.

147 An explicit FV scheme is used to discretize the equations; both first-order and second-order  
 148 accurate approximations in space and time are implemented, but only the first-order approximation is here  
 149 recalled for the sake of brevity. The following equation is used to update the conserved variables in time:

$$150 \mathbf{U}_{i,j}^{n+1} = \mathbf{U}_{i,j}^n - \frac{\Delta t}{\Delta x} \left( \mathbf{F}_{i+\frac{1}{2},j} - \mathbf{F}_{i-\frac{1}{2},j} \right) - \frac{\Delta t}{\Delta y} \left( \mathbf{G}_{i,j+\frac{1}{2}} - \mathbf{G}_{i,j-\frac{1}{2}} \right) + \Delta t (\mathbf{S}_0 + \mathbf{S}_f). \quad (3)$$

151 Subscripts  $i, j$  represent the cell position, while superscript  $n$  refers to the time level;  $\Delta x$  and  $\Delta y$  are the cell  
 152 dimensions in the  $x$  and  $y$  directions respectively, and  $\Delta t$  is the time step size. The HLLC approximate  
 153 Riemann solver (Toro, 2001) is used to compute intercell fluxes, and the correction proposed by  
 154 Kurganov & Petrova (2007) is implemented to avoid non-physical velocity values at wet/dry fronts. The  
 155 slope source term is discretized with a centered approximation (Vacondio et al., 2014), while the friction  
 156 source term is discretized using the implicit formulation proposed by Caleffi et al. (2003). The minimum  
 157 allowable time step is computed according to the CFL stability condition (Toro, 2001). The model is  
 158 implemented in a CUDA/C++ code, which exploits the intrinsic parallelization of computations on GPU  
 159 devices, thus guaranteeing fast execution times compared to serial codes. More details on the scheme and  
 160 implementation can be found in Vacondio et al. (2014, 2017).

## 161 2.2 Erosion model

162 The breach evolution is predicted according to the erosion model described in the following. The  
 163 bottom elevation change is not allowed in the whole domain, but only along the erodible levees, where  
 164 potential breaches might occur. In these selected regions, the morphodynamic evolution is described by  
 165 the following equation:

$$166 \frac{\partial z}{\partial t} = -\frac{E}{1-p}, \quad (4)$$

167 where  $p$  is the bed porosity, and  $E$  is the bed erosion rate (eroded volume per unit area per unit time). This  
 168 latter quantity can be estimated according to a linear erosion law, also referred to as excess stress equation  
 169 (e.g. Hanson & Simon, 2001; Partheniades, 1971):

$$170 E = \begin{cases} k_d(\tau - \tau_c) & \text{if } \tau > \tau_c \\ 0 & \text{if } \tau \leq \tau_c \end{cases}, \quad (5)$$

171 In Eq. (5),  $k_d$  represents the erodibility coefficient of the embankment material, while  $\tau$  and  $\tau_c$  are the bed  
 172 shear stress and its critical value for sediment motion, respectively. The bed shear stress is computed as  
 173 follows:

$$174 \tau = \gamma \frac{n_f^2(u^2 + v^2)}{h^{1/3}} \quad (6)$$

175 where  $\gamma$  is the specific weight of water. Eq. (4) is simply discretized as follows:

$$176 z_{i,j}^{n+1} = z_{i,j}^n - \frac{E(\tau_{i,j})}{1-p} \Delta t. \quad (7)$$

177 In essence, Eq. (7) modifies the bottom elevation of each cell (belonging to an erodible levee) at runtime  
 178 according to the local value of the bed shear stress, and non-negligible erosion is only obtained in the  
 179 practice whenever and wherever the levee is overtopped. The opening of a breach, and its subsequent  
 180 deepening and widening, is then predicted automatically, without the need to predefine the breach  
 181 position and dimensions as input data. A minimum bed elevation can also be specified in order to avoid

182 erosion below a non-erodible foundation. From Eq. (7), it can be noticed that only scour is allowed, while  
183 deposition of sediments is not included in the computations: the eroded material is supposed to be washed  
184 away by the flow, in line with other simplified approaches. Conversely, the global water volume is  
185 conserved by maintaining the original water depth of each cell where sediment is eroded; hence, the water  
186 surface elevation must be adjusted in these cells by subtracting the scour computed during the current  
187 update.

188 By means of Eqs. (4) and (5), the breach erosion process depends both on the flow field  
189 characteristics (via  $\tau$ ) and on the embankment material (via  $k_d$ ,  $\tau_c$ , and  $\rho$ ). The erodibility parameters  $k_d$   
190 and  $\tau_c$  control the erosion process, and thus must be accurately calibrated. Despite the fact that specific  
191 experimental tests for their determination were developed, such as the Jet Erosion Test (JET) (Hanson &  
192 Cook, 2004), reliable correlations with the sediment characteristics are not available yet. Different test  
193 configurations and interpretations of results lead to different estimates for  $k_d$  and  $\tau_c$  (Khanal et al., 2016),  
194 and even the use of a linear erosion law is still debated (Walder, 2015). Moreover, these parameters are  
195 observed to be quite sensitive not only to the type of material, in particular to soil texture and plasticity,  
196 but also to the compaction effort and water content (Fell et al., 2013; Nguyen et al., 2017; Wahl et al.,  
197 2009), with a variability up to 2-3 orders of magnitude (Hanson & Hunt, 2007). Therefore, the choice of  
198 erodibility parameters must be carefully considered, and the uncertainty in their values must be properly  
199 taken into account by means of a sensitivity analysis when data for calibration are not available. The  
200 diagram and tables reported by Hanson et al. (2010) can provide guidance for defining the range of  
201 variability of these parameters. Moreover, some authors suggest empirical relationships between  $\tau_c$  and  $k_d$   
202 (e.g. Hanson & Simon, 2001; Nguyen et al., 2017); Wu (2013) also reports an empirical formula for  
203 computing the erodibility coefficient (based on the clay content and the dry specific weight of the soil),  
204 which can help in the choice of reasonable values when specific erosion tests cannot be performed.

### 205 2.3 Bank failure algorithm

206 While the breach triggering is mainly due to the erosion following levee overtopping, its  
207 enlargement is also due to the sudden collapse of the lateral banks, which lose stability as long as the  
208 breach deepens (Hunt et al., 2005). Numerical models must consider this mechanism for a correct  
209 prediction of the breach development. For this reason, different bank failure algorithms were presented in  
210 the literature (e.g. Evangelista et al., 2015; Swartenbroekx et al., 2010; Volz et al., 2012), usually based  
211 on the idea of reducing the local slope of each cell when it exceeds a critical value  $\phi_c$ . Obviously, all these  
212 models ignore cantilever failures, which are sometimes observed in experimental tests (e.g. Wei et al.,  
213 2016), but cannot be described in a depth-averaged model. In this work, the scheme of Guan et al. (2014)  
214 for structured grids was adapted for guaranteeing efficiency on GPUs.



215 Two different values are specified for the critical angles, depending on the fact that the cell is  
 216 above or below the water surface level:  $\varphi_{c,wet}$  for wet cells, and  $\varphi_{c,dry}$  for dry cells; moreover,  $\varphi_{dep}$  is  
 217 the angle that the deposited material forms after collapse. These values are often assumed equal to the  
 218 angle of repose of the material. Let us consider cell  $(i,j)$  with bottom elevation  $z_{i,j}$  in a Cartesian grid,  
 219 with four neighbor cells  $(i+1,j)$ ,  $(i-1,j)$ ,  $(i,j+1)$ ,  $(i,j-1)$ . The local slope  $\varphi_k$  in the  $k^{\text{th}}$  direction can be  
 220 computed as:

$$221 \tan \varphi_k = (z_k - z_{i,j})/l_k, \quad (8)$$

222 where  $z_k$  is the bottom elevation of the neighboring cell in the  $k^{\text{th}}$  direction (i.e. cell  $(i+1,j)$  to the east, cell  
 223  $(i,j+1)$  to the north, etc.), and  $l_k$  is the grid size in the same direction (i.e.  $\Delta x$  to the east/west, and  $\Delta y$  to  
 224 the south/north). If  $|\varphi_k| > \varphi_c$  (wet or dry, depending on the cell state), then the bottom is considered  
 225 locally unstable, and a correction  $\Delta z_k$  can be calculated as:

$$226 \Delta z_k = 0.5 l_k (\tan|\varphi_k| - \tan \varphi_{dep}) \text{sign}(\varphi_k). \quad (9)$$

227 An equal and opposite correction will be computed in the neighboring cell, so that the total sediment mass  
 228 is conserved in this procedure, and the bed slope is simply tilted from  $\varphi_k$  to  $\varphi_{dep}$ . Finally, the updated  
 229 value for the bottom elevation becomes:

$$230 z_{i,j}^{new} = z_{i,j} + \sum_{k=1}^4 \Delta z_k. \quad (10)$$

231 These operations are performed in a specific CUDA kernel, and threads (i.e. the basic work unit  
 232 in CUDA, corresponding to one computational cell) are processed in parallel. Differently from Guan et al.  
 233 (2014), that processed all cells sequentially, and applied the correction  $\Delta z_k$  both to the current cell and to  
 234 its neighbor (with proper sign), in this implementation each thread computes its own corrections, even at  
 235 the cost of repeating calculations twice in two different threads. As already discussed in Vacondio et al.  
 236 (2014) as regards intercell fluxes, accepting this small computational overhead makes the code more  
 237 efficient than storing an extra array for the values of  $\Delta z_k$  and accessing it later.

238 Obviously, local changes in the bed slope may in turn affect the stability of other neighboring  
 239 cells. The algorithm previously described, then, must be repeated iteratively until no more corrections are  
 240 necessary. In order to reduce the computational time, this recursive procedure is not performed at every  
 241 time step  $\Delta t$  dictated by the CFL condition, but at a larger pace  $\Delta t_{stab}$ ; in particular, preliminary tests  
 242 showed that checking and correcting the slope stability with  $\Delta t_{stab}=500-1000\Delta t$  provides the same results  
 243 as with  $\Delta t_{stab}=\Delta t$ .

244

### 245 **3 Numerical tests**

246 In this Section, five test cases are presented for the validation of the erosion model. The first case  
 247 is a 1D frontal dam breach experiment, and was chosen for its simplicity in order to highlight the  
 248 differences in the predictions of the erosion model compared to a sediment transport model. Moreover,  
 249 two 2D frontal breach test cases, which differ in the type of material used for building the dam (cohesive  
 250 vs. non-cohesive), were considered in order to assess the capability of predicting the breach enlargement,  
 251 and to study the influence of the model parameters on the simulation results. Then, since the erosion  
 252 model is conceived for real field applications to fluvial breaches, a large-scale experimental test case  
 253 concerning a lateral breach in a channel was privileged over available small-scale laboratory experiments  
 254 as the fourth validation test, and it still involves levees built with non-cohesive material. Finally, the  
 255 applicability of the model to a real event is assessed by means of the simulation of the levee breach on the  
 256 Enza River (Northern Italy) and the subsequent flooding occurred in December 2017.

#### 257 **3.1 1D frontal dam breach**

258 The experimental test concerning dam erosion due to overtopping, reported by Tingsanchali &  
 259 Chinnarasri (2001) (Test C-2), was simulated in order to compare the results of the erosion and the  
 260 sediment transport models. A 0.8 m-high dam, with crest width equal to 0.3 m and upstream and  
 261 downstream slopes equal to 1V:3H and 1V:2.5H respectively, was built in a 35 m-long, 1 m-wide  
 262 rectangular flume. The dam was built with sand with the following characteristics:  $d_{50} = 0.86$  mm,  $d_{30} =$   
 263  $0.52$  mm,  $d_{90} = 3.80$  mm,  $d_m = 1.13$  mm, and  $\rho_s = 2650$  kg/m<sup>3</sup>. A constant inflow (1.23 l/s) was supplied at  
 264 the upstream end of the channel; a vertical plate was held at the dam crest until the reservoir was filled,  
 265 and the water depth in the reservoir was 3 cm higher than the dam crest; then, the sudden removal of the  
 266 plate allowed overflow to start.

267 The domain was discretized by means of a uniform grid with  $\Delta x = \Delta y = 0.05$  m. The following  
 268 parameters were common to both erosion and sediment transport models: bed porosity  $p = 0.4$ , critical  
 269 angles  $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$ ,  $\varphi_{c,dry} = 50^\circ$ . Manning's coefficient and the erosion model parameters,  $\tau_c$  and  $k_d$ ,  
 270 were subjected to calibration, and a sensitivity analysis on their values was performed.

271 First, Manning's coefficient was determined by simulating the experiment with the sediment  
 272 transport model, which did not require any other calibration coefficient. Figures 1a-1b compare the  
 273 experimental dam profiles along the centerline of the breach at selected times ( $t = 30$  s and  $t = 60$  s) and  
 274 the results from the numerical simulations performed assuming  $n_f = 0.016$  m<sup>-1/3</sup>s, 0.018 m<sup>-1/3</sup>s, and 0.020  
 275 m<sup>-1/3</sup>s. An increase in this coefficient leads to a slightly more rapid erosion. However, there is no clear  
 276 best fit value; in the first phases (Fig. 1a), the smallest roughness value seems to mimic the dam erosion

277 better, while it underestimates the erosion at the dam crest after some time (Fig. 1b). The intermediate  
278 value ( $0.018 \text{ m}^{-1/3}\text{s}$ ) is hence selected for describing the general process.

279 The erosion model was tested next. As a starting point for choosing the erodibility parameters, the  
280 work by Hanson et al. (2010) was considered: for soils with low clay content, the suggested values for  $k_d$   
281 are in the range  $50\text{-}800 \text{ cm}^3/\text{N/s}$  (depending on compaction); the corresponding  $\tau_c$  range is  $10^{-3}\text{-}10^{-1} \text{ Pa}$ .

282 Preliminary simulations were performed varying  $\tau_c$  ( $10^{-3}$ ,  $10^{-2}$ , and  $10^{-1} \text{ Pa}$ ) with different values  
283 of  $k_d$ , and results of these tests show that changes in the values of  $\tau_c$  have negligible effects in the process,  
284 because the bed shear stress exceeds the critical value by 1-2 orders of magnitude ( $\tau \gg 1$ ). Then,  $\tau_c$  was  
285 assumed equal to  $10^{-2} \text{ Pa}$ , and the erodibility coefficient was varied: the best fit was obtained for  $k_d = 500$   
286  $\text{cm}^3/\text{N/s}$ . In Figures 2a-2b, the simulated and measured dam profiles along the centerline at selected times  
287 for different values of  $k_d$  (300, 400, 500, and  $600 \text{ cm}^3/\text{N/s}$ ) are reported, and stress how much the choice  
288 of this parameter can influence the breach evolution. The fact that the proposed model can only reproduce  
289 the erosion process can be clearly noticed from this comparison: while experimentally the downstream  
290 slope flattens as long as the dam crest is eroded because sediments are deposited at the dam toe,  
291 numerically the downhill slope simply retreats due to erosion; nevertheless, the calibrated value for  $k_d$   
292 guarantees that the model predicts the eroded dam crest height correctly. The outflow discharge is  
293 reproduced reasonably, and the peak value is well predicted, as can be observed in Figure 2c, even if the  
294 model, probably due to the differences in the bathymetry towards the end of the simulation (caused by the  
295 exclusion of the deposition processes), overestimates the falling limb of the hydrograph. The outflow  
296 discharge predicted by the sediment transport model is also reported in Figure 2c for the sake of  
297 comparison; the dam erosion is probably too fast in the first stages, and the reservoir emptying is  
298 anticipated compared to experimental observations, leading to a lower discharge peak.

### 299 3.2 2D frontal breaches

300 In this section, a sensitivity analysis on the erosion model parameters is undertaken by simulating  
301 two 2D frontal breaches experimental test cases performed at the HR Wallingford laboratory for the  
302 IMPACT project (Morris et al., 2005). All tests were carried out in a 50 m long and 10 m wide flume,  
303 where an erodible dam was built roughly 36 m downstream from the channel entrance (Figure 3a). Water  
304 was allowed into the flume until the reservoir upstream of the dam was filled and the water level  
305 exceeded the elevation of a pilot channel carved in the central portion of the dam, thus triggering the  
306 breach opening. Tests labelled #2 and #10 were selected, and the main features of these experiments are  
307 reported in Table 1. Notably, in Test #2 the dam was built with non-cohesive material (nearly uniform  
308 sand with  $d_{50} = 0.25 \text{ mm}$ ), while for Test #10 a cohesive material (clay) was employed; the breach  
309 evolution was hence different for the two tests. For the non-cohesive dam, the first phase was similar to

310 the 1D case, and was characterized by uniform erosion on the downhill slope, which retreated and became  
311 milder at the dam section corresponding to the pilot channel. On the other hand, for the test with a  
312 cohesive dam, headcut erosion was observed on the downhill slope. Then, in both cases, the breach side  
313 slopes started to lose stability and bank failures occurred, so that the breach enlarged in time  
314 symmetrically; the process ended when the upstream reservoir was almost empty.

315 For both tests, the domain was discretized with square cells of size  $\Delta x = \Delta y = 0.05$  m, and  
316 Manning's coefficient was set equal to  $0.018 \text{ m}^{-1/3}\text{s}$  for Test #2 (as suggested by Wu et al., 2012), and to  
317  $0.016 \text{ m}^{-1/3}\text{s}$  for Test #10 (according to Wu, 2013). The time series for the inflow discharge were imposed  
318 as upstream boundary condition, while a free outlet condition was set downstream.

319 Test #2 is analyzed first. As in the previous test case, the values of the erodibility parameters were  
320 chosen in the range suggested by Hanson et al. (2010) for low clay content soils. In particular, the best fit  
321 was obtained with  $\tau_c = 10^{-2}$  Pa, and  $k_d = 150 \text{ cm}^3/\text{N/s}$ . The other model parameters were set as follows:  
322  $p = 0.4$ ,  $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$ ,  $\varphi_{c,dry} = 45^\circ$ . Figures 3b-3e show the bottom elevation contour maps at selected  
323 times, together with the velocity vectors. The absence of deposition downstream can be noticed, but the  
324 “hourglass” shape in the first phase of the breach opening and the final top width are well reproduced.

325 The measured and simulated breach top widths in time are reported in Figure 3f. Results obtained  
326 with three different values of the erodibility coefficient are compared ( $k_d = 100, 150$ , and  $200 \text{ cm}^3/\text{N/s}$ ,  
327 while all the other parameters are kept constant). The model with  $k_d = 150 \text{ cm}^3/\text{N/s}$  is able to reproduce  
328 the breach evolution in time quite well. However, regardless of the selected erodibility coefficient, the  
329 model underestimates the outflow discharge, as can be observed in Figure 3g. The model slightly  
330 anticipates the beginning of the breach enlargement, which is more rapid in the experiments than in the  
331 numerical simulations, and this may influence the outflow discharge and the reservoir emptying process.  
332 Another possible cause of these discrepancies can be the uncertainty in the position and technique of the  
333 discharge measurements (the dam centerline is used as cross-section for the discharge extraction in the  
334 numerical simulations, while no information is available as regards the experimental setup).

335 Additional sensitivity analyses were performed on Manning's coefficient, on the critical shear  
336 stress, and on the critical angles for slope stability, which are expected to influence the breach  
337 enlargement. The values  $0.016$  and  $0.020 \text{ m}^{-1/3}\text{s}$  for Manning's coefficient were investigated. The critical  
338 shear stress  $\tau_c$  was varied to  $10^{-3}$  and  $10^{-1}$  Pa, maintaining all the other parameters constant. The critical  
339 angle for slope stability in wet conditions  $\varphi_{c,wet}$  (and the angle of deposition  $\varphi_{dep}$ ) was changed from  $30^\circ$  to  
340  $25^\circ$  and to  $35^\circ$ ; as regards  $\varphi_{c,dry}$ , the values  $40^\circ, 50^\circ, 60^\circ, 70^\circ$  were examined. For all these simulations,  
341 the main breach characteristics (final top width, peak discharge) are reported in Table 2, and the relative  
342 error with reference to the experimental measurement and to the best-fit simulation is computed. The  
343 critical shear stress has negligible influence on the results, as already noticed in the previous test case.

344 Moreover, results are observed not to be much dependent on the “wet” critical angle for slope stability.  
345 On the other hand, an increase in the value of  $\varphi_{c,dry}$  can reduce the final breach width and the peak  
346 discharge. Finally, Manning’s coefficient does not particularly affect the main breach features. In fact,  
347 despite the fact that  $\tau$  increases quadratically with  $n_f$  (Equation 6), at the same time velocity magnitude  
348 decreases, thus limiting the variation of the bed shear stress.

349 For simulating Test #10, erodibility parameters for a cohesive soil had to be set. Following  
350 Hanson et al. (2010), and also considering the formula for the estimation of  $k_d$  reported by Wu (2013), the  
351 range 0.1-10  $\text{cm}^3/\text{N}/\text{s}$  can be considered adequate for the erodibility coefficient value of this kind of  
352 material. The critical shear stress  $\tau_c$  should be set in the range 0.01-1 Pa. The following parameters were  
353 selected for the reference simulation:  $p = 0.4$ ,  $\tau_c = 0.1$  Pa,  $k_d = 5$   $\text{cm}^3/\text{N}/\text{s}$ ,  $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$ ,  $\varphi_{c,dry} = 45^\circ$ .

354 The dynamics of the breach evolution predicted by the model, though much slower because of the  
355 reduced erodibility of the dam material, is similar to Test #2. Due to the limitation of the SWE  
356 assumptions, the model is not able to predict the headcut erosion observed experimentally; in spite of this,  
357 the final top width is well reproduced (Figure 4a), even if the enlargement process is faster than in the  
358 measurements. In this case, since the dynamics is much slower than in the case of Test #2, this  
359 discrepancy does not influence the reservoir emptying very much. In fact, the outflow discharge fits the  
360 one registered during the experiments well (Figure 4b), in both shape and peak discharge.

361 When values of the erodibility coefficient smaller than 5  $\text{cm}^3/\text{N}/\text{s}$  were adopted, the breach  
362 formation process was initially too slow, and the increase in the water level upstream caused the  
363 overtopping of the whole dam (not limited to the pilot channel) and the consequent widespread erosion,  
364 which was never observed in the experiments. This probably happens because the initial evolution of the  
365 breach is generated by headcut erosion, which cannot be simulated with SWE models. Hence, only one  
366 larger value of  $k_d$  was considered in the sensitivity analysis ( $k_d = 10$   $\text{cm}^3/\text{N}/\text{s}$ ), and numerical results for  
367 this simulation are compared with the experiments and with the reference simulation in Figure 4. The  
368 breach opening is faster than in the reference simulation, but the final top width is still well caught; the  
369 overflow discharge presents a slightly different trend, with a sudden initial increase due to the rapid  
370 breach erosion and an underestimated peak value. Also for this test case, a sensitivity analysis on the  
371 critical shear stress revealed that this parameter does not influence the model predictions (results not  
372 shown).

### 373 3.3 Experimental levee breach

374 The aim of the present test case is to investigate how the erosion model can reproduce the  
375 opening of lateral breaches. In particular, one of the field-scale levee breach experimental tests presented  
376 by Kakinuma & Shimizu (2014) is considered (Case 4). A 176 m-long and 8 m-wide stretch of the

377 floodway channel of the Tokachi River (Japan), with bottom slope equal to 1/500, was set up by inserting  
378 a vertical wall on the left and by substituting a portion of the existing right levee with a 3 m-high erodible  
379 dyke, made of sand with  $d_{50} = 0.7$  mm,  $d_{30} \approx 0.2$  mm,  $d_{90} \approx 40$  mm,  $\rho_s = 2650$  kg/m<sup>3</sup>,  $p = 0.4$ . The levee  
380 crest width was equal to 6 m, while the side slopes were both 1V:2H. The inflow discharge was increased  
381 until the levee was overtopped just at the location where a notch (with length 3 m and depth 0.5 m) had  
382 been previously carved to trigger the breach; the outflow discharge inundated a floodable area specifically  
383 arranged (Figure 5a).

384 The grid size was set at 0.5 m, while Manning's coefficient was assumed equal to  $0.023$  m<sup>-1/3</sup>s, as  
385 suggested by the experimenters. The measured inflow discharge was set as upstream boundary condition,  
386 and a rating curve was imposed downstream far enough to avoid disturbances in the water level at the  
387 breach site. The following parameters were assumed for the levee material for the erosion model:  $\tau_c = 0.5$   
388 Pa,  $k_d = 80$  cm<sup>3</sup>/N/s,  $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$ ,  $\varphi_{c,dry} = 40^\circ$ . The same critical angles were used for the sediment  
389 transport model.

390 Experimental observations show that, initially, overflow water starts eroding the downhill slope  
391 of the levee, until the top of the front slope is reached, and erosion proceeds downward to the bottom of  
392 the levee; then, the breach begins to widen to both sides. However, soon the breach is observed to widen  
393 at a much higher rate in the downstream direction than in the upstream direction; this is due to the  
394 development of a high-velocity flow band near the downstream end of the breach, and of a dead water  
395 area near the upstream end, where sedimentation occurs. The asymmetry of the breach final width with  
396 reference to the initial notch position is a typical feature of lateral breaches, in contrast with what is  
397 usually observed in frontal dam breach test cases. The sediment transport model is able to capture this  
398 process, as can be noticed in Figure 5b, where the bed elevation contour maps at selected times are  
399 reported to show the breach evolution. On the other hand, the erosion model, which neglects deposition, is  
400 not able to reproduce this process in detail; nevertheless, the asymmetry of the breach widening is still  
401 predicted, especially in the first stages (see Figure 5c), even if in a less pronounced way than in the  
402 experimental observations towards the end of the process. Despite these differences in the simulation of  
403 the erosion process, the two models predict a similar trend for the total breach width, which is slightly  
404 underestimated with reference to the experimental data, as can be noticed in Figure 5d. Breach widening  
405 seems to start somewhat late in the sediment transport model simulation, but then evolves at a higher rate  
406 than in the erosion model simulation. A similar trend can be observed in the outflow discharge time  
407 series, reported in Figure 5e. The peak discharge is underestimated by only 4-5% by both models with  
408 reference to the measured value.

409 A sensitivity analysis on the erodibility parameters was performed. First, the erodibility  
410 coefficient was analyzed, and simulations were repeated assuming  $k_d = 40, 60, 80, 100,$  and  $120$  cm<sup>3</sup>/N/s

411 ( $\tau_c = 0.5$  Pa). The final breach width is observed to increase with erodibility (simulated values are 8, 33,  
412 62, 80, and 127 m, respectively). The smallest erodibility value is probably not representative of the levee  
413 material, since overtopping does not generate appreciable erosion; on the other hand, the highest  
414 erodibility values overestimate the final breach width, also because erosion is predicted along the inner  
415 riverbank (upstream of the breach), and this fact was not observed experimentally. As regards the  
416 predicted discharges, Figure 5f compares the outflow hydrographs: the arrival time is slightly anticipated  
417 for the highest erodibility values, but the final peak discharge is very similar for  $k_d \geq 80$  cm<sup>3</sup>/N/s.

418 The sensitivity to the critical shear stress was also analyzed, and  $\tau_c$  was varied from 0.1 to 0.5 and  
419 1 Pa (maintaining  $k_d = 80$  cm<sup>3</sup>/N/s). The outflow hydrographs obtained from the three simulations are  
420 compared in Figure 5g, showing that the arrival time and the peak discharge are only slightly dependent  
421 on the critical shear stress.

### 422 3.4 Levee breach on the Enza River

423 The model was finally employed to simulate the recent flood event that took place on the Enza  
424 River (Northern Italy), a tributary of the Po River, in order to verify its applicability to real test cases. A  
425 severe flood event followed the prolonged heavy rainfall occurred on the river basin on December 10-11,  
426 2017, resulting in the highest water levels ever recorded at all the gauging stations along the river. On  
427 December 12 at 05:30 a.m. water started to overtop the right levee near Lentigione di Brescello (Reggio  
428 Emilia), initially triggering three very close breaches, which almost merged into a single large one in  
429 time. The overtopped part of the levee was 250 m long, and the total final breach width was  
430 approximately 160 m, while the widening took about 4 hours. The total flooded area was about 6.3 km<sup>2</sup>,  
431 restricted by the levees of the Enza and Po Rivers, by a road embankment and a channel levee (see Figure  
432 6a).

433 The terrain elevation was obtained from a digital terrain model (DTM) with resolution equal to 1  
434 m, based on a LiDAR survey of the area. The domain was then discretized with square cells of size 2 m ×  
435 2 m (approximately 5 million active cells), but the levee crest elevations were preserved after the down  
436 sampling of the original DTM. The roughness coefficient was set equal to 0.05 m<sup>-1/3</sup>s, after a calibration  
437 procedure. The upstream boundary condition is the discharge time series obtained from the conversion of  
438 measured water levels at the level gauge station of Sorbolo (whose position is reported in Figure 6a). An  
439 experimental rating curve was available, but the presence of the breach is expected to influence the level  
440 measurements due to the generation of a drawdown profile, thus “invalidating” the rating curve after the  
441 breach opening. For this reason, two different stage-discharge relations (before and after the breach) were  
442 used to convert the water levels, following the same procedure described by Vacondio et al. (2016). The

443 discharge hydrograph is shown in Figure 6b, together with the water levels in the Po River, imposed  
444 downstream (these were relatively low, and backwater effects were not observed during the event).

445 The levee is built with silt loam with the following texture: sand 15-39%, silt 49-67%, clay 12-  
446 18%. The material porosity is equal to 0.4, and the dry specific weight of soil is approximately 1.55  
447 Mg/m<sup>3</sup>. Considering these characteristics, Hanson et al. (2010) suggest an erodibility coefficient in the  
448 range 0.5-10 cm<sup>3</sup>/N/s, while the critical shear stress should be assumed in the range 0.1-1 Pa. Moreover,  
449 the formula reported by Wu (2013) for estimating  $k_d$  would lead to 2-3 cm<sup>3</sup>/N/s. The following parameters  
450 were then assumed for the levee material for the erosion model:  $\tau_c = 1$  Pa,  $k_d = 5$  cm<sup>3</sup>/N/s,  $p = 0.4$ ,  $\varphi_{c,wet}$   
451  $= \varphi_{dep} = 30^\circ$ ,  $\varphi_{c,dry} = 50^\circ$ . The erosion equation was applied only in the cells representing the levee (hence  
452 the riverbed is never modified), and the bottom elevation of the levee foundation (assumed equal to the  
453 local terrain elevation outside the river) was also specified, in order to prevent erosion below the ground  
454 level. Note that erosion can potentially occur anywhere on the levees; the exact position of the breach  
455 does not have to be defined a priori, because the opening occurs where the levee is overtopped. Figure 6c  
456 reports the longitudinal profile of the (right) levee crest elevation for the 8 km-long stretch of the river  
457 downstream of Sorbolo, together with the profiles of the maximum water surface elevations along the  
458 river obtained from two different simulations. In the first one the levees are assumed to be non-erodible  
459 (bathymetry constant in time in the whole domain), whereas in the second one the bathymetry can change  
460 accordingly to Equation (7) and to the bank failure algorithm, leading to the breach formation where the  
461 levee is overtopped. In the second simulation, the maximum water levels are lowered due either to the  
462 drawdown effect induced by the breach upstream or to the reduced discharge downstream. The maximum  
463 observed water levels surveyed after the event are also reported at selected locations, and confirm that the  
464 flood propagation along the river is correctly reproduced by the model (Figure 6c). Please note that the  
465 water levels (both simulated and surveyed ones) are quite close to the levee crest in different locations,  
466 but the levee is actually overtopped only where breaches were observed in the field.

467 Figure 7 shows the breach evolution in terms of contour maps of the bottom elevation at selected  
468 times (velocity vectors are also reported). Initially, erosion is not concentrated in a restricted area (as in  
469 the previous test case), because water overtops the levee crest along roughly 270 m, and the erosion  
470 process appears scattered along this length. However, the levee crest elevation is not regular in this area,  
471 hence some low points appear more vulnerable to erosion, and give origin to the development of multiple  
472 small breaches, which enlarge in time. The most vulnerable point is just downstream of the levee bend,  
473 and the highest velocities are observed there. The breach takes about 3 hours from the beginning of  
474 overtopping to reach its final extension, with only small further modifications in the following 2 hours.  
475 The total final width is approximately 150 m, separated into five segments along roughly 250 m, the  
476 largest of which is 60 m wide. Actually, only three breaches were observed in the field; however, given



477 the uncertainties in boundary conditions, material parameters and terrain elevations, the model correctly  
478 predicts the opening of multiple breaches, and captures the overall process quite well. Clearly, any model  
479 that only employs geometric relations or internal links between river and floodplain to simulate the breach  
480 opening would hardly capture this complex behavior.

481 The actual total flooded area is reported in Figure 8, compared with the simulation results in  
482 terms of maximum water depths reached at 07:00 p.m. (December 12); by this time, operations for  
483 draining the flooded volume, which are not included in the simulation, had just started.

484 The model sensitivity to erodibility parameters was also analyzed. First, the simulation was  
485 repeated with a fixed critical shear stress ( $\tau_c = 1$  Pa), and the erodibility coefficient was doubled ( $10$   
486  $\text{cm}^3/\text{N/s}$ ), halved ( $2.5 \text{ cm}^3/\text{N/s}$ ), and further reduced to  $1 \text{ cm}^3/\text{N/s}$ , in order to explore the whole range of  
487 variability of this parameter for the given material. The breach evolution for these simulations is  
488 compared in Figures 9a-9i. The erodibility coefficient has a significant impact on both the failure time  
489 and the breach evolution. When the value of  $k_d$  is reduced, the erosion process is slower and less  
490 pronounced. When  $k_d$  assumes the smallest value ( $1 \text{ cm}^3/\text{N/s}$ ), the breach evolution takes 6 hours, and is  
491 characterized by a generalized erosion along the whole overtopped length. Results of the simulation with  
492  $k_d = 2.5 \text{ cm}^3/\text{N/s}$  are similar to the reference case, even if the opening time slightly increases (4 hours).  
493 Surprisingly, while an increase in the value of  $k_d$  to  $10 \text{ cm}^3/\text{N/s}$  reduces the failure time to only 1.5 hours,  
494 the total width does not increase compared to the reference simulation. In fact, a single breach is  
495 generated: the most vulnerable portion of the levee is eroded very rapidly, and the consequent drop in the  
496 water level in the river stops the overtopping and erosion processes along the rest of the levee. A  
497 comparison of the breach outflow hydrographs is reported in Figure 9j for these simulations, and shows  
498 that, apart from the case with  $k_d = 1 \text{ cm}^3/\text{N/s}$  which highly underestimates the outflow discharge (and the  
499 total flooded area), for the other values the peak discharge is underestimated by less than 10% compared  
500 to the reference simulation, and the peak is observed within  $\pm 0.5$  hours. The differences in the total  
501 outflow volume are always below 10% compared to the reference simulation, except for the case with the  
502 lowest value of the erodibility coefficient, for which the volume difference is over 30%.

503 A sensitivity analysis to the critical shear stress was also performed, changing its value from 1 Pa  
504 to 0.1 Pa, and to 10 Pa, maintaining  $k_d = 5 \text{ cm}^3/\text{N/s}$ , in order to evaluate its influence on the simulation  
505 results. The results confirm, similarly to the previous test cases, that the critical shear stress does not  
506 influence the breach evolution significantly, especially in the case of a reduced value assigned to this  
507 parameter. The adoption of the highest value, on the other hand, results in a slightly slower erosion  
508 process and reduced overflow volume of water ( $-15\%$ ), due to the fact that the predicted bed shear  
509 stresses and the critical value are of the same order of magnitude ( $10^1$  Pa). Finally, the sensitivity to the

510 critical angles for slope stability was also analyzed, but their influence on the simulation results is not  
511 evident for this test case (results not shown).

512 The flood event was also simulated using the sediment transport model described in Appendix A.  
513 The following parameters were assumed for the levee material:  $d_{50} = 0.04$  mm,  $d_{90}/d_{30} = 10$ ,  $\rho_s = 2650$   
514 kg/m<sup>3</sup>. Sediment transport was allowed only in a wide region around the breach, not only to reduce the  
515 computational burden, but also to better compare the two models. A single breach develops rapidly after  
516 levee overtopping: the failure time is less than 1 hour, and the final width is 65-75 m (a map of the breach  
517 site is reported in Figure 10). Actually, a similar behaviour can be obtained from the erosion model if the  
518 erodibility coefficient is increased to 50 cm<sup>3</sup>/N/s, which however is no longer representative of the levee  
519 material. In fact, it must be stressed that a bedload transport model is not expected to describe the  
520 complex behavior of the erosion process in a levee built with cohesive material.

521 With regard to the simulation time, the erosion model takes 1.3 h to simulate 2 days of physical  
522 time on a P100 Tesla® GPU, resulting in a ratio of physical to computational time equal to 37. Compared  
523 to an analogous simulation where the levee breach on the Enza River is modelled using a geometric  
524 approach (similarly to Vacondio et al., 2016), the computational overhead is negligible (3%). The  
525 sediment transport model takes 2.8 h on the same device (physical/computational time = 17). The good  
526 performance of GPU-accelerated models for high-resolution simulations is thus confirmed, and this  
527 makes the application of these models to complex real field test cases particularly convenient.

## 528 **4 Discussion**

529 The main aim of the present work is to introduce a tool able to automatically handle the possible  
530 opening of one or more levee breaches due to overtopping in a state-of-the-art 2D numerical code for  
531 flood propagation. The need to define the breach dimensions and position as input parameters is avoided  
532 by introducing an equation that predicts the bottom erosion wherever the local value of the bed shear  
533 stress exceeds a critical value (in the practice, this coincides with the occurrence of levee overtopping).  
534 The model was validated by simulating experimental test cases and one real flood event, and the  
535 numerical results were in good agreement with the measurements. In particular, the application to the  
536 historical test case of December 2017 on the Enza River, presented in Section 3.4, shows that the  
537 proposed model can effectively simulate this kind of problems: the breach position is correctly identified,  
538 and the opening of multiple breaches can be captured; moreover, the predicted outflow hydrograph from  
539 the breach results in a well-reproduced flooded area.

540 Clearly, the proposed model does not capture the breach process in detail, because some of the  
541 many interrelated factors influencing the breach dynamics are neglected. The simplifications introduced  
542 in the model must be kept in mind (most of them are common to other simplified approaches). Among

543 these, it is worth recalling that a hydrostatic pressure distribution is assumed in the depth-averaged model,  
544 while vertical accelerations and streamline curvatures are often non-negligible at the breach site,  
545 especially in the case of headcut erosion in cohesive embankments. Moreover, other factors that may  
546 increase the bed shear stress or reduce the levee resistance to erosion, such as sediment concentration,  
547 infiltration processes, etc., are not considered in the present model. Despite these simplifications, the final  
548 breach width and peak outflow discharge are predicted reasonably even in the case of cohesive  
549 embankments, as shown by results from Test #10 in Section 3.2. Finally, the model assumes that the  
550 eroded material is washed away by the flow (i.e. sediment deposition is not simulated). This may slightly  
551 influence the breach configuration, especially in the case of non-cohesive materials (see for example  
552 Figure 5), and the effect is more evident for small-scale experimental test cases. However, for real field  
553 simulations, this hypothesis does not probably have much impact on the overall process.

554 The influence of the levee material on the erosion process is taken into account by means of two  
555 model parameters, the erodibility coefficient and the critical shear stress. Although their definition is not  
556 straightforward, some indications from the literature allow identifying the plausible range of variability of  
557 their values. The sensitivity analyses performed for several test cases show that the critical shear stress  
558 does not influence the model results significantly, because the bed shear stresses largely exceed the  
559 critical value during overtopping. On the other hand, the erodibility coefficient must be defined more  
560 carefully, especially in the case of non-cohesive material, for which its range of variability can be quite  
561 large. The analyses conducted in this study show that the breach width is affected by the value assigned to  
562 this parameter, while the outflow hydrograph is somewhat less influenced (as long as the value of  $k_d$  is  
563 representative of the material type), as can be noticed from results reported in Section 3.3. Similar  
564 considerations are true for the case of a cohesive material (see results from Section 3.4). This is  
565 encouraging, since the outflow hydrograph is the most relevant outcome of the model when the aim of the  
566 simulation is the prediction of the levee breach-induced flooding.

567 In all tests simulated in the present work, the embankment is considered homogeneous, meaning  
568 that a unique value of the erosion model parameters is adopted for the whole domain, but different values  
569 can easily be assigned to different segments of the levee; scour-dependent parameters could also be used  
570 in order to model stratified embankments. In general, however, real levees are heterogeneous, and data  
571 concerning the spatial distribution of the material type are often lacking, hence assigning a unique  
572 “average” value to the model parameters can be considered reasonable in the practice. A possible  
573 enhancement to this approach can be the inclusion of the presence of a grass cover, which protects the  
574 levee surface and delays the beginning of the erosion process, reducing the probability of levee failure  
575 (Mazzoleni et al., 2017). This effect can be achieved by increasing the critical shear stress, or by adjusting  
576 Manning’s coefficient (as done by Viero et al., 2013), during the first stages of overtopping. Finally, a

577 scour-dependent erodibility coefficient could be used to distinguish between the embankment material  
578 and the levee foundation material, when this cannot be assumed as non-erodible. These modifications to  
579 the proposed model will be considered in future works.

580 Results obtained with the erosion model were also compared with the predictions of a simple  
581 sediment transport model that integrates the Exner equation for bedload transport. The main drawbacks of  
582 this latter model were found to be not only the greater complexity and computational effort required, but  
583 more importantly its inadequacy for simulating the erosion of cohesive levees. Moreover, even in the case  
584 of a non-cohesive material, a sediment transport model may overestimate the embankment erosion  
585 process. In fact, experimental observations show that a fine sand is more resistant to erosion than a coarse  
586 sand, due to its “apparent cohesion” (Evangelista, 2015; Pickert et al., 2011), while bedload transport laws  
587 usually assume that the transport capacity increases when the size of particles decreases. More  
588 sophisticated sediment transport models could be employed, but only at the cost of introducing a larger  
589 number of model parameters and of further increasing the computational time. For these reasons, the  
590 employment of this kind of models does not seem justified for simulating the flooding triggered by levee  
591 breaches in very large domains.

592 Finally, the efficiency of the proposed model must be stressed. Previous works (e.g. Vacondio et  
593 al., 2014) already assessed that a speed-up up to two orders of magnitude can be obtained using GPU-  
594 accelerated models (as PARFLOOD) instead of serial codes. The implementation of the proposed erosion  
595 model does not degrade the computational efficiency compared to the adoption of a simple geometric  
596 approach, and requires a lower computational time than a sediment transport model.

## 597 **5 Conclusions**

598 In this paper, a 2D SWE code was coupled with an erosion model that allows simulating the  
599 opening of levee breaches generated by overtopping, without the need to set the breach position and  
600 dimensions as input parameters. The breach evolution was predicted correctly for all tests used for model  
601 validation, which concerned both cohesive and non-cohesive materials. Therefore, the model can be  
602 particularly useful to create flood hazard maps and to support the design and verification of existing levee  
603 systems, also thanks to the high computational efficiency of the GPU implementation.

604

## 605 **Appendix A. Sediment transport model**

606 A simple bedload transport model was also developed for comparison purposes. The sediment  
607 transport model was taken from the literature, and the implementation of Juez et al. (2014) was adopted,  
608 also because it can be efficiently coded for GPUs. The model is based on the integration of the Exner  
609 equation, hence only bedload transport is considered; moreover, an approach where SWE and the

610 morphodynamic equation are uncoupled is chosen (in order to reduce model complexity). For the  
 611 hydrodynamic part, the model described in Section 2.1 is adopted. Here, only the main features of the  
 612 sediment transport model are briefly recalled.

613 The bed evolution is described by the 2D Exner equation:

$$614 \quad \frac{\partial z}{\partial t} + \frac{1}{1-p} \frac{\partial q_{s,x}}{\partial x} + \frac{1}{1-p} \frac{\partial q_{s,y}}{\partial y} = 0, \quad (\text{A1})$$

615 where  $q_{s,x}$  and  $q_{s,y}$  are the bed load discharges in the  $x$  and  $y$  directions, respectively, which can be  
 616 expressed by any sediment transport formula; in this work, Smart equation (Smart, 1984) is adopted:

$$617 \quad \Phi = 4(d_{90}/d_{30})^{0.2} F S^{0.1} \theta^{0.5} (\theta - \theta_c^{SM}). \quad (\text{A2})$$

618 In Eq. (A2),  $\Phi$  is the dimensionless sediment discharge, computed according to the following expression:

$$619 \quad \Phi = \frac{|q_s|}{\sqrt{g(s-1)d_m^3}}, \quad (\text{A3})$$

620 where  $s = \rho_s/\rho$  is the ratio of the material density  $\rho_s$  to the water density  $\rho$ , and  $d_m$  is the mean sediment  
 621 diameter. Moreover, Eq. (A2) contains the Shields parameter  $\theta$  (i.e. the dimensionless shear stress  $\tau$ ),  
 622 defined as follows:

$$623 \quad \theta = \frac{\tau}{g(\rho_s - \rho)d_m}, \quad (\text{A4})$$

624 and  $\theta_c^{SM}$  is its critical value according to Smart (1984);  $d_{90}$  and  $d_{30}$  are the grain sizes at which 90% and  
 625 30% by weight of material is finer,  $F$  is the Froude number, and  $S$  is the friction slope. Equation (A2) is  
 626 applied only when  $\theta > \theta_c^{SM}$  (otherwise  $\Phi = 0$ ).

627 The bed elevation is updated as follows:

$$628 \quad z_{i,j}^{n+1} = z_{i,j}^n - \frac{\Delta t}{\Delta x} \left( q_{s,i+\frac{1}{2},j}^* - q_{s,i-\frac{1}{2},j}^* \right) - \frac{\Delta t}{\Delta y} \left( q_{s,i,j+\frac{1}{2}}^* - q_{s,i,j-\frac{1}{2}}^* \right), \quad (\text{A5})$$

629 where  $q_s^*$  represents the “sediment” flux at each intercell. Only the computation at the intercell between  
 630 cells  $(i,j)$  and  $(i+1,j)$  is reported in the following (for the other three fluxes, similar expressions can be  
 631 used). Flux is calculated as:

$$632 \quad q_{s,i+\frac{1}{2},j}^* = \begin{cases} \frac{1}{1-p} q_{s,x,i,j} & \text{if } \tilde{\lambda}_s > 0 \\ \frac{1}{1-p} q_{s,x,i+1,j} & \text{if } \tilde{\lambda}_s \leq 0 \end{cases}. \quad (\text{A6})$$

633 The “sediment” celerity  $\tilde{\lambda}_s$  is estimated as:

$$634 \quad \tilde{\lambda}_s = \frac{\delta q_{s,x}}{\delta z}, \quad (\text{A7})$$

635 where  $\delta q_{s,x} = q_{s,x,i+1,j} - q_{s,x,i,j}$ , and  $\delta z = z_{i+1,j} - z_{i,j}$ .

636 The bank failure algorithm presented in Section 2.3 is also added to the model.

637

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644 validation are listed in the references. The input data for the Enza River test case are provided as  
645 supplementary material.  
646

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803 **Table 1.** Main features for the 2D frontal breach test cases by HR Wallingford (Tests #2 and  
 804 #10).

Test	#2	#10
Material type	Sand	Clay
$d_{50}$ (mm)	0.25	0.005
Dam height (m)	0.50	0.60
Crest width (m)	0.20	0.20
Side slopes	1V:1.7H	1V:2H
Initial reservoir level (m)	0	0.58
Pilot channel depth (m)	0.02	0.05
Pilot channel width (m)	0.15	0.50

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808 **Table 2.** Sensitivity analysis on the model parameters for Test #2 by HR Wallingford. The  
 809 reference simulation was performed adopting  $n_f = 0.018 \text{ m}^{-1/3}\text{s}$ ,  $\tau_c = 10^{-2} \text{ Pa}$ ,  $k_d = 150 \text{ cm}^3/\text{N/s}$ ,  
 810  $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$ ,  $\varphi_{c,dry} = 45^\circ$ .

Case	Peak discharge (m <sup>3</sup> /s)	% Error (meas.)	% Error (ref.)	Final top width (m)	% Error (meas.)	% Error (ref.)
Measured	0.91	-	-	3.75	-	-
Reference simulation	0.63	-31%	-	3.73	-1%	-
$k_d = 100 \text{ cm}^3/\text{N/s}$	0.54	-41%	-14%	3.23	-14%	-13%
$k_d = 200 \text{ cm}^3/\text{N/s}$	0.68	-25%	8%	4.01	7%	8%
$\tau_c = 10^{-1} \text{ Pa}$	0.63	-31%	0%	3.73	-1%	0%
$\tau_c = 10^{-3} \text{ Pa}$	0.63	-31%	0%	3.73	-1%	0%
$\varphi_{c,wet} = \varphi_{dep} = 25^\circ$	0.64	-30%	1%	3.65	-3%	-2%
$\varphi_{c,wet} = \varphi_{dep} = 35^\circ$	0.62	-32%	-2%	3.7	-1%	-1%
$\varphi_{c,dry} = 40^\circ$	0.68	-25%	8%	4.15	11%	11%
$\varphi_{c,dry} = 50^\circ$	0.57	-37%	-10%	3.23	-14%	-13%
$\varphi_{c,dry} = 60^\circ$	0.49	-46%	-22%	2.39	-36%	-36%
$\varphi_{c,dry} = 70^\circ$	0.35	-62%	-44%	1.54	-59%	-59%
$n_f = 0.016 \text{ m}^{-1/3}\text{s}$	0.60	-34%	-5%	3.64	-3%	-2%
$n_f = 0.020 \text{ m}^{-1/3}\text{s}$	0.64	-30%	2%	3.81	2%	2%

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813 **Figure 1.** 1D frontal dam breach test. Experimental dam profiles and numerical results with the  
 814 sediment transport model, assuming different values for Manning's coefficient  $n_f$  (in  $\text{m}^{-1/3}\text{s}$ ), at  $t$   
 815  $= 30$  s (a), and  $t = 60$  s (b). The dashed line represents the initial dam profile.

816 **Figure 2.** 1D frontal dam breach test. Sensitivity analysis on the erodibility coefficient  $k_d$  (in  
 817  $\text{cm}^3/\text{N/s}$ ) with the erosion model: dam profiles at  $t = 30$  s (a), and at  $t = 60$  s (b), and overflow  
 818 discharge (c). The discharge predicted by the Exner based model is also reported in (c) for  
 819 comparison. The dashed line represents the initial dam profile.

820 **Figure 3.** Test #2 by HR Wallingford: (a) Sketch of the experimental set-up (dimensions for Test  
 821 #10 in brackets). (b)-(e) Simulated breach evolution in time, represented by the bottom elevation  
 822 maps at selected times, and velocity vectors map. (f)-(g) Experimental and numerical breach top  
 823 width evolution in time (f), and discharge exiting through the breach (g); the inflow discharge is  
 824 also represented in (g); numerical results are reported for three different values of the erodibility  
 825 coefficient  $k_d$  (in  $\text{cm}^3/\text{N/s}$ ).

826 **Figure 4.** Test #10 by HR Wallingford. Experimental and numerical (a) breach top width  
 827 evolution in time, and (b) discharge exiting through the breach. The inflow discharge is also  
 828 represented. Numerical results are reported for two different values of the erodibility coefficient  
 829  $k_d$  (in  $\text{cm}^3/\text{N/s}$ ).

830 **Figure 5.** Levee breach experiment. (a) Sketch of the test set-up. (b-c) Breach evolution in time,  
 831 represented by bottom elevation maps at selected times, as predicted by the sediment transport  
 832 model (b), and by the erosion model (c). (d) Breach width evolution in time (experimental and  
 833 numerical). (e) Discharge exiting through the breach (experimental and numerical). (f-g)  
 834 Discharge exiting through the breach: results of the sensitivity analysis on the erodibility  
 835 coefficient  $k_d$  (in  $\text{cm}^3/\text{N/s}$ ) (f), and on the critical shear stress  $\tau_c$  (in Pa) (g). The inflow and  
 836 outflow discharge time series in the channel are also reported in panels (e-f-g).  
 837

838 **Figure 6.** Levee breach on the Enza River. (a) Sketch of the study area: the Enza and Po Rivers  
 839 (blue), the levees (yellow), the breach location (red), the main residential and industrial areas  
 840 (black), and the flooded area (cyan) are identified on an orthoimage. (b) Discharge time series  
 841 imposed as upstream boundary condition, and water levels imposed downstream. (c)  
 842 Longitudinal profile of the (right) levee crest elevation and of the maximum water surface  
 843 elevations obtained from simulations with and without the breach erosion. The maximum  
 844 surveyed water levels at selected locations are also reported for comparison.

845 **Figure 7.** Levee breach on the Enza River. Contour maps of the simulated bottom elevation and  
 846 vector maps of the velocity in the breach zone at selected times: (a) 0.5 h, (b) 1 h, (c) 1.5 h, and  
 847 (d) 5 h after the beginning of overtopping. Only one vector out of 9 is represented for the sake of  
 848 clarity. The red contour line identifies the wet/dry front.

849 **Figure 8.** Levee breach on the Enza River. Contour map of the simulated maximum water depth  
 850 (up to 07:00 p.m., December 12), and actual total flooded area (white line).

851 **Figure 9.** Levee breach on the Enza River: sensitivity analysis on the erodibility coefficient. (a)-  
 852 (i) Contour maps of the bottom elevation in the breach zone at selected times for the different

853 simulations: (a)-(c)  $k_d = 10 \text{ cm}^3/\text{N/s}$ ; (d)-(f)  $k_d = 2.5 \text{ cm}^3/\text{N/s}$ ; (g)-(i)  $k_d = 1 \text{ cm}^3/\text{N/s}$ . (j) Simulated  
854 outflow hydrographs from the breach for different values of the erodibility coefficient  $k_d$   
855 (expressed in  $\text{cm}^3/\text{N/s}$ ).

856 **Figure 10.** Levee breach on the Enza River. Contour map of the final bottom elevation in the  
857 breach zone obtained from the sediment transport model.