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Integration of a Levee Breach Erosion Model in a GPU-Accelerated 2D Shallow Water Equations Code

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1 **Integration of a Levee Breach Erosion Model in a GPU-accelerated 2D Shallow**
2 **Water Equations Code**

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8
9
10 **Key Points:**

- 11 • The paper presents a 2D SWE model able to simulate the opening of a levee breach due
12 to overtopping, and the subsequent flooding.
- 13 • The physically based erosion model allows predicting the breach evolution in levees
14 made of cohesive or non-cohesive material.
- 15 • The model can be applied to real cases, thanks to the fast execution times guaranteed by
16 the GPU-parallelization of computations.

17

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18 **Abstract**

19 This paper presents a two-dimensional (2D) shallow water equations code coupled with a
20 physically-based erosion model, able to predict the opening and evolution of breaches forming in
21 levees built with either cohesive or non-cohesive material. The bottom elevation change is
22 evaluated using an excess shear-stress equation, which accounts for the hydrodynamic conditions
23 and for the material characteristics. The proposed model modifies the local topography at
24 runtime wherever the levee is overtopped without having to predefine the position and shape of
25 the breach. The model is implemented in CUDA programming language, so that simulations can
26 be run on Graphics Processing Units (GPU), guaranteeing fast execution times even for high-
27 resolution meshes and large domains. The validation is performed based on several experimental
28 tests, and numerical predictions are in good agreement with the measurements. The strengths and
29 weaknesses of the proposed approach are also discussed by comparison with a sediment
30 transport model based on the Exner equation: while the latter gives good results only for
31 breaches forming in levees built with non-cohesive material, the proposed model can also be
32 applied to cohesive embankments. The application to a historical flood event is also presented,
33 showing that the model can effectively be employed for real field simulations also in the case of
34 multiple breaches.

35

36 **1 Introduction**

37 Many lowland areas are protected from flooding by levees, whose main purpose is to confine the
38 flow inside the river region. Levees are usually built with erodible material (clay, silt or sand), and their
39 height is designed to contain a specific hydrograph. However, in the case of an extreme event exceeding
40 their design return period, overtopping occurs, often causing the embankment collapse. Land use
41 modifications and climate change might also increase the discharge for a given return period, making the
42 levees no longer adequate. Moreover, earthen embankments may experience breaching for piping and
43 internal erosion processes, even before the water surface elevation reaches the levee crown. The dens of
44 burrowing animals (e.g. porcupine, badger, nutria) have been recently identified as another cause for
45 levee collapse in Northern Italy (Orlandini et al., 2015; Sofia et al., 2017; Viero et al., 2013).

46 Since embankment failures can have damaging consequences and lead to huge economic and
47 human losses, the design of flood hazard maps and emergency plans is particularly important, and
48 numerical modeling represents a powerful tool for these analyses. The simplest approach to model the
49 flood propagation caused by a levee breach is to adopt a one-dimensional (1D) model for the channel, and
50 a two-dimensional (2D) model for the floodable area outside the river region (e.g. Masoero et al., 2013;
51 Vorogushyn et al., 2010). The breaches are included in the 1D model as lateral spillway structures
52 (Mazzoleni et al., 2014), and the outflow from these structures is imposed as upstream boundary
53 condition to the 2D model, often neglecting possible backwater effects caused by the presence of road or
54 railway embankments in the floodable region. Moreover, the 1D model cannot accurately describe the
55 markedly 2D flow near the breach inside the river. On the contrary, when both the river and the floodable

56 area are simulated using fully 2D Shallow Water Equations (SWE) models (e.g. Teng et al., 2017), these
57 features can inherently be taken into account. In the past, the choice of using 1D-2D models was often
58 necessary to reduce the computational effort, but the same outcome can now be achieved by using
59 modern High Performance Computing clusters and/or adopting parallelization techniques, such as
60 implementing codes able to run on Graphics Processing Units (GPUs) (Dazzi et al., 2018; Vacondio et al.,
61 2014, 2017).

62 The breach opening must be somehow included in the 2D modeling. The detailed simulation of
63 the breach process is actually rather difficult, due to its 3D nature and to the complex interactions between
64 hydrodynamic conditions, bank stability, sediment transport and infiltration processes; however, for
65 practical use in the field of flood simulation, simplified approaches are often introduced. The gradual
66 breach opening can be modelled by a time-varying topography with predefined geometric characteristics
67 (e.g. Dewals et al., 2011), or by coupling the SWE with a 2D sediment transport model (e.g. Faeh, 2007).

68 For example, Vacondio et al. (2016) recently simulated a breach-generated flood with a GPU-
69 accelerated fully 2D model, adopting a purely geometric approach to describe the breach evolution
70 (specifying the breach position and assuming a trapezoidal shape, with final width and failure time
71 defined a priori). In this way, however, the levee material characteristics are completely neglected from
72 the breach modeling, possibly resulting in inaccurate predictions. The hydrodynamic conditions, such as
73 the upstream inflow in the river, the presence of river bends and floodplains, possible backwater effects
74 that reduce the velocity of outflowing water (and consequently the erosion), can also severely affect the
75 breach evolution (Viero et al., 2013). Moreover, for (lateral) fluvial breaches the choice of “geometric”
76 parameters cannot be assisted by results provided by the parametric (e.g. Froehlich, 2008; Xu & Zhang,
77 2009) or simplified (e.g. Chen & Anderson, 1987; Fread, 1988; Macchione, 2008; Mohamed et al., 2002;
78 Visser, 1999; Wu, 2013) models available in the literature (ASCE/EWRI, 2011), which were developed
79 for (frontal) dam breach configurations. In fact, recent experimental investigations (Elalfy et al., 2017;
80 Kakinuma & Shimizu, 2014; Michelazzo et al., 2018; Rifai et al., 2017; Wei et al., 2016) show that, apart
81 from the very first stages, the evolution of a lateral breach is quite different from what observed in the
82 frontal configuration, due to the different direction of the flow (parallel/tilted vs perpendicular to the levee
83 crest).

84 The best option for simulating riverine levee breaches would be the use of detailed physically-
85 based multi-dimensional models, based on the integration of hydrodynamic and morphodynamic
86 equations (e.g. Canelas et al., 2013; Li & Duffy, 2011; Murillo & Garcia-Navarro, 2010). In this way, the
87 flow along the river, through the breach and in the inundated region can be simulated simultaneously
88 without the need of introducing internal boundary conditions at the breach location. Attempts of applying
89 2D sediment transport models to simulate breaches in dams built with non-cohesive material include Cao

90 et al. (2011), Evangelista (2015), Guan et al. (2014), Juez et al. (2014), Van Emelen et al. (2015), Volz et
91 al. (2012), Wang & Bowles (2006), and Wu et al. (2012), while Faeh (2007) performed a fluvial breach
92 test. Nevertheless, applications to real cases are limited by the heterogeneity and scarcity of data on levee
93 materials, and by the prohibitive computational time required for running these models (unless
94 parallelization techniques are introduced, see Juez et al., 2016). Moreover, the application of these models
95 to breach erosion may be questionable, since most sediment transport equations were derived in uniform
96 flow conditions, for small slopes, and with non-cohesive materials, while the breach development is
97 highly unsteady and often involves high slopes and cohesive sediments. For this reason, Morris et al.
98 (2009) suggested that the employment of erosion laws would be more consistent with the breach process.
99 Erosion laws have been applied to dam breach modeling by Chen & Anderson (1987), Morris et al.
100 (2009), and Wang & Bowles (2006), and have the advantage of including specific erodibility parameters
101 in the computations, and of being applicable also to cohesive embankments. In fact, the erosion process of
102 dams/levees built with non-cohesive and cohesive material is quite different (e.g. Morris et al., 2007). In
103 the latter case, headcut erosion is observed: one or more rills develop into a series of overfalls, which
104 form a headcut (i.e. a vertical or nearly vertical drop on the bed); the headcut migrates upstream and
105 reduces the dam crest height; this phase is then followed by a breach widening stage. Clearly, this 3D
106 process cannot be adequately simulated using a 2D depth-averaged model and sediment transport
107 equations, hence a headcut migration rate is often introduced to model this type of breaches (e.g. Hanson
108 et al., 2005; Wu, 2013). However, since this quantity depends on the same erodibility coefficients that
109 appear in simple erosion laws, such laws can arguably be used to model the general breach process, at
110 least as regards the failure time and the final width, avoiding a detailed description of the headcut
111 migration process.

112 The present work aims at introducing an efficient numerical tool for the simulation of inundations
113 generated by levee breaches, including a physically-based prediction of the breach evolution (instead of a
114 geometric approach) and avoiding the necessity of defining its characteristics a priori. The GPU-
115 accelerated 2D SWE numerical code PARFLOOD (Vacondio et al., 2014, 2017) was coupled with an
116 erosion model, in which an excess shear-stress law is employed to predict the time evolution of the
117 bottom elevation at the levee breach site as a function of the local hydrodynamic conditions and of the
118 material characteristics. The model can be used for either non-cohesive or cohesive embankments. For
119 comparison purposes, a simple but robust bedload transport model (Juez et al., 2014) was implemented as
120 well. Both models are enriched by a bank failure algorithm, which simulates the sudden failure of blocks
121 of material due to slope instability. Validation is performed based on four experimental tests, and an
122 example of application to the real levee-breach event occurred on the Enza River (Italy) in December
123 2017 is also presented.

124 The paper is structured as follows. Section 2 presents the main features of the proposed model.
 125 Section 3 is dedicated to the description of all the test cases used for the validation and application of the
 126 model, while in Section 4 a discussion on the advantages and disadvantages of the model is presented. In
 127 the last Section, conclusions are drawn.

128

129 **2 Model description**

130 The proposed model couples three modules: a hydrodynamic model (already presented in
 131 previous works, see Vacondio et al., 2014, 2017), and two newly developed models for erosion and bank
 132 failure simulations. Moreover, a sediment transport model was implemented for comparison purposes (see
 133 Appendix A).

134 **2.1 Hydrodynamic model**

135 The hydrodynamic model (Vacondio et al., 2014, 2017) is based on the 2D SWEs written in
 136 integral form (Toro, 2001) as follows:

$$137 \frac{\partial}{\partial t} \int_A \mathbf{U} \, dA + \int_C \mathbf{H} \cdot \mathbf{n} \, dC = \int_A (\mathbf{S}_0 + \mathbf{S}_f) \, dA, \quad (1)$$

138 where A and C are the integration volume area and boundary, respectively, \mathbf{U} is the vector of conserved
 139 variables, $\mathbf{H} = (\mathbf{F}, \mathbf{G})$ is the tensor of fluxes in the x - and y -directions, \mathbf{n} is the outward unit vector normal
 140 to C , \mathbf{S}_0 and \mathbf{S}_f are the bed and friction slope source terms, respectively, and t is the time. The well-
 141 balanced form of the SWEs, proposed by Liang & Marche (2009), is adopted:

$$142 \mathbf{U} = \begin{bmatrix} \eta \\ uh \\ vh \end{bmatrix}, \quad \mathbf{F} = \begin{bmatrix} uh \\ u^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \\ uvh \end{bmatrix}, \quad \mathbf{G} = \begin{bmatrix} vh \\ uvh \\ v^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \end{bmatrix}, \quad (2a)$$

$$143 \mathbf{S}_0 = \begin{bmatrix} 0 \\ -g\eta \frac{\partial z}{\partial x} \\ -g\eta \frac{\partial z}{\partial y} \end{bmatrix}, \quad \mathbf{S}_f = \begin{bmatrix} 0 \\ -gh \frac{n_f^2 u \sqrt{u^2 + v^2}}{h^{4/3}} \\ -gh \frac{n_f^2 v \sqrt{u^2 + v^2}}{h^{4/3}} \end{bmatrix}, \quad (2b)$$

144 In Eq. (2), $\eta = h + z$ is the water surface elevation, h is the flow depth, and z is the bed elevation; u and v
 145 are the velocity components along the x - and y -directions respectively, n_f is Manning's roughness
 146 coefficient, and g is the acceleration due to gravity.

147 An explicit FV scheme is used to discretize the equations; both first-order and second-order
 148 accurate approximations in space and time are implemented, but only the first-order approximation is here
 149 recalled for the sake of brevity. The following equation is used to update the conserved variables in time:

$$150 \mathbf{U}_{i,j}^{n+1} = \mathbf{U}_{i,j}^n - \frac{\Delta t}{\Delta x} \left(\mathbf{F}_{i+\frac{1}{2},j} - \mathbf{F}_{i-\frac{1}{2},j} \right) - \frac{\Delta t}{\Delta y} \left(\mathbf{G}_{i,j+\frac{1}{2}} - \mathbf{G}_{i,j-\frac{1}{2}} \right) + \Delta t (\mathbf{S}_0 + \mathbf{S}_f). \quad (3)$$

151 Subscripts i, j represent the cell position, while superscript n refers to the time level; Δx and Δy are the cell
 152 dimensions in the x and y directions respectively, and Δt is the time step size. The HLLC approximate
 153 Riemann solver (Toro, 2001) is used to compute intercell fluxes, and the correction proposed by
 154 Kurganov & Petrova (2007) is implemented to avoid non-physical velocity values at wet/dry fronts. The
 155 slope source term is discretized with a centered approximation (Vacondio et al., 2014), while the friction
 156 source term is discretized using the implicit formulation proposed by Caleffi et al. (2003). The minimum
 157 allowable time step is computed according to the CFL stability condition (Toro, 2001). The model is
 158 implemented in a CUDA/C++ code, which exploits the intrinsic parallelization of computations on GPU
 159 devices, thus guaranteeing fast execution times compared to serial codes. More details on the scheme and
 160 implementation can be found in Vacondio et al. (2014, 2017).

161 2.2 Erosion model

162 The breach evolution is predicted according to the erosion model described in the following. The
 163 bottom elevation change is not allowed in the whole domain, but only along the erodible levees, where
 164 potential breaches might occur. In these selected regions, the morphodynamic evolution is described by
 165 the following equation:

$$166 \frac{\partial z}{\partial t} = -\frac{E}{1-p}, \quad (4)$$

167 where p is the bed porosity, and E is the bed erosion rate (eroded volume per unit area per unit time). This
 168 latter quantity can be estimated according to a linear erosion law, also referred to as excess stress equation
 169 (e.g. Hanson & Simon, 2001; Partheniades, 1971):

$$170 E = \begin{cases} k_d(\tau - \tau_c) & \text{if } \tau > \tau_c \\ 0 & \text{if } \tau \leq \tau_c \end{cases}, \quad (5)$$

171 In Eq. (5), k_d represents the erodibility coefficient of the embankment material, while τ and τ_c are the bed
 172 shear stress and its critical value for sediment motion, respectively. The bed shear stress is computed as
 173 follows:

$$174 \tau = \gamma \frac{n_f^2(u^2 + v^2)}{h^{1/3}} \quad (6)$$

175 where γ is the specific weight of water. Eq. (4) is simply discretized as follows:

$$176 z_{i,j}^{n+1} = z_{i,j}^n - \frac{E(\tau_{i,j})}{1-p} \Delta t. \quad (7)$$

177 In essence, Eq. (7) modifies the bottom elevation of each cell (belonging to an erodible levee) at runtime
 178 according to the local value of the bed shear stress, and non-negligible erosion is only obtained in the
 179 practice whenever and wherever the levee is overtopped. The opening of a breach, and its subsequent
 180 deepening and widening, is then predicted automatically, without the need to predefine the breach
 181 position and dimensions as input data. A minimum bed elevation can also be specified in order to avoid

182 erosion below a non-erodible foundation. From Eq. (7), it can be noticed that only scour is allowed, while
183 deposition of sediments is not included in the computations: the eroded material is supposed to be washed
184 away by the flow, in line with other simplified approaches. Conversely, the global water volume is
185 conserved by maintaining the original water depth of each cell where sediment is eroded; hence, the water
186 surface elevation must be adjusted in these cells by subtracting the scour computed during the current
187 update.

188 By means of Eqs. (4) and (5), the breach erosion process depends both on the flow field
189 characteristics (via τ) and on the embankment material (via k_d , τ_c , and ρ). The erodibility parameters k_d
190 and τ_c control the erosion process, and thus must be accurately calibrated. Despite the fact that specific
191 experimental tests for their determination were developed, such as the Jet Erosion Test (JET) (Hanson &
192 Cook, 2004), reliable correlations with the sediment characteristics are not available yet. Different test
193 configurations and interpretations of results lead to different estimates for k_d and τ_c (Khanal et al., 2016),
194 and even the use of a linear erosion law is still debated (Walder, 2015). Moreover, these parameters are
195 observed to be quite sensitive not only to the type of material, in particular to soil texture and plasticity,
196 but also to the compaction effort and water content (Fell et al., 2013; Nguyen et al., 2017; Wahl et al.,
197 2009), with a variability up to 2-3 orders of magnitude (Hanson & Hunt, 2007). Therefore, the choice of
198 erodibility parameters must be carefully considered, and the uncertainty in their values must be properly
199 taken into account by means of a sensitivity analysis when data for calibration are not available. The
200 diagram and tables reported by Hanson et al. (2010) can provide guidance for defining the range of
201 variability of these parameters. Moreover, some authors suggest empirical relationships between τ_c and k_d
202 (e.g. Hanson & Simon, 2001; Nguyen et al., 2017); Wu (2013) also reports an empirical formula for
203 computing the erodibility coefficient (based on the clay content and the dry specific weight of the soil),
204 which can help in the choice of reasonable values when specific erosion tests cannot be performed.

205 2.3 Bank failure algorithm

206 While the breach triggering is mainly due to the erosion following levee overtopping, its
207 enlargement is also due to the sudden collapse of the lateral banks, which lose stability as long as the
208 breach deepens (Hunt et al., 2005). Numerical models must consider this mechanism for a correct
209 prediction of the breach development. For this reason, different bank failure algorithms were presented in
210 the literature (e.g. Evangelista et al., 2015; Swartenbroekx et al., 2010; Volz et al., 2012), usually based
211 on the idea of reducing the local slope of each cell when it exceeds a critical value ϕ_c . Obviously, all these
212 models ignore cantilever failures, which are sometimes observed in experimental tests (e.g. Wei et al.,
213 2016), but cannot be described in a depth-averaged model. In this work, the scheme of Guan et al. (2014)
214 for structured grids was adapted for guaranteeing efficiency on GPUs.

215 Two different values are specified for the critical angles, depending on the fact that the cell is
 216 above or below the water surface level: $\varphi_{c,wet}$ for wet cells, and $\varphi_{c,dry}$ for dry cells; moreover, φ_{dep} is
 217 the angle that the deposited material forms after collapse. These values are often assumed equal to the
 218 angle of repose of the material. Let us consider cell (i,j) with bottom elevation $z_{i,j}$ in a Cartesian grid,
 219 with four neighbor cells $(i+1,j)$, $(i-1,j)$, $(i,j+1)$, $(i,j-1)$. The local slope φ_k in the k^{th} direction can be
 220 computed as:

$$221 \tan \varphi_k = (z_k - z_{i,j})/l_k, \quad (8)$$

222 where z_k is the bottom elevation of the neighboring cell in the k^{th} direction (i.e. cell $(i+1,j)$ to the east, cell
 223 $(i,j+1)$ to the north, etc.), and l_k is the grid size in the same direction (i.e. Δx to the east/west, and Δy to
 224 the south/north). If $|\varphi_k| > \varphi_c$ (wet or dry, depending on the cell state), then the bottom is considered
 225 locally unstable, and a correction Δz_k can be calculated as:

$$226 \Delta z_k = 0.5 l_k (\tan|\varphi_k| - \tan \varphi_{dep}) \text{sign}(\varphi_k). \quad (9)$$

227 An equal and opposite correction will be computed in the neighboring cell, so that the total sediment mass
 228 is conserved in this procedure, and the bed slope is simply tilted from φ_k to φ_{dep} . Finally, the updated
 229 value for the bottom elevation becomes:

$$230 z_{i,j}^{new} = z_{i,j} + \sum_{k=1}^4 \Delta z_k. \quad (10)$$

231 These operations are performed in a specific CUDA kernel, and threads (i.e. the basic work unit
 232 in CUDA, corresponding to one computational cell) are processed in parallel. Differently from Guan et al.
 233 (2014), that processed all cells sequentially, and applied the correction Δz_k both to the current cell and to
 234 its neighbor (with proper sign), in this implementation each thread computes its own corrections, even at
 235 the cost of repeating calculations twice in two different threads. As already discussed in Vacondio et al.
 236 (2014) as regards intercell fluxes, accepting this small computational overhead makes the code more
 237 efficient than storing an extra array for the values of Δz_k and accessing it later.

238 Obviously, local changes in the bed slope may in turn affect the stability of other neighboring
 239 cells. The algorithm previously described, then, must be repeated iteratively until no more corrections are
 240 necessary. In order to reduce the computational time, this recursive procedure is not performed at every
 241 time step Δt dictated by the CFL condition, but at a larger pace Δt_{stab} ; in particular, preliminary tests
 242 showed that checking and correcting the slope stability with $\Delta t_{stab}=500-1000\Delta t$ provides the same results
 243 as with $\Delta t_{stab}=\Delta t$.

244

245 **3 Numerical tests**

246 In this Section, five test cases are presented for the validation of the erosion model. The first case
 247 is a 1D frontal dam breach experiment, and was chosen for its simplicity in order to highlight the
 248 differences in the predictions of the erosion model compared to a sediment transport model. Moreover,
 249 two 2D frontal breach test cases, which differ in the type of material used for building the dam (cohesive
 250 vs. non-cohesive), were considered in order to assess the capability of predicting the breach enlargement,
 251 and to study the influence of the model parameters on the simulation results. Then, since the erosion
 252 model is conceived for real field applications to fluvial breaches, a large-scale experimental test case
 253 concerning a lateral breach in a channel was privileged over available small-scale laboratory experiments
 254 as the fourth validation test, and it still involves levees built with non-cohesive material. Finally, the
 255 applicability of the model to a real event is assessed by means of the simulation of the levee breach on the
 256 Enza River (Northern Italy) and the subsequent flooding occurred in December 2017.

257 **3.1 1D frontal dam breach**

258 The experimental test concerning dam erosion due to overtopping, reported by Tingsanchali &
 259 Chinnarasri (2001) (Test C-2), was simulated in order to compare the results of the erosion and the
 260 sediment transport models. A 0.8 m-high dam, with crest width equal to 0.3 m and upstream and
 261 downstream slopes equal to 1V:3H and 1V:2.5H respectively, was built in a 35 m-long, 1 m-wide
 262 rectangular flume. The dam was built with sand with the following characteristics: $d_{50} = 0.86$ mm, $d_{30} =$
 263 0.52 mm, $d_{90} = 3.80$ mm, $d_m = 1.13$ mm, and $\rho_s = 2650$ kg/m³. A constant inflow (1.23 l/s) was supplied at
 264 the upstream end of the channel; a vertical plate was held at the dam crest until the reservoir was filled,
 265 and the water depth in the reservoir was 3 cm higher than the dam crest; then, the sudden removal of the
 266 plate allowed overflow to start.

267 The domain was discretized by means of a uniform grid with $\Delta x = \Delta y = 0.05$ m. The following
 268 parameters were common to both erosion and sediment transport models: bed porosity $p = 0.4$, critical
 269 angles $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$, $\varphi_{c,dry} = 50^\circ$. Manning's coefficient and the erosion model parameters, τ_c and k_d ,
 270 were subjected to calibration, and a sensitivity analysis on their values was performed.

271 First, Manning's coefficient was determined by simulating the experiment with the sediment
 272 transport model, which did not require any other calibration coefficient. Figures 1a-1b compare the
 273 experimental dam profiles along the centerline of the breach at selected times ($t = 30$ s and $t = 60$ s) and
 274 the results from the numerical simulations performed assuming $n_f = 0.016$ m^{-1/3}s, 0.018 m^{-1/3}s, and 0.020
 275 m^{-1/3}s. An increase in this coefficient leads to a slightly more rapid erosion. However, there is no clear
 276 best fit value; in the first phases (Fig. 1a), the smallest roughness value seems to mimic the dam erosion

277 better, while it underestimates the erosion at the dam crest after some time (Fig. 1b). The intermediate
278 value ($0.018 \text{ m}^{-1/3}\text{s}$) is hence selected for describing the general process.

279 The erosion model was tested next. As a starting point for choosing the erodibility parameters, the
280 work by Hanson et al. (2010) was considered: for soils with low clay content, the suggested values for k_d
281 are in the range $50\text{-}800 \text{ cm}^3/\text{N/s}$ (depending on compaction); the corresponding τ_c range is $10^{-3}\text{-}10^{-1} \text{ Pa}$.

282 Preliminary simulations were performed varying τ_c (10^{-3} , 10^{-2} , and 10^{-1} Pa) with different values
283 of k_d , and results of these tests show that changes in the values of τ_c have negligible effects in the process,
284 because the bed shear stress exceeds the critical value by 1-2 orders of magnitude ($\tau \gg 1$). Then, τ_c was
285 assumed equal to 10^{-2} Pa , and the erodibility coefficient was varied: the best fit was obtained for $k_d = 500$
286 $\text{cm}^3/\text{N/s}$. In Figures 2a-2b, the simulated and measured dam profiles along the centerline at selected times
287 for different values of k_d (300, 400, 500, and $600 \text{ cm}^3/\text{N/s}$) are reported, and stress how much the choice
288 of this parameter can influence the breach evolution. The fact that the proposed model can only reproduce
289 the erosion process can be clearly noticed from this comparison: while experimentally the downstream
290 slope flattens as long as the dam crest is eroded because sediments are deposited at the dam toe,
291 numerically the downhill slope simply retreats due to erosion; nevertheless, the calibrated value for k_d
292 guarantees that the model predicts the eroded dam crest height correctly. The outflow discharge is
293 reproduced reasonably, and the peak value is well predicted, as can be observed in Figure 2c, even if the
294 model, probably due to the differences in the bathymetry towards the end of the simulation (caused by the
295 exclusion of the deposition processes), overestimates the falling limb of the hydrograph. The outflow
296 discharge predicted by the sediment transport model is also reported in Figure 2c for the sake of
297 comparison; the dam erosion is probably too fast in the first stages, and the reservoir emptying is
298 anticipated compared to experimental observations, leading to a lower discharge peak.

299 3.2 2D frontal breaches

300 In this section, a sensitivity analysis on the erosion model parameters is undertaken by simulating
301 two 2D frontal breaches experimental test cases performed at the HR Wallingford laboratory for the
302 IMPACT project (Morris et al., 2005). All tests were carried out in a 50 m long and 10 m wide flume,
303 where an erodible dam was built roughly 36 m downstream from the channel entrance (Figure 3a). Water
304 was allowed into the flume until the reservoir upstream of the dam was filled and the water level
305 exceeded the elevation of a pilot channel carved in the central portion of the dam, thus triggering the
306 breach opening. Tests labelled #2 and #10 were selected, and the main features of these experiments are
307 reported in Table 1. Notably, in Test #2 the dam was built with non-cohesive material (nearly uniform
308 sand with $d_{50} = 0.25 \text{ mm}$), while for Test #10 a cohesive material (clay) was employed; the breach
309 evolution was hence different for the two tests. For the non-cohesive dam, the first phase was similar to

310 the 1D case, and was characterized by uniform erosion on the downhill slope, which retreated and became
311 milder at the dam section corresponding to the pilot channel. On the other hand, for the test with a
312 cohesive dam, headcut erosion was observed on the downhill slope. Then, in both cases, the breach side
313 slopes started to lose stability and bank failures occurred, so that the breach enlarged in time
314 symmetrically; the process ended when the upstream reservoir was almost empty.

315 For both tests, the domain was discretized with square cells of size $\Delta x = \Delta y = 0.05$ m, and
316 Manning's coefficient was set equal to $0.018 \text{ m}^{-1/3}\text{s}$ for Test #2 (as suggested by Wu et al., 2012), and to
317 $0.016 \text{ m}^{-1/3}\text{s}$ for Test #10 (according to Wu, 2013). The time series for the inflow discharge were imposed
318 as upstream boundary condition, while a free outlet condition was set downstream.

319 Test #2 is analyzed first. As in the previous test case, the values of the erodibility parameters were
320 chosen in the range suggested by Hanson et al. (2010) for low clay content soils. In particular, the best fit
321 was obtained with $\tau_c = 10^{-2}$ Pa, and $k_d = 150 \text{ cm}^3/\text{N/s}$. The other model parameters were set as follows:
322 $p = 0.4$, $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$, $\varphi_{c,dry} = 45^\circ$. Figures 3b-3e show the bottom elevation contour maps at selected
323 times, together with the velocity vectors. The absence of deposition downstream can be noticed, but the
324 "hourglass" shape in the first phase of the breach opening and the final top width are well reproduced.

325 The measured and simulated breach top widths in time are reported in Figure 3f. Results obtained
326 with three different values of the erodibility coefficient are compared ($k_d = 100, 150$, and $200 \text{ cm}^3/\text{N/s}$,
327 while all the other parameters are kept constant). The model with $k_d = 150 \text{ cm}^3/\text{N/s}$ is able to reproduce
328 the breach evolution in time quite well. However, regardless of the selected erodibility coefficient, the
329 model underestimates the outflow discharge, as can be observed in Figure 3g. The model slightly
330 anticipates the beginning of the breach enlargement, which is more rapid in the experiments than in the
331 numerical simulations, and this may influence the outflow discharge and the reservoir emptying process.
332 Another possible cause of these discrepancies can be the uncertainty in the position and technique of the
333 discharge measurements (the dam centerline is used as cross-section for the discharge extraction in the
334 numerical simulations, while no information is available as regards the experimental setup).

335 Additional sensitivity analyses were performed on Manning's coefficient, on the critical shear
336 stress, and on the critical angles for slope stability, which are expected to influence the breach
337 enlargement. The values 0.016 and $0.020 \text{ m}^{-1/3}\text{s}$ for Manning's coefficient were investigated. The critical
338 shear stress τ_c was varied to 10^{-3} and 10^{-1} Pa, maintaining all the other parameters constant. The critical
339 angle for slope stability in wet conditions $\varphi_{c,wet}$ (and the angle of deposition φ_{dep}) was changed from 30° to
340 25° and to 35° ; as regards $\varphi_{c,dry}$, the values $40^\circ, 50^\circ, 60^\circ, 70^\circ$ were examined. For all these simulations,
341 the main breach characteristics (final top width, peak discharge) are reported in Table 2, and the relative
342 error with reference to the experimental measurement and to the best-fit simulation is computed. The
343 critical shear stress has negligible influence on the results, as already noticed in the previous test case.

344 Moreover, results are observed not to be much dependent on the “wet” critical angle for slope stability.
345 On the other hand, an increase in the value of $\varphi_{c,dry}$ can reduce the final breach width and the peak
346 discharge. Finally, Manning’s coefficient does not particularly affect the main breach features. In fact,
347 despite the fact that τ increases quadratically with n_f (Equation 6), at the same time velocity magnitude
348 decreases, thus limiting the variation of the bed shear stress.

349 For simulating Test #10, erodibility parameters for a cohesive soil had to be set. Following
350 Hanson et al. (2010), and also considering the formula for the estimation of k_d reported by Wu (2013), the
351 range 0.1-10 $\text{cm}^3/\text{N/s}$ can be considered adequate for the erodibility coefficient value of this kind of
352 material. The critical shear stress τ_c should be set in the range 0.01-1 Pa. The following parameters were
353 selected for the reference simulation: $p = 0.4$, $\tau_c = 0.1$ Pa, $k_d = 5 \text{ cm}^3/\text{N/s}$, $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$, $\varphi_{c,dry} = 45^\circ$.

354 The dynamics of the breach evolution predicted by the model, though much slower because of the
355 reduced erodibility of the dam material, is similar to Test #2. Due to the limitation of the SWE
356 assumptions, the model is not able to predict the headcut erosion observed experimentally; in spite of this,
357 the final top width is well reproduced (Figure 4a), even if the enlargement process is faster than in the
358 measurements. In this case, since the dynamics is much slower than in the case of Test #2, this
359 discrepancy does not influence the reservoir emptying very much. In fact, the outflow discharge fits the
360 one registered during the experiments well (Figure 4b), in both shape and peak discharge.

361 When values of the erodibility coefficient smaller than 5 $\text{cm}^3/\text{N/s}$ were adopted, the breach
362 formation process was initially too slow, and the increase in the water level upstream caused the
363 overtopping of the whole dam (not limited to the pilot channel) and the consequent widespread erosion,
364 which was never observed in the experiments. This probably happens because the initial evolution of the
365 breach is generated by headcut erosion, which cannot be simulated with SWE models. Hence, only one
366 larger value of k_d was considered in the sensitivity analysis ($k_d = 10 \text{ cm}^3/\text{N/s}$), and numerical results for
367 this simulation are compared with the experiments and with the reference simulation in Figure 4. The
368 breach opening is faster than in the reference simulation, but the final top width is still well caught; the
369 overflow discharge presents a slightly different trend, with a sudden initial increase due to the rapid
370 breach erosion and an underestimated peak value. Also for this test case, a sensitivity analysis on the
371 critical shear stress revealed that this parameter does not influence the model predictions (results not
372 shown).

373 3.3 Experimental levee breach

374 The aim of the present test case is to investigate how the erosion model can reproduce the
375 opening of lateral breaches. In particular, one of the field-scale levee breach experimental tests presented
376 by Kakinuma & Shimizu (2014) is considered (Case 4). A 176 m-long and 8 m-wide stretch of the

377 floodway channel of the Tokachi River (Japan), with bottom slope equal to 1/500, was set up by inserting
378 a vertical wall on the left and by substituting a portion of the existing right levee with a 3 m-high erodible
379 dyke, made of sand with $d_{50} = 0.7$ mm, $d_{30} \approx 0.2$ mm, $d_{90} \approx 40$ mm, $\rho_s = 2650$ kg/m³, $p = 0.4$. The levee
380 crest width was equal to 6 m, while the side slopes were both 1V:2H. The inflow discharge was increased
381 until the levee was overtopped just at the location where a notch (with length 3 m and depth 0.5 m) had
382 been previously carved to trigger the breach; the outflow discharge inundated a floodable area specifically
383 arranged (Figure 5a).

384 The grid size was set at 0.5 m, while Manning's coefficient was assumed equal to 0.023 m^{-1/3}s, as
385 suggested by the experimenters. The measured inflow discharge was set as upstream boundary condition,
386 and a rating curve was imposed downstream far enough to avoid disturbances in the water level at the
387 breach site. The following parameters were assumed for the levee material for the erosion model: $\tau_c = 0.5$
388 Pa, $k_d = 80$ cm³/N/s, $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$, $\varphi_{c,dry} = 40^\circ$. The same critical angles were used for the sediment
389 transport model.

390 Experimental observations show that, initially, overflow water starts eroding the downhill slope
391 of the levee, until the top of the front slope is reached, and erosion proceeds downward to the bottom of
392 the levee; then, the breach begins to widen to both sides. However, soon the breach is observed to widen
393 at a much higher rate in the downstream direction than in the upstream direction; this is due to the
394 development of a high-velocity flow band near the downstream end of the breach, and of a dead water
395 area near the upstream end, where sedimentation occurs. The asymmetry of the breach final width with
396 reference to the initial notch position is a typical feature of lateral breaches, in contrast with what is
397 usually observed in frontal dam breach test cases. The sediment transport model is able to capture this
398 process, as can be noticed in Figure 5b, where the bed elevation contour maps at selected times are
399 reported to show the breach evolution. On the other hand, the erosion model, which neglects deposition, is
400 not able to reproduce this process in detail; nevertheless, the asymmetry of the breach widening is still
401 predicted, especially in the first stages (see Figure 5c), even if in a less pronounced way than in the
402 experimental observations towards the end of the process. Despite these differences in the simulation of
403 the erosion process, the two models predict a similar trend for the total breach width, which is slightly
404 underestimated with reference to the experimental data, as can be noticed in Figure 5d. Breach widening
405 seems to start somewhat late in the sediment transport model simulation, but then evolves at a higher rate
406 than in the erosion model simulation. A similar trend can be observed in the outflow discharge time
407 series, reported in Figure 5e. The peak discharge is underestimated by only 4-5% by both models with
408 reference to the measured value.

409 A sensitivity analysis on the erodibility parameters was performed. First, the erodibility
410 coefficient was analyzed, and simulations were repeated assuming $k_d = 40, 60, 80, 100,$ and 120 cm³/N/s

411 ($\tau_c = 0.5$ Pa). The final breach width is observed to increase with erodibility (simulated values are 8, 33,
412 62, 80, and 127 m, respectively). The smallest erodibility value is probably not representative of the levee
413 material, since overtopping does not generate appreciable erosion; on the other hand, the highest
414 erodibility values overestimate the final breach width, also because erosion is predicted along the inner
415 riverbank (upstream of the breach), and this fact was not observed experimentally. As regards the
416 predicted discharges, Figure 5f compares the outflow hydrographs: the arrival time is slightly anticipated
417 for the highest erodibility values, but the final peak discharge is very similar for $k_d \geq 80$ cm³/N/s.

418 The sensitivity to the critical shear stress was also analyzed, and τ_c was varied from 0.1 to 0.5 and
419 1 Pa (maintaining $k_d = 80$ cm³/N/s). The outflow hydrographs obtained from the three simulations are
420 compared in Figure 5g, showing that the arrival time and the peak discharge are only slightly dependent
421 on the critical shear stress.

422 3.4 Levee breach on the Enza River

423 The model was finally employed to simulate the recent flood event that took place on the Enza
424 River (Northern Italy), a tributary of the Po River, in order to verify its applicability to real test cases. A
425 severe flood event followed the prolonged heavy rainfall occurred on the river basin on December 10-11,
426 2017, resulting in the highest water levels ever recorded at all the gauging stations along the river. On
427 December 12 at 05:30 a.m. water started to overtop the right levee near Lentigione di Brescello (Reggio
428 Emilia), initially triggering three very close breaches, which almost merged into a single large one in
429 time. The overtopped part of the levee was 250 m long, and the total final breach width was
430 approximately 160 m, while the widening took about 4 hours. The total flooded area was about 6.3 km²,
431 restricted by the levees of the Enza and Po Rivers, by a road embankment and a channel levee (see Figure
432 6a).

433 The terrain elevation was obtained from a digital terrain model (DTM) with resolution equal to 1
434 m, based on a LiDAR survey of the area. The domain was then discretized with square cells of size 2 m ×
435 2 m (approximately 5 million active cells), but the levee crest elevations were preserved after the down
436 sampling of the original DTM. The roughness coefficient was set equal to 0.05 m^{-1/3}s, after a calibration
437 procedure. The upstream boundary condition is the discharge time series obtained from the conversion of
438 measured water levels at the level gauge station of Sorbolo (whose position is reported in Figure 6a). An
439 experimental rating curve was available, but the presence of the breach is expected to influence the level
440 measurements due to the generation of a drawdown profile, thus “invalidating” the rating curve after the
441 breach opening. For this reason, two different stage-discharge relations (before and after the breach) were
442 used to convert the water levels, following the same procedure described by Vacondio et al. (2016). The

443 discharge hydrograph is shown in Figure 6b, together with the water levels in the Po River, imposed
444 downstream (these were relatively low, and backwater effects were not observed during the event).

445 The levee is built with silt loam with the following texture: sand 15-39%, silt 49-67%, clay 12-
446 18%. The material porosity is equal to 0.4, and the dry specific weight of soil is approximately 1.55
447 Mg/m³. Considering these characteristics, Hanson et al. (2010) suggest an erodibility coefficient in the
448 range 0.5-10 cm³/N/s, while the critical shear stress should be assumed in the range 0.1-1 Pa. Moreover,
449 the formula reported by Wu (2013) for estimating k_d would lead to 2-3 cm³/N/s. The following parameters
450 were then assumed for the levee material for the erosion model: $\tau_c = 1$ Pa, $k_d = 5$ cm³/N/s, $p = 0.4$, $\varphi_{c,wet}$
451 $= \varphi_{dep} = 30^\circ$, $\varphi_{c,dry} = 50^\circ$. The erosion equation was applied only in the cells representing the levee (hence
452 the riverbed is never modified), and the bottom elevation of the levee foundation (assumed equal to the
453 local terrain elevation outside the river) was also specified, in order to prevent erosion below the ground
454 level. Note that erosion can potentially occur anywhere on the levees; the exact position of the breach
455 does not have to be defined a priori, because the opening occurs where the levee is overtopped. Figure 6c
456 reports the longitudinal profile of the (right) levee crest elevation for the 8 km-long stretch of the river
457 downstream of Sorbolo, together with the profiles of the maximum water surface elevations along the
458 river obtained from two different simulations. In the first one the levees are assumed to be non-erodible
459 (bathymetry constant in time in the whole domain), whereas in the second one the bathymetry can change
460 accordingly to Equation (7) and to the bank failure algorithm, leading to the breach formation where the
461 levee is overtopped. In the second simulation, the maximum water levels are lowered due either to the
462 drawdown effect induced by the breach upstream or to the reduced discharge downstream. The maximum
463 observed water levels surveyed after the event are also reported at selected locations, and confirm that the
464 flood propagation along the river is correctly reproduced by the model (Figure 6c). Please note that the
465 water levels (both simulated and surveyed ones) are quite close to the levee crest in different locations,
466 but the levee is actually overtopped only where breaches were observed in the field.

467 Figure 7 shows the breach evolution in terms of contour maps of the bottom elevation at selected
468 times (velocity vectors are also reported). Initially, erosion is not concentrated in a restricted area (as in
469 the previous test case), because water overtops the levee crest along roughly 270 m, and the erosion
470 process appears scattered along this length. However, the levee crest elevation is not regular in this area,
471 hence some low points appear more vulnerable to erosion, and give origin to the development of multiple
472 small breaches, which enlarge in time. The most vulnerable point is just downstream of the levee bend,
473 and the highest velocities are observed there. The breach takes about 3 hours from the beginning of
474 overtopping to reach its final extension, with only small further modifications in the following 2 hours.
475 The total final width is approximately 150 m, separated into five segments along roughly 250 m, the
476 largest of which is 60 m wide. Actually, only three breaches were observed in the field; however, given

477 the uncertainties in boundary conditions, material parameters and terrain elevations, the model correctly
478 predicts the opening of multiple breaches, and captures the overall process quite well. Clearly, any model
479 that only employs geometric relations or internal links between river and floodplain to simulate the breach
480 opening would hardly capture this complex behavior.

481 The actual total flooded area is reported in Figure 8, compared with the simulation results in
482 terms of maximum water depths reached at 07:00 p.m. (December 12); by this time, operations for
483 draining the flooded volume, which are not included in the simulation, had just started.

484 The model sensitivity to erodibility parameters was also analyzed. First, the simulation was
485 repeated with a fixed critical shear stress ($\tau_c = 1$ Pa), and the erodibility coefficient was doubled (10
486 $\text{cm}^3/\text{N/s}$), halved ($2.5 \text{ cm}^3/\text{N/s}$), and further reduced to $1 \text{ cm}^3/\text{N/s}$, in order to explore the whole range of
487 variability of this parameter for the given material. The breach evolution for these simulations is
488 compared in Figures 9a-9i. The erodibility coefficient has a significant impact on both the failure time
489 and the breach evolution. When the value of k_d is reduced, the erosion process is slower and less
490 pronounced. When k_d assumes the smallest value ($1 \text{ cm}^3/\text{N/s}$), the breach evolution takes 6 hours, and is
491 characterized by a generalized erosion along the whole overtopped length. Results of the simulation with
492 $k_d = 2.5 \text{ cm}^3/\text{N/s}$ are similar to the reference case, even if the opening time slightly increases (4 hours).
493 Surprisingly, while an increase in the value of k_d to $10 \text{ cm}^3/\text{N/s}$ reduces the failure time to only 1.5 hours,
494 the total width does not increase compared to the reference simulation. In fact, a single breach is
495 generated: the most vulnerable portion of the levee is eroded very rapidly, and the consequent drop in the
496 water level in the river stops the overtopping and erosion processes along the rest of the levee. A
497 comparison of the breach outflow hydrographs is reported in Figure 9j for these simulations, and shows
498 that, apart from the case with $k_d = 1 \text{ cm}^3/\text{N/s}$ which highly underestimates the outflow discharge (and the
499 total flooded area), for the other values the peak discharge is underestimated by less than 10% compared
500 to the reference simulation, and the peak is observed within ± 0.5 hours. The differences in the total
501 outflow volume are always below 10% compared to the reference simulation, except for the case with the
502 lowest value of the erodibility coefficient, for which the volume difference is over 30%.

503 A sensitivity analysis to the critical shear stress was also performed, changing its value from 1 Pa
504 to 0.1 Pa, and to 10 Pa, maintaining $k_d = 5 \text{ cm}^3/\text{N/s}$, in order to evaluate its influence on the simulation
505 results. The results confirm, similarly to the previous test cases, that the critical shear stress does not
506 influence the breach evolution significantly, especially in the case of a reduced value assigned to this
507 parameter. The adoption of the highest value, on the other hand, results in a slightly slower erosion
508 process and reduced overflowed volume of water (-15%), due to the fact that the predicted bed shear
509 stresses and the critical value are of the same order of magnitude (10^1 Pa). Finally, the sensitivity to the

510 critical angles for slope stability was also analyzed, but their influence on the simulation results is not
511 evident for this test case (results not shown).

512 The flood event was also simulated using the sediment transport model described in Appendix A.
513 The following parameters were assumed for the levee material: $d_{50} = 0.04$ mm, $d_{90}/d_{30} = 10$, $\rho_s = 2650$
514 kg/m³. Sediment transport was allowed only in a wide region around the breach, not only to reduce the
515 computational burden, but also to better compare the two models. A single breach develops rapidly after
516 levee overtopping: the failure time is less than 1 hour, and the final width is 65-75 m (a map of the breach
517 site is reported in Figure 10). Actually, a similar behaviour can be obtained from the erosion model if the
518 erodibility coefficient is increased to 50 cm³/N/s, which however is no longer representative of the levee
519 material. In fact, it must be stressed that a bedload transport model is not expected to describe the
520 complex behavior of the erosion process in a levee built with cohesive material.

521 With regard to the simulation time, the erosion model takes 1.3 h to simulate 2 days of physical
522 time on a P100 Tesla® GPU, resulting in a ratio of physical to computational time equal to 37. Compared
523 to an analogous simulation where the levee breach on the Enza River is modelled using a geometric
524 approach (similarly to Vacondio et al., 2016), the computational overhead is negligible (3%). The
525 sediment transport model takes 2.8 h on the same device (physical/computational time = 17). The good
526 performance of GPU-accelerated models for high-resolution simulations is thus confirmed, and this
527 makes the application of these models to complex real field test cases particularly convenient.

528 **4 Discussion**

529 The main aim of the present work is to introduce a tool able to automatically handle the possible
530 opening of one or more levee breaches due to overtopping in a state-of-the-art 2D numerical code for
531 flood propagation. The need to define the breach dimensions and position as input parameters is avoided
532 by introducing an equation that predicts the bottom erosion wherever the local value of the bed shear
533 stress exceeds a critical value (in the practice, this coincides with the occurrence of levee overtopping).
534 The model was validated by simulating experimental test cases and one real flood event, and the
535 numerical results were in good agreement with the measurements. In particular, the application to the
536 historical test case of December 2017 on the Enza River, presented in Section 3.4, shows that the
537 proposed model can effectively simulate this kind of problems: the breach position is correctly identified,
538 and the opening of multiple breaches can be captured; moreover, the predicted outflow hydrograph from
539 the breach results in a well-reproduced flooded area.

540 Clearly, the proposed model does not capture the breach process in detail, because some of the
541 many interrelated factors influencing the breach dynamics are neglected. The simplifications introduced
542 in the model must be kept in mind (most of them are common to other simplified approaches). Among

543 these, it is worth recalling that a hydrostatic pressure distribution is assumed in the depth-averaged model,
544 while vertical accelerations and streamline curvatures are often non-negligible at the breach site,
545 especially in the case of headcut erosion in cohesive embankments. Moreover, other factors that may
546 increase the bed shear stress or reduce the levee resistance to erosion, such as sediment concentration,
547 infiltration processes, etc., are not considered in the present model. Despite these simplifications, the final
548 breach width and peak outflow discharge are predicted reasonably even in the case of cohesive
549 embankments, as shown by results from Test #10 in Section 3.2. Finally, the model assumes that the
550 eroded material is washed away by the flow (i.e. sediment deposition is not simulated). This may slightly
551 influence the breach configuration, especially in the case of non-cohesive materials (see for example
552 Figure 5), and the effect is more evident for small-scale experimental test cases. However, for real field
553 simulations, this hypothesis does not probably have much impact on the overall process.

554 The influence of the levee material on the erosion process is taken into account by means of two
555 model parameters, the erodibility coefficient and the critical shear stress. Although their definition is not
556 straightforward, some indications from the literature allow identifying the plausible range of variability of
557 their values. The sensitivity analyses performed for several test cases show that the critical shear stress
558 does not influence the model results significantly, because the bed shear stresses largely exceed the
559 critical value during overtopping. On the other hand, the erodibility coefficient must be defined more
560 carefully, especially in the case of non-cohesive material, for which its range of variability can be quite
561 large. The analyses conducted in this study show that the breach width is affected by the value assigned to
562 this parameter, while the outflow hydrograph is somewhat less influenced (as long as the value of k_d is
563 representative of the material type), as can be noticed from results reported in Section 3.3. Similar
564 considerations are true for the case of a cohesive material (see results from Section 3.4). This is
565 encouraging, since the outflow hydrograph is the most relevant outcome of the model when the aim of the
566 simulation is the prediction of the levee breach-induced flooding.

567 In all tests simulated in the present work, the embankment is considered homogeneous, meaning
568 that a unique value of the erosion model parameters is adopted for the whole domain, but different values
569 can easily be assigned to different segments of the levee; scour-dependent parameters could also be used
570 in order to model stratified embankments. In general, however, real levees are heterogeneous, and data
571 concerning the spatial distribution of the material type are often lacking, hence assigning a unique
572 “average” value to the model parameters can be considered reasonable in the practice. A possible
573 enhancement to this approach can be the inclusion of the presence of a grass cover, which protects the
574 levee surface and delays the beginning of the erosion process, reducing the probability of levee failure
575 (Mazzoleni et al., 2017). This effect can be achieved by increasing the critical shear stress, or by adjusting
576 Manning’s coefficient (as done by Viero et al., 2013), during the first stages of overtopping. Finally, a

577 scour-dependent erodibility coefficient could be used to distinguish between the embankment material
578 and the levee foundation material, when this cannot be assumed as non-erodible. These modifications to
579 the proposed model will be considered in future works.

580 Results obtained with the erosion model were also compared with the predictions of a simple
581 sediment transport model that integrates the Exner equation for bedload transport. The main drawbacks of
582 this latter model were found to be not only the greater complexity and computational effort required, but
583 more importantly its inadequacy for simulating the erosion of cohesive levees. Moreover, even in the case
584 of a non-cohesive material, a sediment transport model may overestimate the embankment erosion
585 process. In fact, experimental observations show that a fine sand is more resistant to erosion than a coarse
586 sand, due to its “apparent cohesion” (Evangelista, 2015; Pickert et al., 2011), while bedload transport laws
587 usually assume that the transport capacity increases when the size of particles decreases. More
588 sophisticated sediment transport models could be employed, but only at the cost of introducing a larger
589 number of model parameters and of further increasing the computational time. For these reasons, the
590 employment of this kind of models does not seem justified for simulating the flooding triggered by levee
591 breaches in very large domains.

592 Finally, the efficiency of the proposed model must be stressed. Previous works (e.g. Vacondio et
593 al., 2014) already assessed that a speed-up up to two orders of magnitude can be obtained using GPU-
594 accelerated models (as PARFLOOD) instead of serial codes. The implementation of the proposed erosion
595 model does not degrade the computational efficiency compared to the adoption of a simple geometric
596 approach, and requires a lower computational time than a sediment transport model.

597 **5 Conclusions**

598 In this paper, a 2D SWE code was coupled with an erosion model that allows simulating the
599 opening of levee breaches generated by overtopping, without the need to set the breach position and
600 dimensions as input parameters. The breach evolution was predicted correctly for all tests used for model
601 validation, which concerned both cohesive and non-cohesive materials. Therefore, the model can be
602 particularly useful to create flood hazard maps and to support the design and verification of existing levee
603 systems, also thanks to the high computational efficiency of the GPU implementation.

604

605 **Appendix A. Sediment transport model**

606 A simple bedload transport model was also developed for comparison purposes. The sediment
607 transport model was taken from the literature, and the implementation of Juez et al. (2014) was adopted,
608 also because it can be efficiently coded for GPUs. The model is based on the integration of the Exner
609 equation, hence only bedload transport is considered; moreover, an approach where SWE and the

610 morphodynamic equation are uncoupled is chosen (in order to reduce model complexity). For the
 611 hydrodynamic part, the model described in Section 2.1 is adopted. Here, only the main features of the
 612 sediment transport model are briefly recalled.

613 The bed evolution is described by the 2D Exner equation:

$$614 \frac{\partial z}{\partial t} + \frac{1}{1-p} \frac{\partial q_{s,x}}{\partial x} + \frac{1}{1-p} \frac{\partial q_{s,y}}{\partial y} = 0, \quad (\text{A1})$$

615 where $q_{s,x}$ and $q_{s,y}$ are the bed load discharges in the x and y directions, respectively, which can be
 616 expressed by any sediment transport formula; in this work, Smart equation (Smart, 1984) is adopted:

$$617 \Phi = 4(d_{90}/d_{30})^{0.2} F S^{0.1} \theta^{0.5} (\theta - \theta_c^{SM}). \quad (\text{A2})$$

618 In Eq. (A2), Φ is the dimensionless sediment discharge, computed according to the following expression:

$$619 \Phi = \frac{|q_s|}{\sqrt{g(s-1)d_m^3}}, \quad (\text{A3})$$

620 where $s = \rho_s/\rho$ is the ratio of the material density ρ_s to the water density ρ , and d_m is the mean sediment
 621 diameter. Moreover, Eq. (A2) contains the Shields parameter θ (i.e. the dimensionless shear stress τ),
 622 defined as follows:

$$623 \theta = \frac{\tau}{g(\rho_s - \rho)d_m}, \quad (\text{A4})$$

624 and θ_c^{SM} is its critical value according to Smart (1984); d_{90} and d_{30} are the grain sizes at which 90% and
 625 30% by weight of material is finer, F is the Froude number, and S is the friction slope. Equation (A2) is
 626 applied only when $\theta > \theta_c^{SM}$ (otherwise $\Phi = 0$).

627 The bed elevation is updated as follows:

$$628 z_{i,j}^{n+1} = z_{i,j}^n - \frac{\Delta t}{\Delta x} \left(q_{s,i+\frac{1}{2},j}^* - q_{s,i-\frac{1}{2},j}^* \right) - \frac{\Delta t}{\Delta y} \left(q_{s,i,j+\frac{1}{2}}^* - q_{s,i,j-\frac{1}{2}}^* \right), \quad (\text{A5})$$

629 where q_s^* represents the “sediment” flux at each intercell. Only the computation at the intercell between
 630 cells (i,j) and $(i+1,j)$ is reported in the following (for the other three fluxes, similar expressions can be
 631 used). Flux is calculated as:

$$632 q_{s,i+\frac{1}{2},j}^* = \begin{cases} \frac{1}{1-p} q_{s,x,i,j} & \text{if } \tilde{\lambda}_s > 0 \\ \frac{1}{1-p} q_{s,x,i+1,j} & \text{if } \tilde{\lambda}_s \leq 0 \end{cases}. \quad (\text{A6})$$

633 The “sediment” celerity $\tilde{\lambda}_s$ is estimated as:

$$634 \tilde{\lambda}_s = \frac{\delta q_{s,x}}{\delta z}, \quad (\text{A7})$$

635 where $\delta q_{s,x} = q_{s,x,i+1,j} - q_{s,x,i,j}$, and $\delta z = z_{i+1,j} - z_{i,j}$.

636 The bank failure algorithm presented in Section 2.3 is also added to the model.

637

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644 validation are listed in the references. The input data for the Enza River test case are provided as
645 supplementary material.

646

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803 **Table 1.** Main features for the 2D frontal breach test cases by HR Wallingford (Tests #2 and
804 #10).

Test	#2	#10
Material type	Sand	Clay
d_{50} (mm)	0.25	0.005
Dam height (m)	0.50	0.60
Crest width (m)	0.20	0.20
Side slopes	1V:1.7H	1V:2H
Initial reservoir level (m)	0	0.58
Pilot channel depth (m)	0.02	0.05
Pilot channel width (m)	0.15	0.50

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808 **Table 2.** Sensitivity analysis on the model parameters for Test #2 by HR Wallingford. The
809 reference simulation was performed adopting $n_f = 0.018 \text{ m}^{-1/3}\text{s}$, $\tau_c = 10^{-2} \text{ Pa}$, $k_d = 150 \text{ cm}^3/\text{N/s}$,
810 $\varphi_{c,wet} = \varphi_{dep} = 30^\circ$, $\varphi_{c,dry} = 45^\circ$.

Case	Peak discharge (m ³ /s)	% Error (meas.)	% Error (ref.)	Final top width (m)	% Error (meas.)	% Error (ref.)
Measured	0.91	-	-	3.75	-	-
Reference simulation	0.63	-31%	-	3.73	-1%	-
$k_d = 100 \text{ cm}^3/\text{N/s}$	0.54	-41%	-14%	3.23	-14%	-13%
$k_d = 200 \text{ cm}^3/\text{N/s}$	0.68	-25%	8%	4.01	7%	8%
$\tau_c = 10^{-1} \text{ Pa}$	0.63	-31%	0%	3.73	-1%	0%
$\tau_c = 10^{-3} \text{ Pa}$	0.63	-31%	0%	3.73	-1%	0%
$\varphi_{c,wet} = \varphi_{dep} = 25^\circ$	0.64	-30%	1%	3.65	-3%	-2%
$\varphi_{c,wet} = \varphi_{dep} = 35^\circ$	0.62	-32%	-2%	3.7	-1%	-1%
$\varphi_{c,dry} = 40^\circ$	0.68	-25%	8%	4.15	11%	11%
$\varphi_{c,dry} = 50^\circ$	0.57	-37%	-10%	3.23	-14%	-13%
$\varphi_{c,dry} = 60^\circ$	0.49	-46%	-22%	2.39	-36%	-36%
$\varphi_{c,dry} = 70^\circ$	0.35	-62%	-44%	1.54	-59%	-59%
$n_f = 0.016 \text{ m}^{-1/3}\text{s}$	0.60	-34%	-5%	3.64	-3%	-2%
$n_f = 0.020 \text{ m}^{-1/3}\text{s}$	0.64	-30%	2%	3.81	2%	2%

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813 **Figure 1.** 1D frontal dam breach test. Experimental dam profiles and numerical results with the
 814 sediment transport model, assuming different values for Manning's coefficient n_f (in $\text{m}^{-1/3}\text{s}$), at t
 815 $= 30$ s (a), and $t = 60$ s (b). The dashed line represents the initial dam profile.

816 **Figure 2.** 1D frontal dam breach test. Sensitivity analysis on the erodibility coefficient k_d (in
 817 $\text{cm}^3/\text{N/s}$) with the erosion model: dam profiles at $t = 30$ s (a), and at $t = 60$ s (b), and overflow
 818 discharge (c). The discharge predicted by the Exner based model is also reported in (c) for
 819 comparison. The dashed line represents the initial dam profile.

820 **Figure 3.** Test #2 by HR Wallingford: (a) Sketch of the experimental set-up (dimensions for Test
 821 #10 in brackets). (b)-(e) Simulated breach evolution in time, represented by the bottom elevation
 822 maps at selected times, and velocity vectors map. (f)-(g) Experimental and numerical breach top
 823 width evolution in time (f), and discharge exiting through the breach (g); the inflow discharge is
 824 also represented in (g); numerical results are reported for three different values of the erodibility
 825 coefficient k_d (in $\text{cm}^3/\text{N/s}$).

826 **Figure 4.** Test #10 by HR Wallingford. Experimental and numerical (a) breach top width
 827 evolution in time, and (b) discharge exiting through the breach. The inflow discharge is also
 828 represented. Numerical results are reported for two different values of the erodibility coefficient
 829 k_d (in $\text{cm}^3/\text{N/s}$).

830 **Figure 5.** Levee breach experiment. (a) Sketch of the test set-up. (b-c) Breach evolution in time,
 831 represented by bottom elevation maps at selected times, as predicted by the sediment transport
 832 model (b), and by the erosion model (c). (d) Breach width evolution in time (experimental and
 833 numerical). (e) Discharge exiting through the breach (experimental and numerical). (f-g)
 834 Discharge exiting through the breach: results of the sensitivity analysis on the erodibility
 835 coefficient k_d (in $\text{cm}^3/\text{N/s}$) (f), and on the critical shear stress τ_c (in Pa) (g). The inflow and
 836 outflow discharge time series in the channel are also reported in panels (e-f-g).
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838 **Figure 6.** Levee breach on the Enza River. (a) Sketch of the study area: the Enza and Po Rivers
 839 (blue), the levees (yellow), the breach location (red), the main residential and industrial areas
 840 (black), and the flooded area (cyan) are identified on an orthoimage. (b) Discharge time series
 841 imposed as upstream boundary condition, and water levels imposed downstream. (c)
 842 Longitudinal profile of the (right) levee crest elevation and of the maximum water surface
 843 elevations obtained from simulations with and without the breach erosion. The maximum
 844 surveyed water levels at selected locations are also reported for comparison.

845 **Figure 7.** Levee breach on the Enza River. Contour maps of the simulated bottom elevation and
 846 vector maps of the velocity in the breach zone at selected times: (a) 0.5 h, (b) 1 h, (c) 1.5 h, and
 847 (d) 5 h after the beginning of overtopping. Only one vector out of 9 is represented for the sake of
 848 clarity. The red contour line identifies the wet/dry front.

849 **Figure 8.** Levee breach on the Enza River. Contour map of the simulated maximum water depth
 850 (up to 07:00 p.m., December 12), and actual total flooded area (white line).

851 **Figure 9.** Levee breach on the Enza River: sensitivity analysis on the erodibility coefficient. (a)-
 852 (i) Contour maps of the bottom elevation in the breach zone at selected times for the different

853 simulations: (a)-(c) $k_d = 10 \text{ cm}^3/\text{N/s}$; (d)-(f) $k_d = 2.5 \text{ cm}^3/\text{N/s}$; (g)-(i) $k_d = 1 \text{ cm}^3/\text{N/s}$. (j) Simulated
854 outflow hydrographs from the breach for different values of the erodibility coefficient k_d
855 (expressed in $\text{cm}^3/\text{N/s}$).

856 **Figure 10.** Levee breach on the Enza River. Contour map of the final bottom elevation in the
857 breach zone obtained from the sediment transport model.