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Large-scale temporo-parieto-frontal networks for motor and cognitive motor functions in the primate brain.

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Abstract: The extent to which neural circuits and mechanisms underlying sensory, motor, and cognitive cortical functions in the human brain are shared with those of other animals, especially non-human primates, is currently a key issue in the field of comparative neuroscience. Cortical functions result from the conjoint function of different, reciprocally connected areas working together as large-scale functionally specialized networks, which can be investigated in human subjects thanks to the development of non-invasive functional and connectional imaging techniques. In spite of their limitations in terms of spatial and temporal resolution, these techniques make it possible to address the issue of how and to what extent the neural mechanisms for different cortical functions differ from those of non-human primates. Indeed, 30 million years of independent evolution have resulted in significant differences between the brains of humans and macaques, which are the experimental model system phylogenetically closest to humans for obtaining highly detailed anatomical and functional information on the organization of cortical networks. In the macaque brain, architectonic, connectional, and functional data have provided evidence for functionally specialized large-scale cortical networks involving temporal, parietal, and frontal areas. These networks appear to play a primary role in controlling different aspects of motor and cognitive motor functions, such as hand action organization and recognition, or oculomotor behavior and gaze processing. In the present review, based on the comparison of these data with data from human studies, we will argue that there is clear evidence for human counterparts of these networks. These human and macaque putatively homolog networks appear to share phylogenetically older neural mechanisms, which, in the evolution of the human lineage, could have been exploited and differentiated, resulting in the emergence of human-specific higher-order cognitive functions. These considerations are fully in line with the notion of "neural reuse" in primate evolution.



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DI PARMA**

**DIPARTIMENTO DI MEDICINA  
E CHIRURGIA**

Dear Karl,  
Dear Michel,

We are submitting to you a revised version of the manuscript CORTEX-D-18-00433 entitled “Large-scale temporo-parieto-frontal networks for motor and cognitive motor functions in the primate brain” for publication in the forthcoming Cortex Special Issue dedicated to the evolution of the mind and the brain.

In revising the manuscript, all the comments and suggestions of the reviewers have been carefully taken into account. In the Responses to Reviewer section, we describe in details the way in which we have responded to the comments of the referees.

We hope that, after these revisions, the present study can be considered acceptable for publication.  
Best wishes,

Giuseppe

**Large-scale temporo-parieto-frontal networks for motor and cognitive motor functions in the primate brain**

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We sincerely thank the Reviewers for taking their time in reviewing our manuscript and for their positive comments. Their comments and suggestions have been very helpful for improving and examining more in depth the coverage of the topic of the present review.

The following is the detailed description of the way in which the comments of the Reviewers have been addressed.

**Reviewer #1:**

**Comment**

P. 6 L.2 There is a typo: "outstanding" should be "understanding".

**Response**

Done

**Comment**

P. 6 last paragraph: The authors state that: "The aim is to see to what extent cortical networks and mechanisms identified in the macaque brain are shared with the human brain and to what extent some cognitive...". What exactly is meant by "mechanisms" here? Please elaborate, since it is not so clear at the current stage.

**Response**

We specified that by "mechanisms" we meant "neural mechanisms".

**Comment**

The authors elaborate on certain large-scale networks. To this end, they review connectional, functional and certain cytoarchitectonic data. However, the definition of the networks and what exact criteria makes them distinct is lacking, or rather, is mostly defined on subjective qualitative criteria. How can we quantify the distinctness of networks? What would be a way forward for a network taxonomy beyond subjective qualitative criteria? The authors have performed a quantitative study (Caminiti et al 2017) and some of the currently discussed networks indeed appear as separate clusters in the aforementioned study. However, some areas (46v, 12r) that are currently parts of both networks, appear only in one (the green) in Caminiti et al. 2017. Should these areas be part of one network, more and why? Please elaborate on these issues.

**Response**

In the Introduction (Page 1, second and third para.) we have addressed in more detail the issue of the definition and organization of functionally specialized cortical networks. Specifically, we have noted that, considering that any cortical area can take part to different functionally specialized networks with a variable anatomical and functional selectivity, the composition of a given network could vary, based on the sets of data and criteria used for its definition.

We are sorry for some possible ambiguities about the involvement of areas 46v and 12r in the two networks. Actually, in the manuscript it is reported that both these areas are connectionally not homogeneous: a caudal sector of both the areas is connected with oculomotor areas, whereas a middle sector is connected with grasping-related areas. In the revised manuscript, we tried to improve the description of these data (page 8, lines 2-5) and the distinction between different VLPF sectors is now shown also in the figures. In the cluster analysis of Caminiti et al. (2017), which was based on the overall cortical connectivity pattern of each individual area, the caudal parts of areas 46v and 12r (c46vc and c12r in Caminiti et al.) and the "middle" part of these areas (r46vc and i12r in Caminiti et al.) resulted to belong to different clusters (posterior vs. ventral orbital prefrontal cluster, respectively).

**Comment**

As mentioned, the link with the mental continuity theory is rather suggestive. Moreover, there are certain evidence for the existence of new areas in humans. Of course, as the authors point out, this

is not easy to establish. It would be of use to enhance the clarity and impact of the review to sketch out a bit more in detail the premises of the mental continuity theory and then more explicitly state why the current evidence presented in the review enhance it. The authors might also find relevant the Neural Reuse theory of Anderson (Anderson, 2010). I have not elaborated on how the two theories map to each other, but they have a very large overlap.

### **Response**

We thank the Reviewer for suggesting to consider the Neural Reuse theory of Anderson. In the revised manuscript, this theory has been discussed in the context of mental continuity and development of human-specific mental functions (Pages 20 and 21). The experimental data presented in the manuscript are now discussed in the light of this theory in the concluding remarks.

### **Comment**

The review closes a bit abruptly. Please add a concluding paragraph with the key points that the authors would like to convey to the reader.

### **Response**

In revising the manuscript, we have reorganized the final part. There is now a concluding part in the manuscript focusing on the key points of the article, that is that some sensorimotor circuits for grasping or oculomotor control have been conserved in primate evolution and reused for generating human-specific functions.

## **Reviewer #2**

### **Comment**

Compares networks defined using different techniques

Although the authors mention that the homologues are also defined based on the similarities in functional connectivity across species, their review mostly relies on human neuroimaging studies to identify the functional homologues. There are studies that try to map similarities and differences between species explicitly using the same technique. A discussion of the same is warranted, especially since some of these studies examine the networks being considered in the review: Vincent et al., 2007 Nature for parietal-frontal networks; Babapoor-Farrokhran et al. 2013 J Neurophysiology for oculomotor system; Neubert et al., 2014 Neuron for premotor cortex.

### **Response**

We think that functional connectivity can undoubtedly be very helpful for describing dynamic interactions between areas which, based on anatomical data, are known to be connected each other. In the manuscript, in several instances, this type of experimental data have been reviewed. In the introduction we have mentioned that this approach has been proposed as a possible tool for mapping large-scale networks in the human brain (Page 3, end of first para.). However, we have also mentioned that there is clear evidence that functional connectivity is related to, but distinct from, anatomic connectivity and, thus, it does not appear capable of providing truthful pictures of cortical networks as properly defined (Page 3, end of second para.). Just for example, Babapoor-Farrokhran et al. reported functional connectivity of the medial FEF with several areas including parietooccipital areas V6 and V6A, superior parietal areas MIP and 5d and, even more surprising, with the primary somatosensory cortex and the dorsal part (leg representation?) of the primary motor cortex. All these connections have never been reported in connectional studies based on neural tracers and are quite difficult to explain from the functional point of view. Similar examples could be find in the study of Neubert et al, as well as in virtually all studies of this type. Note that our criticisms concern only the validity of this approach for defining networks of interconnected areas and connectionally distinct brain regions.

### **Comment**

Temporal lobe - discussion necessary

There is evidence for a substantial expansion and reorganization of the temporal lobe in the human brain. Many of the areas responsible for processing eye gaze information are located in a different place in the temporal cortex and their homologues remain controversial (see work by Doris Tsao, Andrew Bell, and David Perrett). The volume of literature examining this deserves recognition and discussion in the review.

**Response**

In revising the manuscript, we have noted that because of the great expansion of the rostral temporal cortex it is quite difficult to infer possible homologies between human and macaque temporal areas based on topological criteria (Page 14). However, in our review, we have based our comparative observations on a large number of functional studies that have suggested homologies of some specific regions of the human temporo-occipital cortex with some specific macaque temporal areas (Page 14). The works of Tsao and colleagues and Bell and colleagues have been now cited.

**Comment**

Minor suggestions for figures

Although the focus is on the large-scale network, homologue areas across species can be highlighted with the same color for a quick reference.

Given that the list of areas is "non exhaustive", it will be useful to mention the same in the figure caption.

**Response**

The Figures have been modified according to the suggestions of the Reviewer.

**Large-scale temporo-parieto-frontal networks for motor and cognitive motor functions in the primate brain**

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## ABSTRACT

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4 The extent to which neural circuits and mechanisms underlying sensory, motor, and cognitive  
5 cortical functions in the human brain are shared with those of other animals, especially non-human  
6 primates, is currently a key issue in the field of comparative neuroscience. Cortical functions result  
7 from the conjoint function of different, reciprocally connected areas working together as large-scale  
8 functionally specialized networks, which can be investigated in human subjects thanks to the  
9 development of non-invasive functional and connectional imaging techniques. In spite of their  
10 limitations in terms of spatial and temporal resolution, these techniques make it possible to address  
11 the issue of how and to what extent the neural mechanisms for different cortical functions differ  
12 from those of non-human primates. Indeed, 30 million years of independent evolution have resulted  
13 in significant differences between the brains of humans and macaques, which are the experimental  
14 model system phylogenetically closest to humans for obtaining highly detailed anatomical and  
15 functional information on the organization of cortical networks. In the macaque brain, architectonic,  
16 connectional, and functional data have provided evidence for functionally specialized large-scale  
17 cortical networks involving temporal, parietal, and frontal areas. These networks appear to play a  
18 primary role in controlling different aspects of motor and cognitive motor functions, such as hand  
19 action organization and recognition, or oculomotor behavior and gaze processing. In the present  
20 review, based on the comparison of these data with data from human studies, we will argue that  
21 there is clear evidence for human counterparts of these networks. These human and macaque  
22 putatively homolog networks appear to share phylogenetically older neural mechanisms, which, in  
23 the evolution of the human lineage, could have been exploited and differentiated, resulting in the  
24 emergence of human-specific higher-order cognitive functions. These considerations are fully in  
25 line with the notion of “neural reuse” in primate evolution.  
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### *Keywords:*

46 Comparative neuroscience; Evolution; Grasping; Oculomotor control; Gaze perception  
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## 1. Introduction

One long-debated key issue of comparative neuroscience is to what extent neural circuits and mechanisms underlying sensory, motor, and cognitive cortical functions in the human brain are shared with those of other animals, especially non-human primates.

The general view of the organization of the neural substrate for a given brain function has largely evolved over the years. The most accepted current view is that cortical functions are not localized to specific regions as supported by localizationism, but result from the conjoint function of different, reciprocally connected areas working together as large-scale functionally specialized networks (see, for example, Bressler & Menon, 2010; Catani et al., 2012a).

There are different views on the organization of these networks and on the way in which they could operate (for reviews on this issue, see Anderson, 2010; Bergeron, 2007; Caminiti et al. 2017; Sporns, 2013). According to a modular view, functionally specialized networks are structurally and functionally distinct entities operating largely independently with one another. However, very often individual regions or even areas appear to be engaged in different functions. Furthermore, data from animal models indicate that the connectional structure of the cortex supports complex patterns of interareal interaction promoting widespread influences among cortical areas. Accordingly, any area could be responsible of specific information processing operations that could be used for different functions, taking part in different functionally specialized large-scale networks.

Thus, the identification of these networks requires multidisciplinary integration of structural and functional data in order to extract from the general pattern of cortical connectivity those connections that could mediate dynamic interactions between different areas contributing to a specific function. In this context, it is noteworthy that, as the degree of anatomical and functional selectivity varies across areas, the composition of a given network could vary based on the sets of data and criteria used for its definition.

Based on this conceptual framework it becomes clear that understanding the neural circuits and mechanisms underlying specific cortical functions requires multimodal experimental approaches aiming to define the following: i) the exact localization and extent of the areas possibly involved in a specialized network; ii) the existence of connections among these areas, and iii) their possible specific functional contribution. All together, these data can provide comprehensive pictures of large-scale functionally specialized cortical networks in terms of nodes and edges (Sporns, 2013), possible flows of information processing, and neural mechanisms from which a given function can emerge.

1 In recent years, the development of non-invasive functional and connectional imaging techniques  
2 has made it possible to address the definition of large-scale, functionally specialized networks in the  
3 human brain. Indeed, functionally distinct cortical sectors can be identified using functional  
4 magnetic resonance imaging (fMRI), and brain connectivity can be investigated using diffusion-  
5 weighted MRI (dMRI). **Furthermore, functional connectivity MRI has recently been proposed as a**  
6 **possible tool for mapping large-scale networks in the human brain.**

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10 However, a detailed definition of cortical networks in the human brain is still prevented by  
11 several limitations of these techniques. First, fMRI is limited in spatio-temporal resolution and  
12 gives indirect information of neuronal activity only at the macroscale level. Further, though  
13 multimodal techniques allow detailed post-mortem architectonic studies of the human cortex (see,  
14 Amunts & Zilles, 2015), the areal attribution of functional data obtained in living subjects can be, at  
15 best, based on probabilistic architectonic maps, which, to some extent, prevents univocal anatomo-  
16 functional correlations of experimental data. Second, recent studies in which well-known cortical  
17 pathways have been traced in macaques with dMRI have seriously questioned the technique's  
18 validity for precise in vivo tracing of point-to-point connectivity (Reveley et al., 2015; Thomas et  
19 al., 2014). Third, several observations have been made that functional connectivity is related to, but  
20 distinct from, anatomic connectivity, as it could be subserved by polysynaptic, as well as  
21 monosynaptic, anatomical circuits, and can be modulated by the task performed by the subjects or  
22 several other factors differently from structural connectivity (Biswal et al., 2010; Buckner et al.,  
23 2013). **Thus, at present, this technique does not appear capable of providing truthful comprehensive**  
24 **pictures of large scale functionally specialized networks as properly defined.**

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38 In spite of these limitations, the exploitation of these techniques has made it possible to make  
39 comparative observations, thus addressing the issue of how and to what extent the neural  
40 mechanisms for different cortical functions differ across different primate species. These  
41 observations are essential for assessing the extent to which detailed functional and connectional  
42 data from non-human primate studies can be used for explaining the neural mechanisms of the  
43 human brain. Indeed, 30 million years of independent evolution have resulted in significant  
44 differences between the brains of humans and macaques, which are the experimental model system  
45 phylogenetically closest to humans for obtaining highly detailed anatomical and functional  
46 information (see, e.g., Passingham, 2009; Sereno & Tootell, 2005).

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1 selective expansion is considered the neural basis for the outstanding cognitive capabilities of  
2 humans (see, e.g., Chaplin et al., 2013; Passingham, 2009; Rilling et al., 2012; Sereno & Tootell,  
3 2005).  
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5 However, it appears that the association cortex expanded in a predictable manner in primate  
6 evolution (see Preuss, 2011). Indeed, the human brain is not exceptional in its cellular composition,  
7 as it contains as many neurons as would be expected for a primate brain of human size (Herculano-  
8 Houzel, 2009), and the human frontal cortex is not larger than expected for a primate brain of  
9 human size (Semendeferi et al., 2002). Comparative observations also showed that the expansion of  
10 the cortex in simian primates of different brain size correlates with a disproportionate expansion of  
11 some association areas typically involved in complex cognitive and behavioral functions (Chaplin et  
12 al., 2013). Furthermore, Hill et al. (2010), by comparing human and macaque cerebral cortices,  
13 found that the pattern of human evolutionary expansion is remarkably similar to the pattern of  
14 human postnatal expansion, suggesting that those association areas which disproportionately  
15 expanded in primate evolution are those that mature later in human postnatal development. Finally,  
16 recent comparative observations showed a relationship between structural interindividual variability  
17 and evolutionary expansion in the primate brain in which regions that show a higher degree of  
18 variability in a series of MRI measures of grey and white matter are those that could have evolved  
19 more recently (Crosson et al., 2017). Accordingly, our understanding of cognitive capabilities could  
20 be simply due to the fact that our brain has a lot more association cortex in absolute terms than do  
21 other non-human primates (Preuss, 2011).  
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36 In line with these comparative evolutionary observations, a large body of experimental evidence  
37 has been provided supporting the notion that the monkey and human brain share common plans of  
38 anatomical and functional organization of sensory, motor, and cognitive functions likely inherited  
39 from the last common ancestor shared by modern humans and macaques (Caminiti et al., 2015;  
40 Geyer et al., 2000; Mantini et al., 2013; Orban et al., 2004).  
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45 In recent years, based on anatomical and functional data, we have provided evidence for  
46 functionally specialized large-scale cortical networks of the macaque brain involved in controlling  
47 different aspects of motor and cognitive motor functions. In the present review article, these data  
48 will be used to make comparative considerations, based on anatomical and functional data obtained  
49 in human studies. The aim is to see to what extent cortical networks and neural mechanisms  
50 identified in the macaque brain are shared with the human brain and to what extent some cognitive  
51 motor human-specific abilities could be the result of the exploitation and differentiation of neural  
52 mechanisms of the macaque brain.  
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## 2. A large-scale cortical network for controlling purposeful hand actions and for action recognition in the macaque

Fig. 1 shows the architecture of a large-scale temporo-parieto-frontal network of the macaque brain providing a possible substrate for interfacing perceptual, cognitive, and hand-related sensorimotor processes for controlling hand actions based on object identity, goals, and memory-based or contextual information. This network has been designated as *lateral grasping network* (Borra et al., 2017b).

The network is centered on a parieto-frontal circuit linking the two hand-related visuomotor areas F5 and AIP, located in the ventral premotor cortex (PMv) and in the inferior parietal lobule (IPL), respectively. This circuit plays a crucial role in mediating visuomotor transformations for grasping, in which visual coding of the object's physical properties (e.g., size, shape, orientation) automatically leads to the activation of potential motor acts appropriate for hand-object interactions (Jeannerod et al., 1995; Rizzolatti & Luppino, 2001). This process, also referred to as "affordances extraction" (see, e.g., Fagg & Arbib, 1998), primarily relies on visual coding of the object's physical properties, carried out along the occipito-parietal visual information processing pathway designated as the "dorsal visual stream" (Sakata et al., 1997). However, area AIP is also robustly connected to sectors of the inferotemporal cortex (Borra et al., 2008), located at the highest hierarchical levels of the occipito-temporal visual information processing pathway designated as the "ventral visual stream" and involved in object discrimination and recognition (see, e.g., Tanaka, 1996). One of these sectors, located in area TEa/m of the lower bank of the superior temporal sulcus, is part of a component of the ventral visual stream specifically dedicated to three-dimensional (3D) object and action processing (Orban et al., 2014). These temporal connections of area AIP provide the substrate for extraction of object affordances, carried out by the AIP-F5 circuit, based on information related not only to the intrinsic properties, but also to the identity of the object target of the action. Furthermore, they could provide the access of signals related to motor and haptic representations of hand actions to the representations of object identity, thus playing a role in the neural mechanisms underlying tactile object recognition.

Area F5 is robustly connected with two other parietal areas, the visuomotor hand-related area PFG of the IPL convexity and the hand field of the higher order somatosensory area SII of the parietal operculum. In area PFG, grasping-related activity appears to be influenced by the context in which the action is performed, possibly reflecting sequential action organization according to its goal or motor intention (Bonini et al., 2010, 2011, 2012; Fogassi et al., 2005). Furthermore, the finding that area PFG grasping neurons can integrate information on both grip type and action goal

1 suggests that this IPL area encodes information about both “how” and “why” each motor act has to  
2 be done (Bonini et al., 2012). In area SII, the presence of neurons in the hand field preferentially  
3 responsive to proprioceptive input and often responding well to active movements, especially when  
4 grasping objects, suggests this area plays a role in the somatomotor transformations for object-  
5 oriented hand actions and in haptic processing of object shapes (Fitzgerald et al., 2004).  
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9 The PMv area F5 and the two IPL areas AIP and PFG also host another class of visuomotor  
10 hand-related neurons—designated as “mirror neurons”— which activate during the execution of  
11 hand motor acts, as well as during the observation of similar acts done by others (Gallese et al.,  
12 1996; Rizzolatti et al., 1996). This neural activity has been interpreted as the result of visuomotor  
13 transformations in which observed actions are mapped on their corresponding internal motor  
14 representations. It has been suggested that these neurons are part of an observation-execution  
15 matching system (mirror system) which is the basis for the ability to recognize others’ goal-directed  
16 motor acts (see Rizzolatti et al., 2014). There is evidence that visual information on observed  
17 actions can be provided to the PMv-IPL components of the mirror system through the temporal  
18 connectivity of areas AIP and PFG. Specifically, fMRI data obtained in awake macaques (Nelissen  
19 et al., 2011) showed that action observation activates cortical sectors located in both the ventral and  
20 the dorsal banks of the STS. One sector, located in the ventral bank, corresponds to the TEa/m  
21 sector connected to area AIP. Another sector, located in the upper bank of the STS, corresponds to a  
22 sector of the superior temporal polysensory (STP) area connected to the PFG. Area STP is a higher-  
23 order multisensory area which integrates information within and across modalities (Baylis et al.,  
24 1987; Bruce et al., 1981) and hosts visual neurons (see Carey et al., 1997), coding biological  
25 motion, differentiating between self-produced actions and actions made by others, and coding the  
26 intentionality of actions (Jellema et al., 2000; Jellema & Perrett, 2003), suggesting a role in social  
27 cognition. Based on these data, Nelissen et al. (2011) suggested that visual action information,  
28 encoded in the STS, is forwarded to parietal areas of the mirror system along a TEa/m-AIP pathway  
29 which could provide visual descriptions of the type and immediate goal of hand actions made by  
30 others, and along a STP-PFG pathway which could be involved in extracting the intention behind  
31 the observed motor act. It is also noteworthy that the SII region hosts visually responsive neurons,  
32 active during the observation of human actions or objects, suggesting that this area has a role in  
33 multisensory integration for motor control and in action recognition (Hihara et al., 2015).  
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36 All these parietal areas and the anterior sector of area F5 (F5a) are also differentially connected  
37 with specific sectors of the areas 46v and 12r, located in the ventrolateral prefrontal cortex (VLPF).  
38 The prefrontal cortex is a large, heterogeneous region considered, as a whole, to be critically  
39 involved in the so-called “executive functions”, a term that, in general, refers to those mechanisms  
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1 by which behavioral performance is optimized in situations requiring cognitive processes (see Tanji  
2 & Hoshi, 2008). Recent data provided evidence of rostrocaudal connectional gradients in the VLPF,  
3 in which the caudal part is primarily connected with inferior parietal and prearcuate oculomotor  
4 areas, the middle part with parietal and frontal sensorimotor areas, and the rostral part primarily  
5 with other prefrontal areas (Borra et al., 2011; Gerbella et al., 2010, 2013). Functional studies have  
6 indeed shown that cells active in tasks requiring oculomotor responses (e.g., Averbeck et al., 2006;  
7 Boch & Goldberg, 1989; Ichihara-Takeda & Funahashi, 2007) and the execution of arm/hand  
8 responses (Bruni et al., 2015; Hoshi et al., 1998, 2000; Requin et al., 1990; Simone et al., 2015)  
9 tend to be located more caudally and more rostrally in the caudal VLPF, respectively. In the context  
10 of the *lateral grasping network*, area 46v could be involved in selecting, monitoring, and updating  
11 object-oriented hand actions based on behavioral goals and guiding rules and current, memorized,  
12 or working memory information on motor programs. Furthermore, area 12r, which is robustly  
13 connected with the same sector of area TEa/m which is connected to area AIP (Borra et al., 2011),  
14 is a possible substrate for integration of the ventral visual stream with sensorimotor hand-related  
15 information in the prefrontal cortex. Thus, in the intermediate part of area 12r, the retrieval,  
16 retention, and manipulation of information on objects or hand-object interactions could be finalized  
17 to the control of object-oriented hand actions and to tactile object recognition. The finding that, in  
18 this VLPF hand-related sector, there are neurons which respond to the observation of goal-directed  
19 actions also suggests this sector's participation in the action observation-execution matching system  
20 (Simone et al., 2017).

21 Finally, all the various parietal, premotor, and VLPF hand-related areas are connected with a  
22 specific sector located relatively dorsally in the dysgranular insula (see Borra et al., 2017b). This  
23 sector appears to overlap, at least in part, with an insular zone from which intracortical  
24 microstimulation evokes hand movements (Jezzini et al., 2012). This specific insular sector is a  
25 possible source of signals related to internal states (Ibañez et al., 2010) modulating the control of  
26 hand actions.

27 Based on these data, a model has been proposed in which motor programs of “potential” hand  
28 motor acts are first activated in area F5 as a consequence of fast visuomotor transformations, and  
29 then selected based on behavioral goals, contextual information, and memorized information on  
30 object identity and properties (Borra et al., 2017b). The selected hand motor acts can then be put  
31 into action through the robust connections of the posterior sector of F5 (F5p), from which  
32 intracortical microstimulation evokes hand movements at relatively low current thresholds, with the  
33 hand field of the primary motor area F1 (Borra et al., 2010). There is evidence that the projections  
34 from F5p to F1 could provide the substrate for generating the various muscle synergies

(movements) represented in F1 which are necessary for executing the motor act selected at the level of F5 (Cerri et al., 2003; Prabhu et al., 2009; Shimazu et al., 2004; Umilta et al., 2007). However, F5p is also a source of projections to the brainstem and the spinal cord, suggesting a contribution by this area to the generation and control of hand movements in parallel with the hand field of F1 (Borra et al., 2010).

The lateral grasping network, as shown in Fig. 1, is not exhaustive. First, there is connective evidence for the participation in this network of other components, such as the granular frontal opercular area GrFO. Second, there are areas which, based on their premotor connectivity, appear to contribute almost equally well to more than one network, suggesting a more general role in motor control. One of these areas is the medial premotor area F6 (pre-SMA), involved in higher-order aspects of motor control (see, e.g., Picard & Strick, 2001; Nachev et al., 2008), which could play a role in forwarding signals which transform potential actions into actual movements and determine movement onset, and in controlling the temporal organization of motor programs (see Ridderinkhof et al., 2011; Rizzolatti et al., 2014). This area also hosts neurons selectively encoding others' actions and neurons showing activity increase associated with another's errors, suggesting involvement in action recognition (Yoshida et al., 2011, 2012).

### **3. Cortical networks for explorative and communicative oculomotor behavior in the macaque**

In addition to the lateral grasping network, there is evidence for another large-scale temporo-parieto-frontal network, in which the various nodes are linked through "dorsal" temporo-parieto-frontal and "ventral" temporo-frontal pathways, which could play a crucial role in controlling some aspects of oculomotor behavior.

As shown in Fig. 2, this network is centered on a parieto-frontal circuit linking two visually responsive oculomotor areas: the lateral intraparietal (LIP) area located in the lateral bank of the intraparietal sulcus just caudal to area AIP and the frontal eye field (FEF) located in the anterior bank of the arcuate sulcus. This circuit plays a crucial role in visuomotor transformations for controlling saccadic eye movements and in the orientation of spatial attention (see Lynch & Tian, 2006; Wardak et al., 2011). The FEF, defined as the arcuate bank sector from which intracortical microstimulation evokes saccades at relatively low current thresholds, displays a topographic organization in which smaller and larger amplitude saccades are evoked from more ventral and more dorsal sites, respectively (Bruce et al., 1985). The FEF is also connected with the supplementary eye field (SEF), located rostrally in the dorsal premotor cortex, and with several caudal prefrontal areas, including areas 8r, 45B, and 45A, and the caudal sectors of areas 12r, 46v,



1 and 46d (Gerbella et al., 2010; Huerta et al., 1987; Schall et al., 1995; Stanton et al., 1993, 1995).  
2 All these caudal prefrontal areas/sectors are, in turn, connected to the SEF and, except for caudal  
3 12r and 45A, to area LIP (Borra et al. 2011, 2017b; Gerbella et al., 2010, 2013). Thus, all these  
4 areas appear involved in the oculomotor frontal system. Furthermore, the FEF and the various  
5 caudal prefrontal oculomotor areas are provided with an access to brainstem oculomotor centers  
6 (Borra et al., 2015).  
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10 As for the parieto-frontal circuitry involved in controlling hand actions, the visuomotor  
11 transformations for controlling oculomotor behavior also appear to rely not only on input from  
12 dorsal visual stream areas, but also on input from both the lower and the upper banks of the STS,  
13 which appear to differentially distribute in the parieto-frontal oculomotor circuitry. Specifically,  
14 area LIP, the ventral part of the FEF, areas 45B and caudal 12r are connected to several  
15 inferotemporal sectors of the lower bank of the STS and of the inferotemporal convexity cortex  
16 (Blatt et al., 1990; Cavada & Goldman-Rakic, 1989; Gerbella et al., 2010; Schall et al., 1995;  
17 Stanton et al., 1995). One of these sectors, located in the ventral bank of the STS, is just caudal to  
18 the sector involved in the lateral grasping network. This sector is part of the ventral visual stream  
19 component specifically dedicated to 3D object and action processing (Denys et al., 2004; Nelissen  
20 et al., 2011) and also activates during the execution of visually guided eye movements (Ward et al.,  
21 2015). Accordingly, it is possible to define a large-scale temporo-parieto-frontal network involving  
22 area LIP, ventral FEF, area 45B, and caudal TEa/m, where visuospatial dorsal visual stream  
23 information and ventral visual stream information on objects and actions could be used for guiding  
24 small-amplitude saccades.  
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29 Indeed, area LIP, the ventral part of the FEF, and area 45B host neurons showing shape  
30 selectivity, encoding non-spatial attributes of the stimuli, and activating during the observation of  
31 two-dimensional (2D) shapes, thus likely reflecting input from ventral visual stream areas (Peng et  
32 al., 2008; Sereno & Maunsell, 1998; Toth & Assad, 2002). Furthermore, fMRI data have revealed  
33 area 45B activation for the observation of objects, faces, and actions (Denys et al., 2004; Nelissen et  
34 al., 2005; Tsao et al., 2008b). Though the functional properties of area 45B still remain to be fully  
35 elucidated, fMRI (Premereur et al., 2015) and 2-deoxyglucose (Moschovakis et al., 2004) data have  
36 shown activation during the execution of saccades, fitting well with the proposed affiliation of this  
37 area with the oculomotor frontal system, as indicated by its connectivity pattern. It has proposed  
38 that area 45B is a “pre-oculomotor” area involved in guiding the exploration of visual scenes for the  
39 perception of objects, actions, and faces (Gerbella et al., 2010). Other caudal prefrontal oculomotor  
40 areas connected to LIP and the ventral part of the FEF (8r, caudal 46v), as well as caudal 12r, could  
41 play a role in executive functions aimed at the control of small saccades and could contribute to the  
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1 suggested role of area LIP in representing salience maps combining visual information with  
2 cognitive factors, such as behavioral context, task difficulty, or reward information (Wardak et al.,  
3 2010).  
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5 Connectional data provide evidence for a further partially overlapping large-scale oculomotor  
6 network involving area STP and the fundal STS area IPa, which are connected to area LIP, the  
7 dorsal part of the FEF, the SEF, and area 45A (Blatt et al., 1990; Cavada & Goldman-Rakic, 1989;  
8 Gerbella et al., 2010; Huerta & Kaas, 1990; Luppino et al., 2001; Saleem et al., 2014; Stanton et al.,  
9 1995). As mentioned above, area STP is involved in processing various forms of biological motion,  
10 including the direction of gaze and head, which may be relevant in understanding where the  
11 conspecifics are fixating (Carey et al., 1997; Jellema et al., 2000; Mistlin & Perrett, 1990) and is  
12 involved in the integration of audiovisual communication signals (Barraclough et al., 2005;  
13 Chandrasekaran & Ghazanfar, 2008; Dahl et al., 2009). Reversible inactivation of the posterior STP  
14 severely disrupts gaze-following behavior (Roy et al., 2014). Furthermore, in area LIP, there are  
15 neurons which become more active both while directing attention toward a region of space and  
16 while observing other monkeys doing the same (Shepherd et al., 2009). These “mirror” oculomotor  
17 responses likely reflect input from the STS and suggest that area LIP plays a role in sharing  
18 attention with others (Shepherd, 2010). Area 45A is a caudal VLPF area involved in the  
19 multisensory processing of communication stimuli (Diehl & Romanski, 2014; Romanski &  
20 Averbek, 2009; Sugihara et al., 2006), and it activates during action and face observation (Nelissen  
21 et al., 2005; Tsao et al., 2008b; Kuraoka et al., 2015), suggesting it has a role in communication  
22 behavior. Moreover, this area is robustly connected to the dorsal part of the FEF, is a source of  
23 projections to subcortical oculomotor structures (Borra et al., 2015), and is activated during the  
24 execution of eye movements (Premereur et al., 2015).  
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42 Thus, there is connectional evidence for a large-scale oculomotor network involving areas STP-  
43 IPa, LIP, 45A, and dorsal FEF, which could provide the substrate for the role of gaze position and  
44 eye movement in social behavior, thus contributing to an understanding of the social intentions of  
45 other individuals (Ghazanfar et al., 2006; Shepherd, 2010). Moreover, the rostral part of area 46d,  
46 which is connected to area STP, dorsal FEF and area 45A (Borra et al., 2017a), could play a  
47 higher-order executive role in this “social oculomotor” network. In this context, it is noteworthy  
48 that other oculomotor areas, such as the SEF, appear to be involved in both these described  
49 networks and that nodes of these two networks, together with other areas, could participate in large-  
50 scale networks involved in other aspects of oculomotor behavior.  
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#### 60 **4. Possible human counterpart of the macaque lateral grasping/action recognition network**

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2 In early functional imaging studies and in others since then (Binkofski et al., 1998; Culham et  
3 al., 2003; Ehrsson et al., 2000; Johnson-Frey, 2004; Toni et al., 2001), it has been shown that the  
4 execution of object-oriented hand actions activates two cortical zones located in the frontal lobe and  
5 in the IPL, respectively. The frontal zone is located mostly in the ventral part of the precentral gyrus  
6 and also extends rostrally into the inferior frontal gyrus (IFG), involving Brodmann's architectonic  
7 area 44 (Brodmann, 1909), corresponding to the caudal part of the language-related Broca's region.  
8 The IPL zone corresponds to both the rostral part of the lateral bank of the IPS and the  
9 supramarginal gyrus (SMG). Based on their location, these two zones have been considered as the  
10 possible human counterparts of the macaque PMv and IPL areas of the *lateral grasping network*,  
11 respectively. Several studies have provided further evidence for these proposed homologies.  
12 Specifically, Fornia et al. (2018) showed that short-train or single-pulse electrical stimulation of the  
13 cortical surface along the dorso-ventral extent of the PMv is effective in evoking hand, orofacial,  
14 and combined orofacial and hand motor responses from the dorsal, ventral, and intermediate part of  
15 it, respectively, providing evidence for a somatotopic arrangement of this region similar to that of  
16 the macaque F5. Furthermore, transcranial magnetic stimulation (TMS) studies showed an  
17 interaction of the dorsal part of the PMv with the primary motor cortex, modulated during grasping  
18 execution, and that a virtual lesion of this PMv sector impairs grasping execution (Davare et al.,  
19 2006, 2008, 2009). These data provide support for the possible homology between this human PMv  
20 sector and the posterior subdivision of the macaque area F5 (F5p). Other studies showed that the  
21 lateral bank of the IPS also activates during surface orientation discrimination and subsequent  
22 related spatial adjustment of finger position (Shikata et al., 2003) and is involved in 3D shape  
23 processing from disparity (Georgieva et al., 2009) and in coding intrinsic object properties (Monaco  
24 et al., 2015). Furthermore, this region hosts neurons selectively tuned for motor imagery of specific  
25 hand shapes (Klaes et al., 2015) and TMS studies have shown that virtual lesions of this zone affect  
26 hand shaping, scaling of grip force (Dafotakis et al., 2008; Davare et al., 2007), and online  
27 adjustments of goal-directed hand actions (Rice et al., 2006; Tunik et al., 2005). Finally, there is an  
28 increase in effective connectivity between this region and PMv when grasping small objects (Grol  
29 et al., 2007) and a reduction in PMv-M1 interactions during grasping preparation after a virtual  
30 lesion of this hand-related zone of the IPS (Davare et al., 2010). These data have provided strong  
31 support for the possible homology between this anterior intraparietal hand-related sector (human  
32 area AIP) and the macaque area AIP.

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58 Execution of object-oriented actions (Gazzola & Keysers, 2009; Grèzes & Decety, 2001) also  
59 activates the SMG, which appears to be involved in comparing predicted and actual sensory input  
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1 during object manipulation and updating of sensorimotor memories (Jenmalm et al., 2006).  
2 Furthermore, TMS of this region (but also of the caudal IFG) affects planning of sequential goal-  
3 directed hand actions in which object grasping is embedded in actions with different goals (Tunik et  
4 al., 2008). The human rostral IPL hosts a cluster of architectonic areas which, based on dMRI  
5 observations, appears to share several connectional features with the macaque rostral IPL areas  
6 (Caspers et al., 2006, 2011, 2013; Ruschel et al., 2014). One of these areas, area PFt, has been  
7 considered the putative homolog of the macaque area PFG (Caspers et al., 2011).  
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12 Finally, there is evidence that the human parietal operculum hosts two architectonically distinct  
13 somatosensory areas, designated as OP1 and OP4 (Eickhoff et al., 2006a,b, 2007). Data from dMRI  
14 studies suggested connectivity of these two areas with rostral IPL and PMv areas and with Broca's  
15 region (Eickhoff et al., 2010). Functional imaging data showed that this region activates during both  
16 tactile stimulation (Burton et al., 2008; Disbrow et al., 2000; Eickhoff et al., 2007) and movement  
17 execution (Gazzola & Keysers, 2009; Hinkley et al., 2007) and is involved in tactile object  
18 recognition (Reed et al., 2004). Furthermore, TMS studies provided evidence for a causal role of  
19 this region in the haptic working memory of object properties and grasping motor programs  
20 (Cattaneo et al., 2015; Maule et al., 2015). These data provided clear support for the proposed  
21 homology between this human opercular region (human SII) and the macaque SII region (Eickhoff  
22 et al., 2006a,b, 2007).  
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27 Though technical limitations still prevent reliable dMRI definition of point-to-point anatomical  
28 connectivity in the human brain, several studies have provided evidence for anatomical connectivity  
29 between the rostral IPL and the PMv/IFG (Hecht et al., 2013; Ramayya et al., 2010; Rushworth et  
30 al., 2006; Schubotz et al., 2010), which, as in macaques, is largely supported by the third branch of  
31 the superior longitudinal fasciculus (SLF; Schmahmann et al., 2007; Thiebaut de Schotten et al.,  
32 2012). In sum, in the human brain, there is a possible parieto-frontal circuitry linking the PMv/IFG  
33 with the rostral IPL and parietal operculum, which very likely represents the human counterpart of  
34 the macaque parieto-frontal circuitry at the core of the lateral grasping network. These possible  
35 homologies appear even more plausible considering that the PMv/IFG, the human area AIP, the  
36 SMG (especially area PFt), and the human SII all activate during the observation of goal-directed  
37 hand actions, thus also suggesting involvement of this possible parieto-frontal circuitry in action  
38 recognition (human mirror system), as in macaques (Caspers et al., 2010; Fogassi & Simone, 2013;  
39 Gazzola & Keysers, 2009; Rizzolatti et al., 2014).  
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44 In recent years, evidence has been accumulated indicating that, as in macaques, human  
45 visuomotor processing for selecting and controlling hand actions carried out in area AIP is  
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1 influenced by processing which takes place in the ventral visual stream areas (see van Polanen &  
2 Davare, 2015).

3 Comparisons between the human and the macaque temporal cortex based on merely topological  
4 criteria are complicated by the great expansion of language-related and other higher-order  
5 associative areas in the evolution of the human lineage. Comparative fMRI observations have  
6 provided clear evidence for human homologs of the macaque visual extrastriate and adjacent  
7 temporal areas, which, however, appear to be located more posteriorly and medially than their  
8 macaque counterparts (for reviews on this issue, see Orban et al., 2004, 2014). Specifically, there is  
9 evidence for a cortical sector located ventral to the human homolog of the motion sensitive area  
10 MT, in the posterior inferior temporal and the fusiform gyrus (the lateral occipital complex, or  
11 LOC) in which different sites are specifically active during the visual processing of shapes, faces,  
12 and actions (e.g., Bell et al., 2009; Denys et al., 2004; Jastorff & Orban, 2009; Kanwisher et al.,  
13 1997; Malach et al., 1995; Tsao et al., 2008a). Based on comparative fMRI observations, Denys et  
14 al. (2004) proposed that the LOC could be the human homolog of the lower bank of the STS (area  
15 TEa/m) and the laterally adjacent IT convexity cortex in macaques.

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27 Indeed, grasping objects based on the processing of pictorial depth cues increases the activity of  
28 the human area AIP and its functional connectivity with the PMv and the lateral occipital complex  
29 (LOC) (Verhagen et al., 2008). Furthermore, when planning object-oriented actions, there are  
30 activity patterns in the LOC reflecting the type of hand action (Gallivan et al., 2013a), and the  
31 organization of visual object representations in this region reflects action-related properties of the  
32 objects (Bracci et al., 2012; Bracci & Peelen, 2013; Mahon et al., 2007; Peelen et al., 2013). Finally,  
33 the visual and haptic coding of objects activates the human area AIP (Grefkes et al., 2002) and a  
34 part of the LOC (Amedi et al., 2002; James et al., 2002; Reed et al., 2004), providing evidence for  
35 the multimodal representation of objects in the human ventral visual stream and suggesting an  
36 interaction between area AIP and the LOC for tactile object recognition (Lacey et al., 2009; Tal &  
37 Amedi, 2009).

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47 In the human temporal cortex, rostral to MT and dorsal to the LOC, there is a region including the  
48 posterior STS (pSTS) and middle temporal gyrus (MTG) involved in multisensory processing and  
49 responsive to diverse types of biological motion (Allison et al., 2000; Frith & Frith, 2007), which  
50 appears to be the putative homolog of the macaque area STP (Beauchamp et al., 2008). Biological  
51 motion processing in this region appears to mostly concern kinematic aspects, whereas, in the LOC,  
52 it appears to mostly concern configuration changes of the observed actions, suggesting, as in  
53 macaques, a dual-stream processing of action observations (Jastorff & Orban, 2009). These sectors  
54 of the pSTS/MTG and LOC are considered the major source of visual action information for the  
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1 human mirror system (Caspers et al., 2010; Grosbras et al., 2012; Molenberghs et al., 2012;  
2 Rizzolatti et al., 2014).

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4 In the human brain, there is a conspicuous fiber system linking the human rostral IPL with the  
5 posterior part of the superior, middle, and inferior temporal gyri, which has been identified as the  
6 posterior segment of the arcuate or of the SLF (Catani et al., 2005; Martino et al., 2013; Wu et al.,  
7 2016). Connectivity between the STG and SMG could also be supported by the middle longitudinal  
8 fasciculus (Makris et al., 2016). Thus, it is possible that human temporo-parietal connectivity  
9 includes components equivalent to the macaque pathways connecting TEa/m with area AIP and  
10 area STP with area PFG, and that the human temporal cortex includes areas which take part in what  
11 is possibly the human counterpart of the macaque lateral grasping/action recognition network.  
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18 To our knowledge, there is no clear evidence for activation of prefrontal areas other than the IFG  
19 during the mere execution or the observation of hand-object interactions. However, there is clear  
20 clinical, electrophysiological, and imaging evidence for the involvement of the human middle  
21 frontal gyrus (MFG)—for the most part, the putative homolog of the macaque ventral area 46  
22 (Petrides, 2005)—in different aspects of the executive control of motor behavior (Goldenberg &  
23 Spatt, 2009; Haaland et al., 2000; Rowe et al., 2005) including hand actions. Indeed, TMS over this  
24 region affects free selection of hand actions (Hadland et al., 2001) and modulates the excitability of  
25 the primary motor cortex, showing temporally and spatially selective interaction between these two  
26 areas (Hasan et al., 2013). Furthermore, functional imaging evidence showed involvement of the  
27 MFG during the preparation of contralateral and ipsilateral hand actions (Gallivan et al., 2013b).  
28 Finally, the MFG displays visual object-related activation (Denys et al., 2004), activates during  
29 texture recognition (Stylianou-Korsnes et al., 2010), and also appears to be involved in tactile object  
30 recognition (Lacey et al., 2010; Reed et al., 2004; Savini et al., 2010). Cieslik et al. (2013) have  
31 suggested a rostrocaudal subdivision of the MFG into two distinct subregions in which the caudal  
32 one is characterized by functional connectivity with bilateral intraparietal sulci, including the  
33 location of the human area AIP and appears to be more strongly related to action execution and  
34 working memory. The second and the third branches of the SLF connecting the IPL with the frontal  
35 lobe (Makris et al., 2005; Thiebaut de Schotten et al., 2012) and the frontal inferior longitudinal  
36 tract connecting the precentral gyrus with the MFG (Catani et al., 2012b; Rojkova et al., 2016)  
37 could represent the possible substrate for the participation of this region in the putative human  
38 counterpart of the lateral grasping/action recognition network. Furthermore, the inferior fronto-  
39 occipital fasciculus, linking temporal areas (including the LOC and caudal temporal areas) with  
40 prefrontal areas (including the MFG) (Sarubbo et al., 2013; Thiebaut de Schotten et al., 2012) could  
41 provide the substrate for a connectivity equivalent to the macaque inferotemporal connectivity with  
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1 hand-related VLPF areas. However, note that in the human brain there is a component of the arcuate  
2 fasciculus (long direct segment, Catani et al., 2005), directly connecting the temporal with the  
3 frontal cortex, for which there is no equivalent in the macaque brain (Rilling et al., 2008).  
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5 Functional data have also provided evidence for a putative human homolog of the hand-related  
6 sector of the macaque insula, which activates during the execution and observation of hand actions  
7 with a vitality form, suggesting a modulation of the cortical circuits for controlling hand actions  
8 according to the internal state of the individual (Di Cesare et al., 2014, 2015). Observations based  
9 on dMRI have provided evidence for the connectivity of the insula with the PMv, the IFG, the  
10 MFG, and the IPL (Cerliani et al., 2012; Di Cesare et al., 2018; Ghaziri et al., 2015).  
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16 Finally, the rostral part of the medial premotor cortex, based on architectonic and functional data,  
17 has been considered the homolog of the macaque area F6/pre-SMA (Geyer et al., 2000; Nachev et  
18 al., 2008; Picard & Strick, 1996; Zilles et al., 1996). As in the macaque, this area appears to play a  
19 more general role in several higher-order aspects of motor control (see Geyer et al., 2012; Nachev et  
20 al., 2008). Specifically, recent evidence showed that the human pre-SMA could play a role, together  
21 with the IFG, in the neural mechanisms underlying response inhibition (Angelini et al., 2015; Aron  
22 et al., 2007; Swann et al., 2012). A bundle of fibers connecting the pre-SMA and the rostral SMA  
23 with the PMv/IFG, designated as the frontal aslant tract (Catani et al., 2012b; Rojkova et al., 2016),  
24 is likely the substrate for this interaction.  
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32 In sum, there is robust evidence that, in the human brain, there is a set of potentially linked  
33 parietal, temporal, and frontal areas, which, as a result of their topology and functional properties,  
34 appear very likely to form a human counterpart of the macaque lateral grasping/action observation  
35 network.  
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40 However, this same set of cortical nodes, or at least part of it, also appears to be involved in  
41 cognitive abilities unique or almost unique to humans, such as higher-order aspects of organization  
42 of object-oriented actions, including tool use (Johnson-Frey et al., 2005; Peeters et al., 2009, 2013;  
43 Ramayya et al., 2010), imitation, and imitation learning (Buccino et al., 2004). Specifically,  
44 functional studies have shown that tool use action planning, execution, and observation and tool  
45 observation and naming activate a set of cortical regions of the left hemisphere, including the LOC  
46 and posterior MTG in the temporal cortex, the anterior IPS and SMG in the parietal cortex, and the  
47 PMv/IFG and MFG in the frontal cortex, which largely overlap with the putative human lateral  
48 grasping/action observation network (Brandi et al., 2014; Choi et al., 2001; Johnson-Frey et al.,  
49 2005; Moll et al., 2000; Peeters et al., 2009, 2013). Based on comparative observations in humans  
50 and macaques, Peeters et al. (2009, 2013) have concluded that the left rostral SMG also includes an  
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1 evolutionarily new human-specific zone specifically devoted to tool use, which could have  
2 differentiated from phylogenetically older rostral IPL hand-related areas.

3 Furthermore, there is evidence that imitation or imitation learning of hand actions activates  
4 temporal, rostral IPL, and PMv/IFG regions involved in action observation and, especially for  
5 imitation learning, the MFG (Buccino et al., 2004; Caspers et al., 2010; Higuchi et al., 2012;  
6 Rizzolatti et al., 2014; Vogt et al., 2007). Based on comparative dMRI observations, Hecht et al.  
7 (2013) have suggested that stronger and more extensive connectivity of the SMG with the  
8 pSTS/MTG and LOC regions involved in shape and action coding, as well as stronger connectivity  
9 between the SMG and PMv/IFG, differentiate the cortical mirror system of humans from that of  
10 macaques and could have contributed to the emergence of the role of this system in imitation and  
11 imitation learning (see also Rizzolatti et al., 2014). Furthermore, the differentiation of the MTG  
12 areas responsible for storing conceptual and semantic information about tools and of the rostral  
13 SMG sector devoted to tool use, and their interconnectivity, could have contributed to the  
14 emergence of tool use from a specialization of neural mechanisms for controlling hand-object  
15 interactions shared with macaques (Orban & Caruana, 2014; Peeters et al., 2009, 2013; Ramayya et  
16 al., 2010).

## 17 **5. Possible human counterpart of the macaque networks for explorative and communicative** 18 **oculomotor behavior**

19 A large number of human functional studies have shown that tasks requiring the execution of  
20 visually- or memory-guided saccades and/or shifts of attention in the visual field activate several  
21 foci located in the posterior parietal and frontal cortex (e.g., Alvarez et al., 2010; Corbetta et al.,  
22 1998; Curtis & Connolly, 2008; Dieterich et al., 2009; Petit et al., 1997; Petit & Haxby, 1999;  
23 Koyama et al., 2004). In the posterior parietal cortex, one of these foci, observed in virtually all  
24 studies, is located dorsally and posteriorly in the medial bank of the IPS in a sector which has been  
25 designated as the dorsal IPS medial (DIPSM, see Orban et al., 2004) and is usually referred to as the  
26 “parietal eye field” (PEF). Based on different lines of functional evidence, there is a general  
27 consensus that this sector corresponds to the macaque area LIP (Orban, 2016). The finding that the  
28 possible human equivalent of the macaque area LIP is located in the medial, and not in the lateral  
29 bank of the IPS, is an example of the possible differences in the topology of equivalent areas  
30 between the macaque and human brains, which, in this case, could be accounted for by the  
31 disproportionate increase of the human IPL. Some studies (e.g., Corbetta et al., 1998; Koyama et  
32 al., 2004) have also described additional foci, one of them located more rostrally in the IPS, whose



1 possible macaque equivalent still remains to be verified. In the frontal cortex, one focus constantly  
2 observed in all studies is located within the dorsal part of the precentral sulcus at the junction with  
3 the superior frontal sulcus. There is unanimous consensus that this sector corresponds to the  
4 macaque FEF. However, the exact location of this field tends to vary across different studies.  
5 According to a high-resolution fMRI study, this field is located in the anterior bank of the precentral  
6 sulcus and corresponds to a distinct chemoarchitectonic area (Rosano et al., 2002, 2003). An  
7 additional, constantly observed focus is located in the medial frontal gyrus and is considered to  
8 correspond to the macaque SEF. Furthermore, several studies have provided evidence for at least  
9 one additional frontal oculomotor field located ventral to the human FEF in the precentral sulcus  
10 and usually referred to as the “inferior” FEF (Amiez & Petrides, 2009; Corbetta et al., 1998;  
11 Derrfuss et al., 2012; Heide et al., 2001; Koyama et al., 2004; Luna et al., 1998; Mort et al., 2003).  
12 Though varying in location across studies, this field has been usually attributed to Brodmann area 6  
13 (Brodmann, 1909) and an homology with a possible oculomotor premotor field of the macaque  
14 brain has been then suggested (Amiez & Petrides, 2009; Koyama et al., 2004). However, evidence  
15 for a postarcuate oculomotor field in the macaque brain is not univocally supported by fMRI data  
16 (Baker et al., 2006; Koyama et al., 2004; Premereur et al., 2015), nor is it supported by  
17 electrophysiological studies. **Furthermore, the attribution of this field to Brodmann area 6, does not**  
18 **necessarily imply that it is actually a premotor field located within architectonic area 6.** Indeed, the  
19 human FEF is also located within the limits of Brodmann area 6 but, as reviewed above, is a distinct  
20 architectonic granular area (Rosano et al., 2003) and a homolog of a macaque prefrontal area (area  
21 8/FEF). It is noteworthy that all the above mentioned studies have not considered that, in the  
22 macaque caudal prefrontal cortex, there are several oculomotor areas, including area 45B, which are  
23 located just ventral to the FEF and, as reviewed in Section 3, activate during the execution of  
24 saccadic eye movements. Finally, Patel et al. (2015) found that, in human subjects, visuospatial  
25 attentional tasks activated, in addition to the FEF, two other fields, one apparently located ventral to  
26 the inferior FEF in the precentral sulcus and the other located in the inferior frontal sulcus.  
27 Additional comparative observations are needed in order to examine the possible homologies  
28 between the human and the macaque frontal oculomotor systems in the light of data showing a  
29 multiplicity of caudal oculomotor prefrontal areas in the macaque.

30 All together, these data provide evidence for a parieto-frontal circuit corresponding to the  
31 macaque LIP-FEF circuit involved in oculomotor control and in a dorsal attention network for  
32 controlling spatial and featural attention (Corbetta & Shulman, 2002). The SLFI could provide the  
33 substrate for the connectivity in the human brain between the PEF and the FEF, as suggested by  
34 Thiebaut de Shotten et al. (2011) and, possibly, between the PEF and other frontal oculomotor

1 fields. In fact, dMRI evidence for connectivity between the SPL and inferior frontal areas has been  
2 provided by Hecht et al. (2013).

3 Functional studies have also suggested the interaction of ventral visual stream areas with the  
4 parieto-frontal oculomotor circuitry. For example, Preston et al. (2013) have shown that, in a visual  
5 search task, the LOC appears to play a role in coding the contextual location of objects and features  
6 in real scenes, and they suggested that information on the likely location of the targets could be  
7 relayed from the LOC to the parieto-frontal oculomotor network for directing attention to the  
8 contextually relevant location.  
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10 Furthermore, there is evidence that parietal and frontal oculomotor areas are also involved in the  
11 neural mechanisms underlying gaze perception and joint attention, together with temporal areas  
12 (Bristow et al., 2007; Grosbras et al., 2005; Hooker et al., 2003; Nummenmaa & Calder, 2009;  
13 Williams et al., 2005; see also Shepherd, 2010). Specifically, the involved temporal regions include  
14 the posterior STS/MTG specialized for perceiving social signals mediated by biological motion  
15 including gaze shifts (Allison et al., 2000; Blakemore et al., 2004; Caruana et al., 2014; Marquardt  
16 et al., 2017) and the fusiform/LOC regions specialized for face processing, which can be modulated  
17 by the configuration of the gaze (George et al., 2001). In the frontal lobe, in addition to the FEF,  
18 some studies have observed activation in a more ventral region involving the IFG (Hooker et al.,  
19 2003) or the junction between the inferior frontal sulcus and the precentral sulcus (inferior frontal  
20 junction, IFJ, Bristow et al., 2007; Grosbras et al., 2005; Nummenmaa & Calder, 2009). It is  
21 noteworthy that a cortical zone located at the IFJ was found to activate during the observation of  
22 faces only when the eyes were not masked (Chan & Downing, 2011). These data suggest homology  
23 of this region with the macaque area 45A.  
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25 In the human brain, there is evidence, based on dMRI and fiber dissection observations, for a  
26 temporal connectivity of the SPL. Specifically, these connections appear to involve, in the temporal  
27 cortex, the rostral and caudal regions located in the STG, MTG, and ITG, including the fusiform  
28 gyrus and, in the SPL, the caudal part corresponding to Brodmann's area 7 (Hecht et al., 2013;  
29 Kamali et al., 2014; Makris et al., 2009, 2013, 2016; Wang et al., 2013; Wu et al., 2016). These  
30 connections appear to run through the MdLF and a component of the AF/SLF (Makris et al., 2009,  
31 2013, 2016; Kamali et al., 2014; Wang et al., 2013; Wu et al., 2016). This temporo-SPL fiber  
32 system has been described as evolutionarily new, not present in the macaque brain (e.g., Hecht et  
33 al., 2013; Wang et al., 2013), and as a possible substrate for a role of the human SPL in action  
34 observation (Abdollahi et al., 2013) or visuo-auditory attentional processing (Hecht et al., 2013;  
35 Makris et al., 2016; Wang et al., 2013). However, it is possible that components of this connectivity  
36 are equivalent to the temporal connectivity of the macaque area LIP. Furthermore, it is possible that  
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1 components of the arcuate fasciculus and inferior fronto-occipital fasciculus linking temporal to  
2 frontal areas are equivalent to the temporal connections of the macaque frontal oculomotor areas.

3 All together, these data suggest that, as in the macaque, the human brain hosts a large-scale  
4 temporo-parieto-frontal circuitry for oculomotor control, covert shift of attention, and gaze  
5 perception. Accordingly, humans and non-human primates appear to share neural circuits and  
6 mechanisms for basic gaze-following behavior, which, in humans, could have been the foundation  
7 for more sophisticated social skills, such as mutual awareness of shared mental states (Shepherd,  
8 2010).  
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## 16 **6. Human-specific functions vs. human-specific areas**

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20 It is largely agreed in comparative neuroscience that primates share common principles of  
21 cortical organization. Indeed, primates display similar layouts of homologous sensory, motor, and  
22 association areas organized in similar sensorimotor domains, which, to a large extent, can be  
23 identified even in prosimians (Kaas & Stepniewska, 2016). Furthermore, there are very strong  
24 similarities in the organization of the major fiber tracts connecting parietal with frontal areas  
25 (Schmahmann et al., 2007; Thiebaut de Schotten et al., 2012). Accordingly, primates appear to  
26 share common plans of the organization of sensorimotor functions, which have been likely  
27 conserved along the various lineages that have differentiated during primate evolution.  
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34 In the evolution of the lineage leading to *Homo sapiens*, there have also been substantial changes  
35 in brain size and organization, which are considered to be at the basis of some higher-order human-  
36 or almost human-specific cognitive functions. **According to Darwin's theory, the evolution of  
37 complex structures is incremental, so that human specific mental functions would derive from  
38 phylogenetically older mental processes with gradual evolutionary trajectories.** However, it has  
39 been proposed that human-specific mental functions reflect discontinuities pervading nearly every  
40 domain of cognition (Penn et al., 2008). De Waal and Ferrari (2010) have argued that the concept of  
41 discontinuity results from the adoption of a "top-down" perspective in comparative cognitive  
42 research in which the main question is which animals possess or do not possess a given cognitive  
43 ability. Conversely, if a "bottom-up" approach is adopted, focusing on the constituent capacities  
44 underlying larger cognitive phenomena, it appears quite clear that the basic building blocks of  
45 cognition might be shared across a wide range of species, suggesting mental continuity in primate  
46 evolution (Sherwood et al., 2008; de Waal & Ferrari, 2010). **In line with this view, there are theories  
47 positing that one central organizational principle of the functional structure of the brain is based on  
48 reuse of neural, often sensorimotor, circuitries for various cognitive purposes ("neural reuse**  
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1 theories”, see Anderson, 2010). Specifically, according to these theories “neural circuits established  
2 for one purpose are commonly exapted (exploited, recycled, redeployed) during evolution or normal  
3 development, and put to different uses, often without losing their original functions” (Anderson,  
4 2010). Two of these theories differ on the time course over which they operate. One – the massive  
5 redeployment theory (Anderson, 2007) – concerns the evolutionary emergence of the functional  
6 organization of the brain, the other – the neuronal recycling theory (Dehaene, 2005; Dehaene and  
7 Cohen, 2009)– explains those cognitive abilities for which there has been insufficient time for  
8 specialized neural circuits to have evolved.  
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14 Taken for granted that in primate evolution neural reuse for developing progressively more  
15 complex cognitive abilities has been paralleled by a disproportionate increase in size of some  
16 cortical regions, one important issue is to what extent regional expansions have resulted in the  
17 generation of evolutionary new cortical areas.  
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22 In addressing this issue, it is important first to note what makes a cortical area. It is largely  
23 accepted that the cerebral cortex contains many distinct entities, usually referred to as “areas,”  
24 although it has been matter of debate what precisely constitutes a cortical area and what the best  
25 criteria for their definition are (see, e.g., Van Essen, 1985). In general, three main criteria, the  
26 architectonic, the connectional, and the functional, are considered most useful for the definition of a  
27 cortical area. Converging evidence, based on these criteria, is generally considered a strong  
28 argument for reliable identification and delineation of a cortical area (see, e.g., Felleman & Van  
29 Essen, 1991; Van Essen, 1985). For example, in macaques, the IPL convexity cortex has been  
30 subdivided into four distinct areas based on converging architectonic (Gregoriou et al., 2006),  
31 connectional (Rozzi et al., 2006), and functional (Rozzi et al., 2008) evidence.  
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40 In human studies, the term “area” is very often simply used to designate cortical zones which  
41 appear to have specific functional properties. However, the functional distinctiveness of a given  
42 cortical zone does not necessarily imply that it corresponds to a distinct area as defined above.  
43 Indeed, a functionally distinct cortical zone could correspond to a module of a larger cortical area,  
44 or could extend over adjacent cortical areas which share some common functional features.  
45 Unfortunately, the issue of the areal attribution of functional data, even when addressed, is often  
46 seriously prevented by the coarseness of the architectonic maps for several brain regions. It is  
47 noteworthy, however, that, for some human cortical regions, a higher functional complexity seems  
48 not to be paralleled by a higher architectonic complexity, with respect to the corresponding regions  
49 of the macaque brain. This appears to be the case of the parietal lobe in which detailed architectonic  
50 studies have identified a number of superior parietal, intraparietal, and inferior parietal areas almost  
51 comparable to those of the macaque (Caspers et al., 2006; Choi et al., 2006; Scheperjans et al.,  
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1 2008). For example, in the inferior parietal lobule, the evolutionarily new human-specific tool use  
2 SMG zone appears to be located within architectonic area PFt, which also activates during hand  
3 action execution, observation, and imitation and has been considered the possible homolog of the  
4 macaque area PFG (Caspers et al., 2010, 2011; Gazzola & Keysers, 2009; Peeters et al., 2009).  
5 Conversely, in some human cortical regions, the architectonic organization appears to be more  
6 complex than in the corresponding regions of the macaque brain. This is the case, for example, of  
7 the caudal IFG, which, based on chemoarchitectonic data, has been subdivided into several areas  
8 (Amunts et al., 2010) that could in part correspond to evolutionarily new areas not present in the  
9 macaque brain. **In sum, there is no compelling evidence that evolutionarily new cognitive capacities  
10 in humans are necessarily linked to the addition of evolutionarily new cortical areas as properly  
11 defined (e.g., Preuss, 2011; Sherwood et al., 2008).**

## 22 **7. Concluding remarks**

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25 **In the present article we have reviewed comparative observations showing that some human- or  
26 almost human-specific functions, such as tool use, imitation learning, and language, appear to  
27 involve cortical zones of the parietal and frontal cortex which overlap or, at least, are in contiguity  
28 with the nodes of the human counterpart of the macaque lateral grasping/action recognition  
29 network. These observations suggest that neural mechanisms underlying tool use could have  
30 emerged from the exploitation and adaptation of phylogenetically older neural mechanisms, shared  
31 with macaques, underlying the selection and control of object-oriented hand actions (see, e.g.,  
32 Orban & Caruana, 2014). Similarly, imitation and imitation learning could have emerged from the  
33 exploitation and adaptation of phylogenetically older neural mechanisms, shared with macaques,  
34 involved in mapping observed actions into their corresponding motor representations (see e.g.,  
35 Rizzolatti et al., 2014). It has been also suggested that the neural mechanism for recognizing actions  
36 made by others (mirror mechanism) could have represented a neural prerequisite for the  
37 development of interindividual communication and, finally, of speech (Rizzolatti & Arbib, 1998;  
38 Pulvermüller, 2018). Similar considerations could be done also for the neural mechanisms  
39 underlying some higher-order aspects of social attention and interactions based on gaze perception,  
40 which appear to involve cortical nodes of the putative human counterpart of the macaque networks  
41 for explorative and communicative oculomotor behavior (Nummenmaa & Calder, 2009; Shepherd,  
42 2010).**

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45 **In sum, data reviewed above provide clear examples of the fact that the monkey and the human  
46 brain share neural circuits for sensory, motor, and cognitive motor functions, likely inherited from**

1 the last common ancestor and the neural mechanisms mediated by these circuits could have  
2 represented building blocks for the generation, based on a process of neural reuse, of higher order  
3 human specific functions. Obviously, the degree of detail of this type of comparative observations  
4 would greatly benefit from a desirable future development of non-invasive techniques for a more  
5 detailed definition of point-to-point cortical connectivity and the structural correlation of functional  
6 data.  
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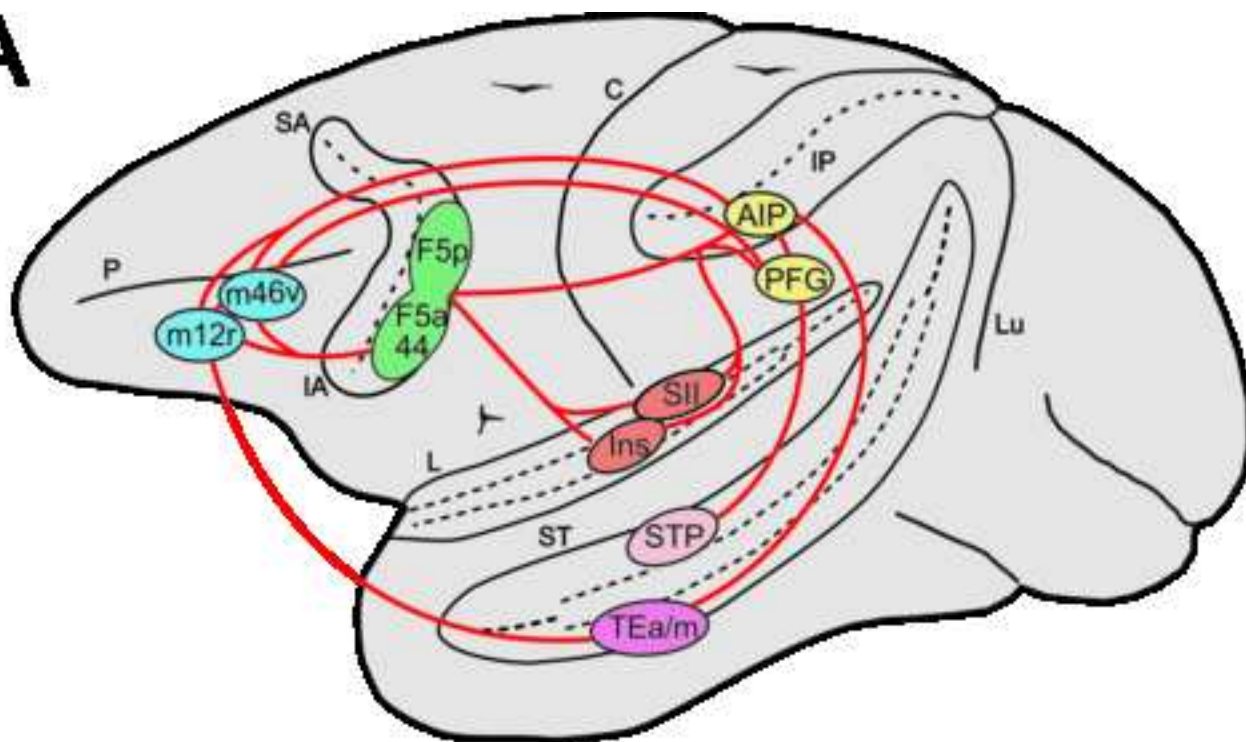
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## Figure legends

**Figure 1.** The macaque lateral grasping/action recognition network and its possible human counterpart. **(A)** Lateral view of an hemisphere of the macaque brain showing the main nodes of the lateral grasping/action observation network and their interconnections defined based on tract tracing connectional data. C = central sulcus; IA = inferior arcuate sulcus; IP = intraparietal sulcus; L = lateral sulcus; Lu = lunate sulcus; m12r = middle part of area 12r; m46v = middle part of area 46v; P = principal sulcus; ST = superior temporal sulcus. **(B)** Lateral view of an hemisphere of the human brain showing with the same color the possible homologues of the main nodes of the macaque lateral grasping/action observation network and their possible interconnections based on dMRI data. AF = Arcuate fasciculus; FIL = Frontal inferior longitudinal tract; hAIP = Human anterior intraparietal area; hSII = Human second somatosensory area; IFG = Inferior frontal gyrus; IFOF = Inferior fronto-occipital fasciculus; Ins = Insula; LOC = Lateral occipital complex; MdLF = Middle longitudinal fasciculus; MFG = Middle frontal gyrus; MTG = Middle temporal gyrus; pAF = Posterior segment of the arcuate fasciculus; PMv = Ventral premotor cortex; pSTS = Posterior superior temporal sulcus; SLFIII = Third branch of the superior longitudinal fasciculus; SMG = Supramarginal gyrus;

**Figure 2.** The macaque network for explorative and communicative oculomotor behavior and its possible human counterpart. **(A)** Lateral view of an hemisphere of the macaque brain showing the main nodes of the explorative and communicative oculomotor network and their interconnections defined based on tract tracing connectional data. Temporal connections of the SEF are not shown. c12r = caudal part of area 12r; c46v = caudal part of area 46v. Other abbreviations as in Figure 1. **(B)** Lateral view of an hemisphere of the human brain showing with the same color the possible homologues of the main nodes of the macaque explorative and communicative oculomotor network and their possible interconnections based on dMRI data. The Supplementary Eye Field (SEF) is located on in the medial wall of the hemisphere. hFEF = Human frontal eye field; hLIP = Human lateral intraparietal area; iFEF = Inferior frontal eye field; IFJ = Inferior frontal junction; SLFI = First branch of the superior longitudinal fasciculus. Other abbreviations as in Figure 1.

**A**



**B**

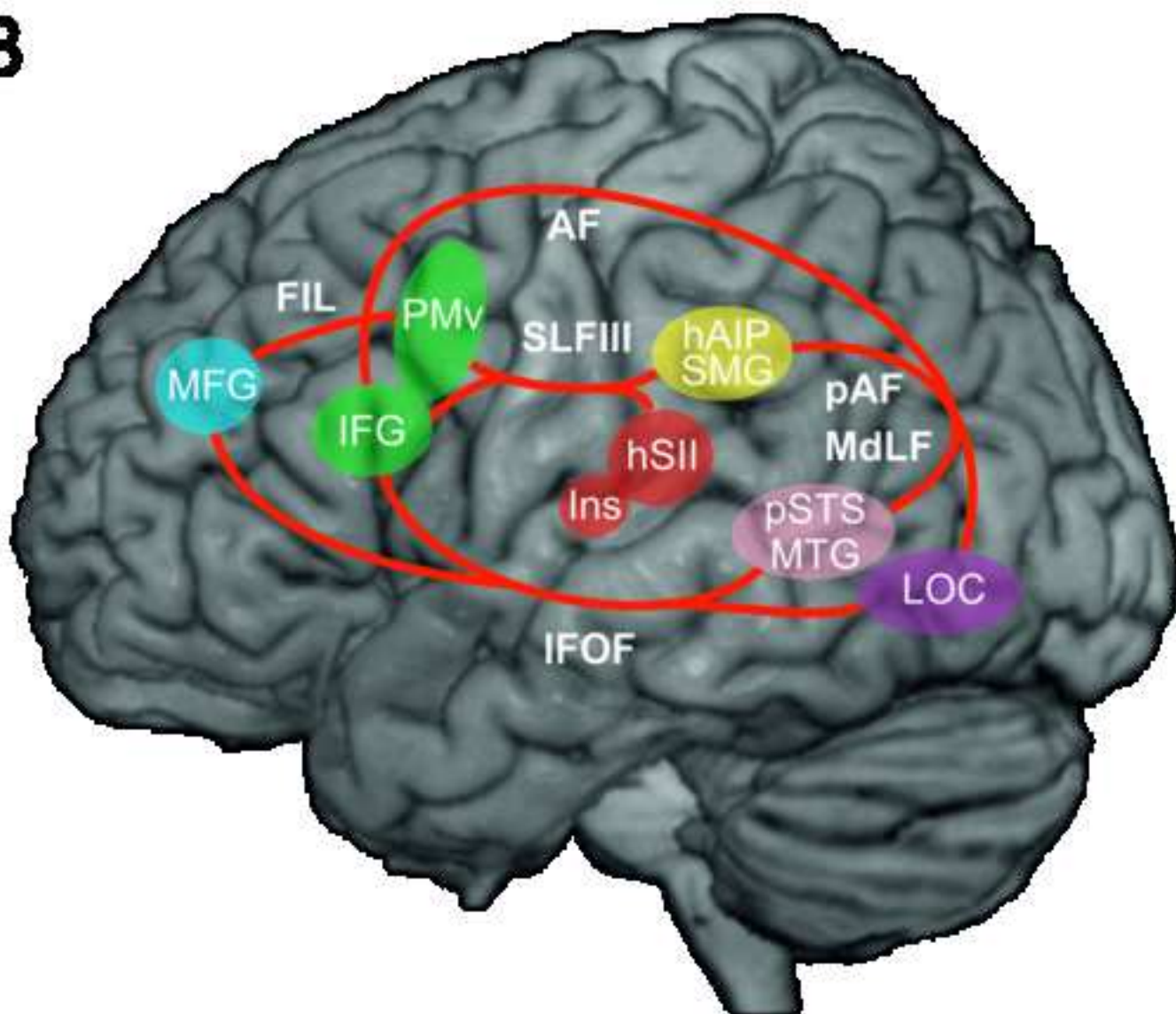
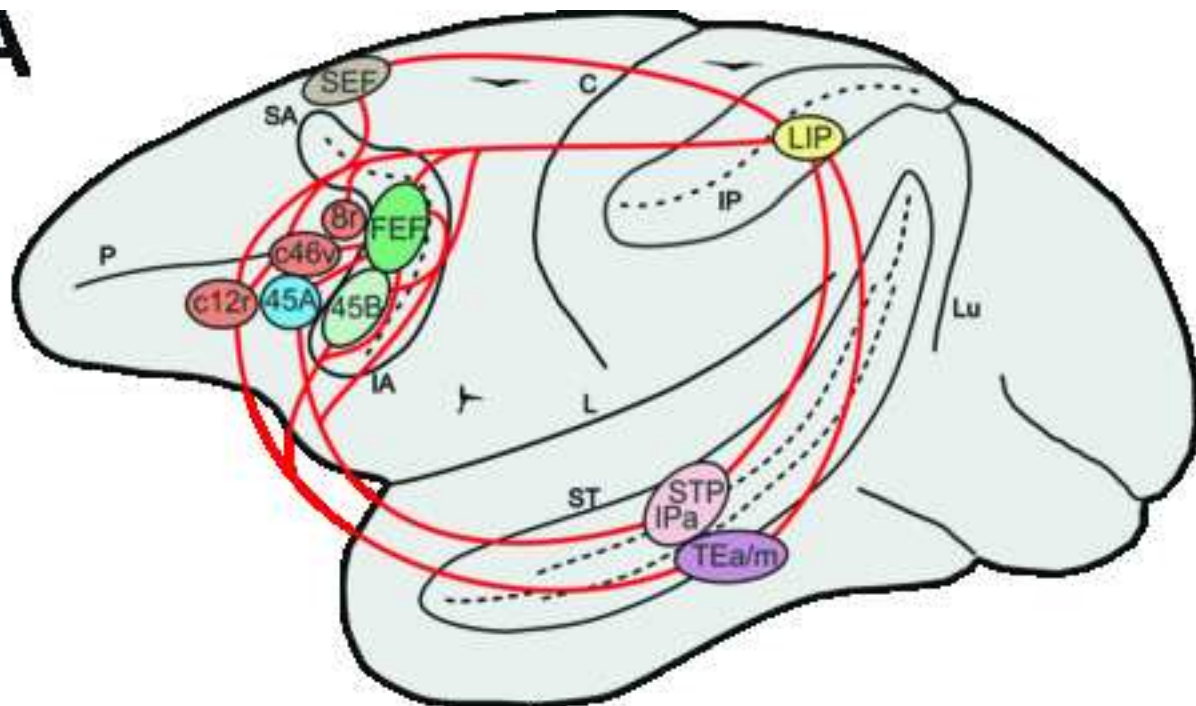


Figure  
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**A**



**B**

