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Ex vivo permeation of tamoxifen and its 4-OH metabolite through rat intestine from lecithin/chitosan nanoparticles

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Abstract: Tamoxifen citrate is an anticancer drug slightly soluble in water. Administered orally, it shows great intra- and inter-patient variations in bioavailability. We developed a nanoformulation based on phospholipid and chitosan able to efficiently load tamoxifen and showing an enzymes triggered release .

In this work the transport of tamoxifen released from lecithin/chitosan nanoparticles across excised rat intestinal wall was investigated tissue mounted in an Ussing chamber. Compared to tamoxifen citrate suspension, the amount of drug permeated using the nanoformulation was increased from 1.5, to 26 times, in absence or in presence of pancreatin or lipase, respectively. The effect of enzymes on intestinal permeation of tamoxifen was shown only when tamoxifen-loaded nanoparticles were in intimate contact with the mucosal surface. The encapsulation of tamoxifen in lecithin/chitosan nanoparticles improved the non-metabolized drug transport through the rat intestinal tissue.

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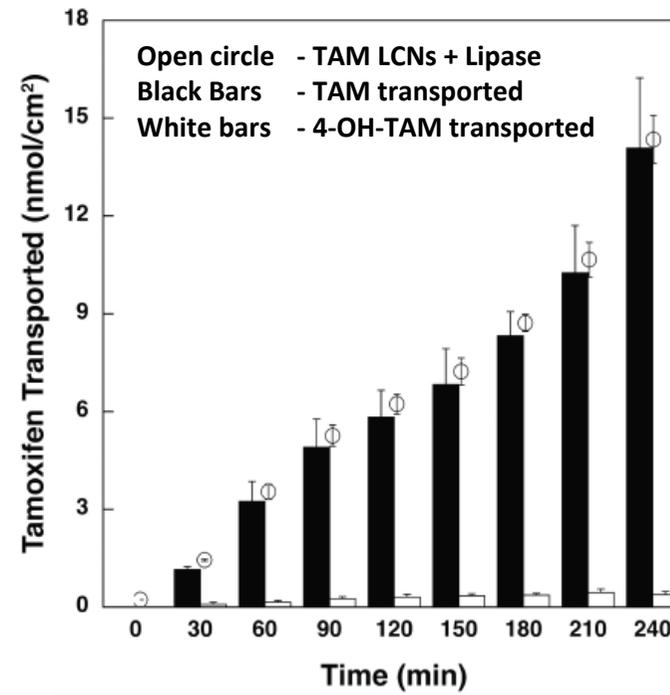
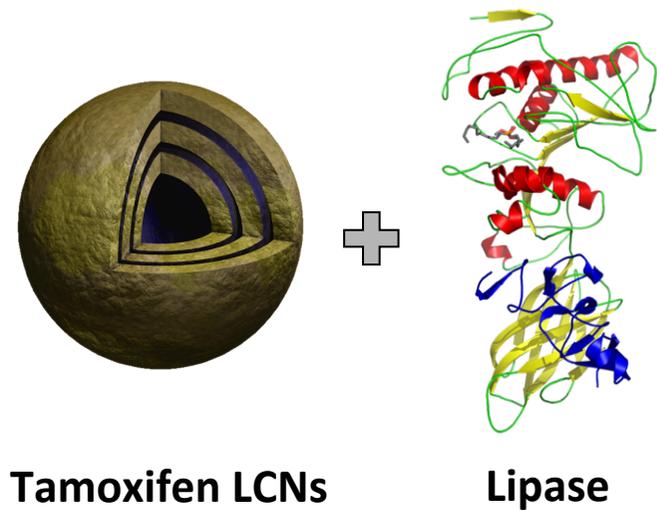
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## Enzyme triggered mucosal transport



**Increased transport of non  
metabolized drug**

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# UNIVERSITA' DEGLI STUDI DI PARMA

## DIPARTIMENTO DI FARMACIA

Professor Alexander Florence  
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Dear Professor Florence

Please find herewith enclosed the paper entitled “**Ex vivo transport of tamoxifen and its 4-OH metabolite through rat intestine from lecithin/chitosan nanoparticles**” authored by S. Barbieri, F. Buttini, A. Rossi, R. Bettini, P. Colombo, G. Ponchel, F. Sonvico, G. Colombo that we would like to submit as research paper to the International Journal of Pharmaceutics.

The research presented is based on previous work already published in the Journal of Controlled Release related to the enzyme triggered release of tamoxifen from lecithin/chitosan nanoparticles.

Furthermore, I state that:

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Please do not hesitate to contact us if you have any further question.  
We are looking forward hearing you soon

  
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1 **Ex vivo transport of tamoxifen and its 4-OH metabolite through rat intestine from**  
2 **lecithin/chitosan nanoparticles**

3  
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25

26 **ABSTRACT**

27

28 Tamoxifen citrate is an anticancer drug slightly soluble in water. Administered orally, it shows  
29 great intra- and inter-patient variations in bioavailability. We developed a nanoformulation  
30 based on phospholipid and chitosan able to efficiently load tamoxifen and showing an  
31 enzymes triggered release.

32 In this work the transport of tamoxifen released from lecithin/chitosan nanoparticles across  
33 excised rat intestinal wall was investigated tissue mounted in an Ussing chamber. Compared  
34 to tamoxifen citrate suspension, the amount of drug permeated using the nanoformulation  
35 was increased from 1.5, to 26 times, in absence or in presence of pancreatin or lipase,  
36 respectively. The effect of enzymes on intestinal permeation of tamoxifen was shown only  
37 when tamoxifen-loaded nanoparticles were in intimate contact with the mucosal surface. The  
38 encapsulation of tamoxifen in lecithin/chitosan nanoparticles improved the non-metabolized  
39 drug transport through the rat intestinal tissue.

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45 **KEYWORDS:** Intestinal transport, tamoxifen citrate, oral chemotherapy, chitosan,  
46 nanoparticles.

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## 49 1. Introduction

50 Formulations for oral administration of anticancer drugs can introduce innovative therapies  
51 for cancer treatment (Mazzaferro et al., 2013). Unfortunately, few anticancer drugs are  
52 soluble and permeable enough to allow for their administration by the peroral route.  
53 However, innovative drug delivery systems could improve the bioavailability and reduce  
54 the heavy administration schedule of such active agents, thus increasing activity and  
55 patient compliance (Thanki et al., 2013). At the scope, the use of nanocarriers could  
56 improve the drug oral bioavailability, therapeutic efficacy and safety profile, since the  
57 encapsulated drug is masked in the nanostructure. Nanoparticles could prevent the direct  
58 contact of the drug with the mucosa, protect the molecule from degradation in the gastric  
59 environment (Shankarayan et al., 2013), or by-pass the cell efflux pumps, key players in  
60 multidrug resistance of tumors (Deli, 2009).

61 We previously described lecithin/chitosan nanoparticles loaded with tamoxifen citrate  
62 intended for oral administration in the treatment of estrogen-dependent breast cancer  
63 (Barbieri et al., 2013). Lecithin and chitosan self-assembled leading to nanoparticle  
64 formation. Chitosan played the role of bridging the phospholipid negative polar heads of  
65 formed phosphatidylcholine liposomes, strengthening the vesicle structure (Sonvico et al.,  
66 2006). The release of tamoxifen citrate from these lecithin/chitosan nanoparticles was  
67 triggered by enzymes acting on the nanoparticle constituents, in particular lipase and  
68 lysozyme, thus destabilizing the nanoparticle structure. The drug remained protected from  
69 gastric pH and started being released in intestinal fluid in presence of pancreatin,  
70 lysozyme or lipase alone or combinations thereof (Thanou et al., 2001).

71 Tamoxifen citrate is slightly soluble in water. Administered orally, it shows great intra- and  
72 inter-patient variation in bioavailability (Osborne, 1998). The mechanisms underlying the  
73 variable response to tamoxifen have been the object of a lot of investigation, but remain  
74 obscure. However, it is now known that *in vivo* the overall pharmacological action of  
75 tamoxifen is due in part to its transformation into active metabolites. As tamoxifen is  
76 converted to more potent anti-estrogenic metabolites, one hypothesis is that individual  
77 and/or altered patterns of tamoxifen metabolism and clearance might contribute to inter-  
78 individual variability in the elicited effects (Goetz et al., 2005). These tamoxifen  
79 metabolites are generated mainly by cytochrome P450 (CYP-450) isoforms, such as  
80 CYP2D6, present in the liver and intestinal wall (Ferraldeschi and Newman, 2010; Thelen

81 and Dressman, 2009). This metabolism is crucial for the transformation of tamoxifen  
82 (TAM; MW 371.5) in 4-hydroxy-tamoxifen (4-OH-TAM; MW 387.5), one of its main active  
83 metabolites, showing a 30 to 100 times greater potency than the parent drug (Beverage et  
84 al., 2007). The erratic appearance of these active metabolites and their elimination from  
85 the plasma, via the phase two drug-metabolising enzymes sulphotransferases (SULT) and  
86 UDP glucuronosyltransferases (UGT) could support the inter-individual patient response to  
87 TAM (Ferraldeschi and Newman, 2010).

88 The aim of the present work was to study the transport of tamoxifen through the rat  
89 intestinal wall from donor formulations containing tamoxifen citrate (i.e., free non  
90 encapsulated drug) or lecithin/chitosan nanoparticles loaded with tamoxifen. Experiments  
91 were performed *ex vivo* using rat intestinal tissue in an Ussing chamber. The appearance  
92 in the receptor phase of 4-OH-tamoxifen, previously shown to be the most abundant  
93 metabolite in mice, was monitored during the TAM transport (Goetz et al., 2005). The  
94 influence of pancreatin or lipase on tamoxifen release from lecithin/chitosan nanoparticles  
95 during transport experiments was studied. Finally, the effect of the nanoparticle  
96 bioadhesion to the intestinal mucosa on permeation of tamoxifen was investigated as well.  
97

## 98 **2. Material and methods**

### 99 *2.1. Material*

100 Chitosan with a deacetylation degree of 95% and a viscosity of 103 cP, as determined by  
101 the supplier on a 1% solution (w/v) in acetic acid 1%, was provided by Primex (Chitoclear  
102 FG, Haugesund, Norway). Soybean lecithin used was Lipoid S45 (Lipoid AG,  
103 Ludwigshafen, Germany). Tamoxifen citrate (MW. 563.6) produced by Plantex Ltd.  
104 (Netanya, Israel) was a kind gift from Lisapharma S.p.A. (Erba, Italy). Pancreatin from  
105 porcine pancreas and lipase from *Pseudomonas fluorescens* and forskolin were  
106 purchased from Sigma-Aldrich (St. Louis, USA).

107 All other chemicals of analytical grade were from Carlo Erba (Milan, Italy). Purified Milli-Q  
108 water (Millipore, Billerica, MA, USA), degassed and filtered through 0.45 µm regenerated  
109 cellulose filters (Sartorius, Barcelona, Spain), was used in all experiments.

### 110 *2.2. Preparation of tamoxifen citrate-loaded lecithin/chitosan nanoparticles*

111 Nanoparticles were produced according to a method previously described (Barbieri et al.,  
112 2013; Gerelli et al., 2008). Briefly, 8 ml of a methanol solution containing 200 mg of lecithin  
113 and 60 mg of tamoxifen citrate were injected under mechanical stirring at 11000 rpm  
114 (Ultraturrax TP 18/10-10N, IKA-Werke GmbH Staufen, Germany) in 92 ml of an aqueous  
115 solution containing 10 mg of chitosan prepared by diluting 1 ml of chitosan solution 1%  
116 (w/v) in HCl 0.1 N. Injection rate (40 ml/min) was controlled using a mechanical syringe  
117 pump (Model 200, KD Scientific, Holliston, MA, USA), pumping through a glass pipette  
118 with a 0.5 mm tip orifice. The TAM nanoparticle suspension obtained had a pH value of  
119 2.7.

### 120 *2.3. Ex vivo experiments with Ussing chamber*

#### 121 *2.3.1. Preparation of the intestinal tissue*

122 The jejunum from small intestine of sacrificed male Wistar rats (200-250 g) (Charles  
123 River, Paris, France) was excised, washed with chilled physiological saline solution (NaCl  
124 0.9% w/v) and longitudinally cut into segments of 2-3 cm in length. After visual  
125 examination of the tissue, sections containing Peyer's Patches were discarded from the  
126 studies. The studies were approved by the Ethical Committee of the University of Paris

127 Sud XI (agreement n° A92-019-01) in strict accordance with the European legislation on  
128 animal experiments.

### 129 2.3.2. *Transport experiments*

130 Jejunum segments were mounted in the Ussing chamber with mucosal side facing the  
131 donor and the serosal side facing the receptor. The intestinal surface exposed to the  
132 transport (1 cm<sup>2</sup>) was washed with Ringer solution at pH 6.8. The chamber was  
133 maintained at 37 °C and continuously oxygenated with a mixture of O<sub>2</sub> and CO<sub>2</sub> (95-5%).  
134 After 30 min of equilibration, the medium in the donor chamber was replaced by 5 ml of  
135 preheated (37 °C) Ringer solution containing non-encapsulated drug in suspension or  
136 nanoparticles (160 µg/ml of tamoxifen citrate). In the experiment with enzymes, the donor  
137 also contained 1% (w/v) of pancreatin or 1000 U/ml of lipase. The receptor chamber was  
138 filled with Ringer solution (5 ml) containing 1 % (w/v) of hydroxypropyl-β-cyclodextrin  
139 (HPCD). At pre-determined time points (0, 0.5, 1, 1.5, 2, 2.5, 3, 3.5 and 4 h), aliquots of  
140 200 µl were sampled from the receptor chamber and replaced with the same volume of  
141 the preheated (37 °C) Ringer solution containing HPCD.

142 Tissue viability was assessed during the experiment recording continuously transmucosal  
143 potential difference. At the end of the experiment as additional control, a test using  
144 forskolin was performed, as reported in literature (Bravo-Osuna et al., 2008). If tissue  
145 damage was suspected, all samples collected from the corresponding chambers were  
146 discarded.

147

### 148 2.3.3. *Drug and metabolite assay*

149 Tamoxifen and 4-OH-tamoxifen were assayed using the HPLC method reported in the  
150 tamoxifen citrate monograph of the Ph.Eur. 6.0 Ed. A Shimadzu (Kyoto, Japan) HPLC  
151 apparatus, equipped with a Spherisorb<sup>®</sup> ODS2 column (4.6 x 250 mm, 5 µm) (Waters  
152 Corporation, Milford, MA, SA), was used. The mobile phase was a mixture (40:60) of  
153 acetonitrile and a solution of 0.9 g/l sodium dihydrogen phosphate and 4.8 g/l N,N-  
154 dimethyloctylamine, adjusted to pH 3.0 with orthophosphoric acid. Flow rate was set at 1.2  
155 ml/min and injection volume was 10 µl. UV detection was performed at 240 nm. External  
156 standard of tamoxifen citrate (10 µg/ml as tamoxifen) and 4-OH-TAM (11 µg/ml) were

157 used. Retention times were 5 min and 8 min, respectively for 4-OH-tamoxifen and  
158 tamoxifen. Method suitability for tamoxifen was carried out with the following results:  
159 linearity between 0.010 and 110.000 µg/ml, relative standard deviation for repeatability  
160 0.63% (n=6, solution concentration 10 µg/ml), theoretical plates 7154, peak symmetry 0.87.

#### 161 *2.4. Statistical analysis*

162 Data were expressed as mean ± standard deviation (SD) of at least three replicates.  
163 Statistical significance analysis was processed using the nonparametric Mann–Whitney U-  
164 test (p value < 0.05). All calculations were performed using the KaleidaGraph® software  
165 program.

166

### 167 3. Results and discussion

#### 168 3.1. TAM transport through intestinal tissue from a saturated drug solution

169 A first transport experiment was performed to determine the permeability of tamoxifen  
170 itself across the intestinal tissue from an aqueous saturated solution of tamoxifen citrate  
171 as donor. The donor was a suspension of tamoxifen citrate in Ringer solution at 160 µg of  
172 solid per ml. The pH of the suspension was 6.8 and the measured tamoxifen solubility was  
173 27 µg/ml at 37 °C. Both tamoxifen and 4-OH-tamoxifen concentrations were determined in  
174 the samples collected from the receptor chamber, given that the alive intestinal tissue  
175 contains various enzymatic systems, including CYP450, able to transform TAM into 4-OH-  
176 TAM.

177

178 <Figure 1>

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180 The amount of TAM absorbed and transported through the intestinal tissue in 4 hours was  
181 0.76 nmol/cm<sup>2</sup> (Fig. 1). Unexpectedly, after 60 minutes most tamoxifen in the receptor was  
182 present as the metabolite 4-OH-tamoxifen. This amount increased linearly over time,  
183 whereas intact (i.e., non metabolized) TAM molecule did not accumulate in the donor. In  
184 fact, no increase in TAM concentration was evidenced after 90 minutes. As a result, the  
185 ratio between the metabolite and the transported intact drug increased with time and, in 4  
186 hours, the amount of 4-OH-TAM in the receptor was fourfold that of TAM. Thus, the  
187 intestinal tissue metabolized the drug absorbed establishing a concentration gradient of  
188 the metabolite in the barrier thickness. Unfortunately, the metabolite concentration in the  
189 donor chamber was not measured at the end of this experiment, because the observation  
190 that tissue metabolism caused an important metabolite excretion in the donor, was made  
191 during later experiments. The metabolism could justify why, despite the constant drug  
192 activity in the donor phase containing a drug suspension, the permeation profile for total  
193 TAM was not in steady state. In fact, after an initial faster transport rate, a continuous  
194 decrease during the four hours of experiment was observed.

#### 195 3.2. TAM transport through intestinal tissue from loaded nanoparticles

196 A second transport experiment studied the absorption of TAM when nanoparticles loaded  
197 with the drug (LCN-TAM) were introduced in the donor. The concentration of tamoxifen

198 citrate as nanoparticles in the donor was maintained at 160 µg/ml. The nanoparticle  
199 suspension prepared according to our previous paper was used (Barbieri et al., 2013). It  
200 contained approximately 40% of non-encapsulated TAM together with the nanoparticles.  
201 Figure 2 shows the tamoxifen permeation profile from TAM-loaded lecithin/chitosan  
202 nanoparticles. The transported drug profile in the case of the nanoparticles was higher  
203 than the one measured for drug suspension, but data variability did not allow claiming  
204 significant differences. After 4 hours, the total amount of drug (TAM + 4-OH-TAM) found in  
205 the receptor ( $\sim 1$  nmol/cm<sup>2</sup>) was about 1.5 times higher than the value obtained with TAM  
206 suspension ( $p < 0.01$ ).

207 However, using the nanoparticles, a substantial difference in the metabolite/intact drug  
208 ratio was observed, since the amount of intact TAM in the receptor significantly increased  
209 over time paralleling the metabolite amount. Thus, when the nanoparticles were used,  
210 more TAM passed through the intestinal tissue without being transformed by the CYP450  
211 enzyme. A paracellular transport, due to the chitosan present in the nanoparticles  
212 formulation, seemed likely. In fact, it was the contribution of intact TAM that increased the  
213 total TAM absorbed, having determined that the metabolite amount cumulated in the  
214 receptor was approximately the same as in the previous experiment. At the end of this  
215 experiment, an important intestinal extrusion into the donor of the intracellular formed  
216 metabolite was also determined, since  $24 \pm 6$  nmol of 4-OH-TAM were found in the donor  
217 compartment. Thus, tamoxifen absorbed and transported through the rat intestine was at a  
218 great extent metabolized and excreted as metabolite in both compartments of the Ussing  
219 Chamber, but predominantly into the donor phase. Tamoxifen excretion is not mediated by  
220 P-glycoproteins, since the compound is not a substrate for transporters (Callaghan and  
221 Higgins, 1995; Colabufo et al., 2008; Werle, 2008). However, it has been reported  
222 recently, that the active metabolites of tamoxifen, including 4-OH-TAM, are indeed  
223 accumulated in brain tissue in P-gp knockout mice. This suggests that the metabolites of  
224 tamoxifen are affected by efflux mechanisms present at biological barriers (Iusuf et al.,  
225 2011). The donor polarization of the metabolite could be related to the short distance from  
226 the cytochrome enzymes and the apical membrane of enterocytes, since the enzyme is  
227 polarized towards the apical side of the intracellular space (Benet and Cummins, 2001;  
228 Berggren et al., 2004; 2003).

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<Figure 2>

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233 3.3. *TAM transport through intestinal tissue from loaded nanoparticles in presence of*  
234 *pancreatin.*

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It was shown that *in vitro* tamoxifen citrate was released very slowly from the nanoparticles, unless enzymes capable to dismantle the nanostructure, such as pancreatin or lipase, were added to the release medium. In the presence of pancreatin, 50% of the encapsulated TAM was released in 24 hours (Barbieri et al., 2013). Therefore, another permeation experiment was carried out in presence of pancreatin, studying the transport of tamoxifen and its metabolite from TAM nanoparticles or TAM suspension. Figure 3 illustrates the transport of TAM from nanoparticles and pancreatin across the intestinal tissue into the donor phase. The cumulative amount of TAM transported was similar to the experiment without pancreatin in the first two hours, then the transport rate burst after this time. It is undisputable that, starting from 180 minutes, a large amount of intact drug passed through the intestinal tissue, reasonably as a consequence of the nanoparticle degradation by the enzyme and ensuing drug release.

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After the burst of intact drug transport, there was a much higher amount of TAM than 4-OH-TAM in the receptor chamber. Again, the amount of metabolite, due to transcellular transport, was similar to that quantified in the previous experiments. Thus, it could be said that now a door for the unmodified drug had been open in the tissue.

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<Figure 3>

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The improvement in TAM transport rate could be assigned to an important increase of TAM chemical activity due to triggered drug release from the nanoparticles, in concomitance with the activation of a paracellular pathway for TAM through the intestinal epithelium.

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This effect is typical of chitosan action on epithelial tight junctions. We already hypothesized a paracellular transport in the previous experiments with nanoparticles without pancreatin, despite the fact that in that case chitosan was strongly engaged in the nanoparticle structure and unable to act intensely on tight junction opening. Here, as the

262 nanoparticles were degraded by the enzyme, the chitosan chains, disengaged from the  
263 nanostructure, could better interact with the intestinal cells by opening a paracellular way.  
264 Comparing the timing of the events, it was justified that the TAM transport accelerated with  
265 the nanoparticle enzymatic degradation, given that the *in vitro* release data showed that  
266 the effect of the degrading enzyme on the nanoparticle structure required 1-2 hours to  
267 become relevant. The tight junction pathway paralleled the intracellular transport of TAM,  
268 but following the paracellular way, TAM metabolism by the intestinal cells was avoided. In  
269 addition, figure 3 shows that the amount of metabolite in the receptor was the same with  
270 TAM suspension or LCN-TAM without enzyme. Also in this experiment with pancreatin, an  
271 important intestinal extrusion of intracellularly formed metabolite of  $23.8 \pm 6.3$  nmol was  
272 measured in the donor. Finally, the total TAM transported to the receptor phase through  
273 the intestinal tissue over four hours was 6 and 20 times higher than with LCN-TAM without  
274 enzyme and with TAM suspension, respectively.

275 In order to ensure that pancreatin did not alter the permeability of the intestinal tissue, a  
276 transport experiment using TAM suspension and pancreatin in the donor chamber was  
277 also carried out. The transport profile in 4 hours resulted superimposed to the one  
278 obtained without pancreatin (data not shown). It was concluded, as shown by other  
279 authors (Mazzaferro et al., 2012), that pancreatin did not modify the permeability of the  
280 intestinal tissue.

#### 281 3.4. TAM transport through intestinal tissue from loaded nanoparticles in presence of 282 lipase

283 The lipase enzyme was not largely represented in the pancreatin mixture used in the  
284 previous experiment (6 U/mg). During the *in vitro* release experiments, we showed that  
285 pure lipase was much more efficient than pancreatin in releasing TAM from the  
286 lecithin/chitosan nanoparticles (up to 80% in 24 h). To confirm the contribution of the  
287 enzyme-triggered degradation of the nanoparticles to the transport of the drug and its  
288 metabolite through the intestinal tissue, a transport experiment was carried out from a  
289 donor containing nanoparticles and lipase as degrading enzyme.

290 Figure 4 shows that the transport of TAM from nanoparticles increased of one order of  
291 magnitude compared to the experiments without lipase. Practically, the entire amount of  
292 drug transported in the receptor was unmodified tamoxifen.

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295

<Figure 4>

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Summarizing, the amount of tamoxifen transported through the rat intestinal tissue after 4 hours from LCN-TAM in the presence of lipase, was 4.5 times higher than with the LCN-TAM with pancreatin, 26 times higher than with LCN-TAM without enzymes and 90 times higher than with non-encapsulated TAM in suspension. Now, the fraction of unmodified drug transported was prevalent. It must be underlined again that the amount of 4-OH-TAM measured in the receptor was not statistically different from the transport experiments where LCN-TAM with and without pancreatin were tested. This experiment confirms that the enzymatic degradation of lecithin/chitosan nanoparticles played a decisive role in the transport of TAM through the intestinal tissue.

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*3.5. TAM transport through intestinal tissue coupled with a semipermeable membrane, from loaded nanoparticles in presence of pancreatin*

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Being the increased transport of tamoxifen attributed to the degradation of nanoparticles on the intestinal mucosa, the transport of drug from the nanoparticles was investigated when the luminal side of intestinal tissue was not accessible to the nanoparticles. The questions to answer were: "Does the adhesion of the nanoparticles to the intestinal tissue play a role in TAM transport through intestinal tissue? Is it the increased chemical activity of TAM or the presence of chitosan that enhanced the absorption of the drug?".

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The study was carried out under the same conditions as for the previous experiments (section 3.3), but avoiding nanoparticle contact and consequently bioadhesion to the mucosa. To do so, a semipermeable membrane (cut-off 100,000 Da) was placed on the mucosal side, separating the donor content from the tissue, according to Bravo-Osuna and co-workers (Bravo-Osuna et al., 2008). The membrane allowed for the passage of drug, but blocked enzymes, chitosan and nanoparticles. The experiment was performed testing the transport from LCN-TAM with pancreatin in the donor. Figure 5 shows the amount of TAM transported during four hours in presence of the semipermeable membrane in comparison with the same experiment without membrane. It is clear that the membrane significantly decreased the amount of TAM transported through the intestinal tissue from the nanoparticles.

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<Figure 5>

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328 Preliminarily, it was assessed whether the semipermeable membrane did not affect the  
329 transport of the drug. No difference in TAM transport from drug suspension existed  
330 between the presence or absence of the semipermeable membrane, as it can be seen  
331 comparing the profiles of Figure 5 and Figure 1. The amount of drug transported from the  
332 TAM suspension through the intestinal tissue covered by the semipermeable membrane  
333 was similar to the transport from nanoparticles in presence of enzymes through the same  
334 barrier. The transport from the suspension was somehow faster up to 120 minutes, but as  
335 the nanoparticles degraded, the two profiles become superimposed. The membrane was  
336 not an obstacle for TAM molecules to access to the intestinal tissue. Thus, when the  
337 contact of nanoparticles with intestinal mucosa was prevented, the transport profile of  
338 tamoxifen resulted similar to the one determined from the TAM suspension.

339 In our experimental set-up, the semi-permeable membrane has a relatively large cut-off  
340 size, preventing the diffusion of the nanoparticles, but allowing the passage of tamoxifen  
341 released and possibly, of low molecular weight fragments from nanoparticle degradation.  
342 However, no increase in tamoxifen permeation was evidenced. The enhancement was  
343 only shown when nanoparticles interacted with the mucosa, similarly to the findings of  
344 Bravo-Osuna for chitosan and thiolated-chitosan coated poly(isobutylcyanoacrylate)  
345 nanoparticles. Their results supported the hypothesis that mobile polysaccharide chains at  
346 the surface of the nanoparticles could directly interact with tight junctions proteins. In our  
347 case, the increase in intestinal tamoxifen permeation in presence of enzymes able to  
348 degrade nanoparticles components derived from nanoparticle interaction at the mucosal  
349 surface, along with the progressive degradation of nanoparticle structure, resulting in the  
350 release of the tamoxifen loaded in close proximity of the absorption membrane.

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#### 352 **4. Conclusions**

353 The obtained results allow to concluding that during the transport through the rat intestinal  
354 mucosa, in the conditions applied for the experiments, tamoxifen is heavily metabolized.

355 The metabolite 4-OH-tamoxifen was excreted into both the receptor and the donor  
356 compartments. The amount of metabolite in the receptor phase during the experiments did  
357 not significantly change in all conditions tested, i.e. using tamoxifen-loaded nanoparticles  
358 or a drug suspension, but the donor phase contained ten times more metabolite. A cell  
359 efflux mechanism able to transport the tamoxifen metabolite formed back into the donor  
360 compartment is likely to be present.

361 Considering the intact drug transported *ex vivo* through the intestinal membrane, the  
362 encapsulation of tamoxifen in lecithin/chitosan nanoparticles improved the non-  
363 metabolized drug transport through the rat intestinal tissue. When nanoparticles were  
364 degraded by enzymes such as pancreatin or lipase, the amount of intact drug transported  
365 increased of one order of magnitude compared to the transport from the drug suspension,  
366 likely due to the promoting effect of chitosan molecules deriving from the dismantled  
367 nanoparticles. If the contact between the nanoparticles and the mucosa was prevented by  
368 the interposition of a semipermeable membrane, the TAM transported from nanoparticles  
369 was similar as from tamoxifen suspension. This assigns to the lecithin/chitosan  
370 nanoparticle structure a decisive role in tamoxifen intestinal absorption. Hence, the  
371 intimate contact or mucoadhesion of nanoparticles to the mucosa is crucial to increase the  
372 transport of TAM through the intestinal tissue via a paracellular way.

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374

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378

379 **References**

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## Figure captions

**Fig. 1.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from TAM suspension (160 µg/ml of tamoxifen citrate in Ringer solution) (open circles). The bars represent the actual amounts of intact drug and metabolite: black bars, tamoxifen; white bars, 4-OH-tamoxifen.

**Fig. 2.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from loaded nanoparticles (open circles). Black bars, intact tamoxifen; white bars, 4-OH-tamoxifen.

**Fig. 3.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from loaded nanoparticles in presence of pancreatin (open circles). Black bars, intact tamoxifen; white bars, 4-OH-tamoxifen.

**Fig. 4.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from loaded nanoparticles in presence of lipase (open circles). Black bars, intact tamoxifen; white bars, 4-OH-tamoxifen.

**Fig. 5.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from nanoparticles in presence of pancreatin without (diamond) and with (triangle) the semipermeable membrane and from TAM suspension with the membrane (circle).

Figure 1

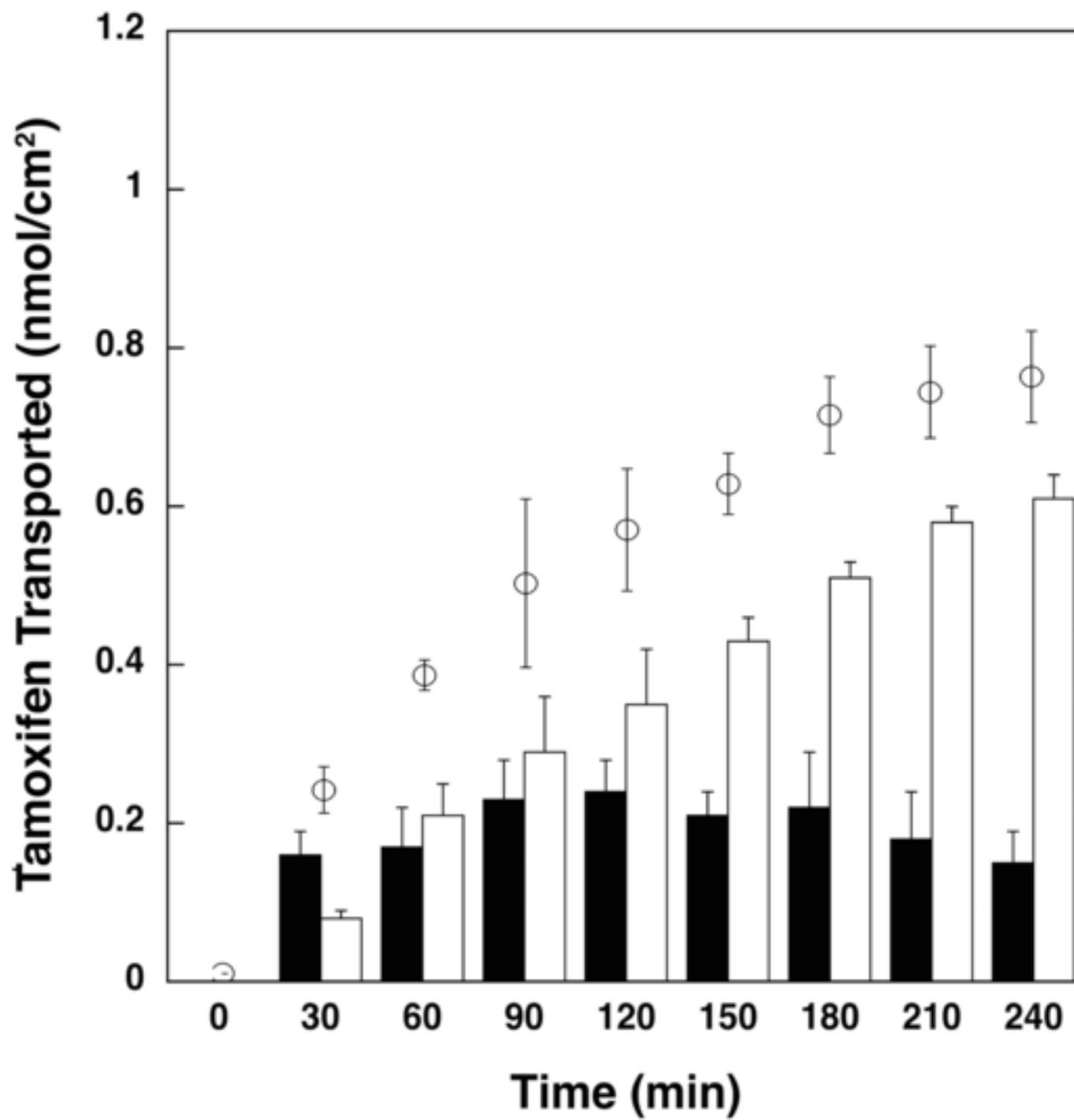


Figure 2

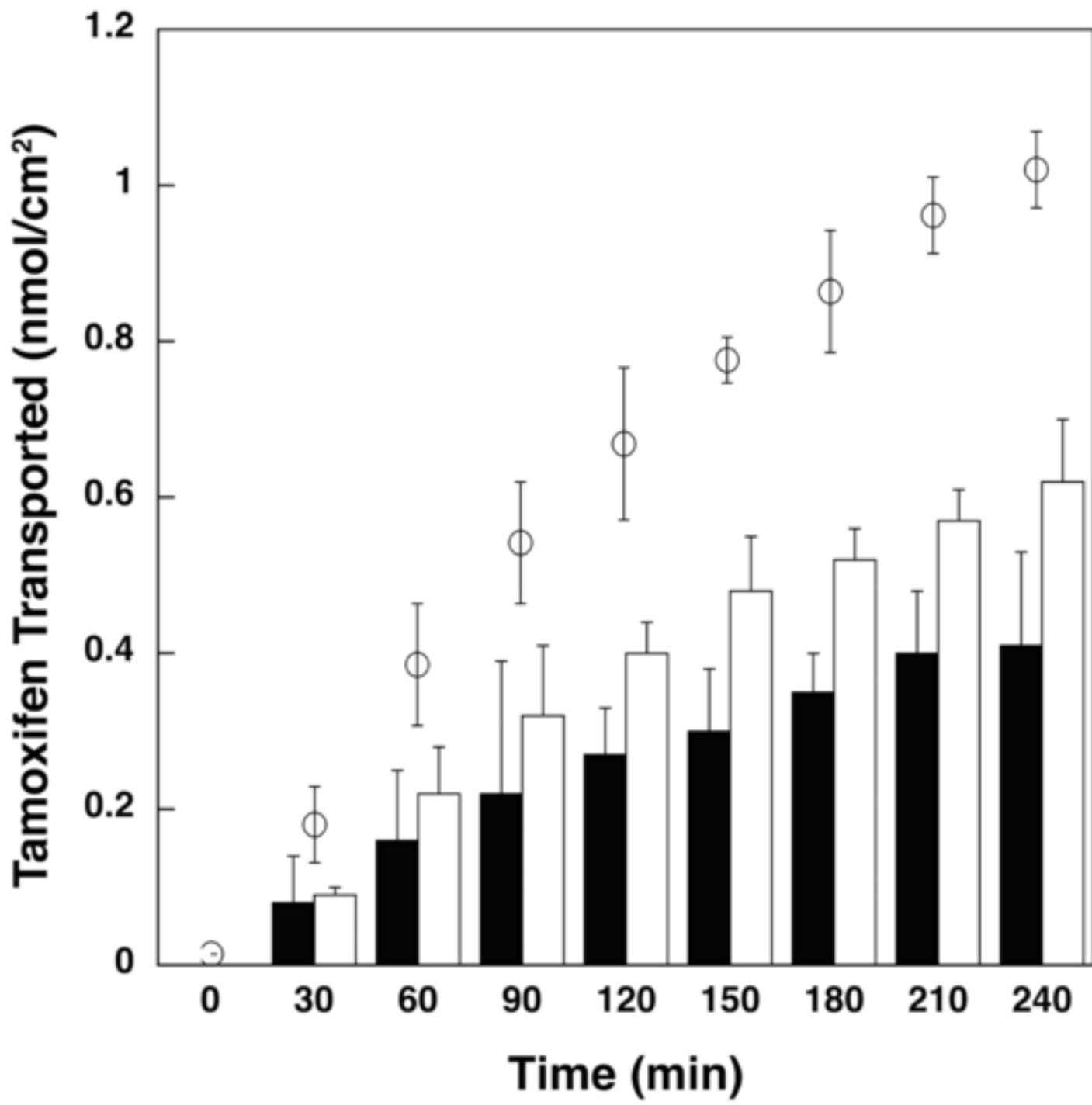


Figure 3

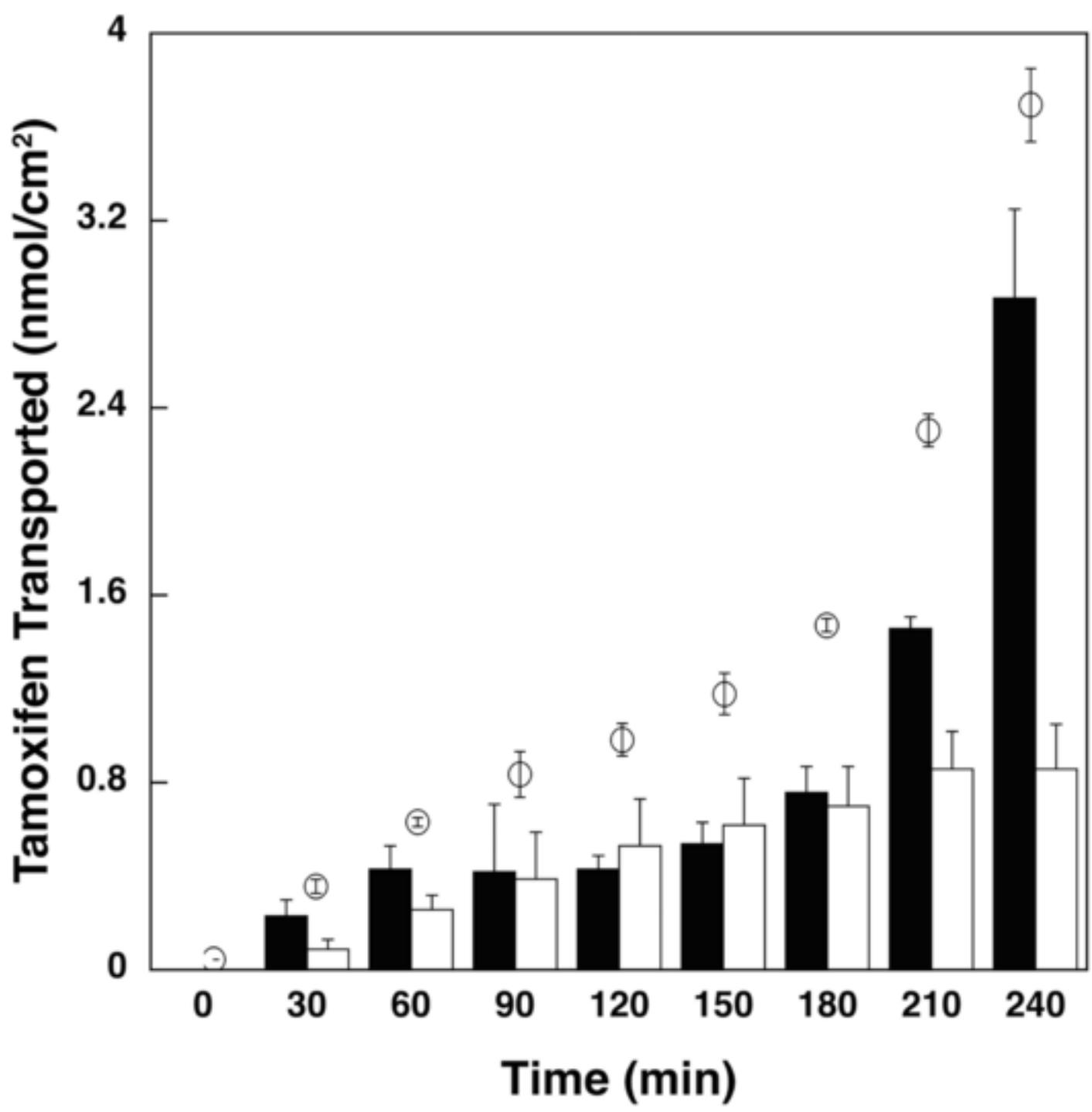


Figure 4

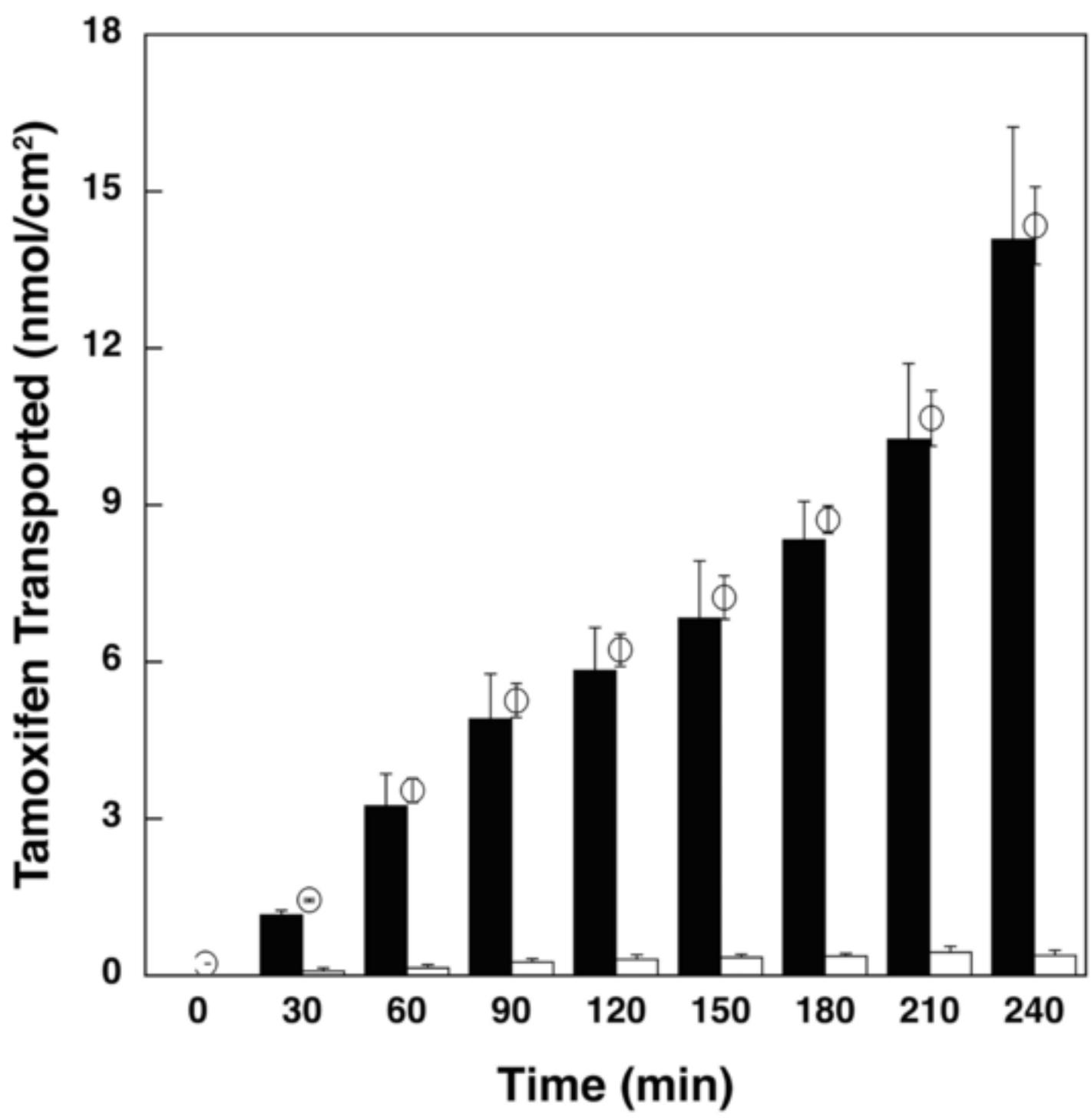


Figure 5

