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1 **Cyclosporine-loaded micelles for ocular delivery: investigating the penetration mechanisms**

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29 Abstract:

30 Cyclosporine is an immunomodulatory drug commonly used for the treatment of mild-to-severe dry
31 eye syndrome as well as intermediate and posterior segment diseases as uveitis. The ocular
32 administration is however hampered by its relatively high molecular weight and poor permeability
33 across biological barriers. The aim of this work was to identify a micellar formulation with the ability
34 to solubilize a considerable amount of cyclosporine and promote its transport across ocular barriers.
35 Non-ionic amphiphilic polymers used for micelles preparation were tocopherol polyethylene glycol
36 1000 succinate (TPGS) and Solutol[®] HS15. Furthermore, the addition of alpha-linolenic acid was
37 assessed. A second aim was to evaluate micelles fate in the ocular tissues (cornea and sclera) to
38 shed light on penetration mechanisms. This was possible by extracting and quantifying both drug
39 and polymer in the tissues, by studying TPGS hydrolysis in a bio-relevant environment and by
40 following micelles penetration with two-photon microscopy. Furthermore, TPGS role as permeation
41 enhancer on the cornea, with possible irreversible modifications of tissue permeability, was
42 analyzed. Results showed that TPGS micelles (approx. 13 nm in size), loaded with 5 mg/ml of
43 cyclosporine, promoted drug retention in both the cornea and the sclera. Data demonstrated that
44 micelles behavior strictly depends on the tissue: micelles disruption occurs in contact with the
45 cornea, while intact micelles diffuse in the interfibrillar pores of the sclera and form a reservoir that
46 can sustain over time drug delivery to the deeper tissues. Finally, cornea quickly restore the barrier

47 properties after TPGS removal from the tissue, demonstrating its potential good tolerability for
48 ocular application.

49

50 Keywords: cyclosporine, polymeric micelles, corneal delivery, transscleral delivery, TPGS hydrolysis,
51 two-photon microscopy

52

53 Highlights

54 • TPGS micelles improved cyclosporine solubility promoting its retention in eye tissues

55 • Micelles disassemble in contact with the cornea

56 • Micelles diffuse intact inside the scleral tissue

57 • TPGS is hydrolyzed by tissue esterases when in contact with cornea and sclera

58 • Two-photon microscopy is a useful tool to study micelles-tissues interaction

59

60

61 **1. Introduction**

62 Cyclosporine A (CYC), a neutral cyclic peptide isolated from different fungal species, is an
63 immunomodulatory drug which works inhibiting T cells activation by blocking the transcription of
64 cytokine genes including those encoding for IL-2 and IL-4 [1]. Its main ocular application is the
65 treatment of mild-to-severe dry eye syndrome (DES) and, with this indication, it is present as anionic
66 O/W emulsion on the US market (0.05%, Restasis®) and as a cationic O/W nanoemulsion (0.1%,
67 Ikervis®) on EU market. Moreover, an ophthalmic solution (0.09%, Cequa®) has been recently
68 approved by the Food and Drug Administration. Together with the treatment of DES and other
69 corneal affections [2, 3], cyclosporine has demonstrated its activity for the treatment of blepharitis
70 [4, 5] as well as intermediate and posterior segment diseases including uveitis [6, 7] and Behçet
71 disease [8].

72 The formulation of this drug and its delivery to ocular tissues are however hampered by its relatively
73 high molecular weight (1202.6 g/mol), poor water solubility [9] and marked lipophilicity (log P= 3
74 [10]). Therefore, cyclosporine A is mainly administered via oily and surfactant-containing
75 formulations [11], presenting low tolerability and side effects, such as ocular burning, vision
76 interference, eye irritation and conjunctival hyperaemia [9, 12]. Moreover, cyclosporine higher
77 affinity for the oily phase rather than the aqueous environment usually results in a poor
78 bioavailability [12]. A possible alternative to solve these issues is represented by the use of micelles,
79 i.e. colloidal systems formed by self-assembling of amphiphilic molecules ~~polymers~~ in solution at a
80 concentration above the Critical Micellar Concentration (CMC). Surfactant micelles, made by low
81 molecular weight compounds, show high CMC and thus low physical stability. On the contrary,
82 polymeric micelles, i.e. micelles formed by amphiphilic polymers, are characterized by lower CMC
83 and better stability against dilution, and are generally preferred as drug delivery systems. Micelles
84 are composed of an inner lipophilic core, involved in drug loading and release, and an external
85 hydrophilic shell, responsible for micelles interaction with body targets. These nanosystems, in
86 addition to a relatively easy preparation, sterilization method and high scale-up feasibility, showed
87 good solubilization properties and efficient cellular internalization [13-15]. In case of ocular delivery,
88 micelles have demonstrated the ability to enhance drug transport to the anterior eye segment [16-
89 18] thanks to an improved solubility of drugs, higher penetration capacity (due to their nanometric
90 size) and prolonged drug release [19-21]. Furthermore, ex-vivo data highlighted their capability to
91 promote drug permeation across ocular tissues such as the sclera and the choroid, in the perspective
92 of a posterior segment targeting [16, 21, 22]. It is also worth mentioning that an extremely recent
93 review identifies the word "micelles" as one of latest high-frequency keywords, including this
94 vehicle among the emerging frontiers in ocular drug delivery [23]. Unfortunately, the intense
95 academic research has not necessarily been followed by actual industrial development. The reasons
96 are different, related to stability problems and difficulty of characterization [13, 24], but also to the
97 scarce information available regarding the fate of the polymer following ocular administration. This
98 aspect should be investigated since it provides numerous information: first of all, the quantification
99 of the polymer in the various tissues allows us to elucidate the transport mechanisms, a non-trivial
100 action in the case of micelles that, being association colloids, can undergo considerable and rapid
101 changes following interaction with biological fluids and tissues. Alongside this aim, to follow the
102 "destiny" of the polymer is extremely important under a toxicological point of view: this is

103 particularly relevant when the target is represented by the posterior segment of the eye, because
104 in this case a contact between the polymer and the retina can be envisaged.

105

106 Thus, the idea behind this work was to identify a micellar formulation with the ability to solubilize a
107 relevant amount of cyclosporine and promote its transport across ocular barriers. Non-ionic
108 amphiphilic polymers used for micelles preparation were tocopherol polyethylene glycol 1000
109 succinate (TPGS) and Solutol® HS15. Furthermore, the addition of alpha-linolenic acid was assessed,
110 in the light of the interesting results reported on fatty acids for micelles preparation [25, 26] and
111 increased drug loading [27, 28]. A second aim was to evaluate micelles fate in the ocular tissues
112 (cornea and sclera) to shed light on the penetration mechanisms. This was possible by quantifying
113 both drug and polymer in the tissues, by studying TPGS hydrolysis in a bio-relevant environment
114 and by following micelles penetration with two-photon microscopy.

115 **2. Materials and methods**

116

117 **2.1. Materials**

118

119 Cyclosporine A (MW 1202.6 g/mol; logP 3; water solubility 27.67 µg/ml [29]) was purchased from
120 Alfa Aesar (Kandel, Germany) while tocopheryl polyethylene glycol 1000 succinate (TPGS) was
121 received from PMC ISOCEM (Vert-Le-Petit, France). Alpha-linolenic acid (LA; MW 278.43 g/mol;
122 logP 6.46; pK_a 4.77), fluorescein sodium (MW 376.28 g/mol) and Nile red (NR; 9-(diethylamino)-5H-
123 benzo[a]phenoxazin-5-one; MW 318.4 g/mol) were purchased from Sigma-Aldrich (Saint Louis, MO,
124 USA). Solutol® HS15 was received from BASF (Ludwigshafen, Germany) whereas citric acid and
125 propylene glycol were purchased from A.C.E.F. S.p.a (Fiorenzuola d'Arda, Italy). For HPLC analysis
126 high purity water (Purelab® Pulse, Elga Veolia, UK) and HPLC grade acetonitrile were used. Acetate
127 buffer was prepared by mixing 0.1 M acetic acid and 0.1 M sodium acetate solutions in 82:18 (v/v)
128 ratio; pH was adjusted to 4.8 using 0.1 M NaOH. Simulated tear fluid (STF) was obtained by
129 solubilizing in water CaCl₂ 0.06 g/l, NaHCO₃ 2.18 g/l and NaCl 6.7 g/l; pH was adjusted to 7.4 using
130 1M HCl. Phosphate Buffer Saline (PBS) was prepared by dissolving in high purity water KH₂PO₄ 0.19
131 g/l, Na₂HPO₄ 2.37 g/l and NaCl 8.8 g/l; pH was adjusted to 7.4 using H₃PO₄ 85%. HEPES buffer was
132 prepared by solubilizing in high purity water HEPES 5.96 g/l and NaCl 9 g/l; pH was adjusted to 7.4
133 using NaOH 5M.

134

135 **2.2. Cyclosporine and linolenic acid quantification method**

136

137 Cyclosporine and linolenic acid quantification was performed using an HPLC-UV system (Infinity
138 1260, Agilent Technologies, Santa Clara, CA, USA), with a reverse-phase column (Aeris™ WIDEPORÉ
139 XB-C₈ column, 150 x 4,60 mm, 3,6 µm, Phenomenex, Torrance, CA, USA) thermostated at 65°C. The
140 mobile phase was composed of CH₃CN:water with TFA 0.1% in ratio 55:45 (v/v). The flow was
141 maintained at 1.6 ml/min and the injection volume was equal to 100 µl. The retention time of
142 cyclosporine and linolenic acid, both quantified by UV absorbance at 230 nm, was respectively of
143 4.9 and 3.1 minutes. For cyclosporine, linearity was found in the interval 1-50 µg/ml, whereas for

144 linolenic acid in the range 5-100 µg/ml. Details on calibration curves, RSD%, RE% and LOQ values, as
 145 well as discussion on the method and the impact of the injection solvent are reported in the
 146 supplementary materials (see par. 1.1).

147

148 2.3. TPGS quantification method

149

150 TPGS quantification, performed with a previously validated method [30], was done using an HPLC-
 151 UV system (Infinity 1260, Agilent Technologies, Santa Clara, CA, USA), with a reverse-phase C18
 152 column (Waters, Symmetry300 C18, 5 µm, 4.6 × 250 mm) and a C18 guard column (SecurityGuard
 153 Widepore C18, Phenomenex, Torrance, CA, USA), thermostated at 40 °C. The mobile phase,
 154 composed of acetate buffer pH 4.8:CH₃OH in 3:97 (v:v) ratio, was pumped at 2 ml/min. The retention
 155 time of TPGS, quantified by UV absorbance at 215 nm, was 4 minutes while the injection volume
 156 was 100 µl. Calibration curves were built in the concentration interval 1-25 µg/ml. Samples were
 157 injected without previous dilution. Further information on the preparation of the standards for the
 158 calibration curve are reported in the supplementary materials (see par. 1.2).

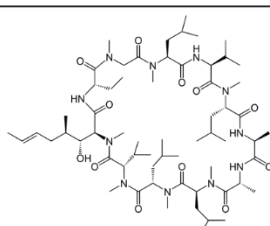
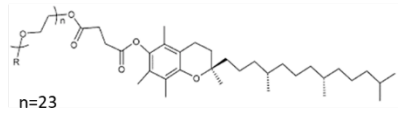
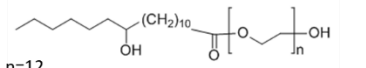
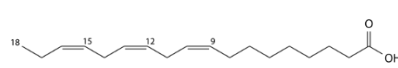
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160 2.4. Preparation of blank polymeric micelles

161 Polymeric micelles were prepared by direct dissolution of TPGS and/or Solutol® HS15 in high purity
 162 water to obtain formulations S (Solutol®), ST (Solutol® and TPGS) and T (TPGS) (see Figure 1 for
 163 details on the structure and table 1 for details on the composition of micellar formulations).

164

165 Figure 1: Drug and excipients used for micelles preparation with their main chemical features and molecular structure.

Compound	Molecular weight (g/mol)	Log P	HLB	pK _a	H-bond capacity (donor-acceptor)	Molecular structure
Cyclosporine	1202.6	2.92	-	13.3	5-12	
TPGS	1513	-	13.2	-	1-6	 n=23
Solutol® HS15	963.2	-	14-16	-	3-19	 n=12
Linolenic acid	278.4	6.46	-	4.77	1-2	

166

167

168 8 ml of S, ST and T micelles were then added with 100 µl of linolenic acid (L) this becoming SL, STL,
 169 TL, respectively, and vortexed (3 times x 20 sec each) in order to ensure complete saturation of the
 170 aqueous phase. Afterwards, to separate linoleic acid and obtain a clear solution, the oily phase was
 171 removed by 2-folds filtration (regenerated cellulose, Sartorius Minisart RC 0.2 µm). The amount of

172 linolenic acid loaded in the micelles was then evaluated by HPLC after proper dilution with the
173 mobile phase.

174
175 Table 1: Blank micellar formulations with relative composition and codification.

CODE	TPGS (mM)	SOLUTOL® HS15 (mM)	LINOLENIC ACID
T	20	-	-
S	-	20	-
ST	10	10	-
TL	20	-	at saturation
SL	-	20	at saturation
STL	10	10	at saturation

176

177 2.5. Cyclosporin-loaded polymeric micelles

178

179 In order to determine cyclosporine solubility, the blank micellar formulations (see table 1 for the
180 compositions) were added with an excess of the drug, sonicated for 3 minutes and left under
181 magnetic stirring at room temperature for at least 72 h. Then, the suspension was centrifuged at
182 10,000 rpm for 15 minutes and, when needed, for other 20 minutes at 15,000 rpm to get complete
183 precipitation of the undissolved drug and obtain a limpid formulation. The supernatant was sampled
184 and analyzed by HPLC-UV for determining the solubility of both cyclosporine and linolenic acid after
185 proper dilution with the mobile phase. Cyclosporine solubility is reported as mean \pm SD; $n \geq 9$ for T
186 micelles, $n \geq 3$ for the other formulations.

187 Size and polydispersity index (PDI) of blank and CYC-loaded micelles were measured by Dynamic
188 Light Scattering (DLS) using a Zetasizer Nano-ZSP (Malvern Instruments, Malvern, UK).
189 Measurements were performed at 25 °C after 10-folds dilution in high purity water.

190

191 2.6. Tissue preparation

192

193 Fresh porcine eyes were isolated from Landrace and Large White (age 10–11 months, weight 145–
194 190 kg), female and male animals supplied from a local slaughterhouse (Macello Annoni S.p.A.,
195 Parma, Italy). The eyes were kept in PBS at 4 °C until the dissection, which occurred within 2 h from
196 the enucleation. The muscular and connective tissues around the eye bulb were completely
197 removed. For corneal permeation experiments, only bulbs with macroscopically intact corneas were
198 used, whereas eyes showing opaque corneas were discarded. The cornea, isolated as a corneo-
199 scleral button-shaped piece, was obtained cutting with a scalp beyond the limbus. For scleral
200 permeation, the isolated sclera was obtained by circumferentially cutting and removal of the
201 anterior segment of the eye behind the limbus. The collected eyecup was then cut and everted. The
202 neural retina and the choroid-Bruch's layer were discarded while the sclera was used for the
203 permeation experiment.

204

205 2.7. Study of the effect of TPGS on cornea permeability: transcorneal permeation of 206 fluorescein

207

208 In order to evaluate if TPGS induces an increase of corneal permeability, the permeation of sodium
209 fluorescein was evaluated after application of TPGS micelles to the tissue for 10 minutes. The tissue
210 was mounted on a glass Franz-type vertical diffusion cell (DISA, Milano, Italy) with a permeation
211 area of 0.2 cm². The receptor was filled with HEPES buffer pH 7.4 (about 4 ml, exactly measured)
212 magnetically stirred at 37°C to guarantee sink conditions. First, the donor was pre-treated with 200
213 µl of TPGS 20 mM micelles for 10 min. Afterwards, the formulation was removed and the tissue was
214 washed 3 times with 200 µl of HEPES buffer and carefully dried with a cotton swab. A solution of
215 fluorescein sodium (1.18 mg/ml) was then applied at infinite dose (200 µl/cm²) for 4 h. 300 µl of
216 receptor solution were sampled at different timepoints (0, 1, 1.5, 2, 3 and 4 hours from the
217 deposition of the formulation) and the receptor was filled with fresh HEPES buffer. Negative and
218 positive controls were also evaluated. The negative control was represented by a pre-treatment of
219 10 minutes with HEPES buffer (pH 7.4). The positive control was represented by a 0.1% p/v
220 benzalkonium chloride solution applied for 5 or 10 minutes.
221 The samples fluorescence ($\lambda_{exc}=490$ nm, $\lambda_{em}=535$ nm) was measured via microplate reader (SPARK10
222 M, TECAN, Mannendorf, CH). More information on the preparation of the calibration curve, RSD%,
223 RE% and LOQ values are reported in the supplementary materials (par. 1.3). All experiments were
224 carried out using different ocular bulbs from different animals. The amount of fluorescein sodium
225 permeated (µg/cm²) was reported as a function of time (min). The transcorneal flux across the
226 cornea (J, µg/cm²h) was determined as the slope of the regression line at the steady state, while the
227 apparent permeability coefficient (P, cm/s) was calculated at the steady state as: $P=J/C_d$, where C_d
228 (µg/ml) is the concentration of the donor solution. Data are reported as mean ± SD; number of
229 replicates was $n \geq 3$, unless differently indicated.

231 **2.8. Retention and permeation experiments across cornea and sclera**

232
233 The tissue was mounted on a glass Franz-type vertical diffusion cell (DISA, Milano, Italy) with a
234 permeation area of 0.2 cm² (cornea) or 0.6 cm² (sclera). The set-up used for corneal permeation
235 experiments was previously validated to guarantee that tissue barrier properties were preserved
236 throughout the experiment duration [31]. The receptor was filled with PBS pH 7.4 (4 ml, exactly
237 measured) that was magnetically stirred to guarantee sink conditions. The formulations were
238 applied at infinite dose (200 µl/cm², occluded). At the end of the experiment, the receptor solution
239 was sampled, the formulation was removed from the donor and the tissue was rinsed 3 times with
240 PBS. Therefore, cyclosporine and TPGS were extracted by treating tissues with 1 ml solution of
241 CH₃CN:CH₃COOH 1% in 87:13 ratio. Samples were left under these conditions overnight at room
242 temperature, then sonicated for 12 minutes and centrifuged at 12,000 rpm for 15 min before HPLC
243 analysis. This extraction method, previously validated for cyclosporine [30], was also challenged for
244 its ability to extract TPGS. The percentage of recovery found for TPGS was 91.9 ± 3.15 %. In case of
245 cornea, experiment duration was 5 h and CYC-loaded formulations tested were T, TL and ST (see the
246 composition in Table 1 and CYC solubility in Figure 2). In case of sclera, only T was evaluated. The
247 experiments were performed for 6 and 48 h. Additionally, to evaluate the reservoir effect of the
248 tissue, T was applied to the sclera for 6 h; then, the formulation was carefully removed, the sclera
249 surface was dried with a cotton bud and permeation experiment was continued up to 48 h. After

250 this time, cyclosporine was extracted from the sclera and quantified in the receptor phase, as
251 previously described. Data are reported as mean \pm SD; $n \geq 3$ for all formulations.

252

253 **2.9. Hydrolysis of TPGS in contact with ocular tissues**

254

255 The in vitro enzymatic hydrolysis of TPGS was evaluated using cornea and sclera excised from fresh
256 porcine eyes. In particular, the isolated tissues were punch-biopsied with a 0.9 mm punch and cut
257 in four pieces of the same size.

258 A solution of TPGS with concentration 100 $\mu\text{g}/\text{ml}$ was prepared by dissolving the polymer in a
259 mixture of PBS pH 7.4:water in ratio 1:10. Then, 300 μl of TPGS 100 $\mu\text{g}/\text{ml}$ were incubated with two
260 pieces of the tissue (average weight 50 mg for cornea and 60 mg for sclera) for 24 h (for the cornea)
261 and 48 h (for the sclera) at 37°C. At predetermined time intervals, samples were centrifuged at
262 12,500 rpm for 5 minutes and 40 μl of the TPGS solution were withdrawn and diluted 1:10 with
263 $\text{CH}_3\text{CN}:\text{CH}_3\text{COOH}$ 1% (87:13), causing esterase inactivation. The concentration of TPGS in these
264 samples was measured by HPLC. Control samples obtained in the same conditions but without tissue
265 were analyzed as well.

266

267 **2.10. Two-photon microscopy**

268

269 For the two-photon microscopy analysis, Nile red-loaded TPGS 20 mM micelles were prepared.
270 Briefly, 10 μl of a 10 mg/ml NR solution in DMSO were added to 1.5 ml of blank TPGS 20 mM
271 micelles. The sample was then centrifuged at 13,000 rpm for 5 min to precipitate the exceeding NR
272 and to collect the supernatant. Afterwards, the corneal or the scleral tissue was mounted on a Franz-
273 type vertical diffuse cell (0.6 cm^2). The donor was filled with 120 μl of NR-loaded TPGS micelles,
274 while the receptor was filled with PBS pH 7.4 under magnetic stirring. The experiment duration was
275 2 h. The same experiment was performed also using a reference NR saturated aqueous solution
276 prepared by adding 10 μl of a 10 mg/ml NR solution in DMSO to 1.5 ml of high purity water and
277 centrifuging following the conditions previously reported to collect the supernatant.

278 Porcine samples were analyzed with a Two-Photon Microscope Nikon A1R MP+ Upright equipped
279 with a femtosecond pulsed laser Coherent Chameleon Discovery (~ 100 fs pulse duration with 80
280 MHz repetition rate, tunable excitation range 660-1320 nm). A 25 \times water dipping objective with
281 numerical aperture 1.1 and 2-mm working distance was employed for focusing the excitation beam
282 and for collecting the two-photon excited fluorescence (TPEF) and the second harmonic generation
283 (SHG) signals. TPEF/SHG signal was directed by a dichroic mirror to a series of three non-descanned
284 detectors (high sensitivity GaAsP photomultiplier tubes) allowing fast image acquisition. The three
285 detectors are preceded by optical filters allowing the simultaneous acquisition of three separated
286 channels: blue channel (415–485 nm), green channel (506–593 nm) and red channel (604–679 nm).
287 Imaging overlay of the three channels and processing was performed by the operation software of
288 the microscope. Additionally, a fourth GaAsP photomultiplier detector, connected to the
289 microscope through an optical fiber and preceded by a dispersive element, was used to record the
290 spectral profile of the TPEF/SHG signal (wavelength range 430 to 650 nm with a bandpass of 10 nm).

291 For microscope observations, performed right after dismounting the tissue from the Franz-type cell,
292 the samples were placed in a dedicated plexiglass holder and saline solution was used to dip the
293 objective and to avoid dehydration. Two different excitation wavelengths were used, 860 or 1080
294 nm. Images were acquired with a typical field of view of 500 $\mu\text{m} \times 500 \mu\text{m}$, except where explicitly
295 reported.

296 Besides two-photon microscopy analysis, the emission spectrum of NR-loaded TPGS micelles and a
297 NR solution in water were registered with an Edinburgh FLS-1000 fluorimeter. The solutions were
298 prepared by adding 20 μL of a 400 μM DMSO NR stock solution in 3 mL of 0.5 mM TPGS micelles or
299 high purity water (final NR concentration 2.7 μM , total percentage of DMSO < 1%) which were then
300 filtered after the preparation (hydrophilic PTFE, AISIM \AA 0.22 μm).

301

302 **2.11. Statistical analysis**

303 All data presented in text, figures and tables are reported as mean value \pm SD. The significance of
304 the differences between the results was assessed using Student's t-test. Differences were
305 considered statistically significant when $p < 0.05$.

306

307 **3. Results and discussion**

308

309 Polymeric micelles have demonstrated to be powerful tools to overcome the drawbacks of
310 traditional ocular dosage forms, with particular potentialities for an improved drug administration
311 to the anterior segment of the eye. Indeed, micelles can be used to formulate hydrophobic
312 compounds as aqueous solutions which, applied as eye drops, can easily mix with the lacrimal fluid
313 without causing any vision interference [14, 32].

314

315 **3.1. Cyclosporine solubility studies**

316

317 The first part of the work was dedicated to the identification of micellar formulas capable of
318 increasing cyclosporine aqueous solubility, that is very low; in simulated tear fluid we found a
319 solubility lower than 5 $\mu\text{g}/\text{ml}$.

320 The polymers used were TPGS and Solutol[®] HS15, alone or combined in a 1:1 molar ratio. TPGS, was
321 selected for the possible release of antioxidant vitamin E via enzymatic hydrolysis [30]. Moreover,
322 this polymer previously demonstrated its permeation enhancing properties for several drugs
323 through the corneal tissue [33-35] probably related also to P-glycoprotein inhibition [36]. Solutol[®]
324 HS15 was selected considering its capacity to improve hydrophobic drugs solubility [37, 38] and its
325 promising outcomes regarding the enhancement of corneal permeability and retention [39].
326 Linolenic acid was also added to the micellar formulations (see table 1 for detailed composition and
327 codification) since data previously collected in our laboratory demonstrated the capability of fatty
328 acids to increase the encapsulation of an hydrophobic compound [27]. Additionally, linolenic acid is
329 a precursor of prostaglandin E1, a potent anti-inflammatory agent capable of reducing the ocular
330 inflammation [40], promoting tear production and decreasing DES symptoms [41]. Some studies
331 demonstrated that dietary supplementation with linolenic acid led to a reduction of ocular surface

332 inflammation in patients with DES [42, 43] and there is also some evidence of omega-3 fatty acids
333 effectiveness when applied topically [44, 45].

334

335 TPGS and Solutol® demonstrated a similar capability to dissolve linolenic acid (4 mg/ml). However,
336 their 1:1 mixture reduced linolenic acid solubility by half. Cyclosporine was then added to the
337 micelles; TPGS alone gave the highest cyclosporine solubility (5.26 ± 0.39 mg/ml) and Solutol® the
338 lowest (0.57 ± 0.1 mg/ml). In the presence of linolenic acid, the solubility of cyclosporine decreased
339 (Table 2) regardless the polymers composition. Also the concentration of linolenic acid after
340 cyclosporine loading (Table 2) showed to be markedly reduced by cyclosporine presence; this
341 suggests a competition between the two molecules for the interaction with the micellar core, whose
342 size is relatively small [27]. This result differs from the previously published data on imiquimod,
343 where fatty acids co-encapsulation was used to increase the solubility of this hydrophobic drug into
344 TPGS micelles [27].

345

346 Table 2. Solubility of cyclosporine (CYC) and linolenic acid (LA) alone and combined in the micellar formulations.

347 Formulations further evaluated are indicated in bold.

348

	FORMULATION	CYC SOLUBILITY (mg/ml)	LA SOLUBILITY (mg/ml)
<i>cyclosporine only</i>	T	5.26 ± 0.39	-
	S	0.57 ± 0.1	-
	ST	3.27 ± 0.28	-
<i>linolenic acid only</i>	TL	-	3.82 ± 0.11
	SL	-	4.26 ± 0.32
	STL	-	2.37 ± 0.31
<i>cyclosporine and linolenic acid</i>	TL	3.04 ± 0.52	0.60 ± 0.03
	SL	n.d.	n.d.
	STL	0.53 ± 0.09	1.240.25

349

350 Given the results obtained, the formulations T, TL and ST loaded with cyclosporine were selected
351 for further studies, since they guarantee a drug solubility equal or higher than 3 mg/ml and, in the
352 case of TL, also the presence of linolenic acid that can support drug action. Additionally, these
353 formulations were selected also for their stability, evaluated after approx. 2 months as reported in
354 Figure S2.

355

356 The size of the drug-loaded micelles, reported in Table 3, is between 13 and 16 nm. Details on DLS
357 analysis including data on discarded formulations and blank micelles are presented in
358 supplementary material (Table S2). The size of TPGS as well as Solutol® micelles demonstrated to
359 be in good agreement with values reported in the literature [46, 47] and minor changes in micelle
360 size were reported after addition of linolenic acid or after cyclosporine loading, despite the increase
361 in the polydispersity index.

362

363 **3.2. Cyclosporine and TPGS accumulation and retention in ocular tissues**

364 The role of the micellar formulation on drug delivery to the ocular structures has not been fully
365 clarified. An increased solubility, the permeation enhancing properties of the polymer and the
366 uptake of intact micelles seem to concur to the increased drug penetration [48], however, the
367 contribution of each mechanism is difficult to deduce. In fact, given the nature of these nanocarriers,
368 formed by an association of amphiphilic unimers, the study of the interaction with the biological
369 environment is particularly hard. In this paper, to elucidate the micelles behaviour upon contact
370 with the biological barriers, we quantified both drug and polymer (TPGS) inside the ocular
371 structures.

372
373 **3.2.1. Cyclosporine and TPGS retention into the cornea**

374 Formulations T, TL and ST loaded with CYC at saturation were then applied to the corneal tissue to
375 evaluate their capability to promote drug uptake into the epithelial cells. At the end of the
376 experiment, the drug was extracted from the tissue with a validated method [30]. This method was
377 also challenged for its ability to extract TPGS that can likewise accumulate in the cornea. The results
378 obtained in term of cyclosporine and TPGS accumulation from these formulations are presented in
379 Table 3, while the amount of polymer and drug permeated is not reported as no transcorneal
380 permeation occurred.

381
382 Table 3. Composition, size and CYC solubility of the CYC-loaded micellar formulations evaluated on the corneal tissues.
383 Data on drug and TPGS accumulation in the cornea are also reported.

Micelles characteristics				Cyclosporin and TPGS delivery to the cornea	
	Composition	Size (nm) (<i>PDI</i>)	Cyclosporine conc. (mg/ml)	Cyclosporine in corneal tissue ($\mu\text{g}/\text{cm}^2$)	TPGS in corneal tissue ($\mu\text{g}/\text{cm}^2$)
T	TPGS 20 mM	$12.96 \pm 4.03^{[46]}$ (0.091)	5.26 ± 0.39	19.81 ± 4.49	55.92 ± 26.25
TL	TPGS 20 mM saturated with linolenic acid	14.47 ± 4.91 (0.196)	3.04 ± 0.52 (linolenic acid: 0.60 ± 0.03)	2.82 ± 1.43	41.05 ± 22.92
ST	TPGS 10 mM and Solutol® 10 mM	15.65 ± 5.90 (0.213)	3.27 ± 0.28	2.35 ± 0.66	2.82 ± 0.08

384
385 Formulation T outperformed the others, with an amount of drug accumulated about 7-fold higher.
386 Both the addition of linolenic acid (TL) or Solutol® (ST) decreased micelles delivery efficiency. The
387 reason can only partially be attributed to the different drug concentration (5 vs 3 mg/ml), since all
388 the formulations are at the saturation level and the thermodynamic activity is comparable in all
389 cases. The TPGS concentration in the formulation (20 vs 10 mM) can contribute to partially explain
390 the result, since TPGS has widely demonstrated its permeation enhancing capacity resulting from
391 efflux pumps inhibition and also from the ability to interpose between the phospholipidic bilayers
392 of cellular membranes modifying their fluidity [33, 49]. To shed light on micelles permeation
393 mechanisms, we also quantified the amount of TPGS in the cornea. The accumulation of TPGS in the

394 absence of Solutol® (T and TL) gave high and comparable results, even if showing it presents high
395 variability. The presence of Solutol® markedly decreased TPGS uptake, partially due to the lower
396 concentration (10 vs 20 mM) and potentially also to a lower mobility of TPGS in the presence of
397 mixed micelles, as previously demonstrated in the presence of poloxamers [46].
398 Since the TPGS:cyclosporine weight ratio in the T micelles is approximately 6:1, the data of corneal
399 retention suggest that the micelles do not penetrate intact in the tissue; the drug is probably
400 released as the micelles come in contact with the epithelial cells. There are however other
401 phenomena to be considered, since TPGS could be metabolised in the tissue (see par 3.3).

402
403 Concerning the relevance of the corneal concentration obtained, we can compare our data with the
404 ones previously obtained with the commercial formulation Ikervis®, a cationic nanoemulsion
405 accumulating a cyclosporine amount of $0.60 \pm 0.14 \mu\text{g}/\text{cm}^2$ [30]. Considering the lower
406 concentration of cyclosporin in Ikervis® (1 mg/ml), its performance is comparable with TL and ST,
407 while formulation T markedly enhanced drug uptake. This can be relevant, considering the growing
408 use of cyclosporine for diseases other than DES [50], that could require higher tissue concentration.

409

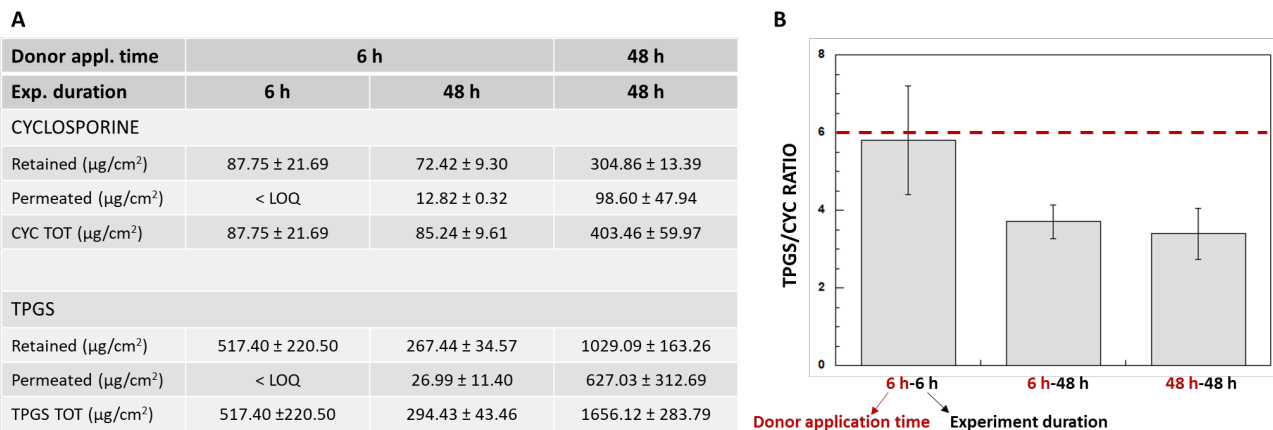
410 **3.2.2. Cyclosporine and TPGS diffusion across the sclera from micellar formulations**

411

412 In the last years, the topical route has been proposed for the administration of therapeutics also to
413 the posterior segment of the eye. This topic is still under investigation: some researchers have
414 actually highlighted the presence of the drug in the retina, but the clinical significance of the
415 concentrations found is still subject of debate. The first barrier encountered by the formulation after
416 topical application is the conjunctiva, which plays a crucial role as it mediates the delivery to the
417 posterior segment (conjunctival–scleral route), but also the nonproductive and undesirable
418 systemic absorption. Cyclosporine permeation across the conjunctiva from TPGS micelles was
419 studied in a previous work [46]. The results highlighted the capability of both drug and TPGS to cross
420 the conjunctival epithelium in significant amount. However, it is worth mentioning that the fast
421 nasolacrimal clearance from the conjunctival sac as well as the systemic uptake mediated by blood
422 and lymphatic vessels reduce the residence time on the eye surface to few minutes, making the
423 achievement of therapeutic concentrations in the posterior segment unlikely. A possible alternative is
424 a subconjunctival administration, where the formulation is applied on the surface of the sclera.
425 Although this procedure cannot be defined non-invasive, it avoids the most serious side effects
426 connected with the intravitreal injection and, in the presence of a controlled-release formulation,
427 can reduce the administration frequency. Also in this case, several static, dynamic and metabolic
428 barriers strongly reduce drug absorption [51], therefore, in order to achieve therapeutic
429 concentrations at the posterior chamber segment, topical formulations with high concentration of
430 the drug are required.

431 T micelles, having the higher cyclosporine concentration among the formulations prepared, were
432 selected for further studies regarding scleral accumulation and permeation. The donor was applied
433 for 6 h or 48 h and, at the end of the experiment, both the drug and TPGS were quantified in the
434 receptor phase and inside the scleral tissue. In order to investigate the “reservoir effect” of the
435 scleral tissue (i.e. the capability to accumulate the drug and then slowly release it to the deeper

436 tissues), the donor was also applied for 6 hours, then removed and the experiment was continued
 437 up to 48 h. Results of drug and TPGS accumulation and permeation are listed in Figure 2.
 438 The amount of cyclosporine recovered in the sclera after the 6 h treatment was much higher than
 439 in the cornea ($87.75 \pm 21.69 \mu\text{g}/\text{cm}^2$ vs. $19.81 \pm 4.49 \mu\text{g}/\text{cm}^2$), in agreement with the different tissue
 440 structure, with the sclera being a permeable connective tissue made of collagen fibres. However,
 441 also in this case, no drug was detected in the receptor phase after 6 h from donor deposition.
 442 Cyclosporine accumulation increases over time, from $87.75 \pm 21.69 \mu\text{g}/\text{cm}^2$ after 6 h of contact to
 443 $304.86 \pm 13.39 \mu\text{g}/\text{cm}^2$ after 48 h of contact. In this last case, it was also detected in the receptor
 444 compartment, in a very significant amount. When the donor was applied for 6 h and then removed,
 445 the total amount recovered after 48 h was very similar with respect to the 6 h experiment, but with
 446 a different distribution: while after 6 h the 100% of the drug was localized in the sclera, after 48 h
 447 the 15% permeated the tissue, demonstrating the capability of the sclera to slowly release the drug
 448 and/or the micelles into the deeper tissues.
 449 The fate of TPGS was also followed. The amount recovered in the sclera after 6 h was approximately
 450 $500 \mu\text{g}/\text{cm}^2$. Interestingly, when the donor was applied for 6 h and the quantification was made
 451 after 48 h, the amount of TPGS decreased to approximately $300 \mu\text{g}/\text{cm}^2$. This reduction can be
 452 attributed to an esterase-mediated hydrolyzation of TPGS with consequent release of vitamin E [30]
 453 and will be further studied.
 454



455 Figure 2. Panel A shows the amount of cyclosporine and TPGS accumulated in the sclera and permeated across the
 456 sclera (average \pm SD) after formulation application in infinite dose conditions for 6 h and 48 h. The reservoir effect was
 457 also evaluated by removing the donor after 6 h and continuing the experiment for up to 48 h. Panel B represents
 458 TPGS/CYC ratio related to the amount accumulated inside the sclera following different donor application times and
 459 experiment durations. The red line shows the TPGS/cyclosporine ratio (TPGS/CYC) in the T formulation.
 460

461
 462 The quantification of TPGS gives the possibility of investigating the mechanisms of micelles
 463 penetration. The ratio between TPGS (30 mg/ml) and cyclosporine (5 mg/ml) in T micelles is
 464 approximately 6 (dotted line in Figure 2B). We have then considered drug and TPGS recovered
 465 (accumulated + permeated) at the end of each experiment and calculated this ratio. The result,
 466 presented in Figure 2, panel B, shows that the TPGS/cyclosporine ratio of the formulation is
 467 maintained in case of 6 h experiment duration suggesting that both the polymer and the drug are
 468 permeated together in form of intact micelles. This is reasonable, if considering the small size of this
 469 nanocarriers and the relatively high porosity of the sclera. The reduced polymer/drug ratio

470 registered for the other experimental conditions can be attributed to TPGS hydrolysis taking place
471 in the scleral tissue and particularly evident at long contact times (see par 3.3).

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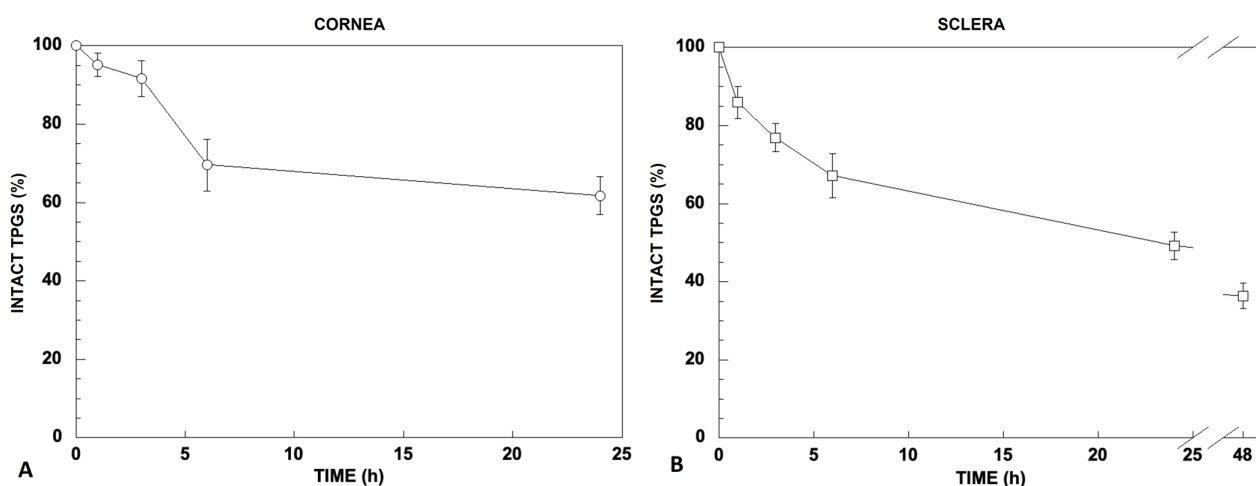
474 3.3. TPGS metabolism in contact with ocular tissues

475

476 Previous studies [30, 52] indicate that TPGS, which is stable in solution between pH 4.5 and 7.5, can
477 be hydrolyzed to vitamin E and vitamin E succinate in the presence of esterases, enzymes
478 ubiquitously present in tissues and also in the porcine [54] and human [53, 55] cornea and sclera.
479 However, at the best of our knowledge, the hydrolysis of TPGS in contact with ocular tissues has
480 never been studied before. This point is relevant since TPGS degradation could cause a reduction or
481 even loss of the micelles self-assembling properties promoting drug release.

482

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484

485

486 Figure 3. TPGS metabolism in contact with ocular tissues. Panel A: % of intact TPGS recovered after contact with the
487 cornea at 37°C; the ratio between TPGS amount (20 µg) and corneal tissue (approx. 50 mg) was around 0.4 µg/mg of
488 tissue. The data is the mean value of 12 samples from 6 different eyes. Panel B: % of intact TPGS recovered after contact
489 with the sclera at 37°C; the ratio between TPGS amount (30 µg) and scleral tissue (approx. 60 mg) was around 0.5 µg/mg
490 of tissue. The data is the mean value of 12 samples from 4 different eyes.

491

492 Overall the results obtained (Figure 3) show a decrease of TPGS concentration in contact with both
493 cornea and sclera. Although the initial partition of the polymer in the tissue, we can reasonably
494 hypothesize that this reduction was due to enzymatic degradation since no TPGS reduction occurred
495 in absence of tissues (control samples) and the HPLC traces highlighted the appearance of vitamin
496 E succinate and vitamin E. The peaks of these metabolites however, were not enough high and
497 resolved to be accurately quantified probably because, differently from the situation where TPGS
498 metabolism was evaluated in solution in the presence of isolated esterase [30], the formed vitamins
499 (lipophilic) can remain stacked in the tissue. Together with the time required to TPGS to penetrate
500 the tissue, the degradation profiles obtained depend on esterase concentration and activity in the
501 two tissues. The faster metabolism in the sclera, clearly visible in the first 3 hours, is in agreement

502 with literature data on 4-nitrophenyl acetate hydrolysis that highlight a 2-fold faster rate of sclera
503 for comparison with cornea [54].

504 It is difficult to numerically compare these results with the data obtained in the permeation
505 experiment, given the different conditions in term of TPGS concentration and TPGS/tissue ratio.
506 However, the data collected support the presence of a relatively fast metabolism inside ocular
507 tissue. This could help to explain the data obtained in the transscleral experiment and support
508 cyclosporine release into the tissue owing to TPGS degradation.

509 It is also worth mentioning that the release of vitamin E and vitamin E succinate, potent antioxidant
510 compounds, could have a positive effect on the treatment of various ocular diseases, although there
511 are still conflicting reports on their efficacy [56, 57].

512

513 **3.4 Two-photon microscopy of tissues stained with NR-loaded micelles**

514 To further investigate micelles penetration, Nile Red (NR)-loaded TPGS micelles were prepared;
515 their size resulted comparable with the blank micelles. Cornea and sclera were treated for 2 hours
516 with the NR-loaded micelles and the tissues were then imaged with two-photon microscopy with
517 an irradiation wavelength of 860 or 1080 nm. As reference, a saturated aqueous solution of NR was
518 used. NR was selected due to the very poor water-solubility (as cyclosporine); additionally, its
519 emission spectrum is sensitive to the environment polarity [58]. NR, despite being a lipophilic probe,
520 has notable differences in structure and MW if compared to cyclosporine. This means that we
521 cannot claim that the behavior of NR-loaded micelles will be exactly the same as the drug-loaded
522 micelles. However, the data obtained with NR can contribute to understand the behavior of
523 micelles, when combined with the results obtained using all other techniques.

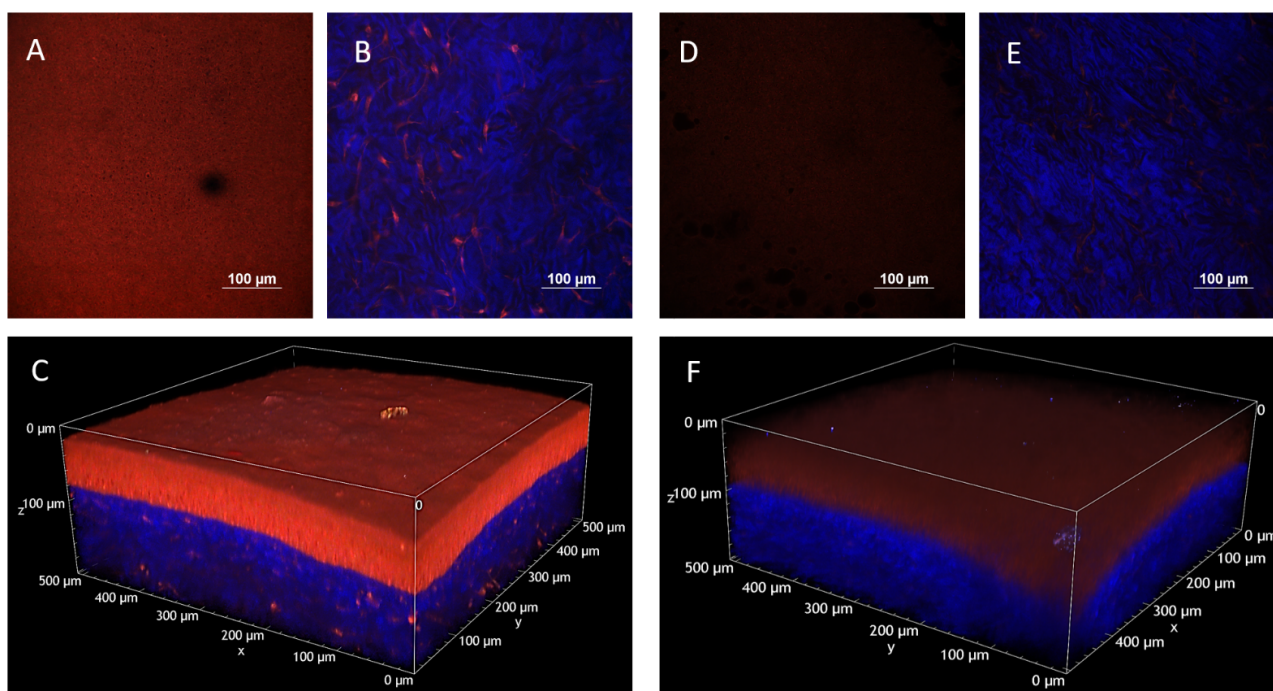
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525 **3.4.1. Two-photon microscopy of corneal tissue stained with NR-loaded micelles**

526

527 Two-photon microscopy is an advanced technique, allowing for in-depth 3D visualization of
528 biological tissues without sample handling (*i.e.* fixation, processing, sectioning, staining). In fact, the
529 specimen is simply fit into a sample holder, moistened with saline solution to avoid dehydration,
530 and immediately observed. In addition, in comparison to conventional fluorescence or confocal
531 microscopy, two-photon microscopy uses less energetic photons (in the red and near-infrared
532 region) thus reducing the photodamage that is instead typically caused by UV and visible light.
533 Finally, specific asymmetric structures (*e.g.* collagen fibers) can be selectively imaged exploiting the
534 process of second-harmonic generation [59]. Figure 4 collects the images of the corneal epithelium
535 and the upper stroma after 2 hours treatment with NR-loaded micelles and with a reference NR
536 aqueous solution, when excited at 860 nm. In these conditions, NR is mainly detected in the red
537 channel, while the corneal epithelium gives an autofluorescence signal which falls primarily in the
538 green spectral region. The stroma is blue, due to the second harmonic generation signal (that falls
539 at 430 nm at this excitation wavelength) typical of collagen fibers [60], while the presence of
540 fibroblasts is clearly marked by NR accumulation.

541



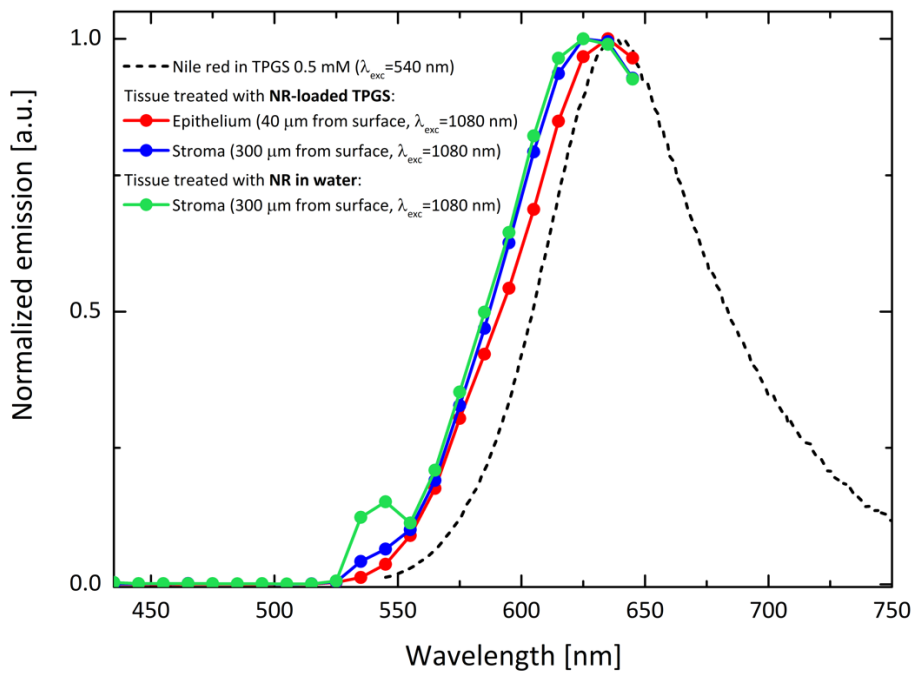
542
 543 Figure 4. Volume renderings of corneal tissue (epithelium side) reconstructed from Z-stack, acquired with an excitation
 544 wavelength of 860 nm (SHG from collagen in blue, NR fluorescence detected in both red and green channels). Panel A-
 545 C: sample treated with TPGS micelles loaded with NR (Z-step: 1 μm , total depth: 202 μm). Panels A and B: XY scans
 546 collected at 40 and 300 μm depth, respectively; panel C: 3D overview; Panel D-F: reference treated with NR saturated
 547 aqueous solution (Z-step: 1 μm , total depth: 202 μm). Panels D and E: XY scans collected at 40 and 300 μm depth,
 548 respectively, panel F: 3D overview. All the images in the two Z-scans were acquired with the same detector gains and
 549 laser power.

550 The intensity of NR signal obtained with the micelles is much higher compared to the reference
 551 solution, due to the higher fluorescent probe concentration. Similar results were obtained when
 552 imaging the endothelial side of the tissues (Figure S3).

553 In order to obtain information on micelles-tissue interaction, we acquired emission spectra from
 554 the tissue at specific focal planes. In fact, being NR a solvatochromic probe [58], the position of its
 555 emission spectrum changes with the polarity of the environment. This permits to differentiate
 556 between NR included in the micellar core and NR released and consequently located in cells and/or
 557 in the intercellular matrix. Spectra were collected exciting the sample at 1080 nm, in order to
 558 minimize the tissue autofluorescence and maximize at the same time the NR signal.

559 In Figure 5, the emission spectrum of NR in TPGS micelles (black dashed line) is compared with the
 560 one collected from a corneal sample treated with micelles at a depth of 40 μm (i.e. in the epithelium,
 561 corresponding to the image in Figure 4A) and 300 μm (i.e. in the corneal stroma, corresponding to
 562 the image Figure 4B) from the corneal surface. The emission spectrum collected from the tissue is
 563 shifted toward shorter wavelengths, and the shift increases with increasing depth. The observed
 564 shift suggests that the probe is located in an environment having a different polarity with respect
 565 to the micelles, indicating NR release from the nanocarrier. This conclusion is further supported by
 566 the spectrum obtained from the tissue treated with a NR aqueous solution (green line), which is
 567 superimposable with the spectrum obtained from the tissue treated with micelles (Figure 5 and
 568 supplementary material Figure S4), confirming that NR is released from the nanocarrier.

569

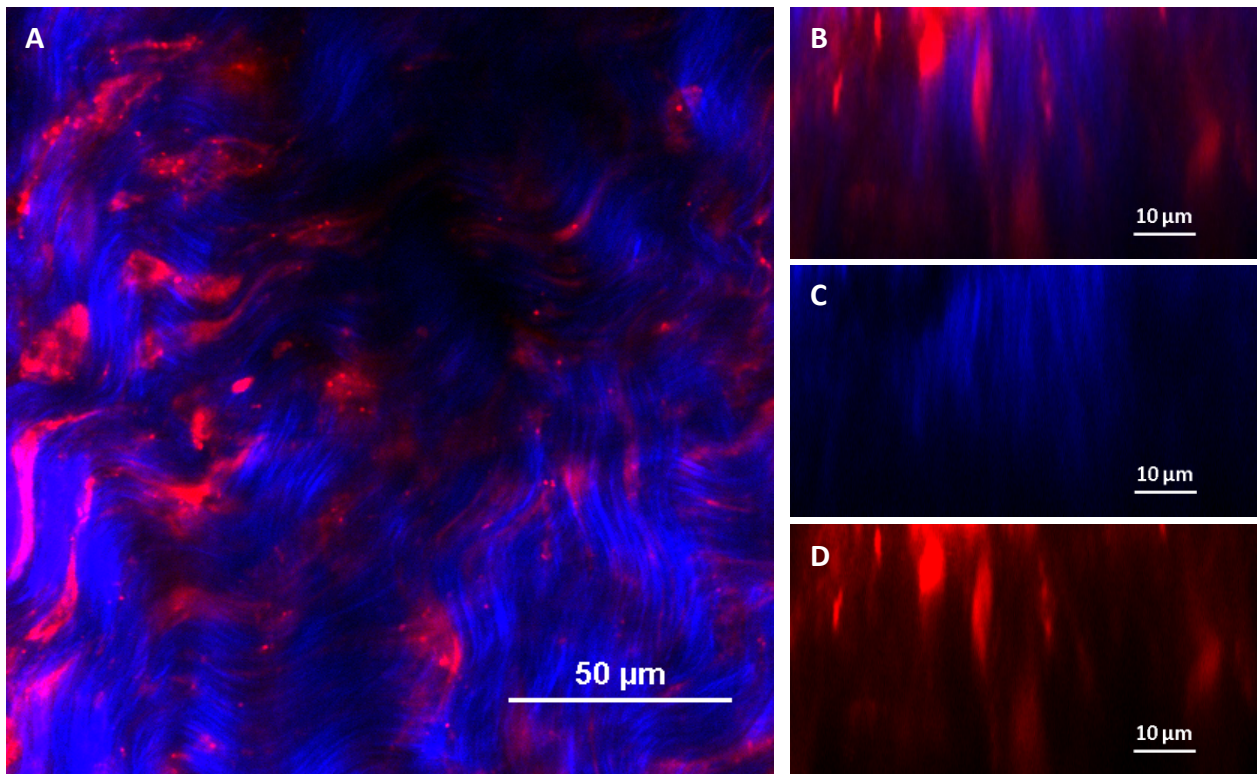


570
 571 Figure 5. Comparison of the emission spectra recorded in the tissue in correspondence of the epithelium (40 μ m from
 572 surface, red line) and the stroma (300 μ m from surface, NR-loaded TPGS: blue line, NR in water: green line) with the
 573 emission profile of an aqueous solution of NR-loaded TPGS micelles recorded with a fluorometer (black dashed line).

574 The results indicate micelles disassembling in contact with the cornea, in agreement with the data
 575 of TPGS and cyclosporine corneal retention discussed in par. 3.2.1.

576
 577 **3.4.2. Two-photon microscopy of scleral tissue stained with NR-loaded micelles**

578
 579 Figure 6 reports images obtained from a scleral sample treated for 2 hours with NR-loaded micelles,
 580 upon irradiation at 860 nm. Collagen fibers appear in blue as a result of their second harmonic
 581 generation (SHG) signal [61], while the red signal is given by NR fluorescence.
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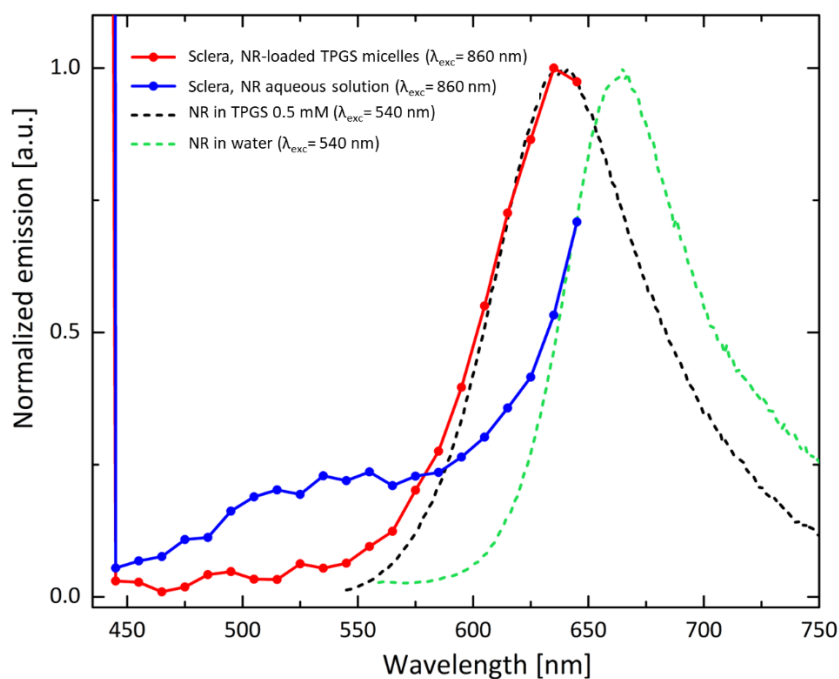
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604

Figure 6. Panel A represents the scleral structure (XY plane) after treatment with NR-loaded micelles when irradiated at 860 nm (SHG from collagen in blue, NR fluorescence in red). Panel B (blue and red channels overlay), C (blue channel) and D (red channel) represent XZ views of scleral collagen fibers extracted from a Z-stack (image size: 77 μm x 39 μm , Z step: 0.42 μm).

As shown in figure 6 panel B, C and D, there is no overlap between the blue and red signals, demonstrating that the fluorescent dye arranges in the interfibrillar spaces. Images were collected also upon excitation at 1080 nm and again the signals of collagen fibers and NR were complementary (Figure S5 in the supplementary materials).

As previously described for the cornea, to get further insight into micelles penetration mechanism, emission spectra were recorded from the tissue. First of all, differently from the cornea, the emission profile recorded from the scleral tissue after micelles application is superimposable with the emission spectrum of micelles in solution (see Figure 7), indicating that the probe has not been released and micelles diffuse in their intact form inside the scleral pore. Indeed, the interaction with a cellular tissue such as the cornea affects micelles integrity much more than a collagen-based structure such as the sclera. When the aqueous NR solution was applied to the sclera, the spectrum obtained was clearly shifted toward higher wavelengths (Figure 7), indicating a more hydrophilic environment, in agreement with the presence of hydrated glycosaminoglycans in the interfibrillar spaces. Indeed, the profile is very similar to the one obtained with a spectrofluorometer from an aqueous solution of the dye.



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Figure 7: Emission spectrum (excitation at 860 nm) recorded in the sclera (100 μ m from surface) after treatment with NR-loaded TPGS micelles (red line) or the NR saturated aqueous solution (blue line); emission spectrum of NR-loaded TPGS micelles (black dashed line) and NR in water (green dashed line). The last two emission spectra have been acquired using a fluorimeter.

610 Apparently, micelles diffuse intact across the hydrated interfibrillar matrix, and this observation is
611 in agreement with the quantification of cyclosporine and TPGS inside the sclera, which highlights
612 that the TPGS/CYC ratio found in the tissue after 6 h of contact corresponds to the one present in
613 the micellar formulation (Figure 2B).

614 Micelles diffusion occurs in the interfibrillar matrix made of negatively charged hydrated
615 proteoglycans consisting of a protein core with glycosaminoglycan (GAG) sidechains of repeating
616 disaccharide units (chondroitin, dermatan, keratan or heparin sulfate) [62, 63]. Indeed, previous
617 data have demonstrated the ability of TPGS micelles loaded with NR to diffuse intact through a
618 hyaluronic acid gel [27], possibly due to the presence of the pegylated corona [64]. The inner part
619 of the sclera is made up of thinner and more regularly arranged collagen fibers that give rise to a
620 more compact structure [65, 66]. This compact structure, together with the tortuosity of the pores
621 [67], makes it difficult for the micelles to quickly diffuse into the deepest sclera as evidenced by the
622 absence of transscleral penetration of cyclosporine after 6 hours of application; micelles can be
623 slowed down or even get stuck in the sinuous and convoluted pores. However, interaction with the
624 fibers and/or interfibrillar material together with the enzymatic hydrolysis of the TPGS, determines,
625 over a longer time, the release of cyclosporine and its delivery to the underlying tissues.

626
627

628 3.3. Study of the effect of TPGS on cornea permeability: transcorneal permeation of 629 fluorescein

630

631 Corneal retentions studies (par 3.2.1) demonstrated the permeation enhancing ability of TPGS. It is
632 however well known that penetration enhancers can also have irritative effects on the epithelium
633 and that an important requisite is linked to the possibility to quickly restore tissue barrier properties.

634 In this paper, we used the transcorneal flux of a probe, sodium fluorescein, to assess possible
 635 changes in the tissue permeability determined by TPGS application. Specifically, the cornea was pre-
 636 treated with TPGS 20 mM (i.e. 3% p/v) for 10 minutes, the solution was then removed, the tissue
 637 was rinsed and the permeability of sodium fluorescein was measured. As negative control, a pre-
 638 treatment of 10 minutes with HEPES buffer was used. As positive control, a concentrated (0.1% p/v)
 639 benzalkonium chloride solution was applied.

640 The permeability coefficients obtained are reported in Table 4. The pre-treatment with HEPES buffer
 641 gave a fluorescein permeability coefficient comparable with the previously published data ($5.00 \pm$
 642 4.29×10^{-7} cm/s) [31], while the use of a concentrated benzalkonium chloride solution
 643 demonstrated to induce a substantial increase in corneal permeability even after only 5 minutes
 644 application, in agreement with literature data [68]. TPGS 20 mM gave a permeability coefficient not
 645 statistically different from the control, confirming a reversible effect at least after 10 minutes
 646 application.

647
 648 Table 4. Permeability coefficient ($\times 10^{-7}$) cm/s of fluorescein sodium across the sclera after pre-treatment with various
 649 excipients.

PRE-TREATMENT	Permeability coefficient ($\times 10^{-7}$) cm/s
HEPES buffer, 10 min	5.01 ± 3.32
TPGS 20 mM (3%), 10 min	9.83 ± 5.05
Benzalkonium chloride 0.1%, 5 min ^a	$28.72 \pm 1.65^{**}$
Benzalkonium chloride 0.1%, 10 min ^a	$55.5 \pm 2.07^{**}$

650 ^an=2, **statistically different from the HEPES buffer (p<0.001)

651
 652 This application time was selected to better mimic the *in-vivo* conditions characterized by a limited
 653 formulation-tissue contact time. Despite the promising result, the evaluation of the irritation
 654 potential is quite complex and can only be performed *in vivo* and after chronic application. There is
 655 however some encouraging evidence on the good tolerability of this polymer, given by the
 656 commercialization of medical devices containing this compound (Ribocross®; Coqun®) even if at
 657 lower concentration.

658
 659 **3. Conclusion**

660 The combination of permeation/retention data, hydrolysis results, and two-photon microscopy
 661 images demonstrated the different interaction between nanomicelles and the two different tissues.
 662 Upon contact with the cornea, micelles disassemble and cyclosporin is probably uptaken as a free
 663 molecule. On the contrary, they can penetrate intact into the sclera, at least in the outermost part
 664 of the tissue, characterized by larger collagen fibers, organized in rather irregular bundles. The
 665 interaction of the micelles with the fibers and with the interfibrillar material together with the
 666 enzymatic hydrolysis of the TPGS, determines, in a longer time, the release of cyclosporine and its
 667 transscleral diffusion. The transscleral transport of intact micelles to the choroidal side cannot be
 668 demonstrated by our data, even if it cannot be completely excluded.

669 Overall, TPGS micelles are a very interesting vehicle for cyclosporin ocular delivery, since they are a
 670 water-based formulation with very low irritation potential. They efficiently promote drug
 671 permeation and retention within cornea and sclera and, in the last case, can form a drug reservoir

672 into the tissue that can sustain drug release into deeper tissues for an extended time. This, together
673 with the relatively high drug concentration, could reduce administration frequency thus increasing
674 patient's compliance. Additionally, TPGS hydrolysis determines vitamin E and vitamin E succinate
675 release, with an antioxidant activity that can potentially contribute to the improvement of
676 oxidation-mediated diseases. Finally, it is worth mentioning the easy preparation procedure, the
677 possibility of sterilization by filtration and the good stability.

678

679

680

681 **Authors contributions**

682 Martina Ghezzi: conceptualization, methodology, investigation, writing-original draft preparation,
683 writing - review and editing; Ilaria Ferraboschi: investigation, writing – review and editing; Andrea
684 Delledonne: methodology, investigation, writing – review and editing; Silvia Pescina: methodology,
685 validation, writing – review and editing; Cristina Padula: validation, writing – review and editing;
686 Patrizia Santi: writing – review and editing, funding acquisition; Cristina Sissa: validation, writing –
687 review and editing, funding acquisition; Francesca Terenziani: methodology, writing – review and
688 editing, funding acquisition; Sara Nicoli: conceptualization, methodology, writing - original draft
689 preparation, writing - review and editing, funding acquisition. All authors have read and agreed to
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691

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710

711 **Declaration of competing interest**

712 The authors declare that they have no known competing financial interests or personal relationships
713 that could have appeared to influence the work reported in this paper.

714

715 **Disclosures**

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717 loaded polymeric films to improve cyclosporine solubility and ocular delivery to the posterior
718 segment”, M. Ghezzi, S. Pescina, C. Padula, P. Santi, S. Nicoli.

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