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# Collision-free and smooth motion planning of dual-arm Cartesian robot based on B-spline representation

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# A B S T R A C T

This paper discusses a new approach to motion planning of dual-arm gantry kinematic that solves the selfcollision problem while ensuring G<sup>2</sup>-continuity and low curvatures. Starting from collision-free start and target points, the proposed method defines the geometric path of the end-effectors with classical five-segment planar trajectories, described by fifth-degree B-splines. The rototranslation of the loaded parts is evaluated in frames to define sampled overlapping curves, used to compute splines that act as deviation curves being added on the previously defined geometric paths in order to prevent collisions. Here, a quadratic programming minimization is employed to reduce the curvature of these spline and preserve the kinematics properties of the initial path. The kinematic constraints of the axes are ensured by iso-parametric trajectory planning, which results in an optimized motion profile to reduce the task execution time. Finally, the proposed approach is applied to a sorting system for laser machine (LST) having a dual-arm 7-d.o.f. gantry kinematic. The results of a case study of a typical sorting operation are presented and discussed to illustrate and clarify the method. The approach presented in this work can be applied to other robotic systems with similar kinematic structures, providing a useful tool for motion planning in pick-and-place applications.

#### **1. Introduction**

Motion planning is one of the most important problem in industrial robotic applications. It generally refers to the full process that determines the tasks sequence that a robot must perform in order to move from its current state to a desired target state. This process generally involves finding a collision-free path, which should ensure a good compromise between the arc length of the tool path and the smoothing properties to result in efficient movements. In addition to possible obstacles in the environment, which is generally defined as static or dynamic depending on whether these obstacles are all fixed or not, there are increasingly frequent industrial cases where several manipulators (or several end-effectors of the same robot) move simultaneously in the working area. In this case, the motion cannot be planned considering the end-effectors individually, but must simultaneously consider all end-effectors involved in the motion in order to avoid self-collision and optimize the overall system. This work focuses on the motion planning of a Cartesian dual-arm robotic system, where two gripping tools collaborate in the same environment. In this regard, one of the first examples of collision-free motion planning of a dual-arm robot is

provided by Lee et al. [[1](#page-23-1)], who propose an approach based on a virtual road map (VRM) and apply it to the case of two SCARA robots sharing a common workspace. Subsequently, Fei et al. [[2](#page-23-2)] suggest a method based on configuration space (C-Space), where the concepts reachable manifold and contact manifold are successfully applied to the collisionfree motion planning of dual-arm SCARATES robot. More generally, the problem of collision-free motion planning finds different solutions in the robotics field, and can be broadly classified into sampling-based, optimization-based and trajectory planning methods.

Sampling-based methods, such as the rapidly-exploring random tree (RRT) algorithm [\[3\]](#page-23-3) and its variants, are popular for motion planning in high-dimensional configuration spaces, where each dimension corresponds to a degree of freedom (d.o.f.) of the robot. The basic idea is to randomly sample the configuration space and construct a tree-like structure that connects the samples. The algorithm starts with a single sample in the joint space, corresponding to the initial state that defines the root of the tree. A new sample is repeatedly added by selecting a random state in the configuration space. Thereafter, the closest existing sample is selected and, if possible, a new branch of the tree is created. The algorithm continues to add samples until a path connecting the

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start and target positions is found. Kurosu et al. [[4](#page-23-4)] use the RRT algorithm to enable a dual-arm robot to perform pick-and-place tasks without collisions between the arms. More recently, Shi et al. [[5](#page-23-5)] address the same problem by proposing a variant of this algorithm, called GA\_RTT, that combines the probabilistic bias method and  $A^*$ algorithm with RRT, where  $A^*$  algorithm is a search algorithm obtained by adding a heuristic function to Dijkstra's algorithm [[6\]](#page-23-6). Although sampling-based methods find many applications in the motion planning field, they may require an increasingly large number of samples as the number of dimensions of the configuration space increases, in order to accurately represent the configuration space [[7\]](#page-23-7). This phenomenon is known in the literature as curse of dimensionality (COD), first described by Richard Bellman in the context of approximation theory [[8\]](#page-23-8), and leads (in the worst case) to an increase in the execution time of these algorithms.

An alternative solution to sampling-based methods to tackle the problem of collision-free motion planning is offered by optimizationbased methods. These methods aim to find a collision-free path that minimizes a cost function, such as the time, curvature or energy required to follow the trajectory. A recent example is provided by Völz and Graichen [\[9\]](#page-23-9), who offer a solution for the collision-free motion planning of a dual-arm robot that must move an object with both arms, whereby the two arms and the loaded object form a closed kinematic chain. One of the main advantages of these methods is to find high-quality solutions in a relatively short time. However, they can be sensitive to initial conditions and can be difficult to implement in real applications. Many optimization-based methods are indeed designed to work with convex optimization problems, which have a single global minimum. In real applications, such as in motion planning, it is often difficult to formalize the problem to obtain a convex cost function that can be defined in linear or quadratic standard form. On the other hand, iterative methods for non-linear constrained optimization, such as sequential quadratic programming (SQP), usually involve running times that are not compatible with run-time robotic applications [\[10](#page-23-10)].

Trajectory planning methods using splines have also been proposed for smooth motion planning in robotics. These methods classically use splines to represent the geometric path by optimizing the shape using computer-aided design (CAD) techniques to find a collision-free path. For example, Chen and Li [\[11](#page-23-11)] propose an approach to generate collision-free path of two industrial robots working in a shared workspace by applying the B-spline knot refinement [[12,](#page-23-12)[13\]](#page-23-13) and the local modification scheme. The method uses the local properties of B-splines, modifying the trajectory only around the areas where a collision exists, while the remaining curve segments of the trajectory remain in their original position without modification. Another interesting application of B-spline theory for motion planning of a dual-arm robot is provided from Choi et al. [\[14](#page-23-14)]. In this work, a technique for post-processing of a previously calculated geometric path (e.g. using a sampling-based approach) is proposed, to ensure the quality of the trajectory in terms of smoothing and preventing collisions.

This paper deals with the collision-free motion planning of dualarm 7-d.o.f. Cartesian robot, in which the two arms are rigidly linked along the  $X$ -axis of the machine. Although the problem of constrained kinematic motion planning of a Cartesian robot is widely studied in the literature [[15,](#page-23-15)[16\]](#page-23-16), not many works investigate dual-arm Cartesian kinematics, and classically these refer to dual-gantry systems, where each end-effector has  $X_i$ ,  $Y_i$  and  $Z_i$ -axis with  $i = 1, 2$ , resulting independent of each other. For example, Lin and Chen [\[17](#page-23-17)] use a dual-arm Cartesian robot to automate the packaging process in a bottling factory. In this case study, the self-collision of the two arms is prevented at run-time by strategically installed photoelectric sensors. More recently, the same kinematics is used by Barnett et al. [[18\]](#page-23-18) in the agricultural field to study the mechanization of kiwi harvesting. The problem of self-collision between the arms (theoretically scalable to more than two) is solved through a special configuration design that provides a partitioning of the work zones assigned to each end-effector and a sorting of the fruits in accordance with the  $X$ -axis of the robot.

In industry, and more specifically in pick-and-place applications, the self-collision problem of Cartesian dual-arm kinematics is classically handled with simple strategies that limit the robot's movements to classical rectangular trajectories. The contribution of this work is to provide a general approach for smooth and collision-free motion planning valid for this type of robot, which can be categorized as a hybrid technique based on optimization and spline modelling. If no collisions are detected in the task, the two arms follow classical five-segment pick-and-place trajectories [[19,](#page-23-19)[20\]](#page-23-20), where quintic Bézier curves blend the adjacent straight lines ensuring  $G^2$ -continuity of the geometric path. When a collision is detected, the previously calculated path is appropriately modified by acting on the control points of the spline. During this operation, an optimization-based method that ensures minimum curvature preserves the quality of the initial geometric path. The physical limits of velocity and torque on the axes are respected using an iso-parametric trajectory planning approach (IPTP) and developing an appropriate algorithm to generate the motion profile that uses a previously developed open-source software [[21\]](#page-23-21).

The remainder of this paper is organized as follows. Section [2](#page-1-0) describes the kinematics and the machine used to test the method. Section [3](#page-2-0) illustrates the mathematical theory of the path planning approach, starting with the 4-d.o.f. single-arm kinematics and then extending the solution to the dual-arm system. Section [4](#page-13-0) details the motion profile algorithm that follows the geometric path within the kinematic constraints. An application case of the proposed method is presented and discussed in Section [5](#page-16-0). Finally, a summary concludes this work in Section [6.](#page-19-0)

The mathematical notations and abbreviations used in the remainder of the paper, not specified in the text, are summarized below.



## **2. Description of robot kinematic and the test machine**

<span id="page-1-0"></span>[Fig.](#page-2-1) [1](#page-2-1) shows a schematic representation of the sorting system for laser machine (LST) with dual-arm Cartesian kinematics. The robot kinematics solution involves two Cartesian arms rigidly linked on the same gantry along the  $X$ -axis of the machine. Each end-effector mounted on the two arms has a three more d.o.f. defined by  $Y_i$ ,  $Z_i$ , and  $W_i$ -axis, with  $i = 1, 2$ .



**Fig. 1.** Sorting system for laser machine (LST) with dual-arm Cartesian kinematics.

<span id="page-2-1"></span>Multiple arm systems in nested part sorting tasks offer several advantages. The first is greater efficiency due to the great variability in the size and weight of the parts, which also depends on the material and thickness of the sheet metal. Small and light parts can be loaded by a single end-effector and sorted in parallel, while heavier and larger parts can be transported by several end-effectors simultaneously with multiple loading. In the case of multiple loadings, moreover, the inflection of the part is classically reduced compared to the loading of the same part that would occur with a single gripping tool, which improves gripping stability. From this point of view, a Cartesian system can offer advantages in terms of work distribution between the arms, when compared, for example, with the use of two articulated robots (as already proven in [[18\]](#page-23-18)), resulting in shorter sorting times. In the test machine used in this study, the end-effector consists of a multi-tool head able to adapt the picking to each situation by changing the tool on the fly and allowing a choice between several types of tools (or a combination of them), which differ in technology or size. For further details on this technology, please refer to [[22](#page-23-22)].

Before proceeding to the other sections, please note that this work has the following limitations:

- Dynamic constraints introduced by gripping tools, as well as the deflection of loaded parts, will not be dealt with in this work. The high complexity of the problem due to the high variability of boundary conditions, such as tool type and size, the number of end-effectors involved in gripping, and the thickness of the sheet, needs studies tailored to the specific application case and will be the subject of future work.
- This work is limited to solve the problem of collision-free motion planning, given start and target points. These positions should be determined to ensure the feasibility of point-to-point movement from the end-effectors, and to minimize the overall displacements required to sort all the nest. This process classically requires several iterations, which involve the execution of the point-to-point motion planning algorithm. It follows that the computational efficiency of motion planning is a priority to ensure adequate computational performance for the determination of the load–unload order of the nest parts, and the calculation of start and target positions. However, this topic is to

be considered beyond the scope of this work, and no further details will be provided.

• This research had, as a fundamental premise, that of define a motion planning approach of the machine by intervening exclusively on the software program, without introducing any modification either to the mechanical components or to the control hardware.

# **3. Path planning approach**

## <span id="page-2-0"></span>*3.1. Splines in B-form*

Since the trajectory planning method draws on splines in their B-form, basic concepts about these functions are introduced here.

A spline of degree  $d$  is a piecewise polynomial vector function  $r(\tau)$ :  $\mathbb{R} \to \mathbb{R}^k$  in the parametric variable  $\tau$ , defined, in its B-form, by the linear combination:

<span id="page-2-2"></span>
$$
\mathbf{r}(\tau) = \sum_{i=0}^{m} B_{i,d,\mathbf{u}}(\tau) \mathbf{b}_i , \quad \mathbf{u}_s \le \tau \le \mathbf{u}_e
$$
 (1)

with  $b_j = (b_{j,i})_{i=0}^k$  called control points. The basis function  $B_{i,d,u}(\tau)$  are defined by Cox–de Boor recursion formula [[23\]](#page-23-23):

$$
\begin{cases}\nB_{i,0,u}(\tau) = \begin{cases}\n1, & \text{if } u_i \leq \tau < u_{i+1} \\
0, & \text{otherwise}\n\end{cases} \\
B_{i,d,u}(\tau) = \frac{\tau - u_i}{u_{i+d} - u_i} B_{i,d-1,u} + \frac{u_{i+d+1} - \tau}{u_{i+d+1} - u_{i+1}} B_{i+1,d-1,u}\n\end{cases}
$$
\n(2)

based on a non-decreasing knot sequence  $\mathbf{u} = (u_j)_{j=0}^{m+d+1}$ .

In the proposed method the considered knot vectors are always non-periodic and non-uniform:

$$
\mathbf{u} = \{\underbrace{u_s, \dots, u_s}_{d+1}, \dots, \underbrace{u_m, \underbrace{u_e, \dots, u_e}_{d+1}}_{\text{d+1}}\} \tag{3}
$$

It is useful to remind the expression of the derivative and the antiderivative of a spline given in B-form with respect to the parametric variable  $\tau$  since they are frequently used in the remainder of the paper. The *r*th derivative of  $r(\tau)$  is computed by [\[23](#page-23-23)]:

$$
D^{(r)}r(\tau) = \sum_{i=0}^{m-r} B_{i,d-r,u^{(r)}}(\tau) b_i^{(r)}
$$
(4)



<span id="page-3-3"></span>**Fig. 2.** A quintic spline and its envelope according to [\[25](#page-23-24)]. The knots are (0,0,0,0,0,0,1,3,7,7,7,7,7,7) and the control points are (0,1,2,0,0,1,1,0). This example is taken from [[25\]](#page-23-24).

with knots:

$$
\mathbf{u}^{(r)} = \{u_s, \dots, u_s, u_{d+1}, \dots, u_m, u_e, \dots, u_e\}
$$
(5)

where the control points are defined from:

$$
\boldsymbol{b}_i^{(r)} = \frac{d - r + 1}{u_{i+d+r} - u_{i+r}} \left( \boldsymbol{b}_{i+1}^{(r-1)} - \boldsymbol{b}_i^{(r-1)} \right), \quad \text{with } r > 0 \tag{6}
$$

The antiderivative of  $r(\tau)$  is instead computed by [[23\]](#page-23-23):

$$
D^{(-1)}r(\tau) = \sum_{i=0}^{m+1} B_{i,d+1,u^{(-1)}}(\tau) b_i^{(-1)}
$$
\n(7)

with knots:

$$
\mathbf{u}^{(-1)} = \{u_s, \dots, u_s, u_{d+1}, \dots, u_m, \underbrace{u_e, \dots, u_e}_{d+2}\}
$$
(8)

and control points:

$$
\boldsymbol{b}_i^{(-1)} = \sum_{j=0}^i \boldsymbol{b}_j \frac{u_{j+d+1} - u_j}{d+1} , \quad \text{for } i = 0, \dots, m+1
$$
 (9)

Then,  $(7)$  is iterated  $r$  times to obtain the  $r$ th antiderivative.

# *3.2. Channel problem definition*

<span id="page-3-8"></span>In this section, the problem of finding a smooth 1-D spline path between two polylines,  $c(\tau)$  and  $\bar{c}(\tau)$ , forming a channel is explained. For simplicity of notation, only within this section, the control points  $\mathbf{b}_i$ in [\(1](#page-2-2)) are reduced to their scalar notation  $b_j$ . The method illustrated is based on that proposed by Lutterkort and Peters [[24](#page-23-25)[,25](#page-23-24)], which defines a thin envelope of the spline constrained within the channel. Then, the spline is uniquely determined by minimizing a cost function.

## *3.2.1. Tight linear envelopes for splines*

<span id="page-3-4"></span>The control polygon  $\mathcal{C}(\tau)$  :  $\mathbb{R} \to \mathbb{R}$  of the 1-D spline  $r(\tau)$  is the polyline interpolating the points  $(u_j^*, b_j)_{j=0}^m$ , with  $u_j^*$  the *j*th Greville abscissa, i.e.  $\mathcal{C}(u_j^*) = b_j$ . The weighted second differences  $\Delta_2 b_j$  of the control points are defined as:

$$
A_2 b_j = \frac{b_{j+1} - b_j}{u_{j+1}^* - u_j^*} - \frac{b_j - b_{j-1}}{u_j^* - u_{j-1}^*}
$$
(10)

Let  $u_k^* \in [u_l, u_{l+1}]$ , the contribution of the *i*th basis function to the distance between the spline and the control point  $b_j$  is captured by the function [[25\]](#page-23-24):

$$
\gamma_{ki}(u_k^*) = \begin{cases} \sum_{j=l-d}^i (u_j^* - u_j^*) B_{j,d,u}(u_k^*), & i \le k\\ \sum_{j=i}^l (u_j^* - u_j^*) B_{j,d,u}(u_k^*), & i \ge k+1 \end{cases}
$$
(11)

With these definitions, the upper and lower envelope  $e(\tau) \le r(\tau) \le \overline{e}(\tau)$ can be defined with the polylines  $e(\tau)$  and  $\overline{e}(\tau)$ , respectively interpolating the points  $(u_j^*, \underline{e}_j)_{j=0}^m$  and  $(u_j^*, \overline{e}_j)_{j=0}^m$ , with  $\underline{e}_j$  and  $\overline{e}_j$  defined as follow:

$$
\underline{e}_k = b_k + \sum_{i=l-d}^{l} \Delta_i^{-} \gamma_{ki}(u_k^*)
$$
\n(12)

<span id="page-3-2"></span><span id="page-3-1"></span>
$$
\overline{e}_k = b_k + \sum_{i=l-d}^{l} \Delta_i^+ \gamma_{ki}(u_k^*)
$$
\n(13)

with

<span id="page-3-6"></span>
$$
\Delta_i^- = \min(0, \Delta_2 b_j) \tag{14}
$$

<span id="page-3-7"></span>
$$
\Delta_i^+ = \max(0, \Delta_2 b_j) \tag{15}
$$

An example of a thin envelope calculated with  $(12)$  $(12)$  and  $(13)$  is shown in [Fig.](#page-3-3) [2](#page-3-3).

# *3.2.2. Smooth paths in a polygonal channel*

With the linear envelope described in Section [3.2.1](#page-3-4), the channel problem can be defined as a quadratic programming (QP) optimization subject to linear constraints. The curvature is minimized considering the cost function:

minimize  $\to f(\mathbf{b}) = \sum_{i=1}^{m-1} (\Delta_2 b_i)^2$  with:  $\mathbf{b} = (b_i)_{i=1}^{m-1}$  $(16)$ 

<span id="page-3-5"></span>which can be seen in standard form

<span id="page-3-11"></span>
$$
f(b) = bT Pb \qquad \text{with: } P \in \mathbb{R}^{(m-1)\times(m-1)} \tag{17}
$$

The cost function matrix  $P$  is symmetrical and penta-diagonal in form (for proof see [Appendix](#page-21-0) [A](#page-21-0)):

<span id="page-3-9"></span><span id="page-3-0"></span>
$$
P = \begin{bmatrix} C_1 & -D_1 & E_1 & & & & & 0 \\ -B_2 & C_2 & -D_2 & E_2 & & & & & \\ A_3 & -B_3 & C_3 & -D_3 & E_3 & & & & \\ & \ddots & \\ & & \ddots & \ddots & \ddots & \ddots & \ddots & \ddots & \\ & & & A_{m-3} & -B_{m-3} & C_{m-3} & -D_{m-3} & E_{m-3} \\ 0 & & & & A_{m-2} & -B_{m-2} & C_{m-2} & -D_{m-2} \\ 0 & & & & & A_{m-1} & -B_{m-1} & C_{m-1} \end{bmatrix}
$$
(18)

with *i*th coefficients,  $i = 2, ..., m - 2$ , defined by equations:

$$
A_i = \frac{\Delta u_{i-1}^* \Delta u_i^*}{K_{i-1}^2} > 0
$$
\n(19)

$$
B_{i} = \frac{\Delta u_{i-1}^{*}(\Delta u_{i-1}^{*} + \Delta u_{i}^{*})}{K_{i-1}^{2}} + \frac{(\Delta u_{i}^{*} + \Delta u_{i+1}^{*})\Delta u_{i+1}^{*}}{K_{i}^{2}} > 0
$$
\n(20)

$$
C_{i} = \frac{4u_{i-1}^{*2}}{K_{i-1}^{2}} + \frac{(4u_{i}^{*} + 4u_{i+1}^{*})^{2}}{K_{i}^{2}} + \frac{4u_{i+2}^{*2}}{K_{i+1}^{2}} > 0
$$
\n(21)

$$
D_{i} = \frac{\Delta u_{i}^{*}(\Delta u_{i}^{*} + \Delta u_{i+1}^{*})}{K_{i}^{2}} + \frac{(\Delta u_{i+1}^{*} + \Delta u_{i+2}^{*})\Delta u_{i+2}^{*}}{K_{i+1}^{2}} > 0
$$
\n(22)

$$
E_i = \frac{4u_{i+1}^* 4u_{i+2}^*}{K_{i+1}^2} > 0
$$
\n(23)

where:

$$
\Delta u_i^* = u_i^* - u_{i-1}^* > 0 \tag{24}
$$

$$
K_i = 2u_{i+1}^* \cdot 2u_i^* > 0
$$
 (25)

For  $i = 1, m - 1$  instead the coefficients are:

$$
C_1 = \frac{(4u_1^* + 4u_2^*)^2}{K_1^2} + \frac{4u_3^*^2}{K_2^2} > 0
$$
\n(26)

<span id="page-3-10"></span>
$$
D_1 = \frac{\Delta u_1^*(\Delta u_1^* + \Delta u_2^*)}{K_1^2} + \frac{(\Delta u_2^* + \Delta u_3^*)\Delta u_3^*}{K_2^2} > 0
$$
\n(27)

$$
E_1 = \frac{4u_2^* 4u_3^*}{K_2^2} > 0\tag{28}
$$

$$
A_{m-1} = \frac{4u_{m-2}^* u_{m-1}^*}{K_{m-2}^2} > 0
$$
\n(29)

$$
B_{m-1} = \frac{\Delta u_{m-2}^* (\Delta u_{m-2}^* + \Delta u_{m-1}^*)}{K_{m-2}^2} + \frac{(\Delta u_{m-1}^* + \Delta u_m^*) \Delta u_m^*}{K_{m-1}^2} > 0
$$
\n(30)

$$
C_{m-1} = \frac{\Delta w_{m-2}^{2}}{K_{m-2}^{2}} + \frac{(\Delta w_{m-1}^{*} + \Delta w_{m}^{*})^{2}}{K_{m-1}^{2}} > 0
$$
\n(31)

Furthermore, since  $P$  is derived from the cost function ([16\)](#page-3-5) expressed as a sum of squares, it is easy to verify that it is positive semi-definite.

The optimization problem of the objective function ([16\)](#page-3-5) is constrained by the inequality relations that ensure  $\underline{c}(\tau) \le \underline{e}(\tau) \le r(\tau) \le$  $\overline{e}(\tau) \leq \overline{c}(\tau)$ :

$$
\underline{c}(u_k^*) \le \underline{e}(u_k^*) = b_k + \sum_{i=l-d}^{l} \Delta_i^{-} \gamma_{ki}(u_k^*) \quad k = 1, \dots, m-1
$$
 (32)

$$
\overline{c}(u_k^*) \ge \overline{e}(u_k^*) = b_k + \sum_{i=l-d}^{l} \Delta_i^+ \gamma_{ki}(u_k^*) \quad k = 1, \dots, m-1
$$
\n
$$
\underline{c}(x_j) \le \mathcal{L}(x_j \mid \underline{e}(u_k^*), \underline{e}_{k+1}(u_{k+1}^*)) =
$$
\n(33)

$$
w_1 b_k + w_2 b_{k+1} + w_1 \sum_{i=l_k-d}^{l_k} \Delta_i^- \gamma_{ki} (u_k^*) +
$$
  

$$
w_2 \sum_{i=l_{k+1}-d}^{l_{k+1}} \Delta_i^- \gamma_{k+1,i} (u_{k+1}^*) \qquad \forall j \in S^-
$$
 (34)

$$
\overline{c}(x_j) \ge \mathcal{L}\left(x_j \mid \overline{e}(u_k^*) , \overline{e}_{k+1}(u_{k+1}^*)\right) =
$$
\n
$$
w_1 b_k + w_2 b_{k+1} + w_1 \sum_{i=l_k-d}^{l_k} \Delta_i^+ \gamma_{ki}(u_k^*) +
$$
\n
$$
w_2 \sum_{i=l_{k+1}-d}^{l_{k+1}} \Delta_i^+ \gamma_{k+1,i}(u_{k+1}^*) \qquad \forall j \in S^+ \tag{35}
$$

with  $S^-$  the set of indices *j* corresponding to a concave corners of  $\underline{c}(x_j)$ ,  $S^+$  the set of indices *j* corresponding to a convex corners of  $\overline{c}(x_j)$ ,  $w_1$ and  $w_2$  defined as follows:

$$
w_1 = \frac{u_{k+1}^* - x_j}{u_{k+1}^* - u_k^*}, \qquad w_2 = \frac{x_j - u_k^*}{u_{k+1}^* - u_k^*}
$$
(36)

To eliminate the non-linearity resulting from relations ([14\)](#page-3-6) and [\(15](#page-3-7)), the  $2m - 2$  slack variables  $\Delta_i^-$ ,  $\Delta_i^+$  with  $i = 1, ..., m - 1$  are included, in addition to the inequality constraints:

$$
\Delta_i^- - \Delta_2 b_i \le 0 \qquad i = 1, \dots, m - 1 \tag{37}
$$

$$
\Delta_i^+ - \Delta_2 b_i \ge 0 \qquad i = 1, \dots, m-1
$$
\n(38)

and boundaries:

$$
\Delta_i^- \le 0 \qquad i = 1, \dots, m-1 \tag{39}
$$

$$
A_i^+ \ge 0 \qquad i = 1, ..., m-1
$$
 (40)

With this approach, expressions ([32\)](#page-4-0) and [\(33](#page-4-1)) define linear constraints. In order to ensure the  $G<sup>j</sup>$ -continuity of the geometric path, the extreme first and second derivatives must be set. For this purpose, the following equality constraints are imposed [\[26](#page-23-26)]:

$$
D_0^{(k)} = \sum_{i=1}^k B_{i,d,u}^{(k)}(u_i) b_i \qquad k = 1, ..., j
$$
 (41)

$$
D_m^{(k)} = \sum_{i=1}^k B_{m-i,d,u}^{(k)} (u_{m-i}) b_{m-i} \qquad k = 1, \dots, j \tag{42}
$$

where:

$$
B_{i,d,\mathbf{u}}^{(k)}(\tau) = d \left( \frac{B_{i,d-1,\mathbf{u}}^{(k-1)}(\tau)}{u_{i+d} - u_i} - \frac{B_{i+1,d-1,\mathbf{u}}^{(k-1)}(\tau)}{u_{i+d+1} - u_{i+1}} \right)
$$
(43)

Note that ([41\)](#page-4-2) and [\(42](#page-4-3)) impose conditions on the channel composed of the  $c(\tau)$  and  $\overline{c}(\tau)$  polylines, which must allow the control points of  $\pmb{r}(\tau)$  to be positioned correctly. An example clarifying this statement is reported in Section [3.3.4](#page-11-0).

Finally, the optimization problem can be described in standard quadratic form as follows:

$$
\text{minimize} \qquad \mathbf{x}^T \overline{P} \mathbf{x} \tag{44}
$$

subject to 
$$
l \leq Ax \leq u
$$
 (45)

where

$$
\mathbf{x} = [b_1, \dots, b_{m-1}, \mathbf{\Delta}_1^-, \dots, \mathbf{\Delta}_{m-1}^-, \mathbf{\Delta}_1^+, \dots, \mathbf{\Delta}_{m-1}^+]^T
$$
(46)

(48)

$$
\overline{P} = \begin{bmatrix} P & 0 \\ 0 & 0 \\ 0 & 0 \\ m-1 & 2m-2 \end{bmatrix} \begin{matrix} m-1 \\ 2m-2 \end{matrix}
$$
 (47)

<span id="page-4-4"></span><span id="page-4-1"></span><span id="page-4-0"></span>
$$
A = \begin{bmatrix} I & I & 0 \\ I & 0 & I \\ \hline W^{-} & S^{-} & 0 \\ \hline W^{+} & 0 & S^{+} \\ \hline -D & I & 0 \\ \hline 0 & 0 & I \\ \hline 0 & 0 & 0 \\ \
$$

<span id="page-4-5"></span>
$$
I = [\underline{c}(u_1^*), \dots, \underline{c}(u_{m-1}^*), \underbrace{-\infty, \dots, -\infty}_{m-1}, \underline{c}(x_j) \ \forall j \in S^-,
$$
  
\n
$$
\underbrace{-\infty, \dots, -\infty}_{\#S^+}, \underbrace{-\infty, \dots, -\infty}_{m-1}, \underbrace{0, \dots, 0}_{m-1}, D_0^{(1)}, \dots, D_0^{(j)}, D_m^{(j)}, \dots, D_m^{(1)}]^T
$$
  
\n
$$
u = [\underbrace{\infty, \dots, \infty}_{n-1}, \overline{c}(u_1^*), \dots, \overline{c}(u_{m-1}^*), \infty, \dots, \infty}_{n-1}, \overline{c}(x_j) \ \forall j \in S^+,
$$
  
\n(49)

<span id="page-4-8"></span><span id="page-4-7"></span><span id="page-4-6"></span>
$$
\frac{0, \ldots, 0, \infty, \ldots, \infty, 0, \ldots, 0, \text{ as } n-1, \text{ and } n-1, \text{ and } n-1}}{\binom{0, \ldots, 0, \infty, \ldots, \infty, D_0^{(1)}, \ldots, D_0^{(j)}, D_m^{(j)}, \ldots, D_m^{(1)})}{j}} \tag{50}
$$

<span id="page-4-9"></span>with *I* the identity matrix, *Γ* a sparse matrix with entries  $[T]_{ij} = \gamma_{ij}(u_i^*)$ , with  $i = 1, ..., m - 1$ ,  $j = l - d, ..., l$ , *l* the knot span of  $u_i^*$ , *B* is defined by the constraints ([41\)](#page-4-2) and [\(42](#page-4-3)):

<span id="page-4-3"></span><span id="page-4-2"></span>
$$
B = \begin{bmatrix} B_1^{(1)}(u_1) & & & 0 \\ a_1^{(k)}(u_1) & \dots & B_k^{(k)}(u_k) & & 0 \\ \vdots & \ddots & & & \\ B_{m-k}^{(k)}(u_{m-k}) & \dots & B_{m-1}^{(k)}(u_{m-1}) \\ \vdots & & & \ddots & \vdots \\ 0 & & & & B_{m-1}^{(1)}(u_{m-1}) \end{bmatrix}
$$
(51)

and  $D$  the following symmetric tridiagonal matrix:

$$
D = \begin{bmatrix} -\frac{(4u_1^* + 4u_2^*)}{K_1} & \frac{4u_1^*}{K_1} & 0\\ \frac{4u_2^*}{K_2} & -\frac{(4u_2^* + 4u_3^*)}{K_2} & \frac{4u_2^*}{K_2} & \cdots & 0\\ & \ddots & \ddots & \ddots & \ddots\\ & & & \frac{4u_{m-1}^*}{K_{m-2}} - \frac{(4u_{m-2}^* + 4u_{m-1}^*)}{K_{m-2}} & \frac{4u_{m-2}^*}{K_{m-2}}\\ 0 & \frac{4u_m^*}{K_{m-1}} & -\frac{(4u_{m-1}^* + 4u_m^*)}{K_{m-1}} \end{bmatrix}
$$
(52)

<span id="page-4-11"></span><span id="page-4-10"></span>Finally,  $W$  and  $S$  are sparse matrices dependent on the specific channel, and related to Eqs. [\(34](#page-4-4)) and ([35\)](#page-4-5).

<span id="page-5-3"></span>

<span id="page-5-1"></span>**Fig. 3.** Channel problem solutions for four input polygons computed with OSQP solver [[27\]](#page-23-27). (a) to (d) solutions with initial knot sequence computed with ([53\)](#page-5-0). (e) to (h) solutions with initial knot sequence computed with Lutterkort algorithm [[24\]](#page-23-25). (i) to (l) Comparison of the second derivative of the QP minimization problem spline solution with the two initial knot sequences.

<span id="page-5-5"></span>**Table 1**

Benchmark data from [3.](#page-5-1) Performance evaluated on Intel® Core™ i7-10750H CPU, 2.60 GHz.

Channel numb.	Numb, of iterations	Numb, of variables <sup>a</sup>	Numb. of constraints <sup>a</sup>	CPU time <sup>a</sup>
1 (Fig. $3(a)$ )		36	80	$2.59 \times 10^{-4}$ s
2 (Fig. $3(b)$ )		108	230	$8.84 \times 10^{-3}$ s
3 (Fig. $3(c)$ )		-60	130	$1.36 \times 10^{-3}$ s
4 (Fig. $3(d)$ )		33	76.	$1.77 \times 10^{-4}$ s

<span id="page-5-2"></span><sup>a</sup> Relative to the last iteration.

Note that since P is positive semi-definite,  $\overline{P}$  is positive semidefinite, and it follows that the optimization problem is convex.

# *3.2.3. Initial knots sequence and iterative refinement*

<span id="page-5-6"></span>The problem defined by Eqs. [\(44](#page-4-10)) and ([45\)](#page-4-11) is determined once the knots of the unknown spline is defined. Note that the problem defined by the specific channel and spline degree  $d$ , may have no solution for the adopted sequence of knots. However, the solution to the problem can be ensured by iteratively knots inserting into the spline. This routine ensures that a solution is found, as the knots refinement leads the envelope to converge with the spline. Lutterkort and Peters [[24\]](#page-23-25) propose an initial knot sequence such that the corresponding sequence of Greville abscissae includes the breakpoints  $x_i$  of the input polylines  $c(\tau)$  and  $\overline{c}(\tau)$ . One of the advantages of adopting the initial knots sequence suggested by Lutterkort is to introduce additional knots (and Greville abscissae) when the input sequence  $x_j$  contains large gaps compared to the surrounding intervals, which usually requires few (if any) additional knot insertions to obtain a solution to the QP

<span id="page-5-4"></span>minimization problem. Moreover, Eqs. ([34\)](#page-4-4) and ([35\)](#page-4-5) are thus included into  $(32)$  $(32)$  $(32)$  and  $(33)$  $(33)$  and the constraint matrix A is easier to define. On the other hand, the knots generated by this algorithm define Greville abscissae dependent on the channel breakpoints, which often results in a high gradient in the spacing of the Greville abscissae. This gradient leads to spikes in the second derivative of the resulting spline, which, in this application case, lead to spikes in the torque set-points. To overcome this limitation and have Greville abscissae spaced more evenly, the authors adopt an initial knots sequence  $u = (u_i)_{i=0}^{m+d+1}$  obtained by averaging formula from an equispaced mesh in the parametric domain, in accordance with the following:

<span id="page-5-0"></span>
$$
u_0 = \dots = u_d = 0, \qquad u_{m+1} = \dots = u_{m+d+1} = \overline{u}_m,
$$
  

$$
t_{j+d} = \frac{1}{\max(d, 1)} \sum_{i=j}^{j+d-1} \left[ \frac{i}{m} \overline{u}_m \right], \qquad j = 1 \dots, m-d.
$$
 (53)

Then, the number of knots  $m + d + 1$  is iteratively increased until the method converges. Note that, because of constraints ([34\)](#page-4-4) and ([35\)](#page-4-5), the

minimum number of knots used to start the iteration must satisfy the following formulations:

$$
u_1^* \le \min(\{x_j\}) \ \land \ u_{m-1}^* \ge \max(\{x_j\}) \ \ \forall j \in (S^- \cup S^+) \tag{54}
$$

[Figs.](#page-5-3) [3\(a\)](#page-5-3) to [3\(d\)](#page-5-4) show the solutions to the channel problem for four input polyline pairs, starting with an initial number of knots such that the number of Greville abscissae is equal to the number of breakpoints  $x_j$ . In all four cases the end derivatives are clamped with the equality constraints:

$$
D_0^{(1)} = D_0^{(2)} = 0
$$
  
\n
$$
D_m^{(1)} = D_m^{(2)} = 0
$$
\n(55)

Solutions are computed by an operator splitting solver for convex quadratic programs (OSQP, [\[27](#page-23-27)]), and differ in the channel shape and the degree  $d$  of the unknown spline. OSQP solver requires as input the  $\overline{P}$  and A matrices in compressed sparse column (CSC) format. A description of the storage CSC format can be found in [[28\]](#page-23-28). In particular, for the matrix  $\overline{P}$ , only its upper triangular part is required. A numerical benchmark for the channel problem, in compliance with the OSQP solver interface, presented in [[29\]](#page-23-29), and corresponding to the first channel shown in [Fig.](#page-5-3) [3\(a\)](#page-5-3), is provided in the supplementary material attached to the paper.

[Table](#page-5-5) [1](#page-5-5) shows, for each input channel, the spline degree  $d$ , the number of knot refinements required to obtain a solution, and some parameters of the last iteration, including calculation time. [Figs.](#page-5-4) [3\(e\)](#page-5-4) to [3\(h\)](#page-5-4) show the solution of the same channel problems solved with a knot sequence calculated using the method suggested by Lutterkort and Peters [\[24](#page-23-25)]. In this case, the sequence of initial knots already ensures the convergence of the method for all four channels analysed, at the expense of a higher number of knots used. With particular reference to the second channel ([Figs.](#page-5-4)  $3(b)$  and  $3(f)$ ), the method proposed by the authors requires a total of 21 iterations, entailing a higher computational cost than the method detailed in [\[24](#page-23-25)]. Generally, this scenario arises for narrow channels, where, starting with a limited number of knots, several insertions are required to solve the channel problem. In the application case object of this work, however, the channels are mostly similar to channel 1 ([Figs.](#page-5-3)  $3(a)$  and  $3(e)$ ), and few or no iterations are usually required to ensure that the problem is solved. Finally, [Figs.](#page-5-4) [3\(i\)](#page-5-4) to [3\(l\)](#page-5-4) show the comparison of the second derivatives of the resulting spline for the two knots definition methods. In all four of the channels analysed, the use of  $(53)$  $(53)$  to define the input knots of the QP minimization problem eliminates the spikes of the second derivative of the spline  $r(\tau)$ , classically resulting in a lower maximum value of  $D^{(2)}r(\tau)$  than the comparison method used.

# *3.3. Collision-free path planning strategy*

This section explains the path planning strategy adopted. First, the geometric smoothed path planning problem of a single end-effector is addressed. The methodology is then extended to the second arm of the robot while maintaining the rigid connection constraint along the  $X$ -axis. Finally, the approach to prevent self-collisions of the robot is detailed.

#### *3.3.1. 4-D.o.f. Cartesian robot path planning*

<span id="page-6-2"></span>In this section the path planning of a single end-effector not constrained on any axis with other heads is presented. This case does not correspond to the studied application but outlines part of the motion plan method that will be extended later for multiple constrained heads.

Consider the classic rectangular pick-end-place path shape, consisting of two vertical segments (rise and fall) and one horizontal segment. Corner smoothing algorithms are generally used to obtain a  $G^{j}$ -continuity path, where  $j$  depends on the transition segment, and defining a five-segment geometric path. With reference to [Fig.](#page-6-0) [4](#page-6-0), we refer only to arm 1 of the dual-arm robot represented in [Fig.](#page-2-1) [1](#page-2-1). The  $X_{H1}Y_{H1}Z_{H1}$ -frame shown in the figure defines the coordinate



**Fig. 4.** 2-D path shape on the motion plane  $Z_{H1} - \xi$ .

<span id="page-6-0"></span>system fixed to the head installed on arm 1 of the robot. The resulting geometric path defines a 2-D path on the plane of motion  $Z_{H1} - \xi$ , passing through the  $Z_{H1}$ -axis and inclined by  $\alpha$  with respect to the  $ZX$ -plane. In this respect, many examples can be found in the field of numerical control machines, where the geometric path is generally described in the form of G01 polylines. Some recent work on this subject can be found in [[30–](#page-23-30)[32\]](#page-23-31). For our application, of particular interest is the study conducted by Sencer and Shamoto [\[33](#page-23-32)], where a corner smoothing algorithm using quintic Bézier curves is proposed to ensure both G<sup>2</sup>-continuity of the tool-path and minimized curvature. This parametrization is reported in [Fig.](#page-7-0) [5\(a\).](#page-7-0) Let  $P = (P_i)_{i=0}^5$ , with  $P_i = \{P_{x,i}, P_{y,i}, P_{z,i}\}\$ , the control points of the transition segment, and define the following 3-D Euclidean distances:

$$
\|\mathbf{P}_j - \mathbf{P}_{j-1}\| = c \ , \quad \text{with: } j = 1, 2, 4, 5 \tag{56}
$$

$$
\|\mathbf{P}_{trans} - \mathbf{P}_2\| = \|\mathbf{P}_3 - \mathbf{P}_{trans}\| = d \tag{57}
$$

with  $P_{trans}$  the corner of the corresponding rectangular pick-and-place trajectory. Sencer and Shamoto [\[33](#page-23-32)] provide an optimized formulation of the ratio  $n = c/d$  as a function of the transition angle  $\theta$  (in this case always equal to  $\pi/2$ ) to obtain the minimum transition curvature:

<span id="page-6-1"></span>
$$
n(\pi/2) = (\pi/2)^{0.9927} / 2.0769 \simeq 0.7538
$$
\n(58)

Condition ([58\)](#page-6-1) maximizes the total cornering velocity while respecting the acceleration limits of the actuators. Once the ratio  $n$  is set, the transition segments depend only on the transition length  $L_T = 2 \cdot c + d$ . In this application, with reference to [Figs.](#page-2-1) [1](#page-2-1) and  $5(b)$ , the transition length  $L<sub>T</sub>$  assumes a maximum value defined by the difference between the travel height  $z_t$ , and the loading zone height  $z_p$ :

$$
L_{T,max} = z_{tr} - z_p \tag{59}
$$

Given the start and target positions of the end-effector:

$$
\boldsymbol{p}_s = \{x_s, y_s, z_s, w_s\} \tag{60}
$$

$$
p_t = \{x_t, y_t, z_t, w_t\}
$$
 (61)

we can define:

$$
\Delta X = x_t - x_s \tag{62}
$$

$$
\Delta Y = y_t - y_s \tag{63}
$$

$$
\Delta Z_s = z_{tr} - z_s \tag{64}
$$

$$
\Delta Z_t = z_t - z_{tr} \tag{65}
$$

$$
\Delta W = w_t - w_s \tag{66}
$$

<span id="page-6-3"></span>The transition length  $L_T$  is calculated as:

$$
L_T = \min\left(L_{T,max}, \frac{\sqrt{\Delta X^2 + \Delta Y^2}}{2}\right)
$$
\n(67)

<span id="page-7-0"></span>

<span id="page-7-4"></span>**Fig. 5.** Five-segment 2-D geometric path. (a) Geometric path parametrization. (b) Reference heights.  $u_{sf,1}$  and  $u_{sf,2}$ , if they exist, are the values of the parameter  $\tau$ corresponding to the intersections of the geometric path with the safety height  $z_{\epsilon}$ .

The proposed approach involves two-step motion planning; first a 3- D path is planned considering only  $X$ ,  $Y$ , and  $Z$  axes to obtain specific smoothness characteristics, and only in a second step is added the  $W$ dimension. The 3-D path followed by the end-effector can be described by a spline  $r(\tau)$ :  $\mathbb{R} \to \mathbb{R}^3$ , defined by ([1](#page-2-2)), with  $b_i = \{x_i, y_i, z_i\}$ . The construction of  $r(\tau)$  can be performed by joining the five segments  $(r_i)_{i=0}^4$  defined by 3-D Bézier curves in their B-form (thus without internal knots). In order to preserve the  $G^2$ -continuity and obtain a single spline of degree 5, the Bézier curves must be joined together having the same geometric velocity and acceleration at the welding points. For example, for the first transition segment must be:

$$
Dr_1(u_{1,e}) = Dr_2(u_{2,s})
$$
\n(68)

$$
D^{(2)}\mathbf{r}_1(u_{1,e}) = D^{(2)}\mathbf{r}_2(u_{2,s})
$$
\n(69)

$$
Dr_2(u_{2,e}) = Dr_3(u_{3,s})
$$
\n(70)

$$
D^{(2)}r_2(u_{2,e}) = D^{(2)}r_3(u_{3,s})
$$
\n(71)

with  $r_i$  the *i*th segment having knots:

$$
\mathbf{u}_{i} = \{u_{i,s}, \dots, u_{i,s}, u_{i,e}, \dots, u_{i,e}\}\
$$

$$
\mathbf{v} + 1
$$
 (72)

By setting  $u_{2s} = 0$  and  $u_{2e} = 1$ , [[33\]](#page-23-32):

$$
Dr_2(0) = 5(P_1 - P_0)
$$
\n(73)

$$
Dr_2(1) = 5(P_5 - P_4)
$$
\n(74)

$$
D^{(2)}r_2(0) = 20(P_2 - 2P_1 + P_0)
$$
\n(75)

$$
D^{(2)}r_2(1) = 20(P_5 - 2P_4 + P_3)
$$
\n(76)



<span id="page-7-5"></span>Fig. 6.  $W(\tau)$  motion profile. In the collision-free section the motion is described from polynomial 3-4-5 formulation, according to [\(85\)](#page-8-0).

Passing to scalar notation:

<span id="page-7-2"></span>
$$
||Dr_2(0)|| = ||Dr_2(1)|| = 5c \tag{77}
$$

<span id="page-7-3"></span>
$$
||D^{(2)}r_2(0)|| = ||D^{(2)}r_2(1)|| = 0
$$
\n(78)

Assuming a second transition segment symmetrical to the first, ([77\)](#page-7-2) and  $(78)$  $(78)$  can also be used for segment 4. The  $G<sup>2</sup>$ -continuity of the spline obtained by joining the five segments can thus be ensured by setting:

$$
u_{1,s} = 0, \qquad u_{1,e} = L_1/(5c) \tag{79}
$$

<span id="page-7-1"></span>
$$
u_{3,s} = 0, \qquad u_{3,e} = L_3/(5c) \tag{80}
$$

$$
u_{5,s} = 0, \qquad u_{5,e} = L_5/(5c) \tag{81}
$$

The spline resulting from joining segments  $r_i$ , can be easily solved by following the operations:

- 1. Elevate the degree of segments 1,3, and 5 to reach the same degree as the transition segments [[13,](#page-23-13)[34](#page-23-33)].
- 2. Concatenate the Bézier curves by repeating for the number of segments the joining operation explained below. Let  $r_i$  and  $r_r$  be the segment to the left of the welding point of the curves (i.e. the result of the previous concatenations) and the segment to its right, respectively. For example, at the first step,  $r_i$  is  $r_i$ , while at the second step it concurs with the result of the concatenation between  $r_1$  and  $r_2$ , and so on. The spline obtained from the concatenation of  $r_i$  and  $r_i$  of the same degree *d* is defined by the knots:

$$
\mathbf{u} = \{ \underbrace{u_{l,s}, \dots, u_{l,s}}_{d+1}, \dots, u_{1,m_l}, \underbrace{u_{l,e}, \dots, u_{l,e}}_{d+1}, \dots, \underbrace{u_{r,e}, \dots, u_{r,e}}_{d+1}, \dots, \underbrace{(u_{r,d+1} + \Delta u), \dots, (u_{r,m_2} + \Delta u),}_{d+1}, \dots, \underbrace{(82)}
$$

with  $\Delta u = u_{l,e} - u_{r,s}$ , and control points:

$$
\boldsymbol{b} = \{b_{l,0}, \dots, b_{l,m_l}, b_{r,0}, \dots, b_{r,m_r}\}\
$$
\n(83)

3. Remove as many knots as possible while preserving the geometric path shape within a tolerance. To this end, we suggest an optimized routine proposed by Tiller [[35\]](#page-23-34) that minimize the number of displacements of coefficients and knots in the case of multiple knot removal.

The procedure just described can be used for a generic path, even with a number of segments other than five. As will become clearer in the next sections, in this application the five-segment geometric path of [Fig.](#page-7-4) [5](#page-7-4) is the most general case, but some movements reach intermediate points of the pick-and-place task using fewer than five segments.

When the 3-D path is defined, it is necessary to add the  $W$  information to manage the angular orientation of the end-effector. In general, the loaded part can only rotate in a collision-free section of the trajectory, defined by a safety height  $z_{sf}$ . With reference to [Fig.](#page-7-1) [5\(b\)](#page-7-1),  $u_{s,f,1}$  and  $u_{s,f,2}$  are the values of the parametric variable  $\tau$  bounding the portion of  $r(\tau)$  corresponding to a Z value greater than the safety height. In other words, the interval  $[u_{sf,1}, u_{sf,2}]$  is defined such that:

$$
\text{proj}(r(\tau)|_{[u_{sf,1},u_{sf,2}]}, Z) \ge z_{sf} \tag{84}
$$

This interval can easily be estimated by numerical techniques, if it is assumed that during the rise section the *Z*-component of  $r(\tau)$  is strictly increasing, and that during the fall section it is strictly decreasing. Note that, for the condition  $\bar{r}(u_s) \ge z_{sf} \wedge \bar{r}(u_e) \ge z_{sf}$  results  $[u_{sf,1}, u_{sf,2}] \equiv$ [0,  $u_e$ ]. As reported in [Fig.](#page-7-5) [6,](#page-7-5) it follows that  $W(\tau)$  is at most divided into three sections, of which only one contributes to the movement. In order to preserve the G<sup>2</sup>-continuity of the geometric path, a good choice is to describe  $W(\tau)$  in the collision-free section using a 5th-degree polynomial:

$$
W(\tau)|_{[u_{sf,1}, u_{sf,2}]} = \Delta W \left[ 10 \left( \frac{\tau}{\Delta u} \right)^3 - 15 \left( \frac{\tau}{\Delta u} \right)^4 + 6 \left( \frac{\tau}{\Delta u} \right)^5 \right] \tag{85}
$$

with  $\Delta u = u_{sf,2} - u_{sf,1}$ . Expression ([85\)](#page-8-0) defines a polynomial in its p-form:

$$
p(x) = \sum_{i=0}^{d} x^{i} a_{i} = a_{0} + x a_{1} + x^{2} a_{2} + \dots + x^{d} a_{d}
$$
 (86)

that can always be seen in its B-form, with knots:

$$
\mathbf{u} = \{u_{sf,1}, \dots, u_{sf,1}, u_{sf,2}, \dots, u_{sf,2}\}
$$
\n
$$
\mathbf{u} + 1
$$
\n
$$
\mathbf{u} + 1
$$
\n(87)

and control points  $b$  defined by solving the system:

$$
Mb = a \tag{88}
$$

where the lower triangular matrix  $M$  is defined by the entries:

$$
[M]_{i,j} = \binom{d}{i} \binom{i}{j} (-1)^{i-j} , \text{ with } \begin{cases} i = 0, \dots, d \\ j = 0, \dots, i \end{cases}
$$
 (89)

The motion profile of the end-effector orientation, defined by the 1-D spline  $W(\tau)$  with scalar control points  $w_i$ , is then obtained concatenating the sections of which it is composed with similar methodology as explained above. Finally,  $W(\tau)$  can be added as a component of  $r(\tau)$  by performing knot refinement algorithms to make the curves compatible, and defining a new knot vector  $\bar{u}$  as the union of the knots of the two curves [\[36](#page-23-35)]. The resulting spline  $\bar{r}(\tau)$ , with control points  $\bar{b}_i = {\bar{x}_i, \bar{y}_i, \bar{z}_i, \bar{w}_i}$ , defines the desired geometric path.

To finalize the path definition, it remains to define the parametrization of the function describing the geometric path. A common solution is to adopt the arc length parametrization, then parametrize  $\bar{r}(\tau)$  on the curvilinear (or profile) abscissa of the end-effector's 3-D path. However, this solution is not particularly convenient in this application because it limits the movements along the  $W\!$  -axis to tasks in which the machine also performs a movement along at least one of the  $X$ ,  $Y$  or  $Z$  axes. In fact, by adopting this parametrization, requiring motion only along the *W*-axis leads to the condition  $\bar{u}_s = \bar{u}_e = 0$ , forcing the adoption of a customized motion planning strategy for this specific case. To prevent this, the authors propose a parametrization of  $\bar{r}(\tau)$  based on the definition of the 4-D curvilinear abscissa  $s \in [0, s_{max}]$  defined in differential form as follow:

$$
ds = ||K_r \odot D\bar{r}(\tau)||d\tau \tag{90}
$$

with  $K_r = (K_{r,i})_{i=0}^3 = \{K_{r,x}, K_{r,y}, K_{r,z}, K_{r,w}\}\$  a transmission ratios' vector, and where, depending if the axis is linear or rotational, the unit of measure of its components are rad/m or rad/rad. In this case,  $K_{r,x}$ ,  $K_{r,y}$ , and  $K_{r,z}$  are expressed in rad/m, while  $K_{r,w}$  is expressed in rad/rad. The modified chord length  $s_{max}$  can be estimate by the equation:

$$
s_{max} = \sum_{i=0}^{m-1} \sqrt{K_{r,x} \Delta x_i^2 + K_{r,y} \Delta y_i^2 + K_{r,z} \Delta z_i^2 + K_{r,w} \Delta w_i^2}
$$
(91)

with

$$
\Delta x_i = x_{i+1} - x_i \tag{92}
$$

$$
\Delta y_i = y_{i+1} - y_i \tag{93}
$$

$$
\Delta z_i = z_{i+1} - z_i \tag{94}
$$

$$
\Delta w_i = w_{i+1} - w_i \tag{95}
$$

The accuracy of the  $s_{max}$  estimated with ([91\)](#page-8-1) can be ensured by adopting an appropriate knot refinement strategy, as this operation leads the control polygon to converge with its spline. In this work, knots are inserted iteratively with bisection method until the calculated value does not deviate from that computed in the previous step less than a tolerance adopted. Once estimated  $s_{max}$  from ([91\)](#page-8-1),  $\bar{r}(\tau)$  can be parametrized on the curvilinear coordinate  $s$  by multiplying all knots  $\bar{u}_i$  by  $s_{max}/\bar{u}_e$ .

### *3.3.2. 7-D.o.f. dual-arm Cartesian robot path planning*

<span id="page-8-3"></span><span id="page-8-0"></span>The path planning procedure illustrated in the previous section can be used for a Cartesian robot with only one arm. In applications where two arms are rigidly linked along an axis, this solution cannot be used because it is not possible to plan the geometric path of each end-effector independently. In this section, in order to describe the constraint physically defined by the gantry linkage, the authors propose an extension of the previously presented solution for the case of a dualarm Cartesian robot, in which the two arms are rigidly linked along the  $X$ -axis.

Given the start and target points of the two end-effectors:

$$
\boldsymbol{p}_{s,1} = \{x_s, y_{s,1}, z_{s,1}, w_{s,1}\}\tag{96}
$$

<span id="page-8-2"></span>
$$
\boldsymbol{p}_{s,2} = \{x_s, y_{s,2}, z_{s,2}, w_{s,2}\}\tag{97}
$$

$$
\boldsymbol{p}_{t,1} = \{x_t, y_{t,1}, z_{t,1}, w_{t,1}\}\tag{98}
$$

$$
t_{t,2} = \{x_t, y_{t,2}, z_{t,2}, w_{t,2}\}\tag{99}
$$

may be defined:

 $p$ 

$$
\Delta X = x_t - x_s \tag{100}
$$

$$
\Delta Y_1 = y_{t,1} - y_{s,1} \tag{101}
$$

$$
\Delta Y_2 = y_{t,2} - y_{s,2} \tag{102}
$$

$$
\Delta Z_{s,1} = z_{tr} - z_{s,1} \tag{103}
$$

$$
\Delta Z_{t,1} = z_{t,1} - z_{tr} \tag{104}
$$

$$
\Delta Z_{s,2} = z_{tr} - z_{s,2} \tag{105}
$$

$$
\Delta Z_{t,2} = z_{t,2} - z_{tr} \tag{106}
$$

(107)

$$
\Delta W_1 = w_{t,1} - w_{s,1} \tag{107}
$$
\n
$$
\Delta W_2 = w_{t,2} - w_{t,2} \tag{108}
$$

$$
\Delta W_2 = w_{t,2} - w_{s,2} \tag{108}
$$

The main idea for extending the procedure of Section [3.3.1](#page-6-2) is to define a master path, described from the 5th-degree spline  $\mathbf{r}_{\phi}(\tau) : \mathbb{R} \to$  $\mathbb{R}^3$  with  $b_{i,\phi} = \{x_{i,\phi}, y_{i,\phi}, z_{i,\phi}\}\$  and  $i = 0, ..., m$ . Let  $u = (u_i)_{i=0}^{m+6}$  be the knots of  $r_{\phi}(\tau)$ . Assume:

$$
x_{0,\phi} = y_{0,\phi} = z_{0,\phi} = 0 \tag{109}
$$

which corresponds to define the spline relatively to the first control point.  $r_{\phi}(\tau)$  can be calculated as described in Section [3.3.1](#page-6-2) using the geometric lengths  $L_i$ , with  $i \in \{1,3,5\}$ , obtained by solving the following system:

$$
\begin{cases}\nL_1 + L_T = \max(\Delta Z_{s,1}, \Delta Z_{s,2}) & \text{(a)} \\
L_3 + 2 \cdot L_T = \max\left(\sqrt{\Delta X^2 + \Delta Y_1^2}, \sqrt{\Delta X^2 + \Delta Y_2^2}\right) & \text{(b)} \\
L_T + L_5 = \max(|\Delta Z_{t,1}|, |\Delta Z_{t,2}|) & \text{(c)}\n\end{cases}
$$

<span id="page-8-1"></span>with  $L_T$  defined by ([67\)](#page-6-3).



<span id="page-9-1"></span>**Fig. 7.** Geometric path representation of the dual-arm Cartesian robot with 5th-degree spline. The circular markers correspond to the intersections of the path with the safety height calculated in accordance with ([114](#page-9-0)). The star markers are examples of points calculated respectively on the master path  $r_{\phi}(\tau)$  and on  $r(\tau)$  for three values of the parametric variable  $\tau$ .

The geometric path of the two end-effectors can be described starting from  $r_{\phi}(\tau)$  with a 5-D spline  $r(\tau)$  having the same knots  $u$  and control points  $\mathbf{b}_i = \{x_i, y_{i,1}, z_{i,1}, y_{i,2}, z_{i,2}\}\$ . Assuming that  $\mathbf{u}$  is properly calculated to describe the  $G^2$ -continuity at the transition segments (as shown in [Fig.](#page-9-1) [7](#page-9-1)), with the correct knots multiplicity for a spline of degree  $d$  the *i*th control point are uniquely determined through path scaling techniques from the relations:

$$
x_i = x_{i,\phi} + x_s \tag{111}
$$

$$
y_{i,j} = y_{i,\phi} \frac{\Delta T_j}{y_{m,\phi}} + y_{s,j} \tag{112}
$$

$$
z_{i,j} = \begin{cases} z_{i,\phi} \frac{\Delta Z_{s,j}}{(L_1 + L_T)} + z_{s,j}, & \text{if } i < l \qquad \text{(a)}\\ z_{tr} + (z_{i,\phi} - (L_1 + L_T)) \frac{\Delta Z_{t,j}}{(L_T + L_S)}, & \text{if } i > r \qquad \text{(b)}\\ z_{tr}, & \text{otherwise} \quad \text{(c)} \end{cases}
$$

with  $l = 2d - 1$ ,  $r = 4d - 6$ ,  $d = 5$  and  $j = 1, 2$ . With reference to [Fig.](#page-9-1) [7,](#page-9-1) to describe the angular orientation of the end-effectors, it is useful to define the splines  $r_{H1}$  and  $r_{H2}$ , parametrized on the same parametric variable  $\tau$ , with knots  $u$  and *i*th control point defined by  $\bm{b}_{i,1} = \{x_i, y_{i,1}, z_{i,1}\}\$  and  $\bm{b}_{i,2} = \{x_i, y_{i,2}, z_{i,2}\}\$ , respectively. Note that these splines describe the 3-D geometric paths of the two heads, which share the *X*-axis. Let the interval  $[u_{sf,1}, u_{sf,2}]$  be such that:

$$
\begin{cases}\n\text{proj}(r_{H1}(\tau)|_{[u_{sf,1},u_{sf,2}]}, Z) \ge z_{sf} \\
\text{proj}(r_{H2}(\tau)|_{[u_{sf,1},u_{sf,2}]}, Z) \ge z_{sf}\n\end{cases}
$$
\n(114)

The geometric path components  $W_1(\tau)$  and  $W_2(\tau)$  of the two endeffectors, with *i*th control point  $w_{i,1}$  and  $w_{i,2}$ , can be calculated with

the same routine detailed in the previous section applied to the splines  $r_{H1}(\tau)$  and  $r_{H2}(\tau)$ . It is easy to prove that the *W*-components are the scaling of each other. In other words, it is sufficient to solve the system ([88\)](#page-8-2) for only one of them, and obtain the other by multiplying the computed control points by a scaling factor. In this work, it is assumed to calculate the  $W$ -component with the largest amplitude:

$$
\Delta W_{max} = \max(|\Delta W_1|, |\Delta W_2|) \tag{115}
$$

and the scaling ratio to be used to calculate the control points of the second spline is defined by:

$$
W_r = \min(|\Delta W_1|, |\Delta W_2|)/\Delta W_{max} \tag{116}
$$

For example, if  $\Delta W_1 > \Delta W_2$ ,  $W_1(\tau)$  is computed by the routine of Section [3.3.1](#page-6-2), while  $W_2(\tau)$  is defined by the same degree and knots of  $W_1(\tau)$ , and the *i*th control point

<span id="page-9-2"></span>
$$
w_{i,2} = \text{sgn}(\Delta W_2) w_{i,1} W_r \tag{117}
$$

The geometric path components  $W_1(\tau)$  and  $W_2(\tau)$  can then be added to the geometric path using the same method detailed in the previous section, defining the 7-D spline  $\bar{r}(\tau)$  with knots  $\bar{u}$  and control points:  $\bar{b}_i = {\bar{x}_i, \bar{y}_{i,1}, \bar{z}_{i,1}, \bar{w}_{i,1}, \bar{y}_{i,2}, \bar{z}_{i,2}, \bar{w}_{i,2}}$ 

It remains to define the parametrization to be adopted for  $\bar{r}(\tau)$ . To this end, the authors propose a parametric variable  $s \in [0, s_{max}]$ based on the master path  $r_{\phi}(\tau)$  and the *W*-component with the largest amplitude. Assuming the same transmission ratios for the  $Y$ ,  $Z$ , and  $W$ of the two arms:

<span id="page-9-4"></span><span id="page-9-3"></span><span id="page-9-0"></span>
$$
\Delta s_{i,\phi}^2 = K_{r,x} \Delta x_{i,\phi}^2 + K_{r,y} \Delta y_{i,\phi}^2 + K_{r,z} \Delta z_{i,\phi}^2 + K_{r,w} \max(\Delta w_{i,1}^2, \Delta w_{i,2}^2)
$$
(118)  
\n
$$
s_{max} = \sum_{i=0}^{m-1} \sqrt{\Delta s_{i,\phi}^2}
$$
(119)



<span id="page-10-0"></span>**[Fig.](#page-7-4) 8.** Sub-cases of the five-segment path of Fig. [5](#page-7-4) used to define the master path  $r_{\phi}(\tau)$ . For each block; at the top the conditions defining the sub-case, in the middle the segments  $r_i(\tau)$  used to define the path, at the bottom the indices l and r, to be used in Eq. [\(113\)](#page-9-2)a and Eq. (113)b respectively. If one of the indices l or r is set to None, the relative equation is not used in that subcase.

with

$$
\Delta x_{i,\phi} = x_{i+1,\phi} - x_{i,\phi} \tag{120}
$$

$$
\Delta y_{i,\phi} = y_{i+1,\phi} - y_{i,\phi} \tag{121}
$$

$$
\Delta z_{i,\phi} = z_{i+1,\phi} - z_{i,\phi} \tag{122}
$$

 $\Delta w_{i,j} = w_{i+1,j} - w_{i,j}$  with:  $j = 1, 2$  (123)

As in the previous case, the accuracy of the  $s_{max}$  estimation can be ensured by adopting an appropriate knot refinement strategy.  $\bar{r}(\tau)$  can then be parametrized on the parametric variable  $s$  by multiplying all knots  $\bar{u}_i$  by  $s_{max}/\bar{u}_e$ .

This section terminates with some clarifications regarding the fivesegment trajectory reported in  $Fig. 5(a)$  $Fig. 5(a)$ , used here for the master path definition. With reference to the specific application, the geometric path analysed is only the most general case, and not all master paths require all five segments  $\mathbf{r}_i(\tau)$ . In these cases, the missing sections must be considered to adapt the formulations used to scale the path, in particular the knot indices  $l$  and  $r$ , referring to ([113](#page-9-2))a and (113)b respectively. For example, if the  $Z$ -component of the start positions  $z_{s,1}$ and  $z_{s,2}$  are equal to the travel height  $z_{tr}$ , then  $\Delta Z_{s,1} = \Delta Z_{s,2} = 0$ , the optimal choice in terms of path planning is to adopt a three-segment trajectory, consisting of the concatenation of  $r_1$ ,  $r_2$  and  $r_3$ . Similarly, if the Z-components of the target positions are equal to the travel height, the geometric path is obtained from the concatenation of  $r_3$ ,  $r_4$  and  $r_5$ . For the sake of clarity, [Fig.](#page-10-0) [8](#page-10-0) summarizes all possible cases with their conditions and the necessary knot indices modifications.

As will highlighted in the following sections, for the proposed motion planning strategy, the maximum curvature of the geometric path has a great impact on machine performances. In this regard, if the transition length  $L_T$  calculated with ([67\)](#page-6-3) reaches extremely small values, the use of the five-segment trajectory for the master path description could produce slow movements. In this work, the problem is solved using a threshold value  $L_{T,min}$ . If  $L_T$  is less than this value, the geometric path is calculated by dividing the movements along the  $Z$ -axis resulting in a concatenation of 2 or 3 linear sub-paths. An example of this case is included in the concatenation of movements that constitute the case study in Section [6](#page-19-0).

# *3.3.3. 2-D collision detection solution*

<span id="page-10-1"></span>Before detailing the solution adopted by the collision avoidance algorithm, it is necessary to report some notions on the collision detection of dynamic elements in the working area. [Fig.](#page-11-1) [9](#page-11-1) shows a generic th motion frame, projected onto the  $XY$  plane of the machine, where each end-effector is modelled with a regular octagon with edges of length  $l_e$ , and the loaded parts are modelled with a bounding rectangle circumscribing the external profile. The relative position of each endeffector to its loaded part (i.e. the rectangles) is uniquely defined by the particular head-part configuration in the loading task. For each pointto-point movement of the robot, a part may or may not be loaded at each end-effector. As a result, there are a minimum of two and a maximum of four polygons in the working area that define the bounding boxes of the dynamic elements. Each polygon is actually constrained to move fixed to one arm or the other, resulting in two groups of polygons to which the same geometric transformations are applied. To simplify and reduce subsequent calculations, the polygons of each group are then merged into a single convex shape using the Jarvis' algorithm [[37\]](#page-23-36), resulting in only two polygons in the environment. An outer offset  $\epsilon$  is then applied to the resulting polygons in order to ensure a safe distance between the polygon groups during the movement. To this end, we use a simple algorithm that calculates the offset vertices of a convex polygon by iteratively computing the intersection points between pairs of adjacent edges that have been displaced along the normal direction by a fixed distance of  $\varepsilon$ . It should be noted that the type of convex polygon used to circumscribe head and loaded parts is arbitrary and not limited to those adopted in this work.

In this application, we want to calculate the maximum  $Y$ intersection between the two polygon groups, which we call  $\kappa_i$ , and their upper and lower overlap with respect to the working area for each frame. There are several efficient solutions in the literature for computing the intersection between convex polygons [[38–](#page-23-37)[41\]](#page-23-38), so we do not discuss them further in this paper.

The two polygon groups are constrained to move within a working area defined geometrically by the maximum and minimum values allowed in the Y-direction  $y^+$  and  $y^-$ . In the proposed approach, the  $y^+$  constraint is assigned to group 2 only, while the  $y^-$  constraint is assigned to group 1. This is justified because if the polygon of group 2



<span id="page-11-1"></span>Fig. 9. Calculation of Y-intersections and bounding box grouping strategy.

does not satisfy the  $y^-$  constraint, it necessarily intersects the polygon of group 1. In other words, the constraint set by the other group is more restrictive than the  $y^-$  constraint. A similar logic can be applied to the  $y^+$  constraint with the polygon of group 1. In order to satisfy the constraints introduced by the working area, the upper and lower overlap  $\overline{\kappa}_i$  and  $\underline{\kappa}_i$  for the *i*th frame are computed with:

$$
\overline{\kappa}_i = \overline{p}_{i,2,y} - y^+ \tag{124}
$$

$$
\underline{\kappa}_{i} = y^{-} - \underline{p}_{i,1,y} \tag{125}
$$

where  $\overline{p}_{i,2} = {\overline{p}_{i,2,x}, \overline{p}_{i,2,y}}$  and  $\underline{p}_{i,1} = {\underline{p}_{i,1,x}, \underline{p}_{i,1,y}}$  are the vertices of groups 1 and 2 having the maximum and minimum ordinate of the merged polygon.

Finally, the frame sampling strategy used to discretize the motion should take into account the point-to-point path length and the  $\varepsilon$ tolerance used in collision detection. In this way, an excessive number of frames can be avoided, which would increase the computation time, while ensuring a sufficient number of frames to avoid collisions. Details of the frame sampling strategy used in this work can be found in [Appendix](#page-22-0) [B.](#page-22-0)

## *3.3.4. Polygonal channel definition*

<span id="page-11-0"></span>Let  $\bar{r}(\tau)$  :  $\mathbb{R} \to \mathbb{R}^7$  be a 5th-degree spline function, with  $\bar{u}$  =  $(\bar{u}_j)_{j=0}^{m+6}$  knots, defining a geometric path computed with the approach of Section [3.3.2.](#page-8-3) Knowing the robot's operating conditions, the  $Y$ intersections  $\kappa_i$ ,  $\overline{\kappa}_i$  and  $\underline{\kappa}_i$  can be computed on a strictly increasing frames sequence  $\tau = (\tau_i)_{i=0}^{(M)}$  computed as detailed in the previous section. This results in the definition of vectors:

• Overlap vector: 
$$
\kappa = (\kappa_i)_{i=0}^M
$$
 (126)

<span id="page-11-5"></span><span id="page-11-4"></span>*Robotics and Autonomous Systems 170 (2023) 104534*

<span id="page-11-6"></span>(129)



## <span id="page-11-2"></span>**Algorithm 1** Upper Envelope Evaluation

### **Input:**

- 1. Overlap vector  $\kappa = \{\kappa_i\}$  with  $i = 0, ..., M$ .
- 2. Strictly increasing sequence  $\tau = {\tau_i}$  with  $i = 0, ..., M$ .
- 3. Absolute tolerance Tol.

# **Output:**

1. Indices sequence **I**.

# **Algorithm:**

1: Define a function  $\mathcal{C}(\tau)$  according to:

- $\mathcal{C}(\tau) := \mathcal{L}(\tau \mid {\{\tau_0, \kappa_0\}, {\{\tau_M, \kappa_M\}}})$
- 2:  $\mathbf{\Delta} = {\mathbf{\Delta}_i}$  with  $\mathbf{\Delta}_i = \kappa_i \mathbf{\ell}(\tau_i)$
- 3:  $i^* = \{i \mid i \in [0, M] \land \Delta_i \geq \Delta_r, \forall \Delta_r \in \Delta\}$
- 4: **if**  $\Delta_{i^*}$  < Tol **then**
- 5: **return I** =  $\{0, M\}$
- 6: **end if**
- 7: Define a sequence  $I_i = \{0, \ldots, i^*\}$  using Algorithm [1](#page-11-2) with input  $\mathbf{\Delta}_l = {\mathbf{\Delta}_0, \ldots, \mathbf{\Delta}_{i^*}}, \mathbf{\tau}_l = {\tau_0, \ldots, \tau_{i^*}}$  and Tol.
- 8: Define a sequence  $I_r = \{i^*, \dots, \tau_M\}$  using Algorithm [1](#page-11-2) with input  $\mathbf{\Delta}_r = \{ \Delta_{i^*}, \dots, \Delta_M \}, \ \mathbf{\tau}_r = \{ \tau_{i^*}, \dots, \tau_M \} \text{ and } \text{ Tol.}$
- 9: **return**  $I = \{0, ..., i^*, ..., M\} = I_l \cup I_r$

This section proposes a post-processing method for vectors defined by ([126](#page-11-3)), ([127](#page-11-4)) or ([128](#page-11-5)) using the algorithm illustrated in Section [3.2](#page-3-8). Since this method works indiscriminately on  $\kappa$ ,  $\bar{\kappa}$  or  $\kappa$ , in the remainder of the section only  $\kappa$  is used, implying that it could refer to any one of them.

The problem described in Section [3.2](#page-3-8) requires the definition of a channel in the form of a lower polyline  $c(\tau)$  and an upper polyline  $\overline{c}(\tau)$ . The proposed approach firstly calculates  $c(\tau)$  starting from  $\kappa$ , after which  $\bar{c}(\tau)$  is defined based on the lower polyline. In this application, the vector  $\kappa$  has always an initial and final sequence of null values, due to a sequence of initial and final frames with no interpenetration between polygons. Therefore, the following subsets are defined:

$$
\mathbf{k}' = \{k_1, \dots, k_r\} \subseteq \mathbf{k}
$$
\n(130)

<span id="page-11-7"></span>
$$
\tau' = \{\tau_1, \dots, \tau_r\} \subseteq \tau \tag{131}
$$

<span id="page-11-8"></span>with

 $l = \min(i | \kappa_i \neq 0, \kappa_i \in \kappa) - 1$  (132)

$$
r = \max(i \mid \kappa_i \neq 0, \kappa_i \in \kappa) + 1 \tag{133}
$$

Then, the upper envelope of  $\kappa'$  is computed with Algorithm [1.](#page-11-2)

<span id="page-11-3"></span>The solution adopted results in a unimodal lower polyline, that reduces the number of channel break points compared to how many would be required to follow the profile more accurately. The main advantage is that a lower number of constraints is needed to set the problem detailed in Section [3.2,](#page-3-8) which increases the computational performances. Furthermore, from the point of view of this application, it is convenient to limit the possible fluctuations of the resulting spline by defining a channel that guides towards this solution. Algorithm [1](#page-11-2) takes as input the overlap vector  $\kappa$ , the sequence  $\tau$  and a tolerance parameter Tol, returning a sequence of indices  $I$  corresponding to the selected points  $\{ \kappa_i, \tau_i \}$ ,  $\forall i \in \mathbf{I}$ . With reference to [Fig.](#page-12-0) [10a](#page-12-0) and Fig. [10b](#page-12-0), these points are selected recursively by searching for the maximum value of the sequence  $\Delta$ , which is obtained by subtracting the values of the linear function ([129](#page-11-6)), calculated in  $\tau$ , from the  $\kappa$  vector. Recursion terminates on condition  $\Delta_i \leq$  Tol,  $\forall \Delta_i \in \Delta$ .



<span id="page-12-0"></span>**Fig. 10.** Upper envelope evaluation algorithm. (a) 1st step of Algorithm [1](#page-11-2). (b) 2nd step of Algorithm [1.](#page-11-2) (c) Tolerance parameter translation.



<span id="page-12-3"></span>Fig. 11. Channel definition from overlap vector  $\kappa$ , according to Eqs. ([134\)](#page-12-1) to [\(139](#page-12-2)).

Given the indices sequence  $I = (I_j)_{j=0}^n$ , the lower polyline  $\underline{c}(\tau)$  is defined as follows (refer to [Fig.](#page-12-0) [10](#page-12-0)c):

$$
\underline{c}_0 = \underline{c}_1 = \underline{c}_{n+3} = \underline{c}_{n+4} = 0 \tag{134}
$$

$$
\underline{c}_{j+2} = \kappa'_{I_j} + \text{tol}, \quad j = 0, \dots, n \tag{135}
$$

$$
x_0 = \bar{u}_0, \quad x_{n+4} = \bar{u}_{m+6} \tag{136}
$$

$$
x_1 = (\bar{u}_0 + \tau_l)/2, \quad x_{n+3} = (\bar{u}_{m+6} + \tau_r)/2 \tag{137}
$$

$$
x_{j+2} = \tau'_{I_j}, \quad j = 0, \dots, n
$$
\n(138)

$$
\underline{c}(\tau) = \mathcal{L}\Big(\tau | \{x_i, \underline{c}_i\}, \{x_{i+1}, \underline{c}_{i+1}\}\Big) \text{ with } x_i \le \tau \le x_{i+1},
$$
\n
$$
\forall i \in [0, n+4] \tag{139}
$$

Let  $A_{ch,min}$  be the desired minimum channel amplitude the upper polyline  $\overline{c}(\tau)$  adopted in this work is defined in the same form of [\(139\)](#page-12-2) by the coefficients (see [Fig.](#page-12-3) [11\)](#page-12-3):

$$
\overline{c}_j = \max(\underline{c}_j) + A_{ch,min}, \quad i = 0, \dots, n+4, \quad j = 0, \dots, n+4
$$
 (140)

Note that for a constant upper polyline  $\overline{c}(\tau)$ , such as that defined by ([140](#page-12-4)), the inequality constraints [\(33](#page-4-1)) and ([35\)](#page-4-5) reported in Section [3.2](#page-3-8) could be greatly simplified. However, it is preferred to report the general discussion in case a different formulation from ([140](#page-12-4)) would be adopted.

#### *3.3.5. Collision avoidance strategy*

<span id="page-12-8"></span>To avoid the collisions that can occur during the movement, this section proposes an approach that modifies the control points of the

spline  $\bar{r}(\tau)$ , defined in Section [3.3.2,](#page-8-3) which describes the geometric path of the two end-effectors. This technique is based on the calculation of deviation splines using the algorithm described in Section [3.2,](#page-3-8) with the channel defined according to [3.3.4.](#page-11-0) Depending on whether the vector of Y-intersections is defined by  $(126)$  $(126)$  $(126)$ ,  $(127)$  $(127)$  or  $(128)$  $(128)$  $(128)$ , the 5th-degree 1-D spline, defined by ([1](#page-2-2)), is named as follows:

• Overlap spline: 
$$
\sigma(\tau)
$$
 (141)

• Top Overrun spline: 
$$
\overline{\sigma}(\tau) \tag{142}
$$

• Bottom Overrun spline:  $\sigma(\tau)$  (143)

with control points

$$
\mathbf{h} = (h_i)_{i=0}^q \quad \underline{\mathbf{h}} = (\underline{h}_i)_{i=0}^q \quad \overline{\mathbf{h}} = (\overline{h}_i)_{i=0}^{\overline{q}} \tag{144}
$$

and knots

$$
\mathbf{k} = (k_i)_{i=0}^{q+6} \quad \underline{\mathbf{k}} = (\underline{k}_i)_{i=0}^{q+6} \quad \overline{\mathbf{k}} = (\overline{k}_i)_{i=0}^{\overline{q}+6} \tag{145}
$$

defined by the procedure reported in Section [3.2.3.](#page-5-6)

Referring to  $\sigma(\tau)$ , the spline can be summed to the two components along the *Y*-axis projections of  $\bar{r}(\tau)$ , first by making the functions compatible by knot refinement, then by summing the relative control points using the weights  $w_1$  and  $w_2$  [[36\]](#page-23-35):

<span id="page-12-5"></span>
$$
\bar{y}_{i,1} \leftarrow \bar{y}_{i,1} - w_1 h_i \tag{146}
$$

<span id="page-12-6"></span>
$$
\bar{y}_{i,2} \leftarrow \bar{y}_{i,2} + w_2 h_i \tag{147}
$$

Referring to Eqs.  $(124)$  $(124)$  $(124)$  and  $(125)$  $(125)$  $(125)$ , be:

$$
\Delta y^+ = \left\{ |\overline{\kappa}_i| \mid \overline{\kappa}_i \le 0 \; \wedge \; \overline{\kappa}_i \ge \overline{\kappa}_j, \; \forall i, j \in [0, N] \right\}
$$
(148)

$$
\Delta y^{-} = \left\{ | \underline{\kappa}_{i} | \mid \underline{\kappa}_{i} \le 0 \ \wedge \ \underline{\kappa}_{i} \ge \underline{\kappa}_{j}, \ \forall i, j \in [0, N] \right\}
$$
 (149)

$$
\sigma_{max} = \max(\{\sigma(\bar{u}_i) \mid \forall \bar{u}_i \in \bar{u}\})\tag{150}
$$

$$
w_1^* = \Delta y^- / \sigma_{max} \tag{151}
$$

$$
w_2^* = \Delta y^+ / \sigma_{max} \tag{152}
$$

The weights  $w_1$  and  $w_2$  of Eqs. ([146](#page-12-5)) and ([147](#page-12-6)) can be calculated through:

<span id="page-12-7"></span>
$$
\begin{cases}\nw_1 = w_2 = 0.5, & \text{if } w_1^* \ge 0.5 \land w_2^* \ge 0.5 \\
\begin{cases}\nw_1 = w_1^* & \text{if } (w_1^* < 0.5 \lor w_2^* < 0.5) \land w_1^* < w_2^* \\
w_2 = (1 - w_1) & \text{otherwise}\n\end{cases}\n\quad (153)
$$

<span id="page-12-1"></span>More generally, depending on whether the deviation spline is  $\sigma$ ,  $\overline{\sigma}$ or  $\sigma$ , the weights  $w_1$  and  $w_2$  are calculated as follows:



<span id="page-12-4"></span><span id="page-12-2"></span>[Fig.](#page-13-1) [12](#page-13-1) reports the pipeline of the proposed collision-free path planning strategy. First the geometric path is calculated using the strategy of Section [3.3.2](#page-8-3) from the start and target positions, assumed to be free of self-collisions. These positions are calculated using a work zone partitioning approach similar to that used in [[18\]](#page-23-18), and is not dealt with in this work. Subsequently, the calculated path must be postprocessed in order to ensure collision-free movement in tasks involving the rotation of loaded parts. To this end, a frame analysis is performed using the method proposed in Section [3.3.3](#page-10-1) by determining the  $k$ ,  $k$ , and  $k$  vectors. The pipeline is then divided into three cases according to the vectors obtained:

• Case 1:  $\overline{\kappa} \neq 0 \wedge \kappa \neq 0$ . Both overrun vectors have values greater than zero. In this case, both groups do not meet the constraints of the work zone.  $\bar{\sigma}$  and  $\sigma$  are then calculated according to the approach described



**Fig. 12.** Pipeline of the collision-free path planning strategy.

<span id="page-13-1"></span>in Section [3.3.4](#page-11-0) and added to the respective components of  $\bar{r}(\tau)$  as described in this section.

- Case 2:  $\bar{k} \neq 0 \vee \bar{k} \neq 0$ . An overrun vector has values greater than zero. Depending on which group does not meet the work zone constraint, the appropriate overrun spline is calculated and added to the relevant component of  $\bar{r}(\tau)$ . After that, the calculation of the  $\kappa$ vector is updated, and the process is iterated again.
- Case 3:  $\overline{\kappa} = 0 \wedge \kappa = 0 \wedge \kappa \neq 0$ . Only the overlap curve has values greater than zero.  $\sigma$  is then calculated according to the approach described in Section [3.3.4](#page-11-0) and summed by means of the weights  $w_1$  and  $w_2$  to the  $\bar{r}(\tau)$  *Y*-axes components.

If none of the above cases apply, the spline  $\bar{r}(\tau)$  is returned without any variation. In this specific application case, this occurs about 50% of the time, i.e. during the loading phase. Otherwise, if one of the above cases occurs, an additional collision check must be performed. Should this check detect any violation of the constraints imposed by the work zone, or detect any collision, a solution cannot be found for this specific configuration of start and target points. If this case occurs, another configuration of start and target points must be set; on the contrary, if the check is passed, the new collision-free spline  $\bar{r}(\tau)$  is returned.

In the case where the pipeline in [Fig.](#page-13-1) [12](#page-13-1) returned a modified geometric path, in line with the proposed approach its parametrization must be corrected. The detailed collision avoidance strategy allows

at most two deviation curves to be determined, to be applied to the  $Y$ -components of the geometric paths of the two end-effectors respectively. Eqs. [\(118\)](#page-9-3) and [\(119\)](#page-9-4) can still be used, provided that the contribution of the calculated deviation splines is considered. In this work, if only one deviation curve is returned by the procedure [Fig.](#page-13-1) [12](#page-13-1), it is added to the Y-component of the master path before calculating  $s_{max}$  with [\(118\)](#page-9-3) and [\(119\)](#page-9-4). Considering e.g. the overlap spline  $\sigma(\tau)$ , after making the  $r_{\phi}(\tau)$  and  $\sigma(\tau)$  compatible with knot refinement, the contribution is added summing the control points with the relation:

<span id="page-13-2"></span>
$$
\bar{y}_{i,\phi} \leftarrow \bar{y}_{i,\phi} + h_i, \quad i = 0, \dots, m \tag{154}
$$

with  $m$  the maximum index of control points after knot refinement operation. In the case where the collision avoidance routine returns two deviation curves, the spline that makes a greater contribution to the calculation of  $s_{max}$  is selected, and used in ([154\)](#page-13-2).

## **4. Motion profile with IPTP algorithm**

<span id="page-13-0"></span>IPTP is a trajectory planning paradigm introduced in a previous authors' work [\[21](#page-23-21)], by which reusable I/O blocks, called macroinstructions, are defined to set kino-dynamic constraints. Subsequently, these macro-instructions can be combined with each other to obtain the final trajectory. In [[21\]](#page-23-21), a framework based on this paradigm is



<span id="page-14-0"></span>**Fig. 13.** Pipeline of the motion profile computation.

described, where each piece of trajectory is defined by a 1-D timeparametrized B-spline, and modified with object-oriented approach using computer-aided design techniques, such as scaling, translation, splitting and concatenation. The main objects class used in the framework is Trajectory1D, whose instances define pieces of trajectory by storing the B-spline parameters, which can be obtained through the public attributes  $t[0..(m + p + 1)], c[0..m]$  and d, for knots, control points and degree, respectively. Another class, useful to store kinematic constraints, is Point1D, which allows the storage of a value associated with a time instant for a specific derivation order. Even for instances of this class, it is possible to obtain these parameters via public attributes, namely  $val$ ,  $t$  and  $ord$ . This framework allows the trajectory designer to easily test different solutions before determining the most effective approach for the specific application, and is suitable both a joint space (or coordinate-based) and Cartesian space (or path-based) motion planning approach. In this section, to add time information to the previously defined geometric path, a motion profile planner using this framework is proposed. In the remainder of this section, some notations from the previous work are adopted; in this regard, please refer to [[21\]](#page-23-21).

Let  $s(t)$  be a 1-D time-parametrized spline of degree  $d$ , defined by ([1](#page-2-2)), with unknown control points  $\mathbf{k} = (k_i)_{i=0}^m$  and knots  $\mathbf{t} = (t_i)_{i=0}^{m+d+1}$ . Furthermore,  $s(t)$  is strictly increasing in the domain range  $[t_0, t_{m+d+1}]$ , then:

$$
s(t_b) > s(t_a) \quad \forall t_b > t_a, \quad \text{with: } t_b, t_a \in [t_0, t_{m+d+1}]
$$
 (156)

Let position, velocity, acceleration and jerk of the axes be defined by a 7-D vector function  $p, v, a, j : \mathbb{R} \to \mathbb{R}^7$  in the time variable t. Adopting  $s(t)$  as the motion profile along the geometric path described in Section [3.3.2,](#page-8-3) it follows:

$$
\boldsymbol{p}(t) = {\boldsymbol{p}_i(t)} = (\boldsymbol{\bar{r}} \circ \boldsymbol{s})(t) \tag{157}
$$

 $v(t) = {v<sub>i</sub>(t)} = \dot{s}(t)(D\bar{r}\circ s)(t)$  (158)

 $a(t) = {a_i(t)} = \ddot{s}(t)(D\ddot{r}\circ s)(t) + \dot{s}^2(t)(D^{(2)}\ddot{r}\circ s)(t)$  (159)

$$
\boldsymbol{j}(t) = \{ \boldsymbol{j}_i(t) \} = \ddot{\boldsymbol{s}}(t) (D\boldsymbol{\bar{r}} \circ \boldsymbol{s})(t) + 3\dot{\boldsymbol{s}}(t)\ddot{\boldsymbol{s}}(t) (D^{(2)}\boldsymbol{\bar{r}} \circ \boldsymbol{s})(t) +
$$

$$
\dot{s}^3(t)(D^{(3)}\bar{r}\circ s)(t) \tag{160}
$$

# **Algorithm 2** Symmetric motion profile computation **Input:**

- <span id="page-14-3"></span>1. Geometric path described from 7-D spline  $\bar{r}(\tau)$  in domain [0*, s<sub>max</sub>*].
- 2. Kinematic limits  $VC_i$  and  $AC_i$ , with  $i = 0, ..., 6$ .
- 3. Input jerk Trajectory1D object  $J_{in}$ .
- 4. Number of samples N.
- 5. Tolerance Tol.
- 6. Initial incremental step  $\varepsilon$  and step ratio  $\phi$ .

#### **Output:**

1. Trajectory1D object  $S$ .

# **Algorithm:**

<span id="page-14-5"></span>1:  $J_i$  ← Copy of  $J_{in}$ 2:  $A \leftarrow A_{min} := |J_l \cdot t[0] - J_l \cdot t[-1]|$ 3: Mirror  $J_i$  and set the new instance  $J_i$ . 4: Initialize a null Trajectory1D instance  $J_c$ . 5: **repeat** 6: **repeat**<br>7:  $A \leftarrow$ 7:  $A \leftarrow A + \varepsilon$ <br>8: Adapt *J*, i 8: Adapt  $J_i$  in the new domain [0..*A*]. 9: Compute  $D^{(-2)}J_i$  and evaluate it in A by setting  $V^{peak}$ . 10: Compute  $D^{(-3)} J_i$  and evaluate it in *A* by setting *l*.<br>11: Set *J*, period value: Set  $J_c$  period value:  $A_c \leftarrow (s_{max} - 2l)/V^{peak}$  (155) 12: **if**  $A_c < 0$  **then**<br>13: **initialize** an 13: Initialize an empty Point1D object P.<br>14:  $P.val \leftarrow s$  /2:  $P. ord \leftarrow 0$ :  $P.t \leftarrow s$ 14:  $P.val \leftarrow s_{max}/2$ ;  $P.ord \leftarrow 0$ ;  $P.t \leftarrow A$ <br>15:  $J_i \leftarrow \text{setValueEn}(J_i, P)$ . 15:  $J_l \leftarrow \text{setValueEn}(J_l, P).$ <br>16: Mirror J, and save the , ). *⊳* Refer to [[21\]](#page-23-21) 16: Mirror  $J_i$  and save the new instance  $J_i$ . 17: Concatenate  $J_i$ ,  $J_r$ , and set  $J$ .<br>18: Compute  $D^{(-3)}J$  and set  $S$ . 19: **if** checkLimitsOnAxes( $\bar{r}(\tau), S, \{VC_i\}, \{AC_i\}, N$ ) then 20: **break** 21: **end if** 22: **return**  $S \leftarrow D^{(-3)}J$ <br>23: **end** if end if 24: Adapt  $J_r$  in the new domain [0..*A*]. 25: Adapt  $J_c$  in the new domain  $[0..A_c]$ . 26: Concatenate  $J_l$ ,  $J_c$ ,  $J_r$ , and set  $J$ .<br>27: Compute  $D^{(-3)}J$  and set  $S$ 28: **until** checkLimitsOnAxes( $\bar{r}(\tau)$ , *S*, {*VC<sub>i</sub>*}, {*AC<sub>i</sub>*}, N) 29:  $A \leftarrow A - \varepsilon$ ;  $\varepsilon \leftarrow \varepsilon \cdot \phi$ 

30: **until** checkLimitsOnAxesWithTol( $\bar{r}(\tau)$ , S, {VC<sub>i</sub>}, {AC<sub>i</sub>}, N, Tol) 31: **return**

Defining  $VC_i$  and  $AC_i$ ,  $i = 0, ..., 6$ , as the maximum velocity and acceleration limits of the *i*th-axis, the problem of planning the timeoptimal motion profile can be defined in the unknown vectors  $t$  and  $k$ as follows:

*Constraints:*

<span id="page-14-1"></span>
$$
s(t_0) = 0, \quad s(t_{m+d+1}) = s_{max} \tag{161}
$$

$$
\dot{s}(t_0) = 0, \quad \dot{s}(t_{m+d+1}) = 0 \tag{162}
$$

$$
\ddot{s}(t_0) = 0, \quad \ddot{s}(t_{m+d+1}) = 0 \tag{163}
$$

<span id="page-14-9"></span><span id="page-14-2"></span>
$$
\max_{t \in [t_0, t_{m+d+1}]} \left| v_i(t) \right| \le VC_i, \quad \text{for } i = 0, ..., 6
$$
\n(164)

$$
\max_{t \in [t_0, t_{m+d+1}]} |a_i(t)| \le AC_i, \quad \text{for } i = 0, ..., 6
$$
\n(165)

*Cost function:*

<span id="page-14-8"></span>minimize 
$$
T = \sum_{i=0}^{m+d} (t_{i+1} - t_i) = \sum_{i=0}^{m+d} \Delta t_i
$$
 (166)

<span id="page-14-10"></span><span id="page-14-7"></span><span id="page-14-6"></span><span id="page-14-4"></span>[Fig.](#page-14-0) [13](#page-14-0) reports the pipeline of the proposed solution for the motion profile calculation. The strategy adopted first defines a symmetrical motion profile starting from the geometric path  $\bar{r}(\tau)$ , within the kinematic limits from ([161](#page-14-1)) to [\(165\)](#page-14-2), using Algorithm [2.](#page-14-3) With reference to [Fig.](#page-16-1) [14,](#page-16-1) the algorithm is parametrized on a Trajectory1D instance  $J_{in}$ that defines a positive acceleration impulse in the jerk order. In this

# <span id="page-15-1"></span>**Algorithm 3** Asymmetric motion profile computation **Input:**

- - 1. Geometric path described from 7-D spline  $\bar{r}(\tau)$  in domain [0,  $s_{max}$ ].
	- 2. Kinematic limits  $VC_i$  and  $AC_i$ , with  $i = 0, ..., 6$ , and  $J^{peak}$ .
	- 3. Trajectory1D instance  $S$  from Algorithm [2.](#page-14-3)
	- 4. Input jerk Trajectory1D object  $J_{in}$ .
	- 5. Period  $A$  determined by Algorithm [2.](#page-14-3)
	- 6. Number of samples N.
	- 7. Tolerance Tol.
	- 8. Initial incremental step  $\varepsilon$  and step ratio  $\phi$ .

#### **Output:**

1. Trajectory1D object  $S$ .

# **Algorithm:**



application,  $J_{in}$  is defined by a cubic spline with the following knots and control points:

knots: (0, 0.25 $A_{min}$ , 0.5 $A_{min}$ , 0.75 $A_{min}$ ,  $A_{min}$ ) (167)

control points:

$$
(0, J^{peak}, J^{peak}, J^{peak}, -J^{peak}, -J^{peak}, 0) \tag{168}
$$

with  $A_{min}$  the initial period of the acceleration section, and  $J^{peak}$  the upper limit imposed in absolute value on  $\ddot{s}(t)$ . As will be clarified in the remainder of this section, the control points defined by ([168](#page-15-0)) limit the codomain of the function [\(160\)](#page-14-4), in other words:

$$
\exists k > 0 : |j_i(t)| \le k \quad \forall t \in [t_0, t_{m+d+1}], \quad \text{for } i = 0, ..., 6 \tag{169}
$$

Algorithm [2](#page-14-3) divides the motion profile into three sections; acceleration, constant velocity, and deceleration. In the jerk order these sections are referred to the Trajectory1D instances  $J_l$ ,  $J_c$ , and  $J_r$ . The  $J_l$  instance is obtained in the first iteration as a copy of  $J_{in}$ . The main mechanism of the algorithm consists in iteratively enlarging  $J_l$ , of period A, by a parameter  $\varepsilon$ . The motion profile is computed for each iteration concatenating  $J_l$  with a null Trajectory1D instance  $J_c$  and a third Trajectory1D instance  $J_r$ , obtained by mirroring  $J_l$ . The period of  $J_c$ , called  $A_c$ , is calculated for each iteration to set condition [\(161\)](#page-14-1) using the relation ([155](#page-14-5)). The overall period of the motion profile is therefore equal to  $T = 2A + A_c$ , and this becomes shorter of  $\Delta T$  during the iterations. The algorithm terminates when one, or more, of the limits  $VC<sub>i</sub>$  and  $AC<sub>i</sub>$  are exceeded by the respective axes within a tolerance Tol. The function checkLimitsOnAxes is used to detect exceeding of the limits. Firstly it uses a brute-force method that finds the time gridpoint at which the greatest absolute value of the functions ([158](#page-14-6)) and [\(159\)](#page-14-7) occurs. In this application, an equispaced time grid of N samples is adopted. Once the point on the time grid is selected, the search of the maximum is improved by applying a local method based on the Golden Section search [[42\]](#page-23-39) in the range defined by the previous and the next value of the grid. The number of samples N must be high enough to ensure that, in the range where the local search method is applied, the function is unimodal. A variant of this function, called checkLimitsOnAxesWithTol, detects if these limits are set to less than a tolerance Tol. This approach allows a variable iteration step  $\varepsilon$  to be used, which leads to acceptable computational performance. When some of the limits  $VC_i$  and  $AC_i$  are exceeded, if the most restrictive one falls outside the set tolerance, the algorithm returns to the previous step and restarts the iteration scaling the incremental step  $\varepsilon$  of the step ratio  $\phi$ . If none of the kinematic limits are exceeded during the iterations, the period  $A_c$  collapses to zero and the constraint ([161\)](#page-14-1) is set with the macro-instruction setValueEn (please refer to [\[21](#page-23-21)]). If the motion profile resulting from the concatenation of  $J_l$  with  $J_r$  exceeds the kinematic axes limits, the iteration is repeated for an iteration step value  $\varepsilon$  scaled by  $\phi$ . If  $A_c = 0$ , no further optimization is possible unless the input parameters of the algorithm are changed, so the calculated motion profile enters in the velocity override setting block. Otherwise, if  $A_c \neq 0$ , an optimization can be applied to further reduce the overall period  $T$ . In this case, the pipeline of [Fig.](#page-14-0) [13](#page-14-0) involves post-processing the previously calculated motion profile.

<span id="page-15-0"></span>It is common knowledge that a symmetrical motion profile has the peak velocity value  $V^{peak}$  strongly constrained by the maximum curvature of the geometric path. In this application case, it is distinctly evident (but not limited to) in cases where the geometric path is missing the rise or fall section, such as that shown in [Fig.](#page-17-0) [15b](#page-17-0). In these geometric paths, Algorithm [2](#page-14-3) generally terminates due to exceeding an axis kinematic limit at the transition segment, while it may accelerate more in the  $XY$  linear section, resulting in a further reduction of the overall period  $T$ . Consequently, it is reasonable to deduce that an asymmetrical motion profile can provide significant advantages in terms of the objective function ([166](#page-14-8)). For this reason, the authors propose a post-processing of the symmetrical motion profile computed with Algorithm [2,](#page-14-3) which consists of further enlarging the acceleration or deceleration section on the side where the kinematic limits allow it. The pseudo-code of this routine is reported in Algorithm [3.](#page-15-1) [Fig.](#page-17-0) [15](#page-17-0)a shows an example where Trajectory1D instance  $J_i$ , of period  $A_i$ , is enlarged until the *X*-axis exceeds the limit  $AC_0$ , while  $J_r$  remains unchanged. This procedure defines a two-level velocity profile, and an appropriate junction to bridge the gap  $\Delta V$  must replace the null Trajectory1D instance  $J_c$ . With reference to Algorithm [3,](#page-15-1) this velocity junction can easily be defined from the same input  $J_{in}$  used by the Algorithm [2](#page-14-3). Let  $A_i$  and  $A_r$  be the periods of acceleration and deceleration of  $S$ , which defines the motion profile of overall period  $T$  at the generic iteration. Using a slicing operation, select the two sections of  $S$  corresponding to the domain  $[0..A_l]$  and  $[A_r - T..T]$ , and call the resulting Trajectory1D instances  $S_l$  and  $S_r$ . In order to define the velocity junction describing the central section,  $J_c$  is first defined as a copy of  $J_{in}$ , then corrected in sign according to the sign of  $\Delta V$ . The gap constraint  $\Delta V$  is set in the jerk order through setValueEn macro-instruction. Following,  $J_c$ 



<span id="page-16-1"></span>**Fig. 14.** Schematic representation of the symmetric motion profile generation algorithm reported in Algorithm [2](#page-14-3). (a) Motion profile and input jerk Trajectory1D instance. (b) Geometric path  $\bar{r}(\tau)$  of reference. (c) Joint trajectories obtained with [\(158\)](#page-14-6) and ([159](#page-14-7)). The algorithm is terminated for reaching the acceleration limit  $AC_0$  on X-axis.

is integrated twice obtaining  $V_c$ , which is translated vertically with setValueTr macro-instruction (please refer to  $[21]$  $[21]$ ) to ensure velocity continuity in the concatenation with the acceleration and deceleration sections. Finally  $V_c$  is enlarged to set the constraint of  $\Delta S$ , again using setValueEn macro-instruction. Iteration terminate when at least one of the limits  $AC_i$  or  $VC_i$  is exceeded within the tolerance Tol, or if the maximum amplitude  $J_c^{peak}$  of  $J_c$  reaches the limit  $J^{peak}$  within the same tolerance.

# **5. Case study**

<span id="page-16-0"></span>This section presents a use case of the proposed motion planning solution for a classic nest sorting task. [Fig.](#page-17-1) [16](#page-17-1) shows the 2-D layout of the machine utilized in the test, delineating loading and unloading areas, working area, and picking positions in the  $XY$ -plane, with reference to the absolute coordinate system. [Fig.](#page-17-1) [16](#page-17-1) also illustrates the rectangular bounding boxes of the parts in the nest, the stacks' position in the unloading area, and the sequence in which the parts are loaded and unloaded by the end-effectors. If the same loading or sorting numbers are assigned to multiple parts or stacks, this identifies parallel loading or unloading using both arms. The process of sorting the nest is resolved in eight point-to-point movements; four loading tasks and four unloading tasks. In conclusion, an additional task returns the system to its initial configuration, amounting to a total of nine movements. The starting positions, coinciding with the target points of the previous movement, are reported in [Table](#page-17-2) [2](#page-17-2). Regarding the dimensions of the parts involved in the sorting operation, [Table](#page-17-3) [3](#page-17-3) details the rectangular bounding boxes in the form of  $XY$  vertex coordinates with respect to the picking point. The polygons used in the frame sampling analysis are subsequently defined as reported in Section [3.3.3](#page-10-1) by adopting an edge length of the head octagon of  $l_e = 0.0746$  m and an outer offset of  $\varepsilon = 0.025$  m. The transmission ratios' vector  $K_r$ , necessary for the geometric path parametrization, can be found in [Table](#page-18-0) [4.](#page-18-0) According with the proposed approach, the parameters that still must be defined to uniquely determine the geometric paths of the end-effectors are:  $z_{sf} = 1.13$  m,  $z_{tr} = 2$  m,  $L_{T,min} = 0.225$  m,  $A_{ch,min} = 0.05$  m.

The geometric paths computed using the approach detailed in Section [3](#page-2-0) are shown in [Fig.](#page-18-1) [17.](#page-18-1) In the first movement the start heights of the two heads are equal to the travel height  $z_{tr}$ , so the master path  $r_{\phi}(\tau)$ is missing the rise section by defining a three-segment trajectory (case 4 in [Fig.](#page-10-0) [8](#page-10-0)). A similar case is the movement 9, where the target height of the two heads coincides with  $z_{tr}$ , and the master path is missing the fall section (case 8 in [Fig.](#page-10-0) [8](#page-10-0)). In movements 1, 3, 5, and 6, end-effectors execute the parts loading, and as previously discussed, no deviation from the geometric paths calculated in accordance with Section [3.3.2](#page-8-3) is required. In particular, movement 6 performs the loading of part 5 with head 1, and is executed as a rectangular trajectory due to a transition length  $L_T$ , calculated with ([67](#page-6-3)), lower than the threshold  $L_{T,min}$ . The



<span id="page-17-0"></span>Fig. 15. Schematic representation of the asymmetrical motion profile generation algorithm reported in Algorithm [3.](#page-15-1) (a) Asymmetrical motion profile compared with the symmetrical solution computed with Algorithm [2.](#page-14-3) (b) Geometric path  $\bar{r}(\tau)$  of reference. (c) Joint trajectories obtained with ([158\)](#page-14-6) and [\(159](#page-14-7)).



<span id="page-17-1"></span>**Fig. 16.** Working area 2-D layout referred to the case study. All dimensions are expressed in metres.

master path is therefore separated into three sub-paths, corresponding to cases 15, 14, and 16 in [Fig.](#page-10-0) [8](#page-10-0). Different is movement 8, where  $L_T$  is slightly greater than  $L_{T,min}$ , and the master path is defined according to case 10 in [Fig.](#page-10-0) [8](#page-10-0). From the path planning point of view, the most interesting movements are 2, 4 and 7, which perform the sorting of loaded parts. As described in [Table](#page-17-2) [2](#page-17-2), each of these movements performs a

<span id="page-17-2"></span>



Table	
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<span id="page-17-3"></span>Vertices coordinates of the parts bounding boxes relative to the picking position. All dimensions are expressed in metres





Fig. 17. End-effector geometric paths for the nine movement of the case study.

#### <span id="page-18-1"></span><span id="page-18-0"></span>**Table 4** Transmission ratios and axes limits.



### **Table 5**

<span id="page-18-2"></span>Parameters of the nine computed movements. Movements 2, 4, and 7 reports the number of frames for all step of the anti-collision sequences. Movements 6 reports the number of knots for all sub-paths.

Mov.	Num. of frames	$L_T(m)$	$s_{max}$ (rad)	Num. of knots	T(s)
1	205	0.87	537.3	15	4.17
2	252/263/263	0.87	806.9	42	4.95
3	163	0.87	663.5	21	4.86
4	252/263	0.87	785.1	30	4.94
5	170	0.87	704.2	21	5.00
6	28	0.2	326.0	$3 \times 12$	6.62
7	238/236/242	0.87	831.5	46	4.97
8	42	0.25	516.9	21	5.08
9	39	0.292	296.1	18	3.95

rotation of one of the parts involved in the task. Consequently, it may be necessary to compute and apply deviation curves to the  $Y$ -components of both end-effectors' paths in order to avoid self-collision between the robotic arms. The frame sampling analysis and the anti-collision sequences, followed by the overlap and overrun spline computation, are presented in [Table](#page-20-0) [6](#page-20-0). For each movement, an outer offset of  $\varepsilon = 0.025$  m is used to expand the bounding boxes of group 1 and 2. The number of frames and other computed parameters for each movement are shown in [Table](#page-18-2) [5.](#page-18-2)

In movement 2, the bounding box of the group 1 exceeds the working area during the rototranslation. According to the pipeline of [Fig.](#page-13-1) [12](#page-13-1), this movement falls into case 2 because the frame sampling analysis returns  $\kappa \neq 0$ . The bottom overrun spline  $\sigma(\tau)$  is computed with the method detailed in Section  $3$ , and summed to the Y-axis of  $r_{H1}(\tau)$  using [\(146](#page-12-5)). After that, the frame sampling is updated, and the overlap spline  $\sigma(\tau)$  is computed and summed to the *Y*-axis of  $r_{H2}(\tau)$ using ([147](#page-12-6)). In movement 4, the rotation of head 1 leads to  $\kappa \neq 0$ , which corresponds to case 3 in the pipeline reported in [Fig.](#page-13-1) [12.](#page-13-1) The overlap spline  $\sigma(\tau)$  is then computed and summed to the *Y*-axes of  $\bar{r}(\tau)$ using [\(146\)](#page-12-5) and ([147](#page-12-6)) using weights  $w_1 = w_2 = 0.5$ . Similarly to the 2nd movement, movement 7 also falls in case 2 of the pipeline of [Fig.](#page-13-1) [12](#page-13-1), with the difference that it is the bounding box of group 2 that exceeds



<span id="page-18-3"></span>**Fig. 18.** Motion profile of the sorting sequence under study. Between each movement, a pause of 1 s is inserted. A 100% velocity override is used.

the working area, resulting in  $\bar{\kappa} \neq 0$ . The top overrun spline  $\bar{\sigma}(\tau)$  is computed and summed to the *Y*-axis of  $r_{H2}(\tau)$  using ([147](#page-12-6)). Hereafter, the frame sampling is updated, and the overlap spline  $\sigma(\tau)$  is computed and summed to the *Y*-axis of  $r_{H1}(\tau)$  using ([146](#page-12-5)).

The motion profile computed for the complete paths sequence using the pipeline reported in Section [4](#page-13-0) is shown in [Fig.](#page-18-3) [18.](#page-18-3) A pause of 1 s is inserted between successive movements. The axis limits adopted to define the constraints [\(164\)](#page-14-9) and [\(165\)](#page-14-2) can be found in [Table](#page-18-0) [4.](#page-18-0) The following parameters are used for both Algorithm [2](#page-14-3) and [3:](#page-15-1)  $J^{peak}$  = 400 rad/s<sup>3</sup>, number of samples N = 150, Tol=  $10^{-9}$ , initial incremental step  $\epsilon = 0.1$ , step ratio  $\phi = 0.1$ . [Table](#page-18-2) [5](#page-18-2) details the periods T for each movement, corresponding to the cost function [\(166\)](#page-14-8). In [Fig.](#page-19-1) [19](#page-19-1) the joint trajectories computed with [\(157\)](#page-14-10), [\(158\)](#page-14-6) and ([159](#page-14-7)) are reported, highlighting the axis limits that terminate the algorithms of the pipeline of [Fig.](#page-14-0) [13.](#page-14-0) Starting to analyse the results obtained from movement 1, the motion profile computation terminates due to the condition  $J_c^{peak}$  =  $J<sup>peak</sup>$  in Algorithm [3,](#page-15-1) while Algorithm [2](#page-14-3) has previously set the acceleration limit on the  $X$ -axis at the transition segment. In Movements 2, 3, 4, 5, and 7, the acceleration limit on the  $X$ -axis is reached in



<span id="page-19-1"></span>[Fig.](#page-14-0) 19. Joint trajectory of the movement sequence of the case study. The red square markers are the axis limits that terminate the algorithms of the pipeline in Fig. [13](#page-14-0). Between each movement, a pause of 1 s is inserted. A 100% velocity override is used.

both transition segments. The motion profile is asymmetrical due to the different height of the loading and unloading positions. In particular, Algorithm [2](#page-14-3) terminates at the transition segment corresponding to the loading zone, while Algorithm [3](#page-15-1) allows a higher velocity on the unloading side. In the three geometric paths that constitute movement 6, the calculation of the motion profile terminates after execution of Algorithm [2](#page-14-3) for condition  $T = 2A$ , resulting in symmetrical profiles where a constant velocity section is missing. In this case, it is generally possible to increase the parameter  $J^{peak}$  in  $Z$ -axis direction, without compromising the machine's operation. For example, in the real application documented in the attachment Video1.mp4,  $J^{peak} = 2000 \text{ rad/s}^3$ is used. The motion profile computation of the movement 8 returns a symmetrical profile where the acceleration limit along the  $Y$ -axis is set twice in the middle section of the path, consisting of the two transition segments joined together. In the end, movement 9 also results in a symmetrical motion profile, limited by the velocity limit along the  $W$ -axis.

In order to verify the effectiveness of the proposed approach, the movements sequence is tested first in a simulated environment and then using a test machine in the real world. In this regard, please refer to Video1.mp4 and Video2.mp4 in the supplementary material. [Fig.](#page-19-2) [20](#page-19-2) shows the geometric paths trails in the simulated environment, with the end-effectors in target position for each movement.

[Fig.](#page-19-3) [21](#page-19-3) reports the resulting trails of the vertex positions of the parts bounding boxes, corresponding to the movements 2, 4, and 7. Finally, [Fig.](#page-21-1) [22](#page-21-1) shows the photos of the test machine in the start positions for each movement of the sequence.

The real-time control strategy adopted in the real application is strongly influenced by the hardware configuration installed on the test machine, which uses Mitsubishi Electric®motors and drives that communicate, through CANopen®protocol, with the programmable logic controller (PLC). The control-chain is based on the cyclic synchronous position (CSP) mode described in CiA®402 (IEC 61800-7), implementing the classic position, velocity and torque controllers cascaded for each axis. In the test, the axes are controlled by position feedback and torque feedforward using a B-spline set-point generator and a cycle times of 2 ms.

# **6. Conclusions**

<span id="page-19-0"></span>This paper proposes a new path-based motion planning approach for 7-d.o.f. dual-arm Cartesian robots, which ensures the  $C^2$ -continuity



<span id="page-19-2"></span>**Fig. 20.** The process of sorting of the nest under study in the simulated environment. Sub-pictures 1 to 9 represent the target position and the end-effectors trails.



<span id="page-19-3"></span>**Fig. 21.** Vertex position trails of part bounding boxes. Sub-pictures 1 to 3 correspond to movements 2, 4, and 7.

of axis trajectories within the velocity and acceleration constraints. The research specifically aims to enhance the motion of a sorting system for laser machine (LST), characterizing this work in the field of pick-andplace application. The geometric path is described by a 7-D spline in its B-form, defining the two end-effectors paths through a classical fivesegment planar pick-end-place trajectories, where the adjacent straight lines are blended by quintic Bézier curves with minimized curvature.

# **Table 6**

Results of the three movements in which the collision avoidance algorithm detailed in Section [3.3.5](#page-12-8) is performed. In frame sampling analysis, green for the bounding box of group 1, blue for group 2. For each movement, an outer offset of  $\varepsilon = 0.025$  m is used to expand the bounding

<span id="page-20-0"></span>

<sup>a</sup> For illustrative purposes, only one out of five of the calculated frames is shown in the figure.

<sup>b</sup> Performance evaluated on Intel® Core™ i7-11800H CPU, 2.30 GHz.

The problem of self-collision involving the loaded parts during rotation is addressed through bounding box sampling and the subsequent resolution of a quadratic programming (QP) problem. This process yields deviation splines that, when summed to the initially computed path, ensure a collision-free path with minimal curvature. The motion profile is defined using an IPTP-based, time-optimal algorithm, represented by a 1-D time-parametrized spline. The problem of maximum geometric path curvature is partially addressed through asymmetrical motion profile planning, which defines two velocity levels. The efficacy of the proposed method is validated through a simulation and experiments. The proposed approach significantly reduces the point-to-point motion time compared to solutions traditionally adopted in the specific

industrial field. The proposed solution allows easy expansion of the kinematics to a dual-gantry configuration, thereby considering a total of four end-effectors sharing the same workspace. In the particular industrial field, this solution is intriguing since it permits the rototranslation of parts loaded simultaneously by two end-effectors (due to high weight or deflection of the parts) and the translation of parts by four arms. The authors presume that an accurate study of the kino-dynamic limits that considers the constraints introduced by the gripping tool can provide the basis for further performance improvements. Future research may extend this work to other applications by exploring collision-free path planning in 3-D space and extending the system to a dual-gantry configuration, as well as evaluating the benefits of adopting a corner smoothing algorithm that allows for  $C^3$ -continuity of axis trajectories.



**Fig. 22.** The process of sorting of the nest under study in the real world. Sub-pictures 1 to 9 represent the starting position of the point-to-point movements, according to [Table](#page-17-2) [2](#page-17-2).

# <span id="page-21-1"></span>**CRediT authorship contribution statement**

**Marco Riboli:** Conceptualization, Methodology, Software, Data curation, Validation, Writing – original draft, Writing – review & editing. **Matthieu Jaccard:** Writing – original draft, Software, Validation. **Marco Silvestri:** Conceptualization, Project administration. **Alessandra Aimi:** Formal analysis, Supervision. **Cesare Malara:** Project administration.

# **Declaration of competing interest**

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Marco Silvestri reports financial support was provided by Innosuisse - Swiss Innovation Agency (funding application no. 57715.1 IP-ICT). Cesare Malara reports a relationship with Astes4 SA that includes: employment.

# **Data availability**

No data was used for the research described in the article.

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# **Appendix A. Definition of cost function coefficients**

<span id="page-21-0"></span>In the following, the cost function matrix  $P$  of Eq. ([18\)](#page-3-9) is derived. From ([10\)](#page-3-10):

$$
\Delta_2 b_i = \frac{b_{i+1} - b_i}{u_{i+1}^* - u_i^*} - \frac{b_i - b_{i-1}}{u_i^* - u_{i-1}^*} \n= \frac{1}{\Delta u_{i+1}^* \Delta u_i^*} \left[ (b_{i+1} - b_i) \Delta u_i^* - (b_i - b_{i-1}) \Delta u_{i+1}^* \right] \n= \frac{1}{K_i} \left[ b_{i+1} \Delta u_i^* - b_i (\Delta u_i^* + \Delta u_{i+1}^*) + b_{i-1} \Delta u_{i+1}^* \right]
$$
\n(170)

with:

 $\Delta u_i^* = u_i^* - u_i^*$  $_{i-1}^{*} > 0$  (171)

$$
K_i = \Delta u_{i+1}^* \Delta u_i^* > 0 \tag{172}
$$

It follow that:

$$
(\Delta_2 b_i)^2 = \frac{1}{K_i^2} \left[ b_{i+1}^2 \Delta u_i^{*2} + b_i^2 (\Delta u_i^* + \Delta u_{i+1}^*)^2 + \right.
$$

<span id="page-21-2"></span>
$$
b_{i-1}^{2} \Delta u_{i+1}^{*2} - 2b_{i+1} b_{i} \Delta u_{i}^{*} (\Delta u_{i}^{*} + \Delta u_{i+1}^{*}) -
$$
  
\n
$$
2b_{i} b_{i-1} (\Delta u_{i}^{*} + \Delta u_{i+1}^{*}) \Delta u_{i+1}^{*} + 2b_{i+1} b_{i-1} \Delta u_{i}^{*} \Delta u_{i+1}^{*}
$$
  
\n
$$
= g(b_{i-1}, b_{i}, b_{i+1})
$$
\n(173)

 $\forall b_i$  with  $i = 2, \dots, m - 2$ :

$$
\frac{\delta f(b)}{\delta b_i} = \frac{\delta(\Delta_2 b_1)^2}{\delta b_i} + \dots + \underbrace{\frac{\delta(\Delta_2 b_{i-1})^2}{\delta b_i} + \frac{\delta(\Delta_2 b_i)^2}{\delta b_i} + \frac{\delta(\Delta_2 b_i)^2}{\delta b_i} + \frac{\delta(\Delta_2 b_{i+1})^2}{\delta b_i}}_{\text{For (173) only these component are not null}} \tag{174}
$$

*1 st component:*

 $\lambda$ 

<span id="page-21-7"></span>
$$
\frac{(A_2b_{i-1})^2}{\delta b_i} = \frac{\delta}{\delta b_i} \left[ \frac{1}{K_{i-1}^2} \left[ b_i^2 A u_{i-1}^{*2} + b_{i-1}^2 (A u_{i-1}^{*} + A u_i^{*})^2 \right. \right. \\ \left. + b_{i-2}^2 A u_i^{*2} - 2 b_i b_{i-1} A u_{i-1}^{*} (A u_{i-1}^{*} + A u_i^{*}) - 2 b_{i-1} b_{i-2} (A u_{i-1}^{*} + A u_i^{*}) A u_i^{*} + 2 b_i b_{i-2} A u_{i-1}^{*} A u_i^{*} \right] \right] \\ = \frac{2}{K_{i-1}^2} \left[ b_i A u_{i-1}^{*2} - b_{i-1} A u_{i-1}^{*} (A u_{i-1}^{*} + A u_i^{*}) + b_{i-2} A u_{i-1}^{*} A u_i^{*} \right] \tag{175}
$$

*2 nd component:*

<span id="page-21-3"></span>
$$
\frac{\delta (a_2 b_i)^2}{\delta b_i} = \frac{\delta}{\delta b_i} \left[ \frac{1}{K_i^2} \left[ b_{i+1}^2 \Delta u_i^{*2} + b_i^2 (\Delta u_i^* + \Delta u_{i+1}^*)^2 + \right. \right. \\
\left. b_{i-1}^2 \Delta u_{i+1}^{*2} - 2 b_{i+1} b_i \Delta u_i^* (\Delta u_i^* + \Delta u_{i+1}^*) - \right. \\
\left. 2 b_i b_{i-1} (\Delta u_i^* + \Delta u_{i+1}^*) \Delta u_{i+1}^* + 2 b_{i+1} b_{i-1} \Delta u_i^* \Delta u_{i+1}^* \right] \right] \\
= \frac{2}{K_i^2} \left[ b_i (\Delta u_i^* + \Delta u_{i+1}^*)^2 - b_{i+1} \Delta u_i^* (\Delta u_i^* + \Delta u_{i+1}^*) - b_{i-1} (\Delta u_i^* + \Delta u_{i+1}^*) \Delta u_{i+1}^* \right] \tag{176}
$$

*3 rd component:*

<span id="page-21-4"></span>
$$
\frac{\delta (4_2 b_{i+1})^2}{\delta b_i} = \frac{\delta}{\delta b_i} \left[ \frac{1}{K_{i+1}^2} \left[ b_{i+2}^2 \Delta u_{i+1}^{*2} + b_{i+1}^2 (\Delta u_{i+1}^* + \Delta u_{i+2}^*)^2 \right. \right. \\ \left. + b_i^2 \Delta u_{i+2}^{*2} - 2b_{i+2} b_{i+1} \Delta u_{i+1}^* (\Delta u_{i+1}^* + \Delta u_{i+2}^*) - \right. \\ \left. 2b_{i+1} b_i (\Delta u_{i+1}^* + \Delta u_{i+2}^*) \Delta u_{i+2}^* + 2b_{i+2} b_i \Delta u_{i+1}^* \Delta u_{i+2}^* \right] \right] \\ = \frac{2}{K_{i+1}^2} \left[ b_i \Delta u_{i+2}^{*2} - b_{i+1} (\Delta u_{i+1}^* + \Delta u_{i+2}^*) \Delta u_{i+2}^* \right. \\ \left. + b_{i+2} \Delta u_{i+1}^* \Delta u_{i+2}^* \right] \tag{177}
$$

Summing the three components [\(175\)](#page-21-3), ([176](#page-21-4)) and ([177](#page-21-5)):

<span id="page-21-5"></span>
$$
\frac{\delta f(b)}{\delta b_i} = \frac{2b_i 4u_{i-1}^{*2}}{K_{i-1}^2} - \frac{2b_{i-1} 4u_{i-1}^{*} (4u_{i-1}^{*} + 4u_{i}^{*})}{K_{i-1}^2} + \frac{2b_{i-2} 4u_{i-1}^{*} 4u_{i}^{*}}{K_{i-1}^2} \n+ \frac{2b_i (4u_i^{*} + 4u_{i+1}^{*})^2}{K_i^2} - \frac{2b_{i+1} 4u_i^{*} (4u_i^{*} + 4u_{i+1}^{*})}{K_i^2} \n- \frac{2b_{i-1} (4u_i^{*} + 4u_{i+1}^{*}) 4u_{i+1}^{*}}{K_i^2} + \frac{2b_i 4u_{i+1}^{*2}}{K_{i+1}^2} \n- \frac{2b_{i+1} (4u_{i+1}^{*} + 4u_{i+2}^{*}) 4u_{i+2}^{*}}{K_{i+1}^2} + \frac{2b_{i+2} 4u_{i+1}^{*} 4u_{i+2}^{*}}{K_{i+1}^2} \n= 2b_{i-2} A_i - 2b_{i-1} B_i + 2b_i C_i - 2b_{i+1} D_i + 2b_{i+2} E_i
$$
\n(178)

with:

<span id="page-21-6"></span>
$$
A_i = \frac{\Delta u_{i-1}^* \Delta u_i^*}{K_{i-1}^2} > 0
$$
\n(179)

$$
B_{i} = \frac{4u_{i-1}^{*}(4u_{i-1}^{*}+4u_{i}^{*})}{K_{i-1}^{2}} + \frac{(4u_{i}^{*}+4u_{i+1}^{*})4u_{i+1}^{*}}{K_{i}^{2}} > 0
$$
\n(180)

$$
C_{i} = \frac{\Delta u_{i-1}^{*2}}{K_{i-1}^{2}} + \frac{(4u_{i}^{*} + 4u_{i+1}^{*})^{2}}{K_{i}^{2}} + \frac{\Delta u_{i+2}^{*2}}{K_{i+1}^{2}} > 0
$$
\n(181)

$$
D_{i} = \frac{\Delta u_{i}^{*} (\Delta u_{i}^{*} + \Delta u_{i+1}^{*})}{K_{i}^{2}} + \frac{(\Delta u_{i+1}^{*} + \Delta u_{i+2}^{*}) \Delta u_{i+2}^{*}}{K_{i+1}^{2}} > 0
$$
\n(182)

$$
E_i = \frac{\Delta u_{i+1}^* \Delta u_{i+2}^*}{K_{i+1}^2} > 0
$$
\n(183)

from 
$$
(178)
$$
:

$$
\left. \frac{\delta^2 f(b)}{\delta b_i \delta b_j} \right|_{j \notin [i-2, i-1, i, i+1, i+2]} = 0 \tag{184}
$$

$$
\frac{\delta^2 f(\mathbf{b})}{\delta b_i \delta b_{i-2}} = 2A_i, \quad \frac{\delta^2 f(\mathbf{b})}{\delta b_i \delta b_{i-1}} = -2B_i, \quad \frac{\delta^2 f(\mathbf{b})}{\delta b_i \delta b_i} = 2C_i,
$$
\n
$$
\frac{\delta^2 f(\mathbf{b})}{\delta b_i \delta b_{i+1}} = -2D_i, \quad \frac{\delta^2 f(\mathbf{b})}{\delta b_i \delta b_{i+2}} = 2E_i
$$
\n(185)

The special cases  $\delta f(\mathbf{b})/\delta b_1$  and  $\delta f(\mathbf{b})/\delta b_{m-1}$  remain to be defined. From ([174](#page-21-7)) it follows that

$$
\frac{\delta f(b)}{\delta b_1} = -2b_0B_1 + 2b_1C_1 - 2b_2D_1 + 2b_3E_1
$$
\n(186)

with

$$
B_1 = \frac{(4u_1^* + 4u_2^*)4u_2^*}{K_1^2} > 0
$$
\n(187)

$$
C_1 = \frac{(4u_1^* + 4u_2^*)^2}{K_1^2} + \frac{4u_3^*^2}{K_2^2} > 0
$$
\n(188)

$$
D_1 = \frac{\Delta u_1^*(\Delta u_1^* + \Delta u_2^*)}{K_1^2} + \frac{(\Delta u_2^* + \Delta u_3^*)\Delta u_3^*}{K_2^2} > 0
$$
\n(189)

$$
E_1 = \frac{4u_2^* 4u_3^*}{K_2^2} > 0
$$
\n(190)

and

$$
\frac{\delta f(b)}{\delta b_{m-1}} =
$$
\n
$$
2b_{m-3}A_{m-1} - 2b_{m-2}B_{m-1} + 2b_{m-1}C_{m-1} - 2b_mD_{m-1}
$$
\n(191)

with

$$
A_{m-1} = \frac{4u_{m-2}^* 4u_{m-1}^*}{K_{m-2}^2} > 0
$$
\n(192)

$$
B_{m-1} = \frac{\Delta u_{m-2}^*(\Delta u_{m-2}^* + \Delta u_{m-1}^*)}{K_{m-2}^2} + \frac{(\Delta u_{m-1}^* + \Delta u_m^*)\Delta u_m^*}{K_{m-1}^2} > 0
$$
\n(193)

$$
C_{m-1} = \frac{\Delta u_m^{*2}}{K_{m-2}^2} + \frac{(\Delta u_{m-1}^* + \Delta u_m^*)^2}{K_{m-1}^2} > 0
$$
\n(194)

$$
D_{m-1} = \frac{\Delta u_{m-1}^* (\Delta u_m^* - \Delta u_m^*)}{K_{m-1}^2} > 0
$$
\n(195)

In our case,  $b_0 = b_m = 0$ , so the first component of ([186](#page-22-1)) and the last component of [\(191\)](#page-22-2) are null. From ([186](#page-22-1)) and ([191\)](#page-22-2):

$$
\left. \frac{\delta^2 f(b)}{\delta b_1 \delta b_j} \right|_{j>3} = 0, \quad \left. \frac{\delta^2 f(b)}{\delta b_{m-1} \delta b_j} \right|_{j
$$

$$
\frac{\delta^2 f(b)}{\delta^2 b_1} = 2C_1, \quad \frac{\delta^2 f(b)}{\delta b_1 \delta b_2} = -2D_1, \quad \frac{\delta^2 f(b)}{\delta b_i \delta b_3} = 2E_1
$$
\n(197)

$$
\frac{\delta^2 f(b)}{\delta^2 b_{m-1}} = 2C_{m-1}, \quad \frac{\delta^2 f(b)}{\delta b_{m-1} \delta b_{m-2}} = -2B_{m-1},
$$

$$
\frac{\delta^2 f(b)}{\delta b_{m-1} \delta b_{m-3}} = 2A_{m-1}
$$
(198)

The Hessian matrix, defined from  $[\nabla^2 f(\bm{b})]_{ij}$  =  $\delta^2 f(\boldsymbol{b})$ *i*, *j* = 1, …, *m* − 1, thus results in the following band matrix:  $\delta b_j$ with

$$
\nabla^{2} f(\mathbf{b}) = 2 \begin{bmatrix}\nC_{1} & -D_{1} & E_{1} & & & & & 0 \\
-B_{2} & C_{2} & -D_{2} & E_{2} & & & & \\
A_{3} & -B_{3} & C_{3} & -D_{3} & E_{3} & & & \\
& \ddots \\
& \ddots \\
& & A_{m-3} & -B_{m-3} & C_{m-3} & -D_{m-3} & E_{m-3} \\
& & & A_{m-2} & -B_{m-2} & C_{m-2} & -D_{m-2} \\
0 & & & & A_{m-1} & -B_{m-1} & C_{m-1}\n\end{bmatrix}
$$
\n(199)



<span id="page-22-3"></span><span id="page-22-1"></span>**Fig. 23.** Convex polygon of group  $j$  in the frames  $i$  and  $i + 1$ . The expanded polygon in the th frame is also reported. In this case, an intermediate frame must be inserted since vertex  $v_{8,j}^{(i+1)}$  exceeds the expanded polygon.

It follows that the matrix  $P$  of Eq. [\(17](#page-3-11)) is defined by

$$
P = \frac{1}{2}\nabla^2 f(\mathbf{b})\tag{200}
$$

# <span id="page-22-2"></span>**Appendix B. Frame sampling strategy**

<span id="page-22-0"></span>Section [3.3.3](#page-10-1) detailed the calculation of Y-intersections  $\kappa_i$ ,  $\overline{\kappa}_i$  and  $\underline{\kappa}_i$ , relative to a frame *i* corresponding to the *i*th element of a strictly increasing sequence  $\tau = (\tau_i)_{i=0}^{(M)}$ . This section details the methodology used in this work to define the  $\tau$  vector.

With reference to [Fig.](#page-22-3) [23,](#page-22-3) the following definitions are given for frame  $i$  and group  $j$ :

• 
$$
[\tau_i, \tau_{i+1}) := i^{\text{th}}
$$
 span.

- $v_{k,j}^{(i)} = \{v_{k,j,x}^{(i)}, v_{k,j,y}^{(i)}\}$  := *k*th vertex of the convex polygon obtained by Jarvis' algorithm.
- $p_{k,j}^{(i)} = \{p_{k,j,x}^{(i)}, p_{k,j,y}^{(i)}\}$  := *k*th vertex of the polygon, expanded by an outer offset  $\epsilon$ .
- $c_j^{(i)} = \{x_{c,j}^{(i)}, y_{c,j}^{(i)}\}$  := centre head 2-D point.
- $\Delta I_j^{(i)} = {\Delta x_j^{(i)}, \Delta y_j^{(i)}}$  := translation vector in the span *i*.
- $\Delta w_j^{(i)}$  := rotation of the polygon around the centre in the span *i*.

The vertices  $v_{k,j}^{(i+1)}$  relative to the frame  $i+1$  are defined by:

$$
\begin{bmatrix} v_{k,j,x}^{(i+1)} \\ v_{k,j,y}^{(i+1)} \\ 1 \end{bmatrix} = Q_j^{(i)} \begin{bmatrix} v_{k,j,x}^{(i)} \\ v_{k,j,y}^{(i)} \\ v_{k,j,y}^{(i)} \\ 1 \end{bmatrix} \quad \text{with: } k = 1, ..., N_j \tag{201}
$$

with:

$$
Q_j^{(i)} = \begin{bmatrix} C_{\Delta w_j^{(i)}} & -S_{\Delta w_j^{(i)}} & x_{c,j}^{(i)} (1 - C_{\Delta w_j^{(i)}}) + y_{c,j}^{(i)} S_{\Delta w_j^{(i)}} + \Delta x_j^{(i)} \\ S_{\Delta w_j^{(i)}} & C_{\Delta w_j^{(i)}} & -x_{c,j}^{(i)} S_{\Delta w_j^{(i)}} + y_{c,j}^{(i)} (1 - C_{\Delta w_j^{(i)}}) + \Delta y_j^{(i)} \\ 0 & 0 & 1 \end{bmatrix}
$$
(202)

where  $C_{\theta}$  and  $S_{\theta}$  correspond to  $cos(\theta)$  and  $sin(\theta)$ .

The proposed solution for the definition of the  $\tau$  vector can be summarized as follows. Define  $\tau_s$  the initial frames sequence. For each *i*th span of the vector  $\tau_s$  the following condition is checked:

<span id="page-22-4"></span>
$$
\forall j \in \{1, 2\}, \forall k \in \{1, ..., N_j\}, \forall r \in \{1, ..., N_j\},\
$$

$$
\mathcal{F}\left(v_{k,j}^{(i+1)} \middle| p_{r,j}^{(i)}, p_{(r+1) \text{mod}(N_j+1),j}^{(i)}\right) \ge 0\tag{203}
$$

with  $\mathcal{F}({x, y} | {x_1, y_1}, {x_2, y_2})$  direction of the cross product between vectors { $x_2 - x_1, y_2 - y_1$ } and { $x - x_1, y - y_1$ }, defined by sgn(( $x_2 - x_1$ )( $y -$ 

 $y_1$ ) –  $(y_2 - y_1)(x - x_1)$ ). Condition [\(203\)](#page-22-4) checks, for each group, that the vertices of the polygon of the frame  $i + 1$  are all contained within the expanded polygon of the frame  $i$ . This validation is performed by verifying that each of these vertices is to the left of all the edges of the expanded polygon. If condition ([203\)](#page-22-4) is not true, an additional frame is inserted in the middle of the span considered in the previous iteration. This procedure is then iterated until all considered spans of the resulting vector  $\tau$  are compliant with ([203](#page-22-4)).

# **Appendix C. Supplementary data**

Supplementary material related to this article can be found online at [https://doi.org/10.1016/j.robot.2023.104534.](https://doi.org/10.1016/j.robot.2023.104534)

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<span id="page-24-3"></span>

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<span id="page-24-1"></span>

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