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Nanomaterials biotransformation: In planta mechanisms of action.

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Original

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Environmental Pollution

Nanomaterials biotransformation: In planta mechanisms of action --Manuscript Draft--

Manuscript Number:	ENVPOL-D-22-05734R1
Article Type:	VSI:Pollutants and plants
Section/Category:	Special Issues
Keywords:	biotransformation; plant; Nanomaterials; synchrotron-based analyses; molecular response
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Abstract:	Research on engineered nanomaterials (ENMs) exposure has continued to expand rapidly, with a focus on uncovering the underlying mechanisms. The EU largely limits the number and the type of organisms that can be used for experimental testing through the 3R normative. There are different routes through which ENMs can enter the soil-plant system: this includes the agricultural application of sewage sludges, and the distribution of nano-enabled agrochemicals. However, a thorough understanding of the physiological and molecular implications of ENMs dispersion and chronic low-dose exposure remains elusive, thus requiring new evidence and a more mechanistic overview of pathways and major effectors involved in plants. Plants can offer a reliable alternative to conventional model systems to elucidate the concept of ENM biotransformation within tissues and organs, as a crucial step in understanding of the physico-chemical forms involved in plant response, synchrotron-based techniques have added new potential perspectives in studying the interactions between ENMs and biota. These techniques are providing new insights on the interactions between ENMs after intake by plants, including possible routes of biotransformation which make their final fate less uncertain, and therefore require further investigation.
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Response to Reviewers:	Please see the attached file for complete Revisions.
	Editor and Reviewer comments:
	Reviewer #1: Manuscript review of ENVPOL-D-22-05734 "Nanomaterials biotransformation: In planta mechanisms of action"
	This review article summarized recent works on the nanomaterial biotransformation within plant tissues using synchrotron-based techniques. The impacts of engineered nanomaterials (ENMs) on plant gene expression were also included. Specifically, studies regarding the biointeraction between plants and ENMs (nanoscale CeO2, La2O3, TiO2, Au, FeOx, ZVI, ZnO, CuO, and CdS QDs) were listed and discussed in detail. This review paper contributes to the deep understanding of the fate of ENMs in plant tissues, and the related genetic regulation of plants induced by ENM exposures. The contents are within the scope of the Environmental Pollution. However, I have a number of general concerns, followed by a range of specific comments, which prevent me from recommending this paper for publication in its current form.
	General Comments- Some parts of the abstract and introduction are not quite relevant to the main topic, and need to be revised. The main topic, synchrotron-based analysis of ENMs biotransformation, should be more emphasized and discussed in more detail. In part 1, there was only one sub-title 1.1. None of the figures or the tables provided any information related to the in planta biotransformation. Several figures with summarized information instead of only one figure are better for a review article. Some references are too old or not representative. They should be up to date.
	We thank Rev1 for the constructive comments and suggestions given. The manuscript has been thoroughly improved in order to answer to all comments reported. Paragraph subdivision has been updated. Several new references and an additional Figure have been included. All the edited sections have been reported in the answer to each specific question.
	Specific Comments- The abstract used a large amount of space describing exposure pathways and low- dose effects. However, they were not well discussed in the main text. Similarly, the "potential applicability" was not given in the main text. The abstract should contain the most significant findings, critical comments on the current studies, or perspectives for future research.
	The abstract has been modified in order to be more informative on the points discussed in the main text. The abstract now reads as follows:
	"Research on engineered nanomaterials (ENMs) exposure has continued to expand rapidly, with a focus on uncovering the underlying mechanisms. The EU largely limits the number and the type of organisms that can be used for experimental testing through the 3R normative. There are different routes through which ENMs can enter the soil-plant system: this includes the agricultural application of sewage sludges, and the distribution of nano-enabled agrochemicals. However, a thorough understanding of the physiological and molecular implications of ENMs dispersion and chronic low-dose exposure remains elusive, thus requiring new evidence and a more mechanistic overview of pathways and major effectors involved in plants. Plants can offer a reliable alternative to conventional model systems to elucidate the concept of ENM biotransformation within tissues and organs, as a crucial step in understanding the mechanisms of ENM-organism interaction. To facilitate the understanding of the physico-chemical forms involved in plant response, synchrotron-based techniques have added new potential perspectives in studying the interactions between ENMs and biota. These techniques are providing new insights on the interactions between ENMs after

fate less uncertain, and therefore require further investigation."

The Paragraph 3 has been also modified to give a more timely reference to potential applicability:

Lines 558-567: "This information is highly relevant with regard to potential applicability: ENMs can interact with sensitive ecosystem components within trophic food chains, affect microbial populations in soil, enter into the plant and where they can be translocated to different tissues and organs, including the edible tissues or organs (Holden et al., 2013; Liu et al., 2015). Biotransformation can occur at each step within these processes, modifying and/or amplifying ENM effects at organism level. These interactions from the level of ecosystem, organism, tissue, cell, and organelles become key factors when applying "ENM biotransformation" as a concept for a safer design, when considering applications for agriculture and food production, and for minimizing the adverse biological impact (Burello & Worth, 2015; Pagano et al., 2018; Lowry et al., 2019; Kah et al., 2019; Zulfiqar et al., 2019; Ma et al., 2020; Hameed et al., 2022; Xu et al., 2022)."

A new reference has been also included:

Xu T., Wang Y., Aytac Z., Zuverza-Mena N., Zhao Z., Hu X., Ng K.W., White J.C., Demokritou P.

Enhancing Agrichemical Delivery and Plant Development with Biopolymer-Based Stimuli Responsive Core–Shell Nanostructures. ACS Nano 2022, 16, 4, 6034–6048.

You mentioned that three billion tons of crops are produced per year and cost a lot of source and energy in L41-47. What is the purpose? Please consider deleting them.

The new paragraph 1.1 entitled "from ENM exposure to biotransformation" has been reduced and thoroughly edited in order to be more focused on the main topic of the review:

Lines 47-99: "Although global food production has generally increased over time, the distribution has been far from equitable, with more than 820 million people having insufficient food and many more consuming low-quality diets leading directly to micronutrient deficiencies (Willett et al 2019; Zhong et al., 2020). In the past 20 years, and particularly in the past decade, engineered nanomaterials (ENMs) have seen dramatically increasing use and had an equally significant impact on ecosystems services and human society. As such, studies focused on the implications associated with their use are critical. In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022).

The interplay between plant growth, dissolution, evaporation, and aggregation are key aspects of the dynamic behavior of ENMs in the environment. Directional aggregation can result in the formation of larger particles with a more complex morphology. However, given the complexity of natural environments, most nanomaterials can be found in hetero-aggregated composites of different inorganic and organic materials (Judy et al., 2012; Ma et al 2018). These aggregates can be very different from original simple pristine morphologies and may even form highly branched structures similar to fractals, all of which subsequently dramatically affect their reactivity and transport (Ma et al., 2018; Huangfu et al., 2019).

Sectors with a large nanomaterial application such as medicine and food production may experience greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013; Zuverza Mena et al., 2017). ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015). Considering the long-time span of use of select materials (1950 to 2050), efforts to estimate ENMs release through commercial and associated activity into the environment have been undertaken. For example, for nSiO2, a global production between 100,000 and three million tons per year has been estimated, while

for nCeO2, levels likely reach the upper limit of 10,000 tons per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et al., 2018). The use of nTiO2 for the inhibition of microbial proliferation in food is one of the most important ways to prolong the shelf life of packaged products (Abutalib & Rajeh., 2020). However, a panel from EFSA concluded that E171 (TiO2) can no longer be considered as safe when used as a food additive (EFSA Journal 2021). Gottschalk et al., (2009) calculated environmental concentrations using a probabilistic LCA (life cycle analysis) of ENM containing products. The authors modelled nTiO2, nZnO, nAg, carbon nanotubes (CNT), and fullerenes for the U.S., Europe, and Switzerland. The concentrations in the environment were calculated through probabilistic density functions and compared to ecotoxicology data. In the simulations. the values ranged from 0.003 ng L-1 (fullerenes) to 21 ng L-1 (nTiO2) for surface waters and from 4 ng L-1 for fullerenes to 4 µg L-1 for nTiO2 for sewage treatment effluents. In Europe and the U.S., ENMs increased annually in sludge treated soil, and ranged from 1 ng kg-1 for fullerenes to 89 µg kg-1 for nTiO2 (Gottschalk et al. 2009; Keller et al., 2013; Keller & Lazareva, 2014; Rincon, 2019). Importantly, guantum dots (QDs), as well as many carbon- and metal-based ENMs. have been shown to produce negative effects on animals and plants as a function of dose, including accumulation, alteration of physiological and biochemical parameters, and reduced growth or yield (Oh et al., 2016; Zuverza-Mena et al., 2017). Quantum dots have shown to enter plant roots and to damage the cell wall, dysregulating metabolism (Marmiroli et al., 2020). While mercaptoacetic acid (MAA)-coated CdSe/ZnS QDs induced minimal toxicity on maize seedlings, pristine cadmium/tellurium (Cd/Te) QDs induced chromatin stress, mitochondrial damage and inhibition on green gram sprouts (Phaseolus radiatus L.) growth (Song et al., 2013). There are several routes by which ENM can enter the soil-plant system. These include agricultural application of sewage sludges which often contain nSiO2, nTiO2, nZnO, and nAq; as well as the application of nano-enabled agrochemicals, resulting in the direct entry of nSiO2, nTiO2, nZnO, nFeOx, nCuO, CeO2 and nAg into agricultural soils (Lv et al., 2019; Verma et al., 2022)."

Additional references included:

Gardea-Torresdey J.L., Rico C.M., White J.C. Trophic transfer, transformation, and impact of engineered nanomaterials in terrestrial environments. Environ. Sci. Technol. 2014, 48, 2526–2540.

Keller A.A., McFerran S., Lazareva A., Suh S. 2013. Global life cycle releases of engineered nanomaterials. J. Nanopart. Res. 15, 1692–1709.

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65–70.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77. 132-147.

Rincon A.M. 2019. Chapter 6 - Presence of nanomaterials on consumer products: food, cosmetics, and drugs. pp. 165-181. Marmiroli N., White J.C., Song J., Eds: In Micro and Nano Technologies, Exposure to Engineered Nanomaterials in the Environment, Elsevier, Amsterdam, The Netherlands.

Song U., Jun H., Waldman B., Roh J., Kim Y., Yi J., Lee E.J. Functional analyses of nanoparticle toxicity: a comparative study of the effects of TiO2 and Ag on tomatoes (Lycopersicon esculentum) Ecotoxicol. Environ. Saf., 2013. 93, 60-67.

White J.C., Zuverza-Mena N., Elmer W.H. From nanotoxicology to nano-enabled agriculture: Following the science at the Connecticut Agricultural Experiment Station (CAES). Plant Nano Biology, 2022, 1, 100007. Doi: 10.1016/j.plana.2022.100007.

L50-55 Please focus on plants, especially agricultural crops.

We agree with Rev1 give more relevance to the ENMs in agricultural practices. The sentence has been modified and two new references have been introduced.

Lines 52-55: "In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022)."

References added:

Gardea-Torresdey J.L., Rico C.M., White J.C. Trophic transfer, transformation, and impact of engineered nanomaterials in terrestrial environments. Environ. Sci. Technol. 2014, 48, 2526-2540.

White J.C., Zuverza-Mena N., Elmer W.H. From nanotoxicology to nano-enabled agriculture: Following the science at the Connecticut Agricultural Experiment Station (CAES). Plant Nano Biology, 2022, 1, 100007. Doi: 10.1016/j.plana.2022.100007.

L53-54 It is too broad to conclude that the impacts of nanomaterials on biota are poorly understood. There are hundreds of publications investigating this topic.

The sentence, as suggested by Rev1, have been modified in order to be more topic oriented.

Lines 52-55: "In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022)."

L67-68 Please add nCuO, nTiO2, and nZnO. nTiO2 is the most used one among all the others.

Please check [Ref] [Ref]

The sentence has been modified as requested.

Lines 67-70: "ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015)."

The references suggested have been also added:

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65-70.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

L77 Can you find any latest references? 2009 is more than ten years ago.

Unfortunately, we have not found any new significant update on permissible concentrations, especially for the European side. From this point of view the cited paper is still maintained as an EU standards. Thus, we prefer to maintain this reference. To provide additional perspective, we included two additional references: Keller A.A., McFerran S., Lazareva A., Suh S. 2013. Global life cycle releases of engineered nanomaterials. J. Nanopart. Res. 15, 1692–1709.

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65–70.

L92 Please refer to the right article.

We thank the Rev1for the comment, the reference has been properly corrected and added to the references.

Song U., Jun H., Waldman B., Roh J., Kim Y., Yi J., Lee E.J. Functional analyses of nanoparticle toxicity: a comparative study of the effects of TiO2 and Ag on tomatoes (Lycopersicon esculentum) Ecotoxicol. Environ. Saf., 2013. 93, 60-67.

L99 In 1.1, "Once accumulated by plants" You haven't given any background regarding the uptake of ENMs by plants. At least add a few sentences to describe the possibility and give a few examples.

The beginning of the Paragraph, now 1.2, has been edited in order to give more information about the phases before plant accumulation. A new Figure 1 has been also included.

Lines 102-110: "Environmental and soil physico-chemical characteristics may significantly impact on ENMs aggregation, and dissolution, which may modify ENM bioavailability, uptake, translocation, and accumulation into terrestrial plants. In fact, light and temperature may induce potential changes on the ENM structure, such as during foliar spray (Hong et al., 2021). Once within plant tissues, ENM biotransformation may alter particle stability and behaviour in terms of interactions with biomolecules, triggering differential plant defense mechanisms (Ma et al., 2018; Rawat et al., 2018). ENMs are subject to a range of processes that may lead to their partial dissolution or result in structural modifications (Milosevic et al., 2020; Marmiroli et al., 2020). A schematic representation is reported in Figure 1."

New reference added:

Hong J., Wang C., Wagner D.C., Gardea-Torresdey J.L., He F., Rico C.M. 2021. Foliar application of nanoparticles: mechanisms of absorption, transfer, and multiple impacts. Environ. Sci.: Nano, 8, 1196-1210.

Rawat S., Pullagurala V.L.R., Adisa I.O., Wang Y., Peralta-Videa J.R., Gardea-Torresdey J.L. Factors affecting fate and transport of engineered nanomaterials in terrestrial environments, COESH, 2018, 6, 47-53.

L105-107 "biotransformation of nanomaterials lead to the attachment of biological molecules", or does the attachment of biological molecules lead to NPs biotransformation? Or both? Any examples?

The paragraph has been modified in order to avoid misinterpretation. A new Figure 1 has been also introduced.

Lines 110-129: "Nanoparticle biotransformation is a highly complex and poorly understood series of events and has been shown to occur during weathering in the soil, trophic transfer, and translocation within plant tissues. These reactions are highly dynamic and alter the original pristine structure of the nanoparticles in a number of ways, potentially causing the release of ions, but also the consequent restructuring (or destructuring) of the nanoparticle (Servin et al., 2017a). Biotransformation of nanomaterials may rest on the interaction with biological molecules that stabilize their external reactivity, such as peptides including those involved in detoxification, (e.g. glutathione), fatty acids, secondary metabolites, and even components of cell membranes (Marmiroli et al., 2020). Particle properties such as size, stability, charge, and dissolution may strongly influence other biotransformation mechanisms, potentially promoting enzymatic modification and functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et al., 2018; Marmiroli et al., 2020). ENMs may maintain crystal structure when internalized by cells or may be disassembled and converted into less complex structures (by biological modification or chelation), thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 2022). These post-uptake structural modifications involve specific parameters such as bond distance with other atoms or nature of the ligand atoms. In consideration of this, one objective in biotransformation studies is to investigate the physico-chemical forms (e.g., nanocrystal structure) within exposed tissues and to characterize the structural differences within the new biotransformed molecules, including identification of the biomolecules interacting with the ENMs (Castillo-Michel et al., 2017; Marmiroli et al., 2020)."

L110 More citations are needed to support the statement of "properties such as size, stability, charge, and dissolution influence the biotransformation". Besides, plant cultivars can also affect ENMs in planta biodistribution [Ref]

The paragraph has been modified in order to include new references and also the effect of the plant cultivars.

Lines 115-131: "Biotransformation of nanomaterials may rest on the interaction with biological molecules that stabilize their external reactivity, such as peptides including those involved in detoxification, (e.g. glutathione), fatty acids, secondary metabolites, and even components of cell membranes (Marmiroli et al., 2020). Particle properties such as size, stability, charge, and dissolution may strongly influence other biotransformation mechanisms, potentially promoting enzymatic modification and functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et al., 2018; Marmiroli et al., 2020). ENMs may maintain crystal structure when internalized by cells or may be disassembled and converted into less complex structures (by biological modification or chelation), thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 2022). These post-uptake structural modifications involve specific parameters such as bond distance with other atoms or nature of the ligand atoms. In consideration of this, one objective in biotransformation studies is to investigate the physico-chemical forms (e.g., nanocrystal structure) within exposed tissues and to characterize the structural differences within the new biotransformed molecules, including identification of the biomolecules interacting with the ENMs (Castillo-Michel et al., 2017; Marmiroli et al., 2020). It has furthermore to consider how genetic diversity across different plant species and within the same plant species (in different cultivars) may influence the ENM uptake and translocation (Deng et al., 2020)."

New references included:

Deng C., Wang Y., Cota-Ruiz K., Reyes A., Sun Y., Peralta-Videa J.R., Hernandez-Viezcas J.A., Turley R.S., Niu G., Li C., Gardea-Torresdey J.L. Bok choy (Brassica rapa) grown in copper oxide nanoparticles-amended soils exhibits toxicity in a phenotype-dependent manner: Translocation, biodistribution and nutritional disturbance. J Haz Mater, 2020, 398, 122978.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

Rawat S., Pullagurala V.L.R., Adisa I.O., Wang Y., Peralta-Videa J.R., Gardea-Torresdey J.L. Factors affecting fate and transport of engineered nanomaterials in terrestrial environments, COESH, 2018, 6, 47-53.

Xu T., Wang Y., Aytac Z., Zuverza-Mena N., Zhao Z., Hu X., Ng K.W., White J.C., Demokritou P.

Enhancing Agrichemical Delivery and Plant Development with Biopolymer-Based Stimuli Responsive Core–Shell Nanostructures. ACS Nano 2022, 16, 4, 6034–6048.

L125-147 Please add a few sentences to compare the differences between these synchrotron-based techniques and non-synchrotron-supported ones, For example, u-XRF vs. TEM-EDS. What are the advantages?

According to the Abbe's Law the resolution power of a particle is d.

d= λ / (2n sin α) In optical microscopy we can approximate d with λ .

In electron microscopy like TEM or SEM we use electrons that are accelerated passing through a potential difference they become thus equivalent to electromagnetic wave, as reported in the scheme.

Therefore, thanks to the De Broglie law we have $\lambda(nm) = 1.22/\sqrt{V}$, where V is the acceleration voltage of the electrons.

In TEM V-= around 30 to 100, thus λ varies between 0.007 nm and 0.004 nm respectively. The smallest of these resolutions are enough to see the electron lattice. With the synchrotron we use photon that do not have mass, therefore the factor 1.22 is substituted by the non-relativistic mass of the electron which is m=5.48579909065(16)×10-4 Da (according to The NIST Reference on Constants, Units, and Uncertainty. NIST. 20 May 2019. Retrieved 2020-06-21), this makes the resolution even smaller and increases the penetration depth into the sample.

The EDX is another thing because it depends on the acceleration voltage of the particle or of the photon. Every Element in the periodic table has its own orbitals energies, and the acceleration voltage allows to excite one or more of these, independently if it comes from a TEM or from a synchrotron. Hence in this respect the two techniques are alike. (Goldstein, Newbury et al Editors. Scanning Electron Microscopy and X-Ray Microanalysis, Third Edition. 2003 Springer Science+Business Media LLC,233Spring Street, New York, NY 10013USA).

The text below has been added as a shortened version of these concepts.

Lines 160-165: "Synchrotron-based techniques use photons, which do not have mass; therefore the factor 1.22 is substituted by the non-relativistic mass of the electron which is m=5.485x10-4 Da. This makes the resolution even smaller and increases penetration depth into the sample. On the other hand, EDX depends on the acceleration voltage of the particle or of the photon. Every element has its own orbital energies, and the acceleration voltage allows excitation one or more of these, independently if it comes from a TEM or from a synchrotron (Goldstein et al., 2003)."

A new reference has been included:

Goldstein J.I., Newbury D.E., Echlin P., Joy D.C., Lyman C.E., Lifshin E., Sawyer L., Michael J.R. Editors. Scanning Electron Microscopy and X-Ray Microanalysis, Third Edition. 2003 Springer Science+Business Media LLC, 233 Spring Street, New York, NY 10013 USA.

L195 Add nano delivery system for smart release [Ref]

We thank Rev1 for the suggestion. The reference has been included and the text has been edited accordingly:

Lines 210-215: "Beyond the potential adverse effects upon bioaccumulation from soil or other exposure routes, there is an increasing interest in exploiting the potential positive effects of ENMs on plants, aiming to improve crop yields and quality. A range of mechanisms, including direct use as nanofertilizers (Verma et al., 2022), nanocarriers (Karny et al., 2018), smart delivery systems (Xu et al., 2022) or when in association to plant growth-promoting bacteria, are considered (Prado de Moraes et al., 2021)."

Xu T., Wang Y., Aytac Z., Zuverza-Mena N., Zhao Z., Hu X., Ng K.W., White J.C., Demokritou P.

Enhancing Agrichemical Delivery and Plant Development with Biopolymer-Based Stimuli Responsive Core–Shell Nanostructures. ACS Nano 2022, 16, 4, 6034–6048.

L251-267 Only one sentence was describing the synchrotron-based analysis. All the others were biomass or plant physiological responses. What does "limited stability of nLa2O3" mean? What are the results of the u-XRF analysis? Why is it unique compared to the other related analysis?

We thank Rev1 for the comment. The sentence has been clarified.

Lines 272-275:"The limited stability of nLa2O3, as compared to nCeO2, has been confirmed by -XRF analysis in Cucumis sativus L. through element speciation, dissolution studies in aqueous solution and in planta. After 14d treatment, the nCeO2 structure in the roots remains mostly preserved (more than 80%) while pristine nLa2O3 structure was observed at levels below 10% (Ma et al., 2015)."

Considering how Ce and La elemental properties play a fundamental role in their biotransformation, but also how their similarity in term of effects when analyzing the respective bulk forms, we believe that the direct comparison of the two nanoforms by XRF, as supported by data published in literature, could be an aspect to be described, and an interesting explanation for the physiological and molecular outcomes. Information about comparisons with other nanoforms has been reported at level of physiological and molecular analyses, both in terms of individual treatments, but also as a function of binary co-exposure (see Pagano et al., 2016, Pagano et al. 2017), which are reported in the text. This have been done with the aim to combine the potential results from physical and chemical analyses with results from physiological and molecular evidence, thereby providing a more mechanistic overview of the biotransformation processes.

L269 Please add more recently works: [Ref] [Ref]weathering effect in soil

The references indicated have been included and discussed.

Lines 312-318: "Interestingly, when either considering the utilization of pristine and coated nTiO2 (hydrophilic or hydrophobic) in carrot (Daucus carota L.), responses observed depended mainly on the nTiO2 surface coating, concentration and in soil weathering (Wang et al., 2021a; 2021b). Taproot and leaf fresh biomass and plant height were all increased with exposure, as well as nutrient uptake (Fe in leaves; Mg in taproots; Ca, Zn, K in roots). Conversely, sugar and starch contents were negatively affected, compromising the nutritional quality (Wang et al., 2021b)."

References included:

Wang Y., Deng C., Cota-Ruiz K., Tan W., Reyes A., Peralta-Videa J.R., Hernandez-Viezcas J.A., Li C., Gardea-Torresdey J.L. Effects of different surface-coated nTiO2 on full-grown carrot plants: Impacts on root splitting, essential elements, and Ti uptake. J Haz Mater, 2021a, 402, 123768.

Wang Y., Deng C., Cota-Ruiz K., Peralta-Videa J.R., Hernandez-Viezcas J.A., Gardea-Torresdey J.L. Soil-aged nano titanium dioxide effects on full-grown carrot: Dose and surface-coating dependent improvements on growth and nutrient quality. Scie Tot Environ, 2021b, 774, 145699.

L395-398 Please add more recent works regarding the nCuO-plant interactions.

Please check:

[Ref]

[Ref](in planta biodistribution of nCuO in the particle form and growth related gene expression)

The references indicated have been included and discussed.

Lines 452-464: "The grain of weedy and cultivated rice were differentially impacted by nCuO, bulk or ionic forms, showing also a cultivar-specific and concentrationdependent response. Cu translocation directly influenced plant yield, sugar production, starch content, protein content, and expression of auxin associated genes in grain (Deng et al., 2022b). Analyzing the effect of citric acid (CA) coated copper oxide (CAnCuO) and its application (foliar spray or soil exposure) on the growth and physiology of soybean (Glycine max L.), nCuO appeared to be more accessible for plant uptake, as compared to CA-nCuO, decreasing the protein content, and inhibiting plant growth. CA reduced CuO NPs toxicity, demonstrating that surface modification may change the toxic properties of NPs (Deng et al., 2022c).

Treatment of Lactuca sativa L. with nCuO significantly increased biomass as compared to CuO microparticles (Wang et al., 2019). In addition, plants can benefit from nCuO treatment through enhanced defensive pathways, and through direct antimicrobial and antifungal activities (Elmer et al., 2018)."

References included:

Deng C., Wang Y., Navarro G., Sun Y., Cota-Ruiz K., Hernandez-Viezcas J.A., Niu G., Li C., White J.C., Gardea-Torresdey J. 2022b. Copper oxide (CuO) nanoparticles affect yield, nutritional quality, and auxin associated gene expression in weedy and cultivated rice (Oryza sativa L.) grains, Scie Tot Environ, 810, 152260.

Deng C., Wang Y., Cantu J.M., Valdes C., Navarro G., Cota-Ruiz K., Hernandez-Viezcas J.A., Li C., Elmer W.H., Dimkpa C.O., White J.C., Gardea-Torresdey, J.L. 2022c. Soil and foliar exposure of soybean (Glycine max) to Cu: Nanoparticle coatingdependent plant responses, NanoImpact, 26,100406.

Reviewer #2:

1. Please rewrite highlights 1 and 3. The highlight should point out the most critical findings, conclusions, or perspectives in this review.

Highlights have been modified in order to be more representative of the review text:

•Biotransformation is a fundamental phenomenon for understanding ENM-organism response mechanisms

•Synchrotron-based methodological analyses are critical for investigating ENM biotransformation

•Biotransformation of ENMs may have positive or negative effects when considering the agri-food application

2. Half of the text in this review are talking about the synchrotron-based analyses of ENM treated plant samples. However, it was not mentioned in the title, and the abstract only mentioned it once of its "increased use".

We thank Rev2 for the suggestions. Synchrotron-based techniques are becoming more important to comprehend the real physico-chemical forms of ENMs within plants tissues and organs and can give the missing information that we do not have from the physiological and molecular analyses, as suggested by the relevance of some of the most influential publications on this topic cited in the text (Castillo et al., 2017; Hameed et al., 2022). However, synchrotron-based techniques are not the main focus of the review. The mechanism of biotransformation behind the plant response to ENMs is the fundamental point. Synchrotron based techniques are certainly instrumental for shedding light on those mechanisms and the combination of results from physical analyses with physiological and molecular observations can give a more

comprehensive picture of what happens during ENMs treatment in planta. We are aware of the relevance of Synchrotron based techniques and we decided to give them an appropriate space in the introduction, in the (new) paragraph 1.2.

For these reasons we believe that title represents our work in the proper manner, and we would like to maintain it in the present form, where the emphasis is more on the mechanisms, while the techniques are tools to clarify those mechanisms.

The abstract, on the other hand, has been modified on order to be more informative on the points discussed in the main text:

"Research on engineered nanomaterials (ENMs) exposure has continued to expand rapidly, with a focus on uncovering the underlying mechanisms. The EU largely limits the number and the type of organisms that can be used for experimental testing through the 3R normative. There are different routes through which ENMs can enter the soil-plant system: this includes the agricultural application of sewage sludges, and the distribution of nano-enabled agrochemicals. However, a thorough understanding of the physiological and molecular implications of ENMs dispersion and chronic low-dose exposure remains elusive, thus requiring new evidence and a more mechanistic overview of pathways and major effectors involved in plants. Plants can offer a reliable alternative to conventional model systems to elucidate the concept of ENM biotransformation within tissues and organs, as a crucial step in understanding the mechanisms of ENM-organism interaction. To facilitate the understanding of the physico-chemical forms involved in plant response, synchrotron-based techniques have added new potential perspectives in studying the interactions between ENMs and biota. These techniques are providing new insights on the interactions between ENMs and biomolecules. The present review discusses the principal outcomes for ENMs after intake by plants, including possible routes of biotransformation which make their final fate less uncertain, and therefore require further investigation."

3. The whole introduction (from L41-L96) is talking about the reported environmental exposure of ENM and the effects of quantum dots on plant growth. Please revise this part and be more focused on your main topic. This review aims to summarize the synchrotron-based analysis of the ENM biotransformation in plants and some molecular effects.

We thank Rev2 for the comment. Introduction has been modified in order to be more topic oriented.

Lines 47-99: "Although global food production has generally increased over time, the distribution has been far from equitable, with more than 820 million people having insufficient food and many more consuming low-quality diets leading directly to micronutrient deficiencies (Willett et al 2019; Zhong et al., 2020). In the past 20 years, and particularly in the past decade, engineered nanomaterials (ENMs) have seen dramatically increasing use and had an equally significant impact on ecosystems services and human society. As such, studies focused on the implications associated with their use are critical. In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022).

The interplay between plant growth, dissolution, evaporation, and aggregation are key aspects of the dynamic behavior of ENMs in the environment. Directional aggregation can result in the formation of larger particles with a more complex morphology. However, given the complexity of natural environments, most nanomaterials can be found in hetero-aggregated composites of different inorganic and organic materials (Judy et al., 2012; Ma et al 2018). These aggregates can be very different from original simple pristine morphologies and may even form highly branched structures similar to fractals, all of which subsequently dramatically affect their reactivity and transport (Ma et al., 2018; Huangfu et al., 2019).

Sectors with a large nanomaterial application such as medicine and food production may experience greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013; Zuverza Mena et al., 2017). ENMs with the

greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015). Considering the long-time span of use of select materials (1950 to 2050), efforts to estimate ENMs release through commercial and associated activity into the environment have been undertaken. For example, for nSiO2, a global production between 100,000 and three million tons per year has been estimated, while for nCeO2, levels likely reach the upper limit of 10,000 tons per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et al., 2018). The use of nTiO2 for the inhibition of microbial proliferation in food is one of the most important ways to prolong the shelf life of packaged products (Abutalib & Raieh... 2020). However, a panel from EFSA concluded that E171 (TiO2) can no longer be considered as safe when used as a food additive (EFSA Journal 2021). Gottschalk et al., (2009) calculated environmental concentrations using a probabilistic LCA (life cycle analysis) of ENM containing products. The authors modelled nTiO2, nZnO, nAg, carbon nanotubes (CNT), and fullerenes for the U.S., Europe, and Switzerland. The concentrations in the environment were calculated through probabilistic density functions and compared to ecotoxicology data. In the simulations, the values ranged from 0.003 ng L-1 (fullerenes) to 21 ng L-1 (nTiO2) for surface waters and from 4 ng L-1 for fullerenes to 4 µg L-1 for nTiO2 for sewage treatment effluents. In Europe and the U.S., ENMs increased annually in sludge treated soil, and ranged from 1 ng kg-1 for fullerenes to 89 µg kg-1 for nTiO2 (Gottschalk et al. 2009; Keller et al., 2013; Keller & Lazareva, 2014; Rincon, 2019). Importantly, guantum dots (QDs), as well as many carbon- and metal-based ENMs, have been shown to produce negative effects on animals and plants as a function of dose, including accumulation, alteration of physiological and biochemical parameters, and reduced growth or yield (Oh et al., 2016; Zuverza-Mena et al., 2017). Quantum dots have shown to enter plant roots and to damage the cell wall, dysregulating metabolism (Marmiroli et al., 2020). While mercaptoacetic acid (MAA)-coated CdSe/ZnS QDs induced minimal toxicity on maize seedlings, pristine cadmium/tellurium (Cd/Te) QDs induced chromatin stress, mitochondrial damage and inhibition on green gram sprouts (Phaseolus radiatus L.) growth (Song et al., 2013). There are several routes by which ENM can enter the soil-plant system. These include agricultural application of sewage sludges which often contain nSiO2, nTiO2, nZnO, and nAq; as well as the application of nano-enabled agrochemicals, resulting in the direct entry of nSiO2, nTiO2, nZnO, nFeOx, nCuO, CeO2 and nAg into agricultural soils (Lv et al., 2019; Verma et al., 2022)."

Additional references included:

Gardea-Torresdey J.L., Rico C.M., White J.C. Trophic transfer, transformation, and impact of engineered nanomaterials in terrestrial environments. Environ. Sci. Technol. 2014, 48, 2526–2540.

Keller A.A., McFerran S., Lazareva A., Suh S. 2013. Global life cycle releases of engineered nanomaterials. J. Nanopart. Res. 15, 1692–1709.

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65–70.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

Rincon A.M. 2019. Chapter 6 - Presence of nanomaterials on consumer products: food, cosmetics, and drugs. pp. 165-181. Marmiroli N., White J.C., Song J., Eds: In Micro and Nano Technologies, Exposure to Engineered Nanomaterials in the Environment, Elsevier, Amsterdam, The Netherlands.

Song U., Jun H., Waldman B., Roh J., Kim Y., Yi J., Lee E.J. Functional analyses of nanoparticle toxicity: a comparative study of the effects of TiO2 and Ag on tomatoes (Lycopersicon esculentum) Ecotoxicol. Environ. Saf., 2013. 93, 60-67. White J.C., Zuverza-Mena N., Elmer W.H. From nanotoxicology to nano-enabled agriculture: Following the science at the Connecticut Agricultural Experiment Station (CAES). Plant Nano Biology, 2022, 1, 100007. Doi: 10.1016/j.plana.2022.100007.

4. L66 Please add more appropriate citations. Can you provide any related numbers?

New references have been added in order to give more quantitative information:

Lines 64-70: "Sectors with a large nanomaterial application such as medicine and food production may experience greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013; Zuverza Mena et al., 2017). ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015)."

New references included: Keller A.A., McFerran S., Lazareva A., Suh S. 2013. Global life cycle releases of engineered nanomaterials. J. Nanopart. Res. 15, 1692–1709.

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Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

5. L67 and L78 Please define nSiO2, nAg, nZnO, and so forth.

We thank Rev2 for the comment. We included the definition of each ENM cited:

Lines 67-70: "ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015)."

6. L71 Please add a citation.

The sentence has been fixed in order to give the proper citation.

Lines 72-75: "For example, for nSiO2, a global production between 100,000 and three million tons per year has been estimated, while for nCeO2, levels likely reach the upper limit of 10,000 tons per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et al., 2018)."

7. L73 TiO2 or nanoscale TiO2?

The typo has been fixed.

8. You only have one subsection "1.1" in part 1. Please consider merging it into part 1. Or change it to 1.2, and put all the content before it into 1.1.

Paragraph subdivision has been updated, including an initial subsection called "1. Engineered nanomaterial (ENM) biotransformation". The first section is now "1.1. ENMs: from exposure to biotransformation", while the previous section 1.1 is now shifted to 1.2.

9. L101-105 Please check

ſRefl

[Ref] for factors and mechanisms of the NPs biotransformation, especially the effect of soil weathering on plant responses.

We thank Rev2 for the suggestions. The text has been updated, as reported:

Lines 102-121: "Environmental and soil physico-chemical characteristics may significantly impact on ENMs aggregation, and dissolution, which may modify ENM bioavailability, uptake, translocation, and accumulation into terrestrial plants. In fact, light and temperature may induce potential changes on the ENM structure, such as during foliar spray (Hong et al., 2021). Once within plant tissues, ENM biotransformation may alter particle stability and behaviour in terms of interactions with biomolecules, triggering differential plant defense mechanisms (Ma et al., 2018; Rawat et al., 2018). ENMs are subject to a range of processes that may lead to their partial dissolution or result in structural modifications (Milosevic et al., 2020; Marmiroli et al., 2020). A schematic representation is reported in Figure 1. Nanoparticle biotransformation is a highly complex and poorly understood series of events and has been shown to occur during weathering in the soil, trophic transfer, and translocation within plant tissues. These reactions are highly dynamic and alter the original pristine structure of the nanoparticles in a number of ways, potentially causing the release of ions, but also the consequent restructuring (or destructuring) of the nanoparticle (Servin et al., 2017a). Biotransformation of nanomaterials may rest on the interaction with biological molecules that stabilize their external reactivity, such as peptides including those involved in detoxification, (e.g. glutathione), fatty acids, secondary metabolites, and even components of cell membranes (Marmiroli et al., 2020). Particle properties such as size, stability, charge, and dissolution may strongly influence other biotransformation mechanisms, potentially promoting enzymatic modification and functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et al., 2018; Marmiroli et al., 2020)."

A new reference has been included, as well as an additional Figure (new Figure 1):

Hong J., Wang C., Wagner D.C., Gardea-Torresdey J.L., He F., Rico C.M. 2021. Foliar application of nanoparticles: mechanisms of absorption, transfer, and multiple impacts. Environ. Sci.: Nano, 8, 1196-1210.

Rawat S., Pullagurala V.L.R., Adisa I.O., Wang Y., Peralta-Videa J.R., Gardea-Torresdey J.L. Factors affecting fate and transport of engineered nanomaterials in terrestrial environments, COESH, 2018, 6, 47-53.

The suggested reference related to "Soil-aged nano titanium dioxide effects on fullgrown carrot" has been included in the main text in the case studies reported in the paragraph 2.2 as Wang et al., 2021b.

10. L110-113 Besides the listed possibilities, ENMs can also remain as nanoscale particles with/without the loss of surface coatings in plant tissues after being uptaken. Please check

[ref]

We thank Rev 2 for the suggestion. The reference has been cited, as reported.

Lines 121-124: "ENMs may maintain crystal structure when internalized by cells or may be disassembled and converted into less complex structures (by biological modification or chelation), thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 2022)."

11. L178-181 Add more latest references. Please check [ref] [ref] We thank Rev2 for the suggestions. The text has been updated, as reported: Lines 196-199: "Studies have added significant molecular data on the effects of ENMs exposure in plants (Schwab et al., 2016; Ma et al., 2018). Different results are often observed for the same element as a function of its form or size, i.e. nanostructured, bulk, or ionic species (Pagano et al., 2016; Wang et al., 2022)." The suggested reference related to "Copper oxide (CuO) nanoparticles affect yield, nutritional quality, and auxin associated gene expression in weedy and cultivated rice" has been included in the text in the case studies reported in the paragraph 2.6 as Deng et al., 2022b. 12. L202 Can you briefly describe Table 1? What is Table 1 for and why are genes listed? Were they significantly affected by the ENM exposure? All the citations listed in Table 1 are related to the author themselves. Please include some works from the others. Genes reported in Figure 2 (former Figure 1) and Table 1 are some of those that are modulated by the different type of ENMs as reported in the cited studies. Other genes also resulted responsiveness in a ENM-specific manner during exposure and are considered for this reason as potential biomarker of exposure/effect. Moreover, these specific biomarkers are also able to testify how the modulation of the genes in the different organs is in some cases convergent between differential forms (nano, bulk, ion) of the same element. Considering that the concept of nano-specific biomarker has been introduced in some of our research groups papers, we thought to include these references in the Table 1. This was not the case of Table 2, in which papers not related to our research group on the principal evidence of the ENM biotransformation in plant observed by physiological, molecular and synchrotron-based analyses have been included. Table 1 description has b...

To Co-Editors-in-Chief, Environmental Pollution Journal

Prof. Jörg Rinklebe,

Prof. Christian Sonne,

Prof. Eddy Zeng

To Special Issue Editor,

Prof. Da Chen

To Guest Editor,

Prof. M.H. Siddiqui

Special Issue "Emerging Pollutants and their effects on plants: present and future challenges, and their solutions"

Dear Editor,

We thank the Editor and Reviewers for their comments and suggestions which gave the opportunity to improve our Review manuscript "Nanomaterials biotransformation: In planta mechanisms of action" in all its aspects.

As requested, the manuscript has been modified, including the abstract, highlights and paragraph subdivisions (in the introduction). In addition, the introduction has been thoroughly edited. A list of new references has been included. A new Figure 1 has been included in order to give more explanations to the biotransformation mechanisms.

Below are the point-to-point answers to Reviewers queries.

We believe the manuscript has been strongly improved and hope it is now acceptable for publication.

Kind Regards

Marta Marmiroli

To Co-Editors-in-Chief, Environmental Pollution Journal

Prof. Jörg Rinklebe, Prof. Christian Sonne, Prof. Eddy Zeng

To Special Issue Editor,

Prof. Da Chen

To Guest Editor,

Prof. M.H. Siddiqui

Special Issue "Emerging Pollutants and their effects on plants: present and future challenges, and their solutions"

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Below are the point-to-point answers to Reviewers queries.

We believe the manuscript has been strongly improved and hope it is now acceptable for publication.

Kind Regards

Marta Marmiroli

Editor and Reviewer comments:

Reviewer #1: Manuscript review of ENVPOL-D-22-05734 "Nanomaterials biotransformation: In planta mechanisms of action"

This review article summarized recent works on the nanomaterial biotransformation within plant tissues using synchrotron-based techniques. The impacts of engineered nanomaterials (ENMs) on plant gene expression were also included. Specifically, studies regarding the biointeraction between plants and ENMs (nanoscale CeO2, La2O3, TiO2, Au, FeOx, ZVI, ZnO, CuO, and CdS QDs) were listed and discussed in detail. This review paper contributes to the deep understanding of the fate of ENMs in plant tissues, and the related genetic regulation of plants induced by ENM exposures. The contents are within the scope of the Environmental Pollution. However, I have a number of general concerns, followed by a range of specific comments, which prevent me from recommending this paper for publication in its current form.

General Comments-

Some parts of the abstract and introduction are not quite relevant to the main topic, and need to be revised. The main topic, synchrotron-based analysis of ENMs biotransformation, should be more emphasized and discussed in more detail. In part 1, there was only one sub-title 1.1. None of the figures or the tables provided any information related to the in planta biotransformation. Several figures with summarized information instead of only one figure are better for a review article. Some references are too old or not representative. They should be up to date.

We thank Rev1 for the constructive comments and suggestions given. The manuscript has been thoroughly improved in order to answer to all comments reported. Paragraph subdivision has been updated. Several new references and an additional Figure have been included. All the edited sections have been reported in the answer to each specific question.

Specific Comments-

The abstract used a large amount of space describing exposure pathways and low-dose effects. However, they were not well discussed in the main text. Similarly, the "potential applicability" was not given in the main text. The abstract should contain the most significant findings, critical comments on the current studies, or perspectives for future research.

The abstract has been modified in order to be more informative on the points discussed in the main text. The abstract now reads as follows:

"Research on engineered nanomaterials (ENMs) exposure has continued to expand rapidly, with a focus on uncovering the underlying mechanisms. The EU largely limits the number and the type of organisms that can be used for experimental testing through the 3R normative. There are different routes through which ENMs can enter the soil-plant system: this includes the agricultural application of sewage sludges, and the distribution of nano-enabled agrochemicals. However, a thorough understanding of the physiological and molecular implications of ENMs dispersion and chronic low-dose exposure remains elusive, thus requiring new evidence and a more mechanistic overview of pathways and major effectors involved in plants. Plants can offer a reliable alternative to conventional model systems to elucidate the concept of ENM biotransformation within tissues and organs, as a crucial step in understanding the mechanisms of ENM-organism interaction. To facilitate the understanding of the physico-chemical forms involved in plant response, synchrotron-based techniques have added new potential perspectives in studying the interactions between ENMs and

biota. These techniques are providing new insights on the interactions between ENMs and biomolecules. The present review discusses the principal outcomes for ENMs after intake by plants, including possible routes of biotransformation which make their final fate less uncertain, and therefore require further investigation."

The Paragraph 3 has been also modified to give a more timely reference to potential applicability:

Lines 558-567: "This information is highly relevant with regard to potential applicability: ENMs can interact with sensitive ecosystem components within trophic food chains, affect microbial populations in soil, enter into the plant and where they can be translocated to different tissues and organs, including the edible tissues or organs (Holden et al., 2013; Liu et al., 2015). Biotransformation can occur at each step within these processes, modifying and/or amplifying ENM effects at organism level. These interactions from the level of ecosystem, organism, tissue, cell, and organelles become key factors when applying "ENM biotransformation" as a concept for a safer design, when considering applications for agriculture and food production, and for minimizing the adverse biological impact (Burello & Worth, 2015; Pagano et al., 2018; Lowry et al., 2019; Kah et al., 2019; Zulfiqar et al., 2019; Ma et al., 2020; Hameed et al., 2022; Xu et al., 2022)."

A new reference has been also included:

Xu T., Wang Y., Aytac Z., Zuverza-Mena N., Zhao Z., Hu X., Ng K.W., White J.C., Demokritou P. Enhancing Agrichemical Delivery and Plant Development with Biopolymer-Based Stimuli Responsive Core–Shell Nanostructures. ACS Nano 2022, 16, 4, 6034–6048.

You mentioned that three billion tons of crops are produced per year and cost a lot of source and energy in L41-47. What is the purpose? Please consider deleting them.

The new paragraph 1.1 entitled "from ENM exposure to biotransformation" has been reduced and thoroughly edited in order to be more focused on the main topic of the review:

Lines 47-99: "Although global food production has generally increased over time, the distribution has been far from equitable, with more than 820 million people having insufficient food and many more consuming low-quality diets leading directly to micronutrient deficiencies (Willett et al 2019; Zhong et al., 2020). In the past 20 years, and particularly in the past decade, engineered nanomaterials (ENMs) have seen dramatically increasing use and had an equally significant impact on ecosystems services and human society. As such, studies focused on the implications associated with their use are critical. In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022).

The interplay between plant growth, dissolution, evaporation, and aggregation are key aspects of the dynamic behavior of ENMs in the environment. Directional aggregation can result in the formation of larger particles with a more complex morphology. However, given the complexity of natural environments, most nanomaterials can be found in hetero-aggregated composites of different inorganic and organic materials (Judy et al., 2012; Ma et al 2018). These aggregates can be very different from original simple pristine morphologies and may even form highly branched structures similar to fractals, all of which subsequently dramatically affect their reactivity and transport (Ma et al., 2018; Huangfu et al., 2019).

Sectors with a large nanomaterial application such as medicine and food production may experience greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013; Zuverza Mena et al., 2017). ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015). Considering the long-time span of use of select materials (1950 to 2050), efforts to estimate ENMs release through commercial and associated activity into the environment have been undertaken. For example, for nSiO2, a global production between 100,000 and three million tons per year has been estimated, while for nCeO₂, levels likely reach the upper limit of 10,000 tons per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et al., 2018). The use of nTiO2 for the inhibition of microbial proliferation in food is one of the most important ways to prolong the shelf life of packaged products (Abutalib & Rajeh., 2020). However, a panel from EFSA concluded that E171 (TiO2) can no longer be considered as safe when used as a food additive (EFSA Journal 2021).

Gottschalk et al., (2009) calculated environmental concentrations using a probabilistic LCA (life cycle analysis) of ENM containing products. The authors modelled nTiO2, nZnO, nAg, carbon nanotubes (CNT), and fullerenes for the U.S., Europe, and Switzerland. The concentrations in the environment were calculated through probabilistic density functions and compared to ecotoxicology data. In the simulations, the values ranged from 0.003 ng L-1 (fullerenes) to 21 ng L-1 (nTiO2) for surface waters and from 4 ng L-1 for fullerenes to 4 μ g L-1 for nTiO2 for sewage treatment effluents. In Europe and the U.S., ENMs increased annually in sludge treated soil, and ranged from 1 ng kg-1 for fullerenes to 89 μ g kg-1 for nTiO2 (Gottschalk et al. 2009; Keller et al., 2013; Keller & Lazareva, 2014; Rincon, 2019).

Importantly, quantum dots (QDs), as well as many carbon- and metal-based ENMs, have been shown to produce negative effects on animals and plants as a function of dose, including accumulation, alteration of physiological and biochemical parameters, and reduced growth or yield (Oh et al., 2016; Zuverza-Mena et al., 2017). Quantum dots have shown to enter plant roots and to damage the cell wall, dysregulating metabolism (Marmiroli et al., 2020). While mercaptoacetic acid (MAA)-coated CdSe/ZnS QDs induced minimal toxicity on maize seedlings, pristine cadmium/tellurium (Cd/Te) QDs induced chromatin stress, mitochondrial damage and inhibition on green gram sprouts (*Phaseolus radiatus* L.) growth (Song et al., 2013). There are several routes by which ENM can enter the soil-plant system. These include agricultural application of sewage sludges which often contain nSiO2, nTiO2, nZnO, and nAg; as well as the application of nano-enabled agrochemicals, resulting in the direct entry of nSiO2, nTiO2, nZnO, nFeOx, nCuO, CeO2 and nAg into agricultural soils (Lv et al., 2019; Verma et al., 2022)."

Additional references included:

Gardea-Torresdey J.L., Rico C.M., White J.C. Trophic transfer, transformation, and impact of engineered nanomaterials in terrestrial environments. Environ. Sci. Technol. 2014, 48, 2526–2540.

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Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015,

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Song U., Jun H., Waldman B., Roh J., Kim Y., Yi J., Lee E.J. Functional analyses of nanoparticle toxicity: a comparative study of the effects of TiO2 and Ag on tomatoes (Lycopersicon esculentum) Ecotoxicol. Environ. Saf., 2013. 93, 60-67.

White J.C., Zuverza-Mena N., Elmer W.H. From nanotoxicology to nano-enabled agriculture: Following the science at the Connecticut Agricultural Experiment Station (CAES). Plant Nano Biology, 2022, 1, 100007. Doi: 10.1016/j.plana.2022.100007.

L50-55 Please focus on plants, especially agricultural crops.

We agree with Rev1 give more relevance to the ENMs in agricultural practices. The sentence has been modified and two new references have been introduced.

Lines 52-55: "In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022)."

References added:

Gardea-Torresdey J.L., Rico C.M., White J.C. Trophic transfer, transformation, and impact of engineered nanomaterials in terrestrial environments. Environ. Sci. Technol. 2014, 48, 2526–2540.

White J.C., Zuverza-Mena N., Elmer W.H. From nanotoxicology to nano-enabled agriculture: Following the science at the Connecticut Agricultural Experiment Station (CAES). Plant Nano Biology, 2022, 1, 100007. Doi: 10.1016/j.plana.2022.100007.

L53-54 It is too broad to conclude that the impacts of nanomaterials on biota are poorly understood. There are hundreds of publications investigating this topic.

The sentence, as suggested by Rev1, have been modified in order to be more topic oriented.

Lines 52-55: "In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022)."

L67-68 Please add nCuO, nTiO2, and nZnO. nTiO2 is the most used one among all the others.

Please check [Ref] [Ref] The sentence has been modified as requested.

Lines 67-70: "ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015)."

The references suggested have been also added:

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65–70.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

L77 Can you find any latest references? 2009 is more than ten years ago.

Unfortunately, we have not found any new significant update on permissible concentrations, especially for the European side. From this point of view the cited paper is still maintained as an EU standards. Thus, we prefer to maintain this reference. To provide additional perspective, we included two additional references:

Keller A.A., McFerran S., Lazareva A., Suh S. 2013. Global life cycle releases of engineered nanomaterials. J. Nanopart. Res. 15, 1692–1709.

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65–70.

L92 Please refer to the right article.

We thank the Rev1for the comment, the reference has been properly corrected and added to the references.

Song U., Jun H., Waldman B., Roh J., Kim Y., Yi J., Lee E.J. Functional analyses of nanoparticle toxicity: a comparative study of the effects of TiO2 and Ag on tomatoes (Lycopersicon esculentum) Ecotoxicol. Environ. Saf., 2013. 93, 60-67.

L99 In 1.1, "Once accumulated by plants" You haven't given any background regarding the uptake of ENMs by plants. At least add a few sentences to describe the possibility and give a few examples.

The beginning of the Paragraph, now 1.2, has been edited in order to give more information about the phases before plant accumulation. A new Figure 1 has been also included.

Lines 102-110: "Environmental and soil physico-chemical characteristics may significantly impact on ENMs aggregation, and dissolution, which may modify ENM bioavailability, uptake, translocation, and accumulation into terrestrial plants. In fact, light and temperature may induce potential changes on the ENM structure, such as during foliar spray (Hong et al., 2021). Once within plant tissues, ENM biotransformation may alter particle stability and behaviour in terms of interactions with biomolecules, triggering differential plant defense mechanisms (Ma et al., 2018; Rawat et al., 2018). ENMs are subject to a range of processes that may lead to their partial dissolution or result in structural modifications (Milosevic et al., 2020; Marmiroli et al., 2020). A schematic representation is reported in Figure 1."

New reference added:

Hong J., Wang C., Wagner D.C., Gardea-Torresdey J.L., He F., Rico C.M. 2021. Foliar application of nanoparticles: mechanisms of absorption, transfer, and multiple impacts. Environ. Sci.: Nano, 8, 1196-1210.

Rawat S., Pullagurala V.L.R., Adisa I.O., Wang Y., Peralta-Videa J.R., Gardea-Torresdey J.L. Factors affecting fate and transport of engineered nanomaterials in terrestrial environments, COESH, 2018, 6, 47-53.

L105-107 "biotransformation of nanomaterials lead to the attachment of biological molecules", or does the attachment of biological molecules lead to NPs biotransformation? Or both? Any examples?

The paragraph has been modified in order to avoid misinterpretation. A new Figure 1 has been also introduced.

Lines 110-129: "Nanoparticle biotransformation is a highly complex and poorly understood series of events and has been shown to occur during weathering in the soil, trophic transfer, and translocation within plant tissues. These reactions are highly dynamic and alter the original pristine structure of the nanoparticles in a number of ways, potentially causing the release of ions, but also the consequent restructuring (or destructuring) of the nanoparticle (Servin et al., 2017a). Biotransformation of nanomaterials may rest on the interaction with biological molecules that stabilize their external reactivity, such as peptides including those involved in detoxification, (e.g. glutathione), fatty acids, secondary metabolites, and even components of cell membranes (Marmiroli et al., 2020). Particle properties such as size, stability, charge, and dissolution may strongly influence other biotransformation mechanisms, potentially promoting enzymatic modification and functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et al., 2018; Marmiroli et al., 2020). ENMs may maintain crystal structure when internalized by cells or may be disassembled and converted into less complex structures (by biological modification or chelation), thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 2022). These post-uptake structural modifications involve specific parameters such as bond distance with other atoms or nature of the ligand atoms. In consideration of this, one objective in biotransformation studies is to investigate the physico-chemical forms (e.g., nanocrystal structure) within exposed tissues and to characterize the structural differences within the new biotransformed molecules, including identification of the biomolecules interacting with the ENMs (Castillo-Michel et al., 2017; Marmiroli et al., 2020)."

L110 More citations are needed to support the statement of "properties such as size, stability, charge, and dissolution influence the biotransformation". Besides, plant cultivars can also affect ENMs in planta biodistribution [Ref]

The paragraph has been modified in order to include new references and also the effect of the plant cultivars.

Lines 115-131: "Biotransformation of nanomaterials may rest on the interaction with biological molecules that stabilize their external reactivity, such as peptides including those involved in detoxification, (e.g. glutathione), fatty acids, secondary metabolites, and even components of cell membranes (Marmiroli et al., 2020). Particle properties such as size, stability, charge, and dissolution may strongly influence other biotransformation mechanisms, potentially promoting enzymatic modification and functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et al., 2018; Marmiroli et al., 2020). ENMs may maintain crystal structure when internalized by cells or may be disassembled and converted into less complex structures (by biological modification or chelation), thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 2022). These post-uptake structural modifications involve specific parameters such as bond distance with other atoms or nature of the ligand atoms. In consideration of this, one objective in biotransformation studies is to investigate the physico-chemical forms (e.g., nanocrystal structure) within exposed tissues and to characterize the structural differences within the new biotransformed molecules, including identification of the biomolecules interacting with the ENMs (Castillo-Michel et al., 2017; Marmiroli et al., 2020). It has furthermore to consider how genetic diversity across different plant species and within the same plant species (in different cultivars) may influence the ENM uptake and translocation (Deng et al., 2020)."

New references included:

Deng C., Wang Y., Cota-Ruiz K., Reyes A., Sun Y., Peralta-Videa J.R., Hernandez-Viezcas J.A., Turley R.S., Niu G., Li C., Gardea-Torresdey J.L. Bok choy (*Brassica rapa*) grown in copper oxide nanoparticles-amended soils exhibits toxicity in a phenotype-dependent manner: Translocation, biodistribution and nutritional disturbance. J Haz Mater, 2020, 398, 122978.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

Rawat S., Pullagurala V.L.R., Adisa I.O., Wang Y., Peralta-Videa J.R., Gardea-Torresdey J.L. Factors affecting fate and transport of engineered nanomaterials in terrestrial environments, COESH, 2018, 6, 47-53.

Xu T., Wang Y., Aytac Z., Zuverza-Mena N., Zhao Z., Hu X., Ng K.W., White J.C., Demokritou P. Enhancing Agrichemical Delivery and Plant Development with Biopolymer-Based Stimuli Responsive Core–Shell Nanostructures. ACS Nano 2022, 16, 4, 6034–6048.

L125-147 Please add a few sentences to compare the differences between these synchrotron-based techniques and non-synchrotron-supported ones, For example, u-XRF vs. TEM-EDS. What are the advantages?

According to the Abbe's Law the resolution power of a particle is d.

 $d=\lambda/(2n \sin \alpha)$ In optical microscopy we can approximate d with λ .

In electron microscopy like TEM or SEM we use electrons that are accelerated passing through a potential difference they become thus equivalent to electromagnetic wave, as reported in the scheme.



Therefore, thanks to the De Broglie law we have $\lambda(nm) = 1.22/\sqrt{V}$, where V is the acceleration voltage of the electrons.

In TEM V-= around 30 to 100, thus λ varies between 0.007 nm and 0.004 nm respectively. The smallest of these resolutions are enough to see the electron lattice. With the synchrotron we use photon that do not have mass, therefore the factor 1.22 is substituted by the non-relativistic mass of the electron which is m=5.48579909065(16)×10⁻⁴ Da (according to *The NIST Reference on Constants, Units, and Uncertainty. NIST. 20 May 2019. Retrieved 2020-06-21*), this makes the resolution even smaller and increases the penetration depth into the sample.

The EDX is another thing because it depends on the acceleration voltage of the particle or of the photon. Every Element in the periodic table has its own orbitals energies, and the acceleration voltage allows to excite one or more of these, independently if it comes from a TEM or from a synchrotron. Hence in this respect the two techniques are alike. (Goldstein, Newbury et al Editors. Scanning Electron Microscopy and X-Ray Microanalysis, Third Edition. 2003 Springer Science+Business Media LLC,233Spring Street, New York, NY 10013USA).

The text below has been added as a shortened version of these concepts.

Lines 160-165: "Synchrotron-based techniques use photons, which do not have mass; therefore the factor 1.22 is substituted by the non-relativistic mass of the electron which is $m=5.485 \times 10-4$ Da. This makes the resolution even smaller and increases penetration depth into the sample. On the other hand, EDX depends on the acceleration voltage of the particle or of the photon. Every element has its own orbital energies, and the acceleration voltage allows excitation one or more of these, independently if it comes from a TEM or from a synchrotron (Goldstein et al., 2003)."

A new reference has been included:

Goldstein J.I., Newbury D.E., Echlin P., Joy D.C., Lyman C.E., Lifshin E., Sawyer L., Michael J.R. Editors. Scanning Electron Microscopy and X-Ray Microanalysis, Third Edition. 2003 Springer Science+Business Media LLC, 233 Spring Street, New York, NY 10013 USA.

L195 Add nano delivery system for smart release [Ref]

We thank Rev1 for the suggestion. The reference has been included and the text has been edited accordingly:

Lines 210-215: "Beyond the potential adverse effects upon bioaccumulation from soil or other exposure routes, there is an increasing interest in exploiting the potential positive effects of ENMs on plants, aiming to improve crop yields and quality. A range of mechanisms, including direct use as nanofertilizers (Verma et al., 2022), nanocarriers (Karny et al., 2018), smart delivery systems (Xu et al., 2022) or when in association to plant growth-promoting bacteria, are considered (Prado de Moraes et al., 2021)."

Xu T., Wang Y., Aytac Z., Zuverza-Mena N., Zhao Z., Hu X., Ng K.W., White J.C., Demokritou P. Enhancing Agrichemical Delivery and Plant Development with Biopolymer-Based Stimuli Responsive Core–Shell Nanostructures. ACS Nano 2022, 16, 4, 6034–6048.

L251-267 Only one sentence was describing the synchrotron-based analysis. All the others were biomass or plant physiological responses. What does "limited stability of nLa2O3" mean? What are the results of the u-XRF analysis? Why is it unique compared to the other related analysis?

We thank Rev1 for the comment. The sentence has been clarified.

Lines 272-275: "The limited stability of nLa2O3, as compared to nCeO2, has been confirmed by μ -XRF analysis in *Cucumis sativus* L. through element speciation, dissolution studies in aqueous solution and *in planta*. After 14d treatment, the nCeO2 structure in the roots remains mostly preserved (more than 80%) while pristine nLa2O3 structure was observed at levels below 10% (Ma et al., 2015)."

Considering how Ce and La elemental properties play a fundamental role in their biotransformation, but also how their similarity in term of effects when analyzing the respective bulk forms, we believe that the direct comparison of the two nanoforms by XRF, as supported by data published in literature, could be an aspect to be described, and an interesting explanation for the physiological and molecular outcomes. Information about comparisons with other nanoforms has been reported at level of physiological and molecular analyses, both in terms of individual treatments, but also as a function of binary co-exposure (see Pagano et al., 2016, Pagano et al. 2017), which are reported in the text. This have been done with the aim to combine the potential results from physical and chemical analyses with results from physiological and molecular evidence, thereby providing a more mechanistic overview of the biotransformation processes.

L269 Please add more recently works: [Ref] [Ref]weathering effect in soil The references indicated have been included and discussed.

Lines 312-318: "Interestingly, when either considering the utilization of pristine and coated nTiO2 (hydrophilic or hydrophobic) in carrot (*Daucus carota* L.), responses observed depended mainly on the nTiO2 surface coating, concentration and in soil weathering (Wang et al., 2021a; 2021b). Taproot and leaf fresh biomass and plant height were all increased with exposure, as well as nutrient uptake (Fe in leaves; Mg in taproots; Ca, Zn, K in roots). Conversely, sugar and starch contents were negatively affected, compromising the nutritional quality (Wang et al., 2021b)."

References included:

Wang Y., Deng C., Cota-Ruiz K., Tan W., Reyes A., Peralta-Videa J.R., Hernandez-Viezcas J.A., Li C., Gardea-Torresdey J.L. Effects of different surface-coated nTiO2 on full-grown carrot plants: Impacts on root splitting, essential elements, and Ti uptake. J Haz Mater, 2021a, 402, 123768.

Wang Y., Deng C., Cota-Ruiz K., Peralta-Videa J.R., Hernandez-Viezcas J.A., Gardea-Torresdey J.L. Soil-aged nano titanium dioxide effects on full-grown carrot: Dose and surface-coating dependent improvements on growth and nutrient quality. Scie Tot Environ, 2021b, 774, 145699.

L395-398 Please add more recent works regarding the nCuO-plant interactions. Please check: [Ref] [Ref](in planta biodistribution of nCuO in the particle form and growth related gene expression)

The references indicated have been included and discussed.

Lines 452-464: "The grain of weedy and cultivated rice were differentially impacted by nCuO, bulk or ionic forms, showing also a cultivar-specific and concentration-dependent response. Cu translocation directly influenced plant yield, sugar production, starch content, protein content, and expression of auxin associated genes in grain (Deng et al., 2022b). Analyzing the effect of citric acid (CA) coated copper oxide (CA-nCuO) and its application (foliar spray or soil exposure) on the growth and physiology of soybean (*Glycine max* L.), nCuO appeared to be more accessible for plant uptake, as compared to CA-nCuO, decreasing the protein content, and inhibiting plant growth. CA reduced CuO NPs toxicity, demonstrating that surface modification may change the toxic properties of NPs (Deng et al., 2022c).

Treatment of *Lactuca sativa* L. with nCuO significantly increased biomass as compared to CuO microparticles (Wang et al., 2019). In addition, plants can benefit from nCuO treatment through enhanced defensive pathways, and through direct antimicrobial and antifungal activities (Elmer et al., 2018)."

References included:

Deng C., Wang Y., Navarro G., Sun Y., Cota-Ruiz K., Hernandez-Viezcas J.A., Niu G., Li C., White J.C., Gardea-Torresdey J. 2022b. Copper oxide (CuO) nanoparticles affect yield, nutritional quality, and auxin associated gene expression in weedy and cultivated rice (Oryza sativa L.) grains, Scie Tot Environ, 810, 152260.

Deng C., Wang Y., Cantu J.M., Valdes C., Navarro G., Cota-Ruiz K., Hernandez-Viezcas J.A., Li C., Elmer W.H., Dimkpa C.O., White J.C., Gardea-Torresdey, J.L. 2022c. Soil and foliar exposure of soybean (Glycine max) to Cu: Nanoparticle coating-dependent plant responses, NanoImpact, 26,100406.

Reviewer #2:

1. Please rewrite highlights 1 and 3. The highlight should point out the most critical findings, conclusions, or perspectives in this review.

Highlights have been modified in order to be more representative of the review text:

• Biotransformation is a fundamental phenomenon for understanding ENM-organism response

mechanisms

• Synchrotron-based methodological analyses are critical for investigating ENM

biotransformation

• Biotransformation of ENMs may have positive or negative effects when considering the agri-

food application

2. Half of the text in this review are talking about the synchrotron-based analyses of ENM treated plant samples. However, it was not mentioned in the title, and the abstract only mentioned it once of its "increased use".

We thank Rev2 for the suggestions. Synchrotron-based techniques are becoming more important to comprehend the real physico-chemical forms of ENMs within plants tissues and organs and can give the missing information that we do not have from the physiological and molecular analyses, as suggested by the relevance of some of the most influential publications on this topic cited in the text (Castillo et al., 2017; Hameed et al., 2022). However, synchrotron-based techniques are not the main focus of the review. The mechanism of biotransformation behind the plant response to ENMs is the fundamental point. Synchrotron based techniques are certainly instrumental for shedding light on those mechanisms and the combination of results from physical analyses with physiological and molecular observations can give a more comprehensive picture of what happens during ENMs treatment *in planta*. We are aware of the relevance of Synchrotron based techniques and we decided to give them an appropriate space in the introduction, in the (new) paragraph 1.2.

For these reasons we believe that title represents our work in the proper manner, and we would like to maintain it in the present form, where the emphasis is more on the mechanisms, while the techniques are tools to clarify those mechanisms.

The abstract, on the other hand, has been modified on order to be more informative on the points discussed in the main text:

"Research on engineered nanomaterials (ENMs) exposure has continued to expand rapidly, with a focus on uncovering the underlying mechanisms. The EU largely limits the number and the type of organisms that can be used for experimental testing through the 3R normative. There are different routes through which ENMs can enter the soil-plant system: this includes the agricultural application of sewage sludges, and the distribution of nano-enabled agrochemicals. However, a thorough understanding of the physiological and molecular implications of ENMs dispersion and chronic lowdose exposure remains elusive, thus requiring new evidence and a more mechanistic overview of pathways and major effectors involved in plants. Plants can offer a reliable alternative to conventional model systems to elucidate the concept of ENM biotransformation within tissues and organs, as a crucial step in understanding the mechanisms of ENM-organism interaction. To facilitate the understanding of the physico-chemical forms involved in plant response, synchrotron-based techniques have added new potential perspectives in studying the interactions between ENMs and biota. These techniques are providing new insights on the interactions between ENMs and biomolecules. The present review discusses the principal outcomes for ENMs after intake by plants, including possible routes of biotransformation which make their final fate less uncertain, and therefore require further investigation."

3. The whole introduction (from L41-L96) is talking about the reported environmental exposure of ENM and the effects of quantum dots on plant growth. Please revise this part and be more focused on your main topic. This review aims to summarize the synchrotron-based analysis of the ENM biotransformation in plants and some molecular effects.

We thank Rev2 for the comment. Introduction has been modified in order to be more topic oriented.

Lines 47-99: "Although global food production has generally increased over time, the distribution has been far from equitable, with more than 820 million people having insufficient food and many more consuming low-quality diets leading directly to micronutrient deficiencies (Willett et al 2019; Zhong et al., 2020). In the past 20 years, and particularly in the past decade, engineered nanomaterials (ENMs) have seen dramatically increasing use and had an equally significant impact on ecosystems services and human society. As such, studies focused on the implications associated with their use are critical. In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022).

The interplay between plant growth, dissolution, evaporation, and aggregation are key aspects of the dynamic behavior of ENMs in the environment. Directional aggregation can result in the formation of larger particles with a more complex morphology. However, given the complexity of natural environments, most nanomaterials can be found in hetero-aggregated composites of different inorganic and organic materials (Judy et al., 2012; Ma et al 2018). These aggregates can be very different from original simple pristine morphologies and may even form highly branched structures similar to fractals, all of which subsequently dramatically affect their reactivity and transport (Ma et al., 2018; Huangfu et al., 2019).

Sectors with a large nanomaterial application such as medicine and food production may experience greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013; Zuverza Mena et al., 2017). ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015). Considering the long-time span of use of select materials (1950 to 2050),

efforts to estimate ENMs release through commercial and associated activity into the environment have been undertaken. For example, for nSiO2, a global production between 100,000 and three million tons per year has been estimated, while for nCeO₂, levels likely reach the upper limit of 10,000 tons per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et al., 2018). The use of nTiO2 for the inhibition of microbial proliferation in food is one of the most important ways to prolong the shelf life of packaged products (Abutalib & Rajeh., 2020). However, a panel from EFSA concluded that E171 (TiO2) can no longer be considered as safe when used as a food additive (EFSA Journal 2021).

Gottschalk et al., (2009) calculated environmental concentrations using a probabilistic LCA (life cycle analysis) of ENM containing products. The authors modelled nTiO2, nZnO, nAg, carbon nanotubes (CNT), and fullerenes for the U.S., Europe, and Switzerland. The concentrations in the environment were calculated through probabilistic density functions and compared to ecotoxicology data. In the simulations, the values ranged from 0.003 ng L-1 (fullerenes) to 21 ng L-1 (nTiO2) for surface waters and from 4 ng L-1 for fullerenes to 4 μ g L-1 for nTiO2 for sewage treatment effluents. In Europe and the U.S., ENMs increased annually in sludge treated soil, and ranged from 1 ng kg-1 for fullerenes to 89 μ g kg-1 for nTiO2 (Gottschalk et al. 2009; Keller et al., 2013; Keller & Lazareva, 2014; Rincon, 2019).

Importantly, quantum dots (QDs), as well as many carbon- and metal-based ENMs, have been shown to produce negative effects on animals and plants as a function of dose, including accumulation, alteration of physiological and biochemical parameters, and reduced growth or yield (Oh et al., 2016; Zuverza-Mena et al., 2017). Quantum dots have shown to enter plant roots and to damage the cell wall, dysregulating metabolism (Marmiroli et al., 2020). While mercaptoacetic acid (MAA)-coated CdSe/ZnS QDs induced minimal toxicity on maize seedlings, pristine cadmium/tellurium (Cd/Te) QDs induced chromatin stress, mitochondrial damage and inhibition on green gram sprouts (*Phaseolus radiatus* L.) growth (Song et al., 2013). There are several routes by which ENM can enter the soil-plant system. These include agricultural application of sewage sludges which often contain nSiO2, nTiO2, nZnO, and nAg; as well as the application of nano-enabled agrochemicals, resulting in the direct entry of nSiO2, nTiO2, nZnO, nFeOx, nCuO, CeO2 and nAg into agricultural soils (Lv et al., 2019; Verma et al., 2022)."

Additional references included:

Gardea-Torresdey J.L., Rico C.M., White J.C. Trophic transfer, transformation, and impact of engineered nanomaterials in terrestrial environments. Environ. Sci. Technol. 2014, 48, 2526–2540.

Keller A.A., McFerran S., Lazareva A., Suh S. 2013. Global life cycle releases of engineered nanomaterials. J. Nanopart. Res. 15, 1692–1709.

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65–70.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

Rincon A.M. 2019. Chapter 6 - Presence of nanomaterials on consumer products: food, cosmetics, and drugs. pp. 165-181. Marmiroli N., White J.C., Song J., Eds: In Micro and Nano Technologies, Exposure to Engineered Nanomaterials in the Environment, Elsevier, Amsterdam, The Netherlands.

Song U., Jun H., Waldman B., Roh J., Kim Y., Yi J., Lee E.J. Functional analyses of nanoparticle toxicity: a comparative study of the effects of TiO2 and Ag on tomatoes (Lycopersicon esculentum) Ecotoxicol. Environ. Saf., 2013. 93, 60-67.

White J.C., Zuverza-Mena N., Elmer W.H. From nanotoxicology to nano-enabled agriculture: Following the science at the Connecticut Agricultural Experiment Station (CAES). Plant Nano Biology, 2022, 1, 100007. Doi: 10.1016/j.plana.2022.100007.

4. L66 Please add more appropriate citations. Can you provide any related numbers?

New references have been added in order to give more quantitative information:

Lines 64-70: "Sectors with a large nanomaterial application such as medicine and food production may experience greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013; Zuverza Mena et al., 2017). ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015)."

New references included:

Keller A.A., McFerran S., Lazareva A., Suh S. 2013. Global life cycle releases of engineered nanomaterials. J. Nanopart. Res. 15, 1692–1709.

Keller A.A., Lazareva A. Predicted Releases of Engineered Nanomaterials: From Global to Regional to Local. Environ. Sci. Technol. Lett. 2014, 1, 1, 65–70.

Mitrano D.M., Motellier S., Clavaguera S., Nowack B. Review of nanomaterial aging and transformations through the life cycle of nano-enhanced products, Environment International, 2015, 77, 132-147.

5. L67 and L78 Please define nSiO2, nAg, nZnO, and so forth.

We thank Rev2 for the comment. We included the definition of each ENM cited:

Lines 67-70: "ENMs with the greatest historical use include nanoscale ceria (nCeO2), silica (nSiO2), titania (nTiO2), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 2014; Mitrano et al., 2015)."

6. L71 Please add a citation.

The sentence has been fixed in order to give the proper citation.

Lines 72-75: "For example, for nSiO2, a global production between 100,000 and three million tons per year has been estimated, while for nCeO₂, levels likely reach the upper limit of 10,000 tons per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et al., 2018)."

7. L73 TiO2 or nanoscale TiO2?

The typo has been fixed.

8. You only have one subsection "1.1" in part 1. Please consider merging it into part 1. Or change it to 1.2, and put all the content before it into 1.1.

Paragraph subdivision has been updated, including an initial subsection called "1. Engineered nanomaterial (ENM) biotransformation". The first section is now "1.1. ENMs: from exposure to biotransformation", while the previous section 1.1 is now shifted to 1.2.

9. L101-105 Please check [Ref] [Ref] for factors and mechanisms of the NPs biotransformation, especially the effect of soil weathering on plant responses.

We thank Rev2 for the suggestions. The text has been updated, as reported:

Lines 102-121: "Environmental and soil physico-chemical characteristics may significantly impact on ENMs aggregation, and dissolution, which may modify ENM bioavailability, uptake, translocation, and accumulation into terrestrial plants. In fact, light and temperature may induce potential changes on the ENM structure, such as during foliar spray (Hong et al., 2021). Once within plant tissues, ENM biotransformation may alter particle stability and behaviour in terms of interactions with biomolecules, triggering differential plant defense mechanisms (Ma et al., 2018; Rawat et al., 2018). ENMs are subject to a range of processes that may lead to their partial dissolution or result in structural modifications (Milosevic et al., 2020; Marmiroli et al., 2020). A schematic representation is reported in Figure 1. Nanoparticle biotransformation is a highly complex and poorly understood series of events and has been shown to occur during weathering in the soil, trophic transfer, and translocation within plant tissues. These reactions are highly dynamic and alter the original pristine structure of the nanoparticles in a number of ways, potentially causing the release of ions, but also the consequent restructuring (or destructuring) of the nanoparticle (Servin et al., 2017a). Biotransformation of nanomaterials may rest on the interaction with biological molecules that stabilize their external reactivity, such as peptides including those involved in detoxification, (e.g. glutathione), fatty acids, secondary metabolites, and even components of cell membranes (Marmiroli et al., 2020). Particle properties such as size, stability, charge, and dissolution may strongly influence other biotransformation mechanisms, potentially promoting enzymatic modification and functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et al., 2018; Marmiroli et al., 2020)."

A new reference has been included, as well as an additional Figure (new Figure 1):

Hong J., Wang C., Wagner D.C., Gardea-Torresdey J.L., He F., Rico C.M. 2021. Foliar application of nanoparticles: mechanisms of absorption, transfer, and multiple impacts. Environ. Sci.: Nano, 8, 1196-1210.

Rawat S., Pullagurala V.L.R., Adisa I.O., Wang Y., Peralta-Videa J.R., Gardea-Torresdey J.L. Factors affecting fate and transport of engineered nanomaterials in terrestrial environments, COESH, 2018, 6, 47-53.

The suggested reference related to "Soil-aged nano titanium dioxide effects on full-grown carrot" has been included in the main text in the case studies reported in the paragraph 2.2 as Wang et al., 2021b.

10. L110-113 Besides the listed possibilities, ENMs can also remain as nanoscale particles with/without the loss of surface coatings in plant tissues after being uptaken. Please check [ref]

We thank Rev 2 for the suggestion. The reference has been cited, as reported.

Lines 121-124: "ENMs may maintain crystal structure when internalized by cells or may be disassembled and converted into less complex structures (by biological modification or chelation), thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 2022)."

11. L178-181 Add more latest references. Please check [ref] [ref]

We thank Rev2 for the suggestions. The text has been updated, as reported:

Lines 196-199: "Studies have added significant molecular data on the effects of ENMs exposure in plants (Schwab et al., 2016; Ma et al., 2018). Different results are often observed for the same element as a function of its form or size, i.e. nanostructured, bulk, or ionic species (Pagano et al., 2016; Wang et al., 2022)."

The suggested reference related to "Copper oxide (CuO) nanoparticles affect yield, nutritional quality, and auxin associated gene expression in weedy and cultivated rice" has been included in the text in the case studies reported in the paragraph 2.6 as Deng et al., 2022b.

12. L202 Can you briefly describe Table 1? What is Table 1 for and why are genes listed? Were they significantly affected by the ENM exposure? All the citations listed in Table 1 are related to the author themselves. Please include some works from the others.

Genes reported in Figure 2 (former Figure 1) and Table 1 are some of those that are modulated by the different type of ENMs as reported in the cited studies. Other genes also resulted responsiveness in a ENM-specific manner during exposure and are considered for this reason as potential biomarker of exposure/effect. Moreover, these specific biomarkers are also able to testify how the modulation of the genes in the different organs is in some cases convergent between differential forms (nano, bulk, ion) of the same element. Considering that the concept of nano-specific biomarker has been introduced in some of our research groups papers, we thought to include these references in the Table

1. This was not the case of Table 2, in which papers not related to our research group on the principal evidence of the ENM biotransformation in plant observed by physiological, molecular and synchrotron-based analyses have been included.

Table 1 description has been improved as requested:

"Table 1. Genes as potential biomarkers of exposure/effect observed in roots, leaves and pollen, in different plant species (reported in Figure 2). Genes reported cannot be considered only as modulated in the different plant organs by the different type of ENMs, but also they showed a nano-specificity during the ENM response. It is also important to observe how, depending on the ENM type, biotransformation, and as indirect consequence, the transcriptomic response, can be convergent between different forms (nano, bulk, ion) of the same element (see Figure 2)."

13. L396 According to your reference, "nanofertilizer" should be "nanofungicide or nanofertilizer" Please consider to add more recently works [ref]

The manuscript has been modified and the citation has been included:

Lines 423-424: "Copper oxide nanomaterials (nCuO) are among the most utilized ENMs with plants, including use as a nanopesticide or nanofertilizer (Elmer et al., 2018; Lowry et al., 2019; Xu et al., 2022)."

New reference included:

Xu T., Wang Y., Aytac Z., Zuverza-Mena N., Zhao Z., Hu X., Ng K.W., White J.C., Demokritou P. Enhancing Agrichemical Delivery and Plant Development with Biopolymer-Based Stimuli Responsive Core–Shell Nanostructures. ACS Nano 2022, 16, 4, 6034–6048.

14. L499-500 What kinds of "physical evidence" were obtained through synchrotron-based techniques? What is missing in the current studies?

The sentence has been improved in order to clarify those physical evidence that can be retrieved through synchrotron-based techniques:

Lines 536-541: "Integration of the information from physiological and molecular analyses with physical evidence (e.g., types and number of atoms surrounding the ENM, radial distance between atoms of the interactors and atoms constituting the ENM; ENM crystal structure) obtained through high energy X-ray spectroscopy platforms such as synchrotron-based techniques will enable a more realistic, mechanistic, and systems-level picture of plant response to ENM exposure."

15. Figure: Only one figure was provided in the main text regarding the list of genes being affected by the ENMs. But the main focus of this review is not ENMs affected gene expressions. What happens with ENMs biotransformation and synchrotron analysis?

We thank Rev2 for the comment. We decided to include a new Figure 1, described in the new paragraph 1.2, which schematized the major modifications that may occur during ENMs-soil-plant
interaction. In order to maximize the comprehension of the new Figure 1, a new caption was constructed to explain in details of these modifications and their practical effects during each phase (soil, foliar surface, plant).

New Figure 1 caption:

"Figure 1. Schematic representation of principal effects emerging after biotransformation of ENMs in soil, on foliar surface and within the plant tissues. ENMs in soil, due to particle and soil physicochemical interactions, may remain unmodified, or may undergo to potential dissolution (with ions release), or undergo homo-/hetero-aggregation, which may highly influence particle stability and potential bioavailability (and consequently their uptake). On the foliar surface, temperature and light may also affect particle stability before uptake into the leaf tissues. Once within the plant, ENMs pristine, or modified, can interact with several biomolecule types (peptides, sugars, lipids, nucleic acids, secondary metabolites) leading to phenomena such as coating, enzymatic degradation, chelation or functionalization, which may influence the biotransformed particle at level of translocation, storage or reactivity. These parameters may also influence the interaction within the plant cell, triggering differential responses (*e.g.*, toxicity, oxidative stress, ROS production), which may be indirectly measured by physiological and molecular assays, but directly observed through physical strategies, including synchrotron-based methods."

Highlights

- Biotransformation is a fundamental phenomenon for understanding ENM-organism response mechanisms
- Synchrotron-based methodological analyses are critical for investigating ENM biotransformation
- Biotransformation of ENMs may have positive or negative effects when considering the agri-food application







Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

⊠The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Nelson Marmiroli reports financial support was provided by European Union.

Conceptualization: LP, MM; original draft preparation: LP, MM, RR; review and editing: JCW, NM. All authors revised and agreed on the final version of the manuscript.

2

1 Nanomaterials biotransformation: In planta mechanisms of action

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4	
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15	
15 16	Abstract
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1

27	understanding of the physico-chemical forms involved in plant response, synchrotron-based
28	techniques have added new potential perspectives in studying the interactions between ENMs and
29	biota. These techniques are providing new insights on the interactions between ENMs and
30	biomolecules. The present review discusses the principal outcomes for ENMs after intake by plants.
31	including possible routes of biotransformation which make their final fate less uncertain, and
32	therefore require further investigation Research on engineered nanomaterials (ENMs) exposure has
33	continued to expand rapidly, and with studies focused on uncovering underlying mechanisms are
34	concerned, EU largely limits the number and the type of organisms that can be used so as to support
35	the 3R normative. There are different routes by which ENMs can enter the soil-plant system: this
36	includes the agricultural application of sewage sludges, and the application of nano-enabled
37	agrochemicals, resulting in the direct entry of ENMs into agricultural soil. However, a thorough
38	understanding of the physiological and molecular implications of chronic low dose exposure to
39	engineered nanomaterials remains elusive. Plants can offer a reliable alternative and, in this context,
40	the concept of nanomaterial biotransformation within plant tissues and organs is one of increasing
41	interest. To facilitate understanding of the physico-chemical forms involved in plant respond,
42	synchrotron based techniques have seen increasing use and have added new perspectives on the
43	interactions between ENMs and biota. The review will discuss the principal outcomes related to the
44	ENMs biotransformation in plants and the practical relevance of those findings, as well as the
45	potential applicability of those findings to other biotic species.
46	
47	Keywords: biotransformation, plant, nanomaterials, synchrotron-based analyses, molecular response
48	
49	Highlights

<u>Biotransformation is a fundamental phenomenon for understanding ENM-organism response</u>
 <u>mechanisms</u>

52	• Synchrotron-based methodological analyses are critical for investigating ENM		
53	biotransformation		
54	• Biotransformation of ENMs may have positive or negative effects when considering the agri-		
55	food application		
56	 Biotransformation is fundamental to understanding ENM organism response 		
57	 Synchrotron based analyses are critical to investigate ENM biotransformation 		
58	 Understanding ENM biotransformation is critical to safer by design applications 		
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00			
61	1. Engineered nanomaterial (ENM) biotransformation +		Formatted: List Paragraph, Indent: Left: 0", Hanging: 0.3". Outline numbered + Level: 1 + Numbering Style:
62	From ENM exposure to biotransformation ENMs: from From ENM exposure to	\mathbf{X}	1, 2, 3, + Start at: 1 + Alignment: Left + Aligned at: 0.25" + Indent at: 0.5"
63	biotransformation.	\mathbb{N}	Formatted: Font: (Default) Times New Roman, Bold
64	<u>1.].</u>		Formatted: Font: (Default) Times New Roman, Bold, Italic
65	More than three billion metric tons of crops are produced globally each year in the world, requiring		Formatted: Normal, Numbered + Level: 2 + Numbering Style: a, b, c, + Start at: 1 + Alignment: Left + Aligned at: 0.75" + Indent at: 1"
66	187 million metric tons of fertilizer, nearly 4 million tons of pesticides, 2.7 trillion cubic meters of		Formatted: Font: Italic
67	water (about 70% of all freshwater consumptive use globally), and over two quadrillion British	1/	Formatted: Font: (Default) Times New Roman, Italic
68	thermal units (BTU) of embodied energy (Lowry et al., 2019; Zhong et al., 2020). Although the		Formatted: Font: (Default) Times New Roman, Bold, Italic
60	hanofits of the Green Devolution have enabled consumption of an everage 2,894 least per centre per	U V	Formatted: Font: (Default) Times New Roman, Italic
09	benchis of the Green Revolution have enabled consumption of an average 2,004 Kear per capita per		Formatted: Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, + Start at: 1 + Alignment: Left + Aligneet
70	day in the WHO Countries, conventional agricultural practices are unsustainable, and have directly	l	at: 0" + Indent at: 0.29"
71	led to significant environmental damage (Willett et al., 2019). Although global food production of		
72	calories has generally kept pace with population growth, the distribution has been far from equitable,		
73	with more than 820 million people having insufficient food and many more consuming low-quality		
74	diets leading directly micronutrient deficiencies (Willett et al 2019). In the past 20 years, and		
75	particularly in the past decade, engineered nanomaterials (ENMs) have seen dramatically increasing		
76	use and have had equally significant impact on ecosystems and human society; as such, studies		
77	focused on the implications associated with that use are critical. In fact, it is known that nanomaterials		
I	3		

78	exert important, but often poorly understood, impacts on biota; particular topics of concern include
79	human health, agriculture and food production (Ma et al., 2018).
80	The interplay between growth, dissolution, evaporation, and aggregation are key aspects of the
81	dynamic behavior of nanomaterials in the environment. Directional aggregation can result in the
82	formation of larger particles with complex morphologies. However, given the complexity of natural
83	environments, most nanomaterials are found in heteroaggregated composites of different inorganic
84	and organic materials (Judy et al., 2012; Ma et al 2018). These aggregates can be very different from
85	original simple pristine morphologies and may even form highly branched structures similar to
86	fractals, all of which subsequently dramatically affects reactivity and transport properties (Ma et al.,
87	2018; Huangfu et al., 2019).
88	Sectors with widespread nanomaterial application such as medicine and food production carry a
89	greater risk of ENMs exposure, and associated with these uses is the thousands of tons of ENMs that
90	are discarded into the three main environmental matrices of soil, water, and air (Zuverza Mena et al.,
91	2017). The ENMs with the greatest historical use include nanoscale CeO ₂ (nCeO ₂), nSiO ₂ , and nAg,
92	and as such, release in the environment has been investigated. Given the long time span of use of
93	select materials (1950 to 2050), efforts to estimate ENMs release through commercial and associated
94	activity into the environment have been undertaken. For example, for nSiO2, global production
95	between 100,000 and about three million tons per year has been estimated. For nCeO2, levels likely
96	reach the upper limit of 10,000 tons per year, and for nAg , the literature reflects a production volume
97	below 1,000 tons per year (Giese et al., 2018). Use of TiO2-for inhibition of microbial proliferation
98	in food is one of the most important ways to prolong the shelf life of packaged products (Abutalib &
99	Rajeh., 2020). In addition, a panel from EFSA concluded that E171 can no longer be considered as
100	safe when used as a food additive (EFSA Journal 2021).
101	Gottschalk et al., (2009) calculated environmental concentrations using a probabilistic life cycle
102	analysis of ENM containing products. The authors modelled nTiO2, nZnO, nAg, carbon nanotubes
103	(CNT), and fullerenes for the U.S., Europe, and Switzerland. The concentrations in the environment
1	

104	were calculated through probabilistic density functions and compared to ecotoxicology data. In the
105	simulations, the values ranged from 0.003 ng L ⁴ (fullerenes) to 21 ng L ⁴ (nTiO ₂) for surface waters
106	and from 4 ng L^{+} for fullerenes, to 4 μ g L^{+} for nTiO ₂ for sewage treatment effluents. In Europe and
107	the U.S., ENMs increased annually in sludge treated soil, and ranged from 1 ng kg ⁻¹ for fullerenes to
108	89 μg kg⁻¹ for nTiO₂ (Gottschalk et al. 2009).

109 Importantly, quantum dots (QDs), as well as many carbon and metal based ENMs have been shown 110 to produce negative effects on animals and plants as a function of dose, including accumulation, alteration of physiological and biochemical traits, and reduced growth or yield (Oh et al., 2016; 111 Zuverza-Mena et al., 2017). Quantum dots have been shown to enter plant roots and to damage the 112 113 cell wall and dysregulate metabolism (Marmiroli et al 2020). While mercaptoacetic acid (MAA) coated CdSe/ZnS QDs induced minimal toxicity on maize seedlings, pristine cadmium/tellurium 114 (Cd/Te) QDs induced chromatin stress, mitochondrial damage and inhibition in green gram sprouts 115 116 (Phaseolus radiatus L.) growth (Zuverza - Mena et al., 2017). There are several routes by which ENM 117 can enter the soil plant system. This includes the agricultural application of sewage sludges which 118 often contain nSiO2, nTiO2, nZnO, and nAg; as well as the application of nano-enabled 119 agrochemicals, Although global food production has generally increased over time, the distribution 120 has been far from equitable, with more than 820 million people having insufficient food and many 121 more consuming low-quality diets leading directly to micronutrient deficiencies (Willett et al 2019; 122 Zhong et al., 2020). In the past 20 years, and particularly in the past decade, engineered nanomaterials 123 (ENMs) have seen dramatically increasing use and had an equally significant impact on ecosystems services and human society. As such, studies focused on the implications associated with their use 124 125 are critical. In fact, it is known that ENMs exert important, but not completely understood, effects on 126 biota; a particular topic of concern include the effect on crops, food production, and trophic transfer 127 (Gardea-Torresdey et al., 2014; Ma et al., 2018; White et al., 2022). The interplay between plant growth, dissolution, evaporation, and aggregation are key aspects of the 128

dynamic behavior of ENMs in the environment. Directional aggregation can result in the formation

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130	of larger particles with a more complex morphology. However, given the complexity of natural	
131	environments, most nanomaterials can be found in hetero-aggregated composites of different	
132	inorganic and organic materials (Judy et al., 2012; Ma et al 2018). These aggregates can be very	
133	different from original simple pristine morphologies and may even form highly branched structures	
134	similar to fractals, all of which subsequently dramatically affect their reactivity and transport (Ma et	
135	al., 2018; Huangfu et al., 2019).	
136	Sectors with a large nanomaterial application such as medicine and food production may experience	
137	greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually	
138	discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013;	
139	Zuverza-Mena et al., 2017). ENMs with the greatest historical use include nanoscale ceria (nCeO2),	(
140	silica (nSiO ₂), titania (nTiO ₂), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and	$\langle \langle$
141	nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva,	٦
142	2014; Mitrano et al., 2015). Considering the long-time span of use of select materials (1950 to 2050),	
143	efforts to estimate ENMs release through commercial and associated activity into the environment	
144	have been undertaken. For example, for nSiO ₂ , a global production between 100,000 and three million	(
145	tons per year has been estimated, while for nCeO ₂ , levels likely reach the upper limit of 10,000 tons	
146	per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et	
147	al., 2018). The use of nTiO ₂ for the inhibition of microbial proliferation in food is one of the most	(
148	important ways to prolong the shelf life of packaged products (Abutalib & Rajeh., 2020). However,	
149	a panel from EFSA concluded that E171 (TiO ₂) can no longer be considered as safe when used as a	(
150	food additive (EFSA Journal 2021).	
151	Gottschalk et al., (2009) calculated environmental concentrations using a probabilistic LCA (life	
152	cycle analysis) of ENM containing products. The authors modelled nTiO ₂ , nZnO, nAg, carbon	
153	nanotubes (CNT), and fullerenes for the U.S., Europe, and Switzerland. The concentrations in the	
154	environment were calculated through probabilistic density functions and compared to ecotoxicology	λ
155	data. In the simulations, the values ranged from 0.003 ng L ⁻¹ (fullerenes) to 21 ng L ⁻¹ (nTiO ₂) for	
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156	surface waters and from 4 ng L_{2}^{-1} for fullerenes to 4 μ g L_{2}^{-1} for nTiO ₂ for sewage treatment effluents.	_
157	In Europe and the U.S., ENMs increased annually in sludge treated soil, and ranged from 1 ng kg ⁻¹	
158	for fullerenes to 89 μg kg ⁻¹ for nTiO ₂ (Gottschalk et al. 2009; Keller et al., 2013; Keller & Lazareva,	
159	<u>2014; Rincon, 2019).</u>	
160	Importantly, quantum dots (QDs), as well as many carbon- and metal-based ENMs, have been shown	
161	to produce negative effects on animals and plants as a function of dose, including accumulation,	
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163	Zuverza-Mena et al., 2017). Quantum dots have shown to enter plant roots and to damage the cell	
164	wall, dysregulating metabolism (Marmiroli et al., 2020). While mercaptoacetic acid (MAA)-coated	
165	CdSe/ZnS QDs induced minimal toxicity on maize seedlings, pristine cadmium/tellurium (Cd/Te)	
166	ODs induced chromatin stress, mitochondrial damage and inhibition on green gram sprouts	
167	(Phaseolus radiatus L.) growth (Song et al., 2013). There are several routes by which ENM can enter	
168	the soil-plant system. These include agricultural application of sewage sludges which often contain	
169	nSiO ₂ , nTiO ₂ , nZnO, and nAg; as well as the application of nano-enabled agrochemicals, resulting in	_
 170	the direct entry of nSiO ₂ , nTiO ₂ , nZnO, nFeOx, nCuO, CeO ₂ and nAg into agricultural soils (Lv et	
171	al., 2019; Verma et al., 2022).	
172		
173		
174	Environmental and soil physico-chemical characteristics may significantly impact on ENMs	
175	aggregation, and dissolution, which may modify ENM bioavailability, uptake, translocation, and	
176	accumulation into terrestrial plants. In fact, light and temperature may induce potential changes on	
177	the ENM structure, such as during foliar spray (Hong et al., 2021). Once within plant tissues, ENM	
178	biotransformation may alter particle stability and behaviour in terms of interactions with	
179	biomolecules, triggering differential plant defense mechanisms (Ma et al., 2018; Rawat et al., 2018).	
180	ENMs are subject to a range of processes that may lead to their partial dissolution or result in	

181 <u>structural modifications (Milosevic et al., 2020; Marmiroli et al., 2020). A schematic representation</u>

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182	is reported in Figure 1. Once accumulated by plants, ENMs are subject to a range of processes that
183	may induce partial dissolution or result in structural modifications (Milosevic et al., 2020; Marmiroli
184	et al., 2020). Nanoparticle biotransformation is a highly complex and poorly understood series of
185	events and has been shown to occur during weathering in the soil, trophic transfer, and translocation
186	within plant tissues. These reactions are highly dynamic and alter the original pristine structure of the
187	nanoparticles in a number of ways, potentially causing the release of ions, but also the consequent
188	restructuring (or destructuring) of the nanoparticle (Servin et al., 2017a). Biotransformation of
189	nanomaterials may rest on the interaction with biological molecules that stabilize their external
190	reactivity, such as peptides including those involved in detoxification, (e.g., glutathione), fatty acids,
191	secondary metabolites, and even components of cell membranes (Marmiroli et al., 2020). Particle
192	properties such as size, stability, charge, and dissolution may strongly influence other
193	biotransformation mechanisms, potentially promoting enzymatic modification and functionalization
194	with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et al., 2018;
195	Marmiroli et al., 2020). ENMs may maintain crystal structure when internalized by cells or may be
196	disassembled and converted into less complex structures (by biological modification or chelation),
197	thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 2022). These
198	post-uptake structural modifications involve specific parameters such as bond distance with other
199	atoms or nature of the ligand atoms. In consideration of this, one objective in biotransformation
200	studies is to investigate the physico-chemical forms (e.g., nanocrystal structure) within exposed
201	tissues and to characterize the structural differences within the new biotransformed molecules,
202	including identification of the biomolecules interacting with the ENMs (Castillo-Michel et al., 2017;
203	Marmiroli et al., 2020). Nanoparticle biotransformation is highly complex and poorly understood
204	series of reactions and has been shown to occur during soil weathering, trophic transfer, translocation
205	within plant tissues; these reactions are highly dynamic and alter the original pristine structure of the
206	nanoparticles in a myriad of ways, potentially causing the release of ions but also the consequent
207	restructuring (or destructuring) of the nanoparticle physico-chemical form (Servin et al., 2017a).

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208 Biotransformation of nanomaterials may lead to the attachment of biological molecules that stabilize 209 their external reactivity, such as proteins, fatty acids, secondary metabolites, and even cell membranes 210 (Marmiroli et al., 2020). Particle properties such as size, stability, charge, and dissolution influence 211 biotransformation mechanisms. potentially promoting enzymatic modification and the 212 functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles 213 (Marmiroli et al., 2020). Importantly, ENMs may maintain crystal structure when internalized by 214 cells or may be disassembled and transformed into less complex structures (by biological 215 modification or chelation), thus minimizing toxicity and influencing the risk of their accumulation and translocation. These post-uptake structural modifications involve specific parameters such as 216 217 bond distance with other atoms or nature of the ligand atoms. In consideration of this, one objective 218 in biotransformation studies is to investigate the physico-chemical forms (e.g., nanoerystal structure) 219 within exposed tissues and to characterize the structural differences evident in the 220 biotransformed molecules, including identification of the key biomolecules interacting with the ENM 221 (Castillo Michel et al., 2017; Marmiroli et al., 2020). It has furthermore to consider how genetic 222 diversity across different plant species and within the same plant species (in different cultivars) may 223 influence the ENM uptake and translocation (Deng et al., 2020).

Some of the more interesting discoveries on the biotransformation and localization of metal based-ENMs into plants have been achieved with to the synchrotron-based techniques of imaging, elemental speciation, and atomic neighbors' identification. This transformation will be also influenced by the environment, these reactions of the same particle will be different on the leaf surface, in the mesophyll, in the vascular tissue, in different organelles, in the roots and in the rhizosphere (Sarret et al. 2013; Castillo-Michel et al., 2017).

Micro-X-Ray Fluorescence (µ-XRF) and micro-X-Ray Adsorption Spectroscopy (µ-XAS) K-, L- or
L_{III}-edge EXAFS and XANES spectra have be used to study the biotransformation of coated
nanomaterials present in plants and soil (Lopez-Moreno et al., 2010; Judy et al., 2012). µ-XRF is
used for qualitative elemental analysis of heterogeneous biological samples. The interaction of the

234 sample with high-energy X-ray radiation, which leads to X-ray absorption and emission of the 235 fingerprint X-ray spectra for each element, is the key feature of this powerful analytical method. The 236 absorption/excitation effect and relaxation process lead to atoms emitting fluorescence photons 237 characterized by elemental specific energy (Chebakova et al., 2021). Extended X-ray absorption fine 238 structure (EXAFS) is a technique that utilizes oscillations of the X-ray absorption coefficient on the 239 high-energy side of an absorption edge. Such oscillations can reach up to 1000 eV above the edge 240 and may have a magnitude of 10% or more. In addition, it is necessary to consider that atoms are not stationary. Thermal vibrations will obscure the EXAFS oscillations, and in the harmonic 241 242 approximation, this can be accounted for by considering a Debye-Waller-type term. This led to a 243 great improvement in the theoretical understanding of EXAFS and it is now established that a single 244 scattering short-range order theory is adequate under most circumstances (Gurman, 1995). In 245 addition, electrons that have undergone inelastic losses will not interference in the process. This is 246 considered by an exponential damping term. It is the limited range of the photoelectrons in the energy 247 region of interest 50-1000 eV that allows for a short-range order description of EXAFS also in 248 crystalline materials (Gurman, 1995). The region which includes the pre-edge, edge-jump and post-249 edge to approximately 30-50 eV is referred to as the X-ray Absorption Near Edge Structure 250 (XANES), which describes the structural component of the X-ray absorption near-edge as an 251 extension of the EXAFS, largely due to the long mean free path of the photoelectron and the 252 dominance of high order multiple scattering contributions (Gräfe et al., 2014). 253 Synchrotron-based techniques use photons, which do not have mass; therefore the factor 1.22 is

<u>substituted by the non-relativistic mass of the electron which is m=5.485-10⁻⁴ Da. This makes the</u>
<u>resolution even smaller and increases penetration depth into the sample. On the other hand, EDX</u>
<u>depends on the acceleration voltage of the particle or of the photon. Every element has its own orbital</u>
<u>energies, and the acceleration voltage allows excitation one or more of these, independently if it</u>
<u>comes from a TEM or from a synchrotron (Goldstein et al., 2003).</u>

259	From the perspective of application, μ -XRF can provide information on the presence and localization
260	of specific elements within tissues, while XANES and EXAFS spectroscopy can provide information
261	related to the valence state and coordination environment of the element of interest, as well as the
262	molecular species present in the sample. The use of μ -XRF and μ -XANES for the analysis of
263	nanoparticles in plants have been thoroughly reviewed by Castillo-Michel et al. (2017).

Importantly, these powerful methodologies open the possibility to mechanistically address many important environmental issues, such as the chemical activities of environmental pollutants, to trace environmental elemental cycles, element speciation in complex matrices, and to characterize the natural/anthropogenic complex matrixes that are not amendable for standard analytical and structural analyses (Puri et al., 2019). In many synchrotrons around the world, there is increasing use of dedicated beamlines to study environmental and plant tissues exposed to contaminants such as ENMs (Proux et al., 2017; d'Acapito et al., 2019).

271

272 Theis present review aims to describe the current understanding of metal based-ENMs 273 biotransformation mechanisms in plants, and plants and focuses on correlating available physiological 274 and molecular data with the information obtained by synchrotron-based techniques. This evaluation 275 not only highlights biotransformation as one of the major driving forces mediating the biological 276 effects of ENMs on plants, but also offers some perspective on intentional and safer-by-design 277 strategies that can ensure more sustainable application of these materials. Moreover, the study on 278 plants is instrumental to the application of the REACH normative within European Union for 279 toxicological and ecotoxicological studies (Replacement, Reduction and Refinement). Plants are 280 higher eukaryotes, characterized by large nuclear genomes and organellar genomic information 281 (within chloroplasts and mitochondria) that provide an effective model for many complex species 282 (Chang et al., 2016).

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284

285 2. Physiological and molecular effects as indirect evidence of ENM biotransformation in plants 286 The physiological behaviour and related molecular pathways of response are important to 287 characterizing and understanding ENM biotransformation. An important part of this involves 288 comparing the effects of a nanomaterial with that of the bulk and ionic counterparts, as well as by 289 investigating different exposure times and by exposing different plant organs and tissues (Schwab et 290 al., 2016; Marmiroli et al., 2021). Studies have added significant molecular data on the effects of 291 ENMs exposure in plants (Schwab et al., 2016; Ma et al., 2018). Different results are often observed 292 for the same element as a function of its form or size, i.e. nanostructured, bulk, or ionic species (Pagano et al., 2016; Wang et al., 2022). A number of important studies have added significant 293 294 molecular understanding to the effects of ENMs exposure in plants (Schwab et al., 2016; Ma et al., 295 2018). Different results are often observed for the same element as a function of form or size; i.e. 296 species (Pagano et al., 2016). Detailed study of differential nanostructured bulk or ionic 297 transcriptional regulation, protein abundance or metabolomic profiling (Huang et al., 2018; 298 Majumdar et al., 2019; Gallo et al., 2021) are critical to demonstrating the nano-specificity of plant 299 response. The study of organellar genome stability and the related stoichiometric variations during 300 ENM treatment has also provided important mechanistic insight into plant to ENM exposure (Pagano 301 et al., 2022). Advanced synchrotron-based techniques may either help to systematically understand 302 the nano-bio interactions, with regard to physical and chemical reactions at the biomolecular surface: 303 biomolecules may interact with ENMs, generating biomolecular corona, which change the ENM 304 surface properties, and interfere with its functionality/reactivity (Hameed et al., 2022). 305 Regarding the physiological effects of ENMs on plants of agronomic interest, these studies have 306 provided a better understanding of the specific properties of the ENMs that may enable sustainable 807 use in the agrifood sector. Beyond the potential adverse effects upon bioaccumulation from soil or

809 ENMs on plants, aiming to improve crop yields and quality. A range of mechanisms, including direct

other exposure routes, there is an increasing interest in exploiting the potential positive effects of

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use as nanofertilizers (Verma et al., 2022), nanocarriers (Karny et al., 2018), smart delivery systems

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811 (Xu et al., 2022) or when in association to plant growth-promoting bacteria, are considered (Prado de Moraes et al., 2021). Beyond the potential adverse effects upon accumulation from the soil or other 812 813 exposure routes, there is increasing interest in exploring the potential positive effects of nanomaterials 814 on plants, aiming to improve crop yields and productivity by a range of mechanisms, including direct 815 as nanofertilizers (Verma et al., 2022), nanocarriers (Karny et al., 2018), or when in association 816 to plant growth promoting bacteria (Prado de Moraes et al., 2021). In addition, ENMs may act 317 indirectly by protecting plants from biotic (e.g., nanopesticides) or abiotic stressors (e.g., wastewater 318 and soil treatment) (Liu et al., 2015; Kah et al., 2018; Kumari et al., 2019). Due to the many variables 319 involved, it is essential to obtain robust safety data regardless of the end use: ENM type, the modes 320 and time of exposure, concentrations tested, and the plants used are all important considerations. Any 321 recurring effects that occur under these different conditions are of particular interest and are explored below (see Figure 24 and Tables 1). Table 2 summarizes the major outcomes related to metal based-822 323 ENM biotransformation in plants, including the principal mechanisms involved, and the major 324 physiological and molecular insights observed from exposure.

325

326 *2.1. Lanthanides based ENMs*

327 Cerium Oxide (nCeO₂) has shown significant potential for agricultural applications, largely due to its 328 properties as an ROS scavenger (Ma et al., 2015; Servin et al., 2017b). While CeO₂ as a bulk crystal 329 mainly consists of Ce(IV), the reduction to nCeO₂ significantly enhances the relative amount of 330 Ce(III), resulting in a higher catalytic effects comparable to the capabilities of a biological antioxidant 331 (Eriksson et al., 2018). Servin et al. (2017b) used µ-XRF and µ-XANES to analyze the interactions 332 between nCeO2 and different biochars in soil, observing that much of the Ce remained in nCeO2 form 333 within the plant tissues. The dissolution rate of the nanoform can increase in acidic environments to 334 generate Ce(III), as reported by Hernandez-Viezcas et al. (2013) who analyzed in Glycine max L. the 335 effects of nCeO₂ (1000 mg L⁻¹, 48d exposure). Results have been confirmed by Rui et al. (2015), who 336 used XANES on exposed cucumber (Cucumis sativus L.) tissues (2000 mg L⁻¹, 21d exposure) to

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337	observe nCeO ₂ association with phosphate. These properties highly impact not only reactivity but
338	also nCeO ₂ translocation. In zucchini (<i>Cucurbita pepo</i> L.), treated with 500 mg L^{-1} of nCeO ₂ , the
339	nanoform is mainly present in the roots and stems, with limited translocation to the leaves (Pagano et
340	al., 2016). However, co-contamination with other ENMs (e.g., CdS QDs) under same experimental
341	conditions resulted in increased translocation to the shoots from 1000 to 3000 mg kg ⁻¹ (Pagano et al.,
342	2017). Similar results have been reported in several plant species: for example, Rossi et al (2017)
343	$nCeO_2$ under co-exposure with ionic Cd in soybean (<i>Glycine max</i> L.) showed an altered (1-2 fold
344	increased) translocation to the shoots. Interestingly, bulk CeO_2 translocation resulted similar to the
345	nano-form, whereas ionic Ce was translocated in greater amounts to the shoots (Pagano et al., 2016).
346	This analysis was supplemented with molecular data; the transcriptional profiles were evaluated in
347	C. pepo and S. lycopersicum as a function of nCeO ₂ , nLa ₂ O ₃ and nCuO exposure and were compared
348	with bulk and ionic forms using a set of 38 genes based on the A. thaliana orthologs as potential
349	biomarkers of exposure/effects (Marmiroli et al., 2014). The responses observed were generally
350	different in term of up- or down-regulation as a function of Ce form (Pagano et al., 2016). Of
351	particular interest are impacts on the chloroplast are the PetL and PSBN genes, which encode for a
352	structural component of the cytochrome $b_6 f$ complex and low molecular weight protein located on
353	thylakoid membrane as a component of the photosystem II (PSII), respectively (Figure 24, Table 1).
354	These two chloroplastic genes were differentially expressed across nano-, bulk, and ionic forms. A
355	similar trend was also evident for $n\mathrm{La}_2\mathrm{O}_3$ and $n\mathrm{CuO}$ exposure scenarios. Interestingly, by analyzing
356	the effects on chloroplast and mitochondrial genomes in A. thaliana in terms of copy number, the
357	effects of $nCeO_2$ and $CeCl_3$ exposure were rather limited as compared to the untreated control, which
358	is in agreementagrees with the limited translocation to the shoots (Pagano et al., 2022). With regard
359	to proteomic analysis, Majumdar et al. (2015) conducted a quantitative proteomic analysis of kidney
360	beans (Phaseous vulgaris L.) seeds after nCeO2 exposure and reported that the major seed proteins
361	associated with nutrient storage (phaseolin) and carbohydrate metabolism (lectins) were significantly

reduced by nCeO₂ (62.5-500 mg kg⁻¹, 50d exposure) in a dose dependent manner. Interesting, the
plants did not exhibit overt toxicity.

In fact, at the physiological level cerium-based nanoparticles generally do not produce phytotoxicity (Ma et al., 2015; Rui et al., 2014; Lizzi et al., 2020; Rodrigues et al., 2021), though some have highlighted a positive impact on biomass and on physiological indicators such as chlorophyll and photosynthesis at selected doses (Rossi et al., 2017; Gui et al., 2017). Another important aspect of nCeO₂ seems to involve enhance tolerance to saline stress, leading to improved phenotypic and enzymatic performances and ROS elimination in seeds priming (An et al., 2020; Liu et al., 2021; Hassanpouraghdam et al., 2022; Chen et al., 2022).

371 Compared to nCeO₂, nanoscale lanthanum oxide (nLa₂O₃) exhibits lower stability, increased ion 372 dissolution, greater translocation from roots and shoots, all of which seems to lead to higher 873 phytotoxicity. The limited stability of nLa2O3 has been confirmed by µ-XRF analysis performed in 874 *Cucumis sativus* L. (Ma et al., 2015). The limited stability of nLa_2O_3 , as compared to $nCeO_2$, has 875 been confirmed by µ-XRF analysis in Cucumis sativus L. through element speciation, dissolution 876 studies in aqueous solution and in planta. After 14d treatment, the nCeO₂ structure in the roots 877 remains mostly preserved (more than 80%) while pristine nLa₂O₃ structure was observed at levels 878 below 10% (Ma et al., 2015).

379 Interestingly, co-contamination with nCeO₂ strongly reduces the uptake of nLa₂O₃ (Pagano et al., 380 2017). The different behaviour of the two ENMs was evident in the transcriptomic profile: only 7 out of 38 genes were commonly modulated between $nCeO_2$ and nLa_2O_3 ; these genes were involved in 381 382 primary metabolic functions, protein synthesis and stress response (Pagano et al., 2016). Several 383 publications using different model plants were compared, and the reported effects due to nLa₂O₃ 384 exposure in soil include reduction in root and leaf biomass (Ma et al., 2015), decreased transpiration 385 (Yue et al., 2019), decreased photosynthesis (Xiao et al., 2021) and reduced pigment concentration 386 (Neves et al., 2019). The decrease in photosynthetic activity is also reflected by altered root 387 morphology, including root cracking (Xiao et al., 2021) and the presence of apoplastic barriers (Yue

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et al., 2019). Interestingly, the adverse effect of nLa₂O₃ on plant biomass was alleviated under binary exposure combinations with ENMs such as nCuO and nZnO (Pagano et al., 2017). As ideal case study, information on RedOx state and potential translocation of lanthanide-based nanoforms within plant tissues become fundamental in the mechanistic understanding on the physiological and molecular effects, with regard to application in the agrifood sector.

393

394 2.2. Titanium oxide ENM

395 Titanium dioxide (nTiO₂) has been largely studied as a potential environmental and agricultural 396 contaminant (Servin et al., 2012; 2013). nTiO₂ has demonstrated a high stability, both in anatase and 397 rutile crystal form (Servin et al., 2012). Translocation of nTiO₂ (0-750 mg kg⁻¹, 150d exposure) from 398 soil to roots and to shoots in C. sativus is generally limited, though the two crystal structures were 399 evident in the leaf trichomes and fruit by µ-XANES spectra (Servin et al., 2013). Ruotolo et al. (2018) 400 analyzed and reviewed the molecular responses of A. thaliana and other model species to nTiO₂ and 401 reported that exposure triggers an abiotic stress response at the transcriptomic level, involving ROS 402 detoxification systems, triterpenoid and phenylpropanoid metabolism, and hormone signaling 403 pathways involving in the response to salicylic acid, jasmonic acid, ethylene, and brassinosteroids. 404 At the post-transcriptional level, several miRNAs were strongly modulated, including miR395 and 405 miR399 as key regulators of plant adaptive responses to nutrient starvation (Pagano et al., 2021). 406 Thus, the ability of nTiO₂ to modulate ROS signaling is particularly effective under abiotic stress 407 conditions. Here, the presence of this ENMs enhances plant physiological parameters by stimulating the activation of several defense mechanisms. Several studies (in plants such as C. sativus, S. 408 409 lycopersicum, V. faba) have shown that in both saline soils and under drought conditions, the addition 410 of nTiO₂ increases root length, plant biomass, and other parameters such as H₂O₂ level, antioxidant 411 activity, sugar content, and chlorophyll amount (Servin et al., 2012; Nasir Kahn, 2016; Abdel Latef 412 et al., 2018; Mustafa et al., 2021). However, higher concentrations can result in phytotoxicity, likely 413 due to aggregation and subsequent excessive ROS production (Mattiello et al., 2015; Gohari et al.,

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	114	2020). However ROS are "double blade" sword because they can also trigger produiction of defensive
4	115	molecules as shown recently by Castro et al., (2021). Interestingly, when either considering the
4	116	utilization of pristine and coated nTiO ₂ (hydrophilic or hydrophobic) in carrot (<i>Daucus carota</i> L.),
4	117	responses observed depended mainly on the nTiO2_surface coating, concentration and in soil
4	118	weathering (Wang et al., 2021a; 2021b). Taproot and leaf fresh biomass and plant height were all
4	119	increased with exposure, as well as nutrient uptake (Fe in leaves; Mg in taproots; Ca, Zn, K in roots).
4	120	Conversely, sugar and starch contents were negatively affected, compromising the nutritional quality
4	121	(Wang et al., 2021b).
4	122	

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424 2.3. Gold and silver nanoparticles

423

425 Similar to nTiO₂, gold nanoparticles (nAu) are highly stable in plants: nAu remained mostly as Au⁰ 426 within the plant tissues (Nicotiana tabacum L. cv. Xanthi nc.-), even if accumulated and translocated 427 (Sabo-Attwood et al., 2011). Specifically, XANES analyses demonstrated that nAu maintained its 428 nanoparticle structure without any biotransformation or ionic release. There are no actual uses for 429 gold nanoparticles and plants, it just used as a tool to study NP-plant interactions. nAu levels in 430 biosolids would ever be high enough to be considered phytotoxic. It is known that nAu toxicity 431 depends on concentration, particle size and shape: nAu with a smaller particle size (3.5nm, 432 concentration of 48 mg L⁻¹) were evenly biodistributed across the plant in comparison with the 433 18.5nm nAu (in a concentration of 76 mg L⁻¹), even leading to the formation of necrotic leaf lesions 434 and plant death after 30 days (Sabo-Attwood et al., 2011). Other studies have shown that nAu 435 exposure improved radical scavenging and antioxidant enzymatic activities and modulated miRNA 436 expression implicated plant abiotic stress response (miR398, miR408). In particular, the regulation 437 of superoxide dismutase (SOD) led to an increased ROS scavenging activity, root elongation, 438 seedling growth, and seed yield (Arora et al. 2012; Kumar et al., 2013; Siddiqi & Husen, 2017).

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439 Given the widespread commercial utilization and environmental relevance (e.g., wastewater 440 treatment; fertilization) of silver nanoformulations (nAg), the effect on plant species has been a topic of robust study. Stegemeier et al. (2015) analyzed the nAg and nAg₂S speciation in Medicago sativa 441 L., demonstrating that nAg accumulates in the root elongation area but that nAg₂S remains adhered 442 to the root surface; Ag ions accumulate more uniformly throughout the root tissues. Notably, the Ag 443 444 accumulation in the root apoplast was determined by XRF. The presence of nAg in root cell walls 445 demonstrated the uptake of partially dissolved nAg and translocation along the apoplast. Larue et al. 446 (2014) localized and determined nAg speciation in L. sativa after foliar spray treatment through µ-447 XRF and µ-XAS techniques; the authors reported that nAg was able to cross the foliar cuticle, 448 penetrating in the leaf tissue through the stomata. Moreover, nAg biotransformed through oxidation 449 and complexation with thiol-containing molecules such as glutathione (GSH). These findings 450 correlated well with the transcriptomics analyses of A. thaliana exposed to different types of nAg: plant response included defensin-like proteins, plant thionin, β-glucosidases, cytochrome P450 451 452 proteins, and glutathione-S-transferase (GST) members (Kaveh et al., 2013). Although some studies 453 point out that the morphological and physiological effects of nAg exposure were strictly dependent 454 on particle size and concentration and that sublethal concentrations may have also beneficial effects 455 (Wang et al., 2013; Syu et al., 2014), most of reports demonstrated reduced root elongation and shoot biomass, together with decreased levels of chlorophyll, pigments, micronutrients, and increased level 456 of ROS and activity of enzymes involved in the oxidative stress response (Yin et al., 2011; Zuverza-457 458 Mena et al., 2016; Yang et al., 2018; Lahuta et al., 2022).

459

460 2.4. Iron-based ENMs

461 Iron-based nanomaterials, including iron oxides (nFeOx) and zero valent iron (nZVI), have been 462 investigated in plant systems and the reports highlight two major routes of entry: i) a reductive and 463 proton-promoted process able to modify the structure of the ENM or ii) through the secretion of plant 464 transporters (*e.g.*, phytosiderophores) with a high affinity for Fe (III) (Morrissey & Guerinot, 2009). Formatted: Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Indent at: 0.25" 465 Dwivedi et al. (2018) investigated nZVI exposure in C. sativus and reported that transformed nZVI was stored in the root cell membrane and vacuoles of the leaf parenchyma. XAS identified ferric 466 citrate and iron (oxyhydr)oxides as the main transformation products in roots and shoots, albeit in 467 468 different proportions. The major pathways of nZVI biotransformation invovle interaction with low 469 molecular weight organic acid ligands and on the dissolution/precipitation of the mineral products. 470 Transcriptional analyses performed on H+-ATPase genes (CsHA1, CsHA2) showed an upregulation 471 of these genes upon nZVI exposure (and relative root acidification), indicating that the plant-472 promoted transformation of nZVI can be driven by protons released by the roots.

473 A separate study investigated the effects of nFe_2O_3 and nFe_3O_4 on A. thaliana, highlighting 474 differences in the response between nanoparticle forms and metal salts through a nanoscale-specific 475 response pathway involving energy production and oxidative stress. The differential response was 476 ascribed to the ENM and the metal salt dissolution rates and the toxicity of the metal ion, which is 477 more compatible with biotransformation processes in the plant tissues. Importantly, specific effects 478 on plastid and mitochondrial genomes were evident, with nFeOx causing a 1- to 3-fold increase in 479 ptDNA and mtDNA copy numbers depending on the stability of the nanoform utilized (Pagano et al., 480 2022).

481 Given their widespread application in soil and water remediation, a primary concern with iron 482 nanoparticles is a potential toxicity from excessive accumulation in the environment. However, 483 several studies have shown that plant exposure to this type of nanoparticle does not result in phytotoxicity. For example, Dwivedi et al. (2018) evaluated the potential environmental impact of 484 nZVI on C. sativus in soil and in hydroponic culture, and reported no instances of reduced plant 485 486 biomass even at the highest doses (from 250 to 1000 mg L⁻¹) and for O. sativa, the low doses (50-500 487 mg L^{-1}) of nZVI and nFe₃O₄ improved plant growth (Li et al., 2021). The use of this nanomaterial as 488 a soil conditioner for remediation of metal-contaminated soils is confirmed by the demonstration of improved plant growth in Cd-contaminated soils (Rizwan et al., 2019; Manzoor et al., 2021); 489

490 mechanistically, this involves limiting cadmium translocation and the promotion of antioxidant 491 activity.

492 In summary, the extent and the degree of biotransformation of nZVI, which consists in the 493 biochemical alteration of chemical compounds within a living tissue, are reflected in the physico-494 chemical properties, macromolecular interaction, and biologically mediated pathways observed.

495

496 2.5. Zinc-based ENMs

497 Zinc-based nanomaterials have been applied to plants to increase food safety, promote food 498 production and enhance sustainability by reducing oxidative stress symptoms induced by abiotic 499 stressors (Faizan et al., 2021). nZnO is characterized by a low stability, and a high dissolution rate 500 (Lv et al., 2021). Hernandez-Viezcas et al. (2013) exposed Glycine max L. to nZnO (500 mg kg⁻¹, 501 48d exposure): μ-XRF analysis showed no detectable ZnO NPs within the tissues, while μ-XANES 502 data showed O-bound Zn in a form resembling Zn citrate. Lv et al. (2015) studied the effects of nZnO 503 in Z. mays L. and used µ-XANES to demonstrate that the majority of accumulated Zn was derived 504 from Zn²⁺ released from the nanoparticles and was accumulated mainly as Zn phosphate in epidermis, 505 cortex, and root tip cells. The results were correlated to transcriptomic analyses in which gene 506 ontology (GO) performed in nZnO-exposed A. thaliana revealed significant commonalities with the response to Zn^{2+} ions, particularly with proteins involved in metal binding, transport, metal 507 508 homeostasis and detoxification. This suggests that Zn ion release by nZnO is a key in mediating the 509 overall effect on plant species (Landa et al., 2015). These findings have been extended to other 510 species, such as C. pepo L.; here nZnO treatment was shown to modulate genes that encode for 511 transporters of heavy metals, cellular response to abiotic stress, decreased chlorophyll production, 512 and induction of secondary metabolite biosynthesis (Pagano et al., 2017).

513 In recent years other forms of Zn-based nanomaterials have been tested for a potential plant 514 remediation purpose, such as ZnS QDs (Imperiale et al., 2022). An analysis of the effect of ZnS QDs 515 and ionic Zn exposure on mitochondrial and plastid genome copy number demonstrates that both Formatted: Indent: Left: -0.01", Hanging: 0.3", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Indent at: 0.25"

516	increase by 1 to 3-fold), but that ZnS QDs dissolution alone does not explain the phenomenon; this
517	suggests that ZnS QDs biotransformation may occur within the plant tissues and organs to a form
518	more similar to ionic than nanoscale Zn (Pagano et al., 2022). Zinc-based nanomaterials have also
519	shown interesting properties as nanofertilizers, including mitigating abiotic and biotic stress (e.g., salt
520	stress, infections), regulating micronutrient uptake, improving water use efficiency, and promoting
521	detoxification of heavy metals (Akhtar et al., 2021; Zafar et al., 2022). Under drought conditions, the
522	nZnO (5 mg kg ⁻¹) significantly increased grain yield in sorghum (<i>Sorghum vulgare</i> Moench) and fruit
523	yield in eggplant (Solanum melongena L.), respectively by 22-183% and 12-23% (Dimkpa et al.,
524	2019; Semida et al., 2021).

525

526 2.6. -Copper oxide

527 Copper oxide nanomaterials (nCuO) are among the most utilized ENMs with plants, including use as 528 a nanopesticide or nanofertilizer (Elmer et al., 2018; Lowry et al., 2019; Xu et al., 2022). Copper 529 oxide nanomaterials (nCuO) are one of the most utilized ENMs with plants, including use as a nanofertilizer (Elmer et al., 2018; Lowry et al., 2019).- nCuO dissolution within the plant tissues has 530 531 been demonstrated (in C. pepo), and this was shown to depend not only on uptake, and translocation, 532 but also on the interaction with important biomolecules (Tamez et al., 2019; Marmiroli et al., 2021). 533 EXAFS (Marmiroli et al., 2021) demonstrated that the local Cu environment in the higher shells 534 shows small differences between roots and flowers. A second Cu-O shell path was present in both 535 flowers and roots; a Cu-Cu bond was also observed in roots, butroots but was not observed in flowers. 536 A full transcriptomics analysis by RNAseq was performed to highlight the differential responses 537 between nano-, bulk and ionic forms in roots, leaves and pollen (Marmiroli et al., 2021). The results 538 highlighted the nano-specificity of the responses; the modulated genes (significantly up- or down-539 regulated genes) observed were more significant in the roots and decreased with translocation to 540 leaves and pollen. However, the portion of the response common to the three Cu forms tested was 541 shown to increase following the translocation from roots to shoots (Marmiroli et al., 2021). A

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relevant biomarkers observed in different plant species, is presented in Figure <u>2</u>4 (details reported in
Table 1).

545 Additional data was presented by Servin et al. (2017a), who studied nCuO weathering in Lactuca 546 sativa L.: lettuce was exposed to unweathered and 70d-weathered nCuO, and corresponding bulk and 547 ionic form (0-400 mg kg⁻¹) for 70 d in soil. To assess nCuO trophic transfer, leaves were fed to 548 crickets (Acheta domestica L.) as primary consumer, followed by insect feeding to lizards (Anolis 549 carolinensis L.) as secondary consumer, in both cases for 15d. The authors used µ-XANES to show 550 that Cu(II) was reduced to Cu(I) within the plant roots, and used a transcriptional analysis of to show 551 that several biomarkers, including CCH and COPT5, which encodes for a copper chaperon and a 552 copper ion transporter, respectively, were significantly decreased by weathering.

In spite of being widely used, results regarding the physiological effects upon nCuO exposure are rather discordant. For example, Deng et al. (2022<u>a</u>) reported that, unlike the bulk counterpart, nCuO (0-600 mg kg⁻¹ of soil) does not produce toxicity in rice (*O. sativa*), but rather improves the supply of essential elements, including increasing content of sugar and starch, as well as overall yield.

557 The grain of weedy and cultivated rice were differentially impacted by nCuO, bulk or ionic forms,

558 showing also a cultivar-specific and concentration-dependent response. Cu translocation directly

559 influenced plant yield, sugar production, starch content, protein content, and expression of auxin

associated genes in grain (Deng et al., 2022b). Analyzing the effect of citric acid (CA) coated copper

561 <u>oxide (CA-nCuO) and its application (foliar spray or soil exposure) on the growth and physiology of</u>

562 soybean (*Glycine max* L.), nCuO appeared to be more accessible for plant uptake, as compared to

63 CA-nCuO, decreasing the protein content, and inhibiting plant growth. CA reduced CuO NPs

564 <u>toxicity</u>, demonstrating that surface modification may change the toxic properties of NPs. (Deng et

565 <u>al., 2022c).</u>

566 Treatment of Lactuca sativa L. with nCuO significantly increased biomass as compared to CuO

67 microparticles (Wang et al., 2019). In addition, plants can benefit from nCuO treatment through

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568 enhanced defensive pathways, and through direct antimicrobial and antifungal activities (Elmer et al., 569 2018). Similarly, L. sativa treatment with nCuO significantly increased biomass relative to CuO 570 microparticles (Wang et al., 2019). In addition, nCuO can benefit plants through enhanced defensive 571 pathways, as well as through direct antimicrobial and antifungal activities (Elmer et al., 2018). For 572 example, exposure of nCuO to Solanum lycopersicum increased root and stem length, leaf number, 573 and chlorophyll content, and also inhibited the mycelial growth of Fusarium oxysporum sp. 574 Lycopersici (Lopez-Lima et al., 2021). Conversely, some authors report toxic and inhibitory effects 575 on the growth in plants such as lettuce (Lactuca sativa L., 0-1000 mg L^{-1,} 5-15d exposure by foliar spray), turnip (Brassica rapa L., 50-500 mg L⁻¹, 14d exposure), and wheat (Triticum aestivum L., 50 576 577 mg kg⁻¹ in sand, 1-14d exposure) upon nCuO treatment. The toxic effects are largely ascribed to the 578 redox reactivity and ROS generation of the nanoparticle form (Dimkpa et al., 2012; Chung et al., 579 2019; Xiong et al., 2020). Others have reported no significant impact at the physiological level 580 (Servin et al., 2017a; Tamez et al., 2019; Marmiroli et al., 2021; Roubeau Dumont et al., 2022), which 581 highlights the importance of the experimental variables and design, including dose, particle 582 properties, exposure conditions and endpoints.

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584 2.7. *Quantum dots*

585 Cadmium-based nanomaterials, and cadmium sulfide quantum dots (CdS QDs) in particular, have 586 been used -as a model material to elucidate physiological mechanisms and molecular pathways 587 involved in the response plant response to exposure (Marmiroli et al., 2014; Imperiale et al., 2022). A Systems biology approach gave a complete picture of the targets in both model (A. thaliana) and 588 589 crop (C. pepo) species (Marmiroli et al., 2014; Marmiroli et al., 2015; Pagano et al., 2017; Gallo et 590 al., 2021; Marmiroli et al., 2020; Pagano et al., 2022). In A. thaliana, CdS QDs tolerant mutants were 591 used to establish in vitro inhibition concentrations for growth (80 mg L⁻¹) in an attempt to elucidate 592 the mechanisms involved in the plant response; the results largely implicated metabolic functions and 593 chloroplast energy production as sensitive targets (Marmiroli et al., 2014). The results demonstrate Formatted: Indent: Left: 0", Hanging: 0.3", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Indent at: 0.25" 594 that CdS QDs and ionic Cd were exploiting different pathways in the plant, highlighting that the 595 tolerance to CdS QDs did not overlappedoverlap with the tolerance to CdSO4. Conversely, Cd 596 sensitive mutants of Arabidopsis (Howden & Cobbett, 1992) that were exposed to CdS QDs did not 597 exhibit differences in growth as compared to the wild type line (Marmiroli et al., 2014). A 598 transcriptomic analysis and proteomic comparison between wild type and tolerant mutants 599 highlighted that only a few genes were commonly modulated upon ionic Cd and CdS QDs treatment 600 (Marmiroli et al., 2015, Gallo et al., 2021). Marmiroli et al., (2020) used EXAFS to investigate the 601 cadmium environment in planta and showed that the spectra were compatible with a mixed O/S 602 coordination; while Cd-S distances did not show relevant variations, Cd-O distances varied in 603 samples grown with QDs compared with those grown with CdSO4. The number of Cd-S bonds in 604 plants grown with QDs was higher than Cd-O bonds. This EXAFS analysis demonstrated that CdS 605 QDs were biotransformed after uptake: the QD original structure was modified but not completely 606 absent within the plant cell, and Cd atoms were not released as Cd ions. Interestingly, CdS QDs 607 showed a relatively high stability; once accumulated by the plant, the QD may go through different 608 stages in the response pathways: i) exposure: explained by the different genetic mechanisms behind 609 the physiological/molecular response between the wild type and tolerant phenotypes; ii) 610 reactivity/biotransformation: explained by a transition phase in which the structure of CdS QDs is 611 modified to decrease particle reactivity, and this can be detected by XANES and EXAFS analyses; 612 iii) effects/detoxification: transcriptomic, proteomic and metabolomic response related to the 613 physico-chemical forms after QDs biotransformation. Additionally, the effects on organelle genomes (ptDNA and mtDNA) demonstrate how QDs biotransformation may modify the genomes 614 615 stoichiometry or sub-stoichiometry, likely through potential morpho-functional adaptive response 616 triggered by modifications in the bioenergetic redox balance, or a reduction of photosynthesis or 617 cellular respiration rates after QD exposure (Pagano et al., 2022).

618 Similarly to what was observed in *A. thaliana*, CdS QDs induced analogous effects other plant species
619 of agricultural interest: Pagano et al. (2017) analyzed the effects of the CdS QDs in a context of

620 ENMs binary co-contamination, highlighting a similar response as in A. thaliana; specific and common biomarkers were involved between CdS QDs and other the ENMs tested (nCeO₂, nLa₂O₃, 621 622 nCuO, nZnO). Majumdar et al. (2019) investigated the effect of differently functionalized CdS QDs 623 in G. max; the authors used proteomic and metabolomic endpoints to demonstrate how the transmembrane proteins involved uptake and related genes including NRAMP6 and HMA8 were 624 625 differently regulated in CdS QDs and ion treated plants. In addition, ATP-dependent ion transporters 626 in the membranes presented feedback mechanisms in the soybean roots to restrict the uptake of CdS 627 QDs and simultaneously to alter the mineral acquisition. Moreover, CdS QDs altered major metabolic 628 functions, including glutathione metabolism, the tricarboxylic acid cycle, glycolysis, fatty acid 629 oxidation and phenylpropanoid and amino acids biosynthesis. Physiologically, CdS QDs, induced 630 oxidative stress, decreased biomass, reduced chlorophyll and carotenoids content, and damaged 631 primary roots (Majumdar et al., 2019; Pagano et al., 2022).

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634 3. Biotransformation as a perspective to comprehend ENM response in plant

635 ENMs have been rather extensively tested in recent years, with data indicating that several physico-636 chemical parameters are fundamental to explaining their behaviour during exposure, including 637 composition, stability, surface charge, and functionalization. These ENM properties become 638 biologically relevant and mediate subsequent biotransformation processes, including: i) the 639 possibility to be translocated within organs, tissues, and cells; ii) the ability to interact with the 640 biologically active environment within the plant (e.g., phospholipids, nucleic acids, proteins, 641 secondary metabolites, reactive oxygen species); iii) the dissolution rate and the consequent ion 642 release. Importantly, to fully comprehend the plant response to ENMs exposure, one must consider 643 the biologically modified ENMs forms that are indicative of the highly complex interactions between 644 plants and ENMs interaction. Integration of the information from physiological and molecular 645 analyses with physical evidence (e.g., types and number of atoms surrounding the ENM, radial Formatted: Indent: Left: 0", Hanging: 0.2", Outline numbered + Level: 1 + Numbering Style: 1, 2, 3, ... + Start at: 2 + Alignment: Left + Aligned at: 0" + Indent at: 0.25"

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distance between atoms of the interactors and atoms constituting the ENM; ENM crystal structure)
obtained through high energy X-ray spectroscopy platforms such as synchrotron-based techniques
will enable a more realistic, mechanistic, and systems-level picture of of plant response to ENM
exposure.

650 This review describes some of the primary biological constraints that determine ENM 651 biotransformation in plants (Figure 24, Table 2). For ENMs characterized by high stability, such as 652 nCeO₂, nTiO₂ or nAu, limited dissolution and translocation has been observed, even considering 653 differences determined by structure and atomic properties related to the redox state (e.g., the redox state of Ce). Conversely, ENMs with higher dissolution such as Fe- or Zn-based ENMs, nCuO, nAg 654 655 or nLa₂O₃, exhibit greater translocation rates, likely involving a dynamic process of particle 656 interaction with the plant biomolecules that increase ENM solubility and bioavailability, as 657 exemplified with nCuO (Marmiroli et al., 2021).

658 The importance of in planta ENM biotransformation is corroborated indirectly at molecular level by 659 "omic" analyses that can describe the effects on the plant at genetic and epigenetic level (including 660 genome stability) by measuring transcriptional modulation, protein abundance and metabolite 661 synthesis, as well as on physiological (phenotypical) level by observing the plant redox state, ROS 662 production, photosynthetic activity, and cellular respiration rate in response to stress (Marmiroli et 663 al., 2020; Gallo et al., 2021). The direct measurement of changes upon ENM biotransformation within 664 the plant tissues by synchrotron-based techniques (µ-XRF, µ-XANES, and XAS) provide critical 665 information in terms of distribution, atomic redox state, and atomic local structure, and add critical knowledge necessary to understand the ENM-plant interactions. This information is highly relevant 666 667 with regard to potential applicability: ENMs can interact with sensitive ecosystem components within 668 trophic food chains, affect microbial populations in soil, enter into the plant and where they can be 669 translocated to different tissues and organs, including the edible tissues or organs (Holden et al., 2013; 670 Liu et al., 2015). Biotransformation can occur at each step within these processes, modifying and/or 671 amplifying ENM effects at organism level. These interactions from the level of ecosystem, organism,

672	tissue, cell, and organelles become key factors when applying "ENM biotransformation" as a concept
673	for a safer design, when considering applications for agriculture and food production, and for
674	minimizing the adverse biological impact (Burello & Worth, 2015; Pagano et al., 2018; Lowry et al.,
675	2019; Kah et al., 2019; Zulfiqar et al., 2019; Ma et al., 2020; Hameed et al., 2022; Xu et al., 2022) This
676	information is highly relevant with regard to applications: ENMs can clearly interact with sensitive
677	ecosystem components and within the trophic food chains, alter microbial populations in soil, enter
678	in plants and be translocated to different tissues and organs, including edible tissues (Holden et al.,
679	2013; Liu et al., 2015). Biotransformation can occur at each step of these processes, modifying and/or
680	amplifying the effects ENM effects at the level organisms. These interactions at the level of
681	ecosystem, organism, tissue, cell, and organelles become key factors in applying "ENM
682	biotransformation" as a concept for safer design when considering applications for agriculture and
683	food production, for minimizing the adverse biological effects (Burello & Worth, 2015; Pagano et
684	al., 2018; Lowry et al., 2019; Kah et al., 2019; Zulfiqar et al., 2019; Ma et al., 2020; Hameed et al.,
685	2022) .

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688 Author contributions

689 Conceptualization: LP, MM; original draft preparation: LP, MM, RR; review and editing: JCW, NM.690 All authors revised and agreed on the final version of the manuscript.

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698 Declaration of competing interest

- 699 The authors declare no competing financial interests.
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1215	Figure caption <u>s</u> and Tables
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1218	Figure 1. Schematic representation of principal effects emerging after biotransformation of ENMs in
1219	soil, on foliar surface and within the plant tissues. ENMs in soil, due to particle and soil physico-
1220	chemical interactions, may remain unmodified, or may undergo to potential dissolution (with ions
1221	release), or undergo homo-/hetero-aggregation, which may highly influence particle stability and
1222	potential bioavailability (and consequently their uptake). On the foliar surface, temperature and light
1223	may also affect particle stability before uptake into the leaf tissues. Once within the plant, ENMs
1224	pristine, or modified, can interact with several biomolecule types (peptides, sugars, lipids, nucleic
1225	acids, secondary metabolites) leading to phenomena such as coating, enzymatic degradation,
1226	chelation or functionalization, which may influence the biotransformed particle at level of
1227	translocation, storage or reactivity. These parameters may also influence the interaction within the
1228	plant cell, triggering differential responses (e.g., toxicity, oxidative stress, ROS production), which
1229	may be indirectly measured by physiological and molecular assays, but directly observed through
1230	physical strategies, including synchrotron-based methods.
1231	
1232	Figure 24. Principal mechanisms effects of ENM biotransformation in plant and relevant biomarkers
1233	observed in different plant species from model organisms (A. thaliana) to crops (C. pepo; S.
1234	lycopersicum; G. max) and different tissues (roots, leaves and flowers/pollen). Relevant ENM
1235	parameters such as size, stability, dissolution may influence the translocation from roots to shoots.
1236	Potential biotransformation mechanisms that may occur within plant tissues are also reported:
1237	enzymatic degradation, protein functionalization, functionalization at the level of cytoplasm and
1238	organelles (organic acids, thiol-containing compounds, aminoacids, sugars, secondary metabolites).

1239	In this scenario, chloroplast become not only a in important actor in the energy production but also
1240	one of the key targets and main regulators involved in the ENM exposure and response. Details on
1241	the biomarkers generated are reported in Table 1.
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1252	Table 1. Genes as potential biomarkers of exposure/effect observed in roots, leaves and pollen, in
1253	different plant species (reported in Figure 2). Genes reported cannot be considered only as modulated
1254	in the different plant organs by the different type of ENMs, but also they showed a nano-specificity
1255	during the ENM response. It is also important to observe how, depending on the ENM type,
1256	biotransformation, and as indirect consequence, the transcriptomic response can be convergent
1257	between different forms (nano, bulk, ion) of the same element (see Figure 2). Table 1. Gene list of
1258	potential biomarkers observed in roots, leaves and pollen, in different plant species (reported in Figure
1259	1).
I	

	flower & pollen					
plant	gene	function	pathway	ENM	reference	
	Cp4.1LG00g07430	Beta-galactosidase	primary metabolism			
	Cp4.1LG13g06380	Pectinesterase	primary metabolism			
Cucurbita pepo L.	Cp4.1LG12g04750	Phosphatidylinositol 3-/4- kinase family protein	primary metabolism	nCuO	Marmiroli et al., 2021	
	Cp4.1LG03g02560	Delta-1-pyrroline-5- carboxylate synthetase	primary metabolism			
	Cp4.1LG02g07240	Leucine-rich repeat family protein	signaling, stress response			

		loof			
plant	gene	function	pathway	ENM	reference
	Cp4.1LG01(*)	PSBN, photosystem II reaction center protein N	chloroplast electron transport	nCeO2 nLa2O3	Pagano et al. 2016
Cucurbita pepo L.	Cp4.1LG00(*)	PetL, component of Cytochrome b6f	chloroplast electron transport	nCuO nZnO CdS QD	Pagano <i>et al.</i> , 2017
Solanum lycopersicum L.	Solyc09g074540	PetL, component of Cytochrome b6f	chloroplast electron transport	nCeO2 nLa2O3 nCuO	Pagano et al., 2016
Glicine max L.	Glyma12g36130	PetL, component of Cytochrome b6f	chloroplast electron transport	CdS QD	Majumdar et al., 2019
Anabidanai daaliana T	AtCg00590	PetL, component of Cytochrome b6f	chloroplast electron transport	C4E OD	Mominali et el 2014
Arabiaopsi thattana L.	AtCg00270	PSBN, photosystem II reaction center protein N	chloroplast electron transport	Cus QD	Marmiron et al., 2014

signaling

Protein EFR3 like

Cp4.1LG02g12750

	root				
plant	gene	function	pathway	ENM	reference
	Cp4.1LG16g08630	BIP3, Heat shock protein 70 family protein	protein folding, stress response		
Cucurbita pepo L.	Cp4.1LG00g00090	GPT2, glucose-6- phosphate/phosphate translocator	primary metabolism	nCeO2 nLa2O3 nCuO	Pagano et al., 2016
	Cp4.1LG05g08050	RPS12, ribosomal protein S12A	protein synthesis	nZnO CdS QD	Pagano <i>et ut.</i> , 2017
	Cp4.1LG05g11200	PLP2, phospholipase	biotic/abiotic stress response		
	Solyc08g006150	ChaC-like family protein	glutathione degradation		
Solanum lycopersicum	Solyc03g081240	PRR5, pseudo-response regulator 5	biotic/abiotic stress response	nCeO2 nLa2O3	Pagano et al., 2016
	L. Solyc10g005080	LHY1, Homeodomain-like superfamily protein	stress response	nCuO	
	Glyma19g45030	LHY1, Homeodomain-like superfamily protein	stress response	CIEOD	Maintan de la 2010
Gucine max L.	Glyma15g06800	PR1, pathogenesis-related gene 1	biotic/abiotic stress response	Cas QD	Majumdar <i>et al.</i> , 2019

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1266 Table 2. Principal evidence of the ENM biotransformation in plant observed by physiological,

1267 molecular and synchrotron-based analyses.

ENM	plant	physiological response	molecular response	techniques	biotransformation	reference
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nCeO2	Cucumis sativus L.	nCeO2 exposure had no significant effects on the biomass production under both the +P and -P conditions. However, the uptake of Ce in the plants is different under the two conditions	-	TEM XANES NEXAFS	high stability modified redox state,	Rui et al., 2015
	Lactuca sativa L. Cucurbita pepo L. Zea mays L. Glycine max L.	biomass in the agricultural soil amended with biochar 600°C was largely unaffected	-	SEM µ-XRF µ-XANES	low translocation from roots to shoots	Servin et al., 2017
nCeO2 nZnO	Glycine max L.	-	-	μ-XRF μ-XANES		Hernandez- Viezcas et al., 2013
nCeO2 nLa2O3	Cucumis sativus L	nCeO2 had no phytotoxicity to cucumber at all tested concentrations, while nLa2O3 showed significant inhibition on root elongation, shoot elongation, root biomass, and shoot biomass, as well as induced more reactive oxygen species and cell death in roots	-	μ-XRF XAS	higher dissolution compared to nCeO2 moderate translocation from roots to shoots	Ma et al., 2014
	Cucumis sativus L.	at all concentrations, nTiO2 significantly increased root length (average >300%)	-	μ-XRF μ-XANES		Servin et al., 2012
nTiO2	Cucumis sativus L.	In nTiO2treated plants, the chlorophyll content in leaves increased as the external concentration of NPs increased nTiO2 treatments increased CAT activity in leaves.	-	μ-XRF μ-XANES FTIR	low translocation from roots to shoots	Servin et al., 2013
nAu	Nicotiana tabacum L.	leaf necrosis was observed after 14 days of exposure to 3.5 nm nAu	-	µ-XRF	high stability no changes in Au valence	Sabo- Attwood et al., 2012
nAg	Lolium multiflorum L.	nAg and ionic silver significantly reduced growth, resulting in shorter shoots and roots and lower biomass. The growth inhibition from nAg was stronger than that from AgNO3. Higher concentrations of AgNPs caused broken epidermis and rootcap. Cell structures were unaltered in AgNO3 treated roots.	-	µ-XRF XANES	low stability high translocation from	Yin et al., 2011
	Lactuca sativa L. Cucurbita pepo L, Zea mays L. Glycine max L.	fresh foliar biomass was unchanged. Chlorophyll a, chlorophyll b, carotenoid and pheophytin contents were not affect	-	SEM µ-XRF XANES	roots to shoots	Larue et al., 2013
	Medicago sativa L.	-	-	TEM XRF		Stegemeier et al., 2015
nZVI	Cucumis sativus L	nZVI treatments did not affected the biomass of plants in hydroponic or soil systems. Only nZVI treated plant shoots grown under hydroponic conditions exhibited increased biomass (15%). Chlorosis observed in the leaves of the control plants but not in the plants treated with nZVI	ATPase isoforms increased their expression in the roots of plant exposed to nZVI.	EXAFS	low stability limited translocation from roots to shoots modified particle structure	Dwivedi et al., 2018

ENM	plant	physiological response	molecular response	techniques	biotransformation	reference
nZnO	Zea Mays L.	-	-	μ-XRF XANES	low stability	Lv et al., 2015

	Zea Mays L.	By the 7th day, the treatment of 9 nm nZnO and ZnSO4 significantly reduced the dry weight of roots by 44% and 58% respectively, compared to the unexposed control plants. In general, ZnSO4 treatment had the greatest effect on root biomass, followed by 9 nm nZnO and finally 40 nm nZnO	-	μ-XRF	high translocation from roots to shoots	Lv et al., 2021
	Nicotiana tabacum L.	When exposed to equivalent weight of Cu, nCu2O exhibited higher toxicity than nCuO, implying that the transformation may elevate the toxicity upon nCuO exposure	-	TEM XANES		Dai et al., 2019
nCuO	Lactuca sativa L.	Cu exposure had limited impacts on lettuce biomass. For the unweathered exposures, only the root biomass of NP-exposed plants was less than in bulk treatment; no other tissue- specific differences were evident. In the W exposure, the total biomass ranged from 8.2 g (W NP) to 9.5 g (unexposed control); nCuO and ion-treated plant biomass was significantly less than the unexposed controls. With regard to individual tissues in the W exposure, there were no differences of significance in the root biomass.	The expression level of nine genes involved in Cu transport shows that the mechanisms of nCuO and bulk CuO response- accumulation are different from ionic Cu	µ-XRF XANES	low stability high translocation from roots to shoots consistent with an increased ion release modified redox state,	Servin et al., 2017
	Cucurbita pepo L	no impact on zucchini biomass, photosynthetic activity or cellular respiration.	RNA-seq analyses on vegetative and reproductive tissues highlighted common and nanoscale- specific components of the response. Mitochondrial and chloroplast functions were uniquely modulated in response to ENM exposure as compared with bulk and salt forms	µ-XRF XANES EXAFS	from Cu(II) to Cu (I)	Marmiroli et al., 2021
CdS QD	Arabidopsis thaliana L.	treatment with CdS QDs caused a slight stress that increased the biomass in the mutants, but not in the wt, while CdSO4 caused modest phytotoxicity to both the wt and mutants	-	EXAFS	high stability limited ion release high translocation modification in bonds distance	Marmiroli et al., 2020

1	Nanomaterials biotransformation: In planta mechanisms of action
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15	
16	Abstract
17	Research on engineered nanomaterials (ENMs) exposure has continued to expand rapidly, with a
18	focus on uncovering the underlying mechanisms. The EU largely limits the number and the type of
19	organisms that can be used for experimental testing through the 3R normative. There are different
20	routes through which ENMs can enter the soil-plant system: this includes the agricultural application
21	of sewage sludges, and the distribution of nano-enabled agrochemicals. However, a thorough
22	understanding of the physiological and molecular implications of ENMs dispersion and chronic low-
23	dose exposure remains elusive, thus requiring new evidence and a more mechanistic overview of
24	pathways and major effectors involved in plants. Plants can offer a reliable alternative to conventional
25	model systems to elucidate the concept of ENM biotransformation within tissues and organs, as a
26	crucial step in understanding the mechanisms of ENM-organism interaction. To facilitate the

27 understanding of the physico-chemical forms involved in plant response, synchrotron-based 28 techniques have added new potential perspectives in studying the interactions between ENMs and 29 biota. These techniques are providing new insights on the interactions between ENMs and 30 biomolecules. The present review discusses the principal outcomes for ENMs after intake by plants, including possible routes of biotransformation which make their final fate less uncertain, and 31 therefore require further investigation. 32 33 34 Keywords: biotransformation, plant, nanomaterials, synchrotron-based analyses, molecular response 35

36 Highlights

Biotransformation is a fundamental phenomenon for understanding ENM-organism response
 mechanisms

Synchrotron-based methodological analyses are critical for investigating ENM
biotransformation

Biotransformation of ENMs may have positive or negative effects when considering the agrifood application

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45 1. Engineered nanomaterial (ENM) biotransformation

46 1.1. ENMs: from exposure to biotransformation

Although global food production has generally increased over time, the distribution has been far from equitable, with more than 820 million people having insufficient food and many more consuming low-quality diets leading directly to micronutrient deficiencies (Willett et al 2019; Zhong et al., 2020). In the past 20 years, and particularly in the past decade, engineered nanomaterials (ENMs) have seen dramatically increasing use and had an equally significant impact on ecosystems services and human society. As such, studies focused on the implications associated with their use are critical. In fact, it is known that ENMs exert important, but not completely understood, effects on biota; a particular
topic of concern include the effect on crops, food production, and trophic transfer (Gardea-Torresdey
et al., 2014; Ma et al., 2018; White et al., 2022).

56 The interplay between plant growth, dissolution, evaporation, and aggregation are key aspects of the dynamic behavior of ENMs in the environment. Directional aggregation can result in the formation 57 of larger particles with a more complex morphology. However, given the complexity of natural 58 59 environments, most nanomaterials can be found in hetero-aggregated composites of different 60 inorganic and organic materials (Judy et al., 2012; Ma et al 2018). These aggregates can be very 61 different from original simple pristine morphologies and may even form highly branched structures 62 similar to fractals, all of which subsequently dramatically affect their reactivity and transport (Ma et al., 2018; Huangfu et al., 2019). 63

64 Sectors with a large nanomaterial application such as medicine and food production may experience 65 greater risks of ENMs exposure due to their uses, with thousands of tons of ENMs that are eventually 66 discarded into the three main environmental matrices: soil, water, and air (Keller et al., 2013; 67 Zuverza-Mena et al., 2017). ENMs with the greatest historical use include nanoscale ceria (nCeO₂), 68 silica (nSiO₂), titania (nTiO₂), as well as nanoscale copper oxide (nCuO), zinc oxide (nZnO) and nanosilver (nAg), and as such, release in the environment has been investigated (Keller & Lazareva, 69 70 2014; Mitrano et al., 2015). Considering the long-time span of use of select materials (1950 to 2050), 71 efforts to estimate ENMs release through commercial and associated activity into the environment 72 have been undertaken. For example, for nSiO₂, a global production between 100,000 and three million 73 tons per year has been estimated, while for nCeO₂, levels likely reach the upper limit of 10,000 tons 74 per year, and for nAg, the literature reflects a production volume below 1,000 tons per year (Giese et 75 al., 2018). The use of nTiO₂ for the inhibition of microbial proliferation in food is one of the most 76 important ways to prolong the shelf life of packaged products (Abutalib & Rajeh., 2020). However, 77 a panel from EFSA concluded that E171 (TiO₂) can no longer be considered as safe when used as a 78 food additive (EFSA Journal 2021).

79 Gottschalk et al., (2009) calculated environmental concentrations using a probabilistic LCA (life cycle analysis) of ENM containing products. The authors modelled nTiO₂, nZnO, nAg, carbon 80 nanotubes (CNT), and fullerenes for the U.S., Europe, and Switzerland. The concentrations in the 81 82 environment were calculated through probabilistic density functions and compared to ecotoxicology data. In the simulations, the values ranged from 0.003 ng L^{-1} (fullerenes) to 21 ng L^{-1} (nTiO₂) for 83 surface waters and from 4 ng L^{-1} for fullerenes to 4 µg L^{-1} for nTiO₂ for sewage treatment effluents. 84 In Europe and the U.S., ENMs increased annually in sludge treated soil, and ranged from 1 ng kg⁻¹ 85 for fullerenes to 89 µg kg⁻¹ for nTiO₂ (Gottschalk et al. 2009; Keller et al., 2013; Keller & Lazareva, 86 87 2014; Rincon, 2019).

88 Importantly, quantum dots (QDs), as well as many carbon- and metal-based ENMs, have been shown 89 to produce negative effects on animals and plants as a function of dose, including accumulation, alteration of physiological and biochemical parameters, and reduced growth or yield (Oh et al., 2016; 90 91 Zuverza-Mena et al., 2017). Quantum dots have shown to enter plant roots and to damage the cell 92 wall, dysregulating metabolism (Marmiroli et al., 2020). While mercaptoacetic acid (MAA)-coated 93 CdSe/ZnS QDs induced minimal toxicity on maize seedlings, pristine cadmium/tellurium (Cd/Te) QDs induced chromatin stress, mitochondrial damage and inhibition on green gram sprouts 94 95 (Phaseolus radiatus L.) growth (Song et al., 2013). There are several routes by which ENM can enter 96 the soil-plant system. These include agricultural application of sewage sludges which often contain nSiO₂, nTiO₂, nZnO, and nAg; as well as the application of nano-enabled agrochemicals, resulting in 97 98 the direct entry of nSiO₂, nTiO₂, nZnO, nFeOx, nCuO, CeO₂ and nAg into agricultural soils (Lv et 99 al., 2019; Verma et al., 2022).

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101 *1.2. Conceiving and studying the ENM biotransformation*

Environmental and soil physico-chemical characteristics may significantly impact on ENMs aggregation, and dissolution, which may modify ENM bioavailability, uptake, translocation, and accumulation into terrestrial plants. In fact, light and temperature may induce potential changes on

the ENM structure, such as during foliar spray (Hong et al., 2021). Once within plant tissues, ENM 105 106 biotransformation may alter particle stability and behaviour in terms of interactions with 107 biomolecules, triggering differential plant defense mechanisms (Ma et al., 2018; Rawat et al., 2018). 108 ENMs are subject to a range of processes that may lead to their partial dissolution or result in 109 structural modifications (Milosevic et al., 2020; Marmiroli et al., 2020). A schematic representation 110 is reported in Figure 1. Nanoparticle biotransformation is a highly complex and poorly understood 111 series of events and has been shown to occur during weathering in the soil, trophic transfer, and 112 translocation within plant tissues. These reactions are highly dynamic and alter the original pristine structure of the nanoparticles in a number of ways, potentially causing the release of ions, but also 113 114 the consequent restructuring (or destructuring) of the nanoparticle (Servin et al., 2017a). 115 Biotransformation of nanomaterials may rest on the interaction with biological molecules that 116 stabilize their external reactivity, such as peptides including those involved in detoxification, (e.g.,117 glutathione), fatty acids, secondary metabolites, and even components of cell membranes (Marmiroli 118 et al., 2020). Particle properties such as size, stability, charge, and dissolution may strongly influence 119 other biotransformation mechanisms, potentially promoting enzymatic modification and 120 functionalization with proteins (e.g., corona protein) present in the cytoplasm and organelles (Ma et 121 al., 2018; Marmiroli et al., 2020). ENMs may maintain crystal structure when internalized by cells or 122 may be disassembled and converted into less complex structures (by biological modification or 123 chelation), thus reducing toxicity, and the risk of their accumulation and translocation (Wang et al., 124 2022). These post-uptake structural modifications involve specific parameters such as bond distance 125 with other atoms or nature of the ligand atoms. In consideration of this, one objective in 126 biotransformation studies is to investigate the physico-chemical forms (e.g., nanocrystal structure) 127 within exposed tissues and to characterize the structural differences within the new biotransformed 128 molecules, including identification of the biomolecules interacting with the ENMs (Castillo-Michel 129 et al., 2017; Marmiroli et al., 2020). It has furthermore to consider how genetic diversity across 130 different plant species and within the same plant species (in different cultivars) may influence the ENM uptake and translocation (Deng et al., 2020). Some of the more interesting discoveries on the biotransformation and localization of metal based-ENMs into plants have been achieved with to the synchrotron-based techniques of imaging, elemental speciation, and atomic neighbors' identification. This transformation will be also influenced by the environment, these reactions of the same particle will be different on the leaf surface, in the mesophyll, in the vascular tissue, in different organelles, in the roots and in the rhizosphere (Sarret et al. 2013; Castillo-Michel et al., 2017).

Micro-X-Ray Fluorescence (µ-XRF) and micro-X-Ray Adsorption Spectroscopy (µ-XAS) K-, L- or 137 LIII-edge EXAFS and XANES spectra have be used to study the biotransformation of coated 138 139 nanomaterials present in plants and soil (Lopez-Moreno et al., 2010; Judy et al., 2012). µ-XRF is 140 used for qualitative elemental analysis of heterogeneous biological samples. The interaction of the 141 sample with high-energy X-ray radiation, which leads to X-ray absorption and emission of the 142 fingerprint X-ray spectra for each element, is the key feature of this powerful analytical method. The 143 absorption/excitation effect and relaxation process lead to atoms emitting fluorescence photons characterized by elemental specific energy (Chebakova et al., 2021). Extended X-ray absorption fine 144 145 structure (EXAFS) is a technique that utilizes oscillations of the X-ray absorption coefficient on the 146 high-energy side of an absorption edge. Such oscillations can reach up to 1000 eV above the edge 147 and may have a magnitude of 10% or more. In addition, it is necessary to consider that atoms are not 148 stationary. Thermal vibrations will obscure the EXAFS oscillations, and in the harmonic 149 approximation, this can be accounted for by considering a Debye-Waller-type term. This led to a 150 great improvement in the theoretical understanding of EXAFS and it is now established that a single 151 scattering short-range order theory is adequate under most circumstances (Gurman, 1995). In 152 addition, electrons that have undergone inelastic losses will not interference in the process. This is 153 considered by an exponential damping term. It is the limited range of the photoelectrons in the energy 154 region of interest 50-1000 eV that allows for a short-range order description of EXAFS also in 155 crystalline materials (Gurman, 1995). The region which includes the pre-edge, edge-jump and postedge to approximately 30-50 eV is referred to as the X-ray Absorption Near Edge Structure 156

(XANES), which describes the structural component of the X-ray absorption near-edge as an
extension of the EXAFS, largely due to the long mean free path of the photoelectron and the
dominance of high order multiple scattering contributions (Gräfe et al., 2014).

Synchrotron-based techniques use photons, which do not have mass; therefore the factor 1.22 is substituted by the non-relativistic mass of the electron which is $m=5.485 \cdot 10^{-4}$ Da. This makes the resolution even smaller and increases penetration depth into the sample. On the other hand, EDX depends on the acceleration voltage of the particle or of the photon. Every element has its own orbital energies, and the acceleration voltage allows excitation one or more of these, independently if it comes from a TEM or from a synchrotron (Goldstein et al., 2003).

From the perspective of application, μ -XRF can provide information on the presence and localization of specific elements within tissues, while XANES and EXAFS spectroscopy can provide information related to the valence state and coordination environment of the element of interest, as well as the molecular species present in the sample. The use of μ -XRF and μ -XANES for the analysis of nanoparticles in plants have been thoroughly reviewed by Castillo-Michel et al. (2017).

171 Importantly, these powerful methodologies open the possibility to mechanistically address many 172 important environmental issues, such as the chemical activities of environmental pollutants, to trace 173 environmental elemental cycles, element speciation in complex matrices, and to characterize the 174 natural/anthropogenic complex matrixes that are not amendable for standard analytical and structural 175 analyses (Puri et al., 2019). In many synchrotrons around the world, there is increasing use of 176 dedicated beamlines to study environmental and plant tissues exposed to contaminants such as ENMs 177 (Proux et al., 2017; d'Acapito et al., 2019).

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179 The present review aims to describe the current understanding of metal based-ENMs 180 biotransformation mechanisms in plants and focuses on correlating available physiological and 181 molecular data with the information obtained by synchrotron-based techniques. This evaluation not 182 only highlights biotransformation as one of the major driving forces mediating the biological effects of ENMs on plants, but also offers some perspective on intentional and safer-by-design strategies that can ensure more sustainable application of these materials. Moreover, the study on plants is instrumental to the application of the REACH normative within European Union for toxicological and ecotoxicological studies (Replacement, Reduction and Refinement). Plants are higher eukaryotes, characterized by large nuclear genomes and organellar genomic information (within chloroplasts and mitochondria) that provide an effective model for many complex species (Chang et al., 2016).

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191 2. Physiological and molecular effects as indirect evidence of ENM biotransformation in plants

The physiological behaviour and related molecular pathways of response are important to 192 193 characterizing and understanding ENM biotransformation. An important part of this involves 194 comparing the effects of a nanomaterial with that of the bulk and ionic counterparts, as well as by 195 investigating different exposure times and by exposing different plant organs and tissues (Schwab et 196 al., 2016; Marmiroli et al., 2021). Studies have added significant molecular data on the effects of 197 ENMs exposure in plants (Schwab et al., 2016; Ma et al., 2018). Different results are often observed for the same element as a function of its form or size, *i.e.* nanostructured, bulk, or ionic species 198 199 (Pagano et al., 2016; Wang et al., 2022). Detailed study of differential transcriptional regulation, 200 protein abundance or metabolomic profiling (Huang et al., 2018; Majumdar et al., 2019; Gallo et al., 201 2021) are critical to demonstrating the nano-specificity of plant response. The study of organellar 202 genome stability and the related stoichiometric variations during ENM treatment has also provided 203 important mechanistic insight into plant to ENM exposure (Pagano et al., 2022). Advanced 204 synchrotron-based techniques may either help to systematically understand the nano-bio interactions, 205 with regard to physical and chemical reactions at the biomolecular surface: biomolecules may interact 206 with ENMs, generating biomolecular corona, which change the ENM surface properties, and interfere 207 with its functionality/reactivity (Hameed et al., 2022).

Regarding the physiological effects of ENMs on plants of agronomic interest, these studies have 208 209 provided a better understanding of the specific properties of the ENMs that may enable sustainable 210 use in the agrifood sector. Beyond the potential adverse effects upon bioaccumulation from soil or 211 other exposure routes, there is an increasing interest in exploiting the potential positive effects of 212 ENMs on plants, aiming to improve crop yields and quality. A range of mechanisms, including direct 213 use as nanofertilizers (Verma et al., 2022), nanocarriers (Karny et al., 2018), smart delivery systems 214 (Xu et al., 2022) or when in association to plant growth-promoting bacteria, are considered (Prado de 215 Moraes et al., 2021). In addition, ENMs may act indirectly by protecting plants from biotic (e.g., 216 nanopesticides) or abiotic stressors (e.g., wastewater and soil treatment) (Liu et al., 2015; Kah et al., 217 2018; Kumari et al., 2019). Due to the many variables involved, it is essential to obtain robust safety 218 data regardless of the end use: ENM type, the modes and time of exposure, concentrations tested, and 219 the plants used are all important considerations. Any recurring effects that occur under these different 220 conditions are of particular interest and are explored below (see Figure 2 and Table 1). Table 2 221 summarizes the major outcomes related to metal based-ENM biotransformation in plants, including 222 the principal mechanisms involved, and the major physiological and molecular insights observed 223 from exposure.

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225 2.1. Lanthanides based ENMs

226 Cerium Oxide (nCeO₂) has shown significant potential for agricultural applications, largely due to its 227 properties as an ROS scavenger (Ma et al., 2015; Servin et al., 2017b). While CeO₂ as a bulk crystal 228 mainly consists of Ce(IV), the reduction to nCeO₂ significantly enhances the relative amount of 229 Ce(III), resulting in a higher catalytic effects comparable to the capabilities of a biological antioxidant 230 (Eriksson et al., 2018). Servin et al. (2017b) used μ -XRF and μ -XANES to analyze the interactions 231 between nCeO₂ and different biochars in soil, observing that much of the Ce remained in nCeO₂ form within the plant tissues. The dissolution rate of the nanoform can increase in acidic environments to 232 233 generate Ce(III), as reported by Hernandez-Viezcas et al. (2013) who analyzed in *Glycine max* L. the

effects of nCeO₂ (1000 mg L⁻¹, 48d exposure). Results have been confirmed by Rui et al. (2015), who 234 used XANES on exposed cucumber (Cucumis sativus L.) tissues (2000 mg L⁻¹, 21d exposure) to 235 236 observe nCeO₂ association with phosphate. These properties highly impact not only reactivity but also nCeO₂ translocation. In zucchini (Cucurbita pepo L.), treated with 500 mg L⁻¹ of nCeO₂ the 237 238 nanoform is mainly present in the roots and stems, with limited translocation to the leaves (Pagano et 239 al., 2016). However, co-contamination with other ENMs (e.g., CdS QDs) under same experimental conditions resulted in increased translocation to the shoots from 1000 to 3000 mg kg⁻¹ (Pagano et al., 240 241 2017). Similar results have been reported in several plant species: for example, Rossi et al (2017) 242 nCeO₂ under co-exposure with ionic Cd in soybean (Glycine max L.) showed an altered (1-2 fold 243 increased) translocation to the shoots. Interestingly, bulk CeO₂ translocation resulted similar to the 244 nano-form, whereas ionic Ce was translocated in greater amounts to the shoots (Pagano et al., 2016). This analysis was supplemented with molecular data; the transcriptional profiles were evaluated in 245 246 C. pepo and S. lycopersicum as a function of nCeO₂, nLa₂O₃ and nCuO exposure and were compared 247 with bulk and ionic forms using a set of 38 genes based on the A. thaliana orthologs as potential 248 biomarkers of exposure/effects (Marmiroli et al., 2014). The responses observed were generally 249 different in term of up- or down-regulation as a function of Ce form (Pagano et al., 2016). Of 250 particular interest are impacts on the chloroplast are the *PetL* and *PSBN* genes, which encode for a 251 structural component of the cytochrome b₆f complex and low molecular weight protein located on thylakoid membrane as a component of the photosystem II (PSII), respectively (Figure 2, Table 1). 252 253 These two chloroplastic genes were differentially expressed across nano-, bulk, and ionic forms. A 254 similar trend was also evident for nLa₂O₃ and nCuO exposure scenarios. Interestingly, by analyzing 255 the effects on chloroplast and mitochondrial genomes in A. thaliana in terms of copy number, the 256 effects of nCeO₂ and CeCl₃ exposure were rather limited as compared to the untreated control, which 257 agrees with the limited translocation to the shoots (Pagano et al., 2022). With regard to proteomic analysis, Majumdar et al. (2015) conducted a quantitative proteomic analysis of kidney beans 258 (Phaseous vulgaris L.) seeds after nCeO₂ exposure and reported that the major seed proteins 259

associated with nutrient storage (phaseolin) and carbohydrate metabolism (lectins) were significantly reduced by nCeO₂ ($62.5-500 \text{ mg kg}^{-1}$, 50d exposure) in a dose dependent manner. Interesting, the plants did not exhibit overt toxicity.

In fact, at the physiological level cerium-based nanoparticles generally do not produce phytotoxicity (Ma et al., 2015; Rui et al., 2014; Lizzi et al., 2020; Rodrigues et al., 2021), though some have highlighted a positive impact on biomass and on physiological indicators such as chlorophyll and photosynthesis at selected doses (Rossi et al., 2017; Gui et al., 2017). Another important aspect of nCeO₂ seems to involve enhance tolerance to saline stress, leading to improved phenotypic and enzymatic performances and ROS elimination in seeds priming (An et al., 2020; Liu et al., 2021; Hassanpouraghdam et al., 2022; Chen et al., 2022).

270 Compared to nCeO₂, nanoscale lanthanum oxide (nLa₂O₃) exhibits lower stability, increased ion 271 dissolution, greater translocation from roots and shoots, all of which seems to lead to higher 272 phytotoxicity. The limited stability of nLa₂O₃, as compared to nCeO₂, has been confirmed by μ -XRF 273 analysis in *Cucumis sativus* L. through element speciation, dissolution studies in aqueous solution 274 and *in planta*. After 14d treatment, the nCeO₂ structure in the roots remains mostly preserved (more 275 than 80%) while pristine nLa₂O₃ structure was observed at levels below 10% (Ma et al., 2015).

276 Interestingly, co-contamination with nCeO₂ strongly reduces the uptake of nLa_2O_3 (Pagano et al., 277 2017). The different behaviour of the two ENMs was evident in the transcriptomic profile: only 7 out of 38 genes were commonly modulated between nCeO₂ and nLa₂O₃; these genes were involved in 278 279 primary metabolic functions, protein synthesis and stress response (Pagano et al., 2016). Several 280 publications using different model plants were compared, and the reported effects due to nLa₂O₃ 281 exposure in soil include reduction in root and leaf biomass (Ma et al., 2015), decreased transpiration 282 (Yue et al., 2019), decreased photosynthesis (Xiao et al., 2021) and reduced pigment concentration (Neves et al., 2019). The decrease in photosynthetic activity is also reflected by altered root 283 morphology, including root cracking (Xiao et al., 2021) and the presence of apoplastic barriers (Yue 284 285 et al., 2019). Interestingly, the adverse effect of nLa₂O₃ on plant biomass was alleviated under binary

exposure combinations with ENMs such as nCuO and nZnO (Pagano et al., 2017). As ideal case study, information on RedOx state and potential translocation of lanthanide-based nanoforms within plant tissues become fundamental in the mechanistic understanding on the physiological and molecular effects, with regard to application in the agrifood sector.

290

291 2.2. Titanium oxide ENM

Titanium dioxide (nTiO₂) has been largely studied as a potential environmental and agricultural 292 293 contaminant (Servin et al., 2012; 2013). nTiO₂ has demonstrated a high stability, both in anatase and rutile crystal form (Servin et al., 2012). Translocation of nTiO₂ (0-750 mg kg⁻¹, 150d exposure) from 294 295 soil to roots and to shoots in C. sativus is generally limited, though the two crystal structures were 296 evident in the leaf trichomes and fruit by µ-XANES spectra (Servin et al., 2013). Ruotolo et al. (2018) analyzed and reviewed the molecular responses of A. thaliana and other model species to nTiO₂ and 297 298 reported that exposure triggers an abiotic stress response at the transcriptomic level, involving ROS 299 detoxification systems, triterpenoid and phenylpropanoid metabolism, and hormone signaling 300 pathways involving in the response to salicylic acid, jasmonic acid, ethylene, and brassinosteroids. 301 At the post-transcriptional level, several miRNAs were strongly modulated, including miR395 and 302 miR399 as key regulators of plant adaptive responses to nutrient starvation (Pagano et al., 2021). 303 Thus, the ability of nTiO₂ to modulate ROS signaling is particularly effective under abiotic stress 304 conditions. Here, the presence of this ENMs enhances plant physiological parameters by stimulating 305 the activation of several defense mechanisms. Several studies (in plants such as C. sativus, S. 306 lycopersicum, V. faba) have shown that in both saline soils and under drought conditions, the addition 307 of nTiO₂ increases root length, plant biomass, and other parameters such as H₂O₂ level, antioxidant activity, sugar content, and chlorophyll amount (Servin et al., 2012; Nasir Kahn, 2016; Abdel Latef 308 309 et al., 2018; Mustafa et al., 2021). However, higher concentrations can result in phytotoxicity, likely 310 due to aggregation and subsequent excessive ROS production (Mattiello et al., 2015; Gohari et al., 311 2020). However ROS are "double blade" sword because they can also trigger production of defensive

molecules as shown recently by Castro et al., (2021). Interestingly, when either considering the utilization of pristine and coated nTiO₂ (hydrophilic or hydrophobic) in carrot (*Daucus carota* L.), responses observed depended mainly on the nTiO₂ surface coating, concentration and in soil weathering (Wang et al., 2021a; 2021b). Taproot and leaf fresh biomass and plant height were all increased with exposure, as well as nutrient uptake (Fe in leaves; Mg in taproots; Ca, Zn, K in roots). Conversely, sugar and starch contents were negatively affected, compromising the nutritional quality (Wang et al., 2021b).

319

320 2.3. Gold and silver nanoparticles

Similar to nTiO₂, gold nanoparticles (nAu) are highly stable in plants: nAu remained mostly as Au⁰ 321 322 within the plant tissues (Nicotiana tabacum L. cv. Xanthi nc.), even if accumulated and translocated (Sabo-Attwood et al., 2011). Specifically, XANES analyses demonstrated that nAu maintained its 323 324 nanoparticle structure without any biotransformation or ionic release. There are no actual uses for 325 gold nanoparticles and plants, it just used as a tool to study NP-plant interactions. nAu levels in 326 biosolids would ever be high enough to be considered phytotoxic. It is known that nAu toxicity 327 depends on concentration, particle size and shape: nAu with a smaller particle size (3.5nm, 328 concentration of 48 mg L⁻¹) were evenly biodistributed across the plant in comparison with the 18.5nm nAu (in a concentration of 76 mg L⁻¹), even leading to the formation of necrotic leaf lesions 329 330 and plant death after 30 days (Sabo-Attwood et al., 2011). Other studies have shown that nAu 331 exposure improved radical scavenging and antioxidant enzymatic activities and modulated miRNA 332 expression implicated plant abiotic stress response (miR398, miR408). In particular, the regulation of superoxide dismutase (SOD) led to an increased ROS scavenging activity, root elongation, 333 334 seedling growth, and seed yield (Arora et al. 2012; Kumar et al., 2013; Siddiqi & Husen, 2017). 335 Given the widespread commercial utilization and environmental relevance (e.g., wastewater treatment; fertilization) of silver nanoformulations (nAg), the effect on plant species has been a topic 336

of robust study. Stegemeier et al. (2015) analyzed the nAg and nAg₂S speciation in *Medicago sativa*
338 L., demonstrating that nAg accumulates in the root elongation area but that nAg₂S remains adhered 339 to the root surface; Ag ions accumulate more uniformly throughout the root tissues. Notably, the Ag 340 accumulation in the root apoplast was determined by XRF. The presence of nAg in root cell walls 341 demonstrated the uptake of partially dissolved nAg and translocation along the apoplast. Larue et al. (2014) localized and determined nAg speciation in L. sativa after foliar spray treatment through µ-342 343 XRF and µ-XAS techniques; the authors reported that nAg was able to cross the foliar cuticle, 344 penetrating in the leaf tissue through the stomata. Moreover, nAg biotransformed through oxidation and complexation with thiol-containing molecules such as glutathione (GSH). These findings 345 correlated well with the transcriptomics analyses of A. *thaliana* exposed to different types of nAg: 346 347 plant response included defensin-like proteins, plant thionin, β-glucosidases, cytochrome P450 proteins, and glutathione-S-transferase (GST) members (Kaveh et al., 2013). Although some studies 348 349 point out that the morphological and physiological effects of nAg exposure were strictly dependent 350 on particle size and concentration and that sublethal concentrations may have also beneficial effects 351 (Wang et al., 2013; Syu et al., 2014), most of reports demonstrated reduced root elongation and shoot biomass, together with decreased levels of chlorophyll, pigments, micronutrients, and increased level 352 353 of ROS and activity of enzymes involved in the oxidative stress response (Yin et al., 2011; Zuverza-354 Mena et al., 2016; Yang et al., 2018; Lahuta et al., 2022).

355

356 2.4. Iron-based ENMs

Iron-based nanomaterials, including iron oxides (nFeOx) and zero valent iron (nZVI), have been investigated in plant systems and the reports highlight two major routes of entry: i) a reductive and proton-promoted process able to modify the structure of the ENM or ii) through the secretion of plant transporters (*e.g.*, phytosiderophores) with a high affinity for Fe (III) (Morrissey & Guerinot, 2009). Dwivedi et al. (2018) investigated nZVI exposure in *C. sativus* and reported that transformed nZVI was stored in the root cell membrane and vacuoles of the leaf parenchyma. XAS identified ferric citrate and iron (oxyhydr)oxides as the main transformation products in roots and shoots, albeit in different proportions. The major pathways of nZVI biotransformation invovle interaction with low
molecular weight organic acid ligands and on the dissolution/precipitation of the mineral products.
Transcriptional analyses performed on H+-ATPase genes (*CsHA1*, *CsHA2*) showed an upregulation
of these genes upon nZVI exposure (and relative root acidification), indicating that the plantpromoted transformation of nZVI can be driven by protons released by the roots.

369 A separate study investigated the effects of nFe₂O₃ and nFe₃O₄ on A. thaliana, highlighting differences in the response between nanoparticle forms and metal salts through a nanoscale-specific 370 371 response pathway involving energy production and oxidative stress. The differential response was 372 ascribed to the ENM and the metal salt dissolution rates and the toxicity of the metal ion, which is 373 more compatible with biotransformation processes in the plant tissues. Importantly, specific effects 374 on plastid and mitochondrial genomes were evident, with nFeOx causing a 1- to 3-fold increase in ptDNA and mtDNA copy numbers depending on the stability of the nanoform utilized (Pagano et al., 375 376 2022).

377 Given their widespread application in soil and water remediation, a primary concern with iron 378 nanoparticles is a potential toxicity from excessive accumulation in the environment. However, 379 several studies have shown that plant exposure to this type of nanoparticle does not result in 380 phytotoxicity. For example, Dwivedi et al. (2018) evaluated the potential environmental impact of 381 nZVI on C. sativus in soil and in hydroponic culture, and reported no instances of reduced plant biomass even at the highest doses (from 250 to 1000 mg L⁻¹) and for O. sativa, the low doses (50-500 382 mg L^{-1}) of nZVI and nFe₃O₄ improved plant growth (Li et al., 2021). The use of this nanomaterial as 383 384 a soil conditioner for remediation of metal-contaminated soils is confirmed by the demonstration of 385 improved plant growth in Cd-contaminated soils (Rizwan et al., 2019; Manzoor et al., 2021); 386 mechanistically, this involves limiting cadmium translocation and the promotion of antioxidant 387 activity.

In summary, the extent and the degree of biotransformation of nZVI, which consists in the biochemical alteration of chemical compounds within a living tissue, are reflected in the physicochemical properties, macromolecular interaction, and biologically mediated pathways observed.

391

392 2.5. Zinc-based ENMs

393 Zinc-based nanomaterials have been applied to plants to increase food safety, promote food 394 production and enhance sustainability by reducing oxidative stress symptoms induced by abiotic 395 stressors (Faizan et al., 2021). nZnO is characterized by a low stability, and a high dissolution rate (Lv et al., 2021). Hernandez-Viezcas et al. (2013) exposed Glycine max L. to nZnO (500 mg kg⁻¹, 396 397 48d exposure): μ -XRF analysis showed no detectable ZnO NPs within the tissues, while μ -XANES 398 data showed O-bound Zn in a form resembling Zn citrate. Lv et al. (2015) studied the effects of nZnO 399 in Z. mays L. and used µ-XANES to demonstrate that the majority of accumulated Zn was derived from Zn^{2+} released from the nanoparticles and was accumulated mainly as Zn phosphate in epidermis, 400 401 cortex, and root tip cells. The results were correlated to transcriptomic analyses in which gene 402 ontology (GO) performed in nZnO-exposed A. thaliana revealed significant commonalities with the response to Zn^{2+} ions, particularly with proteins involved in metal binding, transport, metal 403 404 homeostasis and detoxification. This suggests that Zn ion release by nZnO is a key in mediating the 405 overall effect on plant species (Landa et al., 2015). These findings have been extended to other 406 species, such as C. pepo L.; here nZnO treatment was shown to modulate genes that encode for 407 transporters of heavy metals, cellular response to abiotic stress, decreased chlorophyll production, 408 and induction of secondary metabolite biosynthesis (Pagano et al., 2017).

In recent years other forms of Zn-based nanomaterials have been tested for a potential plant remediation purpose, such as ZnS QDs (Imperiale et al., 2022). An analysis of the effect of ZnS QDs and ionic Zn exposure on mitochondrial and plastid genome copy number demonstrates that both increase by 1 to 3-fold), but that ZnS QDs dissolution alone does not explain the phenomenon; this suggests that ZnS QDs biotransformation may occur within the plant tissues and organs to a form

more similar to ionic than nanoscale Zn (Pagano et al., 2022). Zinc-based nanomaterials have also
shown interesting properties as nanofertilizers, including mitigating abiotic and biotic stress (*e.g.*, salt
stress, infections), regulating micronutrient uptake, improving water use efficiency, and promoting
detoxification of heavy metals (Akhtar et al., 2021; Zafar et al., 2022). Under drought conditions, the
nZnO (5 mg kg⁻¹) significantly increased grain yield in sorghum (*Sorghum vulgare* Moench) and fruit
yield in eggplant (*Solanum melongena* L.), respectively by 22-183% and 12-23% (Dimkpa et al.,
2019; Semida et al., 2021).

421

422 *2.6. Copper oxide*

423 Copper oxide nanomaterials (nCuO) are among the most utilized ENMs with plants, including use as 424 a nanopesticide or nanofertilizer (Elmer et al., 2018; Lowry et al., 2019; Xu et al., 2022). nCuO 425 dissolution within the plant tissues has been demonstrated (in *C. pepo*), and this was shown to depend 426 not only on uptake, and translocation, but also on the interaction with important biomolecules (Tamez 427 et al., 2019; Marmiroli et al., 2021).

428 EXAFS (Marmiroli et al., 2021) demonstrated that the local Cu environment in the higher shells shows small differences between roots and flowers. A second Cu-O shell path was present in both 429 430 flowers and roots; a Cu-Cu bond was also observed in roots but was not observed in flowers. A full 431 transcriptomics analysis by RNAseq was performed to highlight the differential responses between 432 nano-, bulk and ionic forms in roots, leaves and pollen (Marmiroli et al., 2021). The results 433 highlighted the nano-specificity of the responses; the modulated genes (significantly up- or downregulated genes) observed were more significant in the roots and decreased with translocation to 434 435 leaves and pollen. However, the portion of the response common to the three Cu forms tested was 436 shown to increase following the translocation from roots to shoots (Marmiroli et al., 2021). A 437 characterization of the main steps and implications involved in this phenomenon, as well as some 438 relevant biomarkers observed in different plant species, is presented in Figure 2 (details reported in Table 1). 439

Additional data was presented by Servin et al. (2017a), who studied nCuO weathering in Lactuca 440 441 sativa L.: lettuce was exposed to unweathered and 70d-weathered nCuO, and corresponding bulk and ionic form (0–400 mg kg⁻¹) for 70 d in soil. To assess nCuO trophic transfer, leaves were fed to 442 crickets (Acheta domestica L.) as primary consumer, followed by insect feeding to lizards (Anolis 443 444 carolinensis L.) as secondary consumer, in both cases for 15d. The authors used µ-XANES to show that Cu(II) was reduced to Cu(I) within the plant roots, and used a transcriptional analysis of to show 445 446 that several biomarkers, including CCH and COPT5, which encodes for a copper chaperon and a 447 copper ion transporter, respectively, were significantly decreased by weathering.

In spite of being widely used, results regarding the physiological effects upon nCuO exposure are rather discordant. For example, Deng et al. (2022a) reported that, unlike the bulk counterpart, nCuO (0-600 mg kg⁻¹ of soil) does not produce toxicity in rice (*O. sativa*), but rather improves the supply of essential elements, including increasing content of sugar and starch, as well as overall yield.

452 The grain of weedy and cultivated rice were differentially impacted by nCuO, bulk or ionic forms, 453 showing also a cultivar-specific and concentration-dependent response. Cu translocation directly 454 influenced plant yield, sugar production, starch content, protein content, and expression of auxin associated genes in grain (Deng et al., 2022b). Analyzing the effect of citric acid (CA) coated copper 455 oxide (CA-nCuO) and its application (foliar spray or soil exposure) on the growth and physiology of 456 soybean (Glycine max L.), nCuO appeared to be more accessible for plant uptake, as compared to 457 458 CA-nCuO, decreasing the protein content, and inhibiting plant growth. CA reduced CuO NPs 459 toxicity, demonstrating that surface modification may change the toxic properties of NPs (Deng et 460 al., 2022c).

Treatment of *Lactuca sativa* L. with nCuO significantly increased biomass as compared to CuO microparticles (Wang et al., 2019). In addition, plants can benefit from nCuO treatment through enhanced defensive pathways, and through direct antimicrobial and antifungal activities (Elmer et al., 2018). For example, exposure of nCuO to *Solanum lycopersicum* increased root and stem length, leaf number, and chlorophyll content, and also inhibited the mycelial growth of *Fusarium oxysporum* sp.

Lycopersici (Lopez-Lima et al., 2021). Conversely, some authors report toxic and inhibitory effects 466 on the growth in plants such as lettuce (Lactuca sativa L., 0-1000 mg L^{-1,} 5-15d exposure by foliar 467 spray), turnip (Brassica rapa L., 50-500 mg L⁻¹, 14d exposure), and wheat (Triticum aestivum L., 50 468 mg kg⁻¹ in sand, 1-14d exposure) upon nCuO treatment. The toxic effects are largely ascribed to the 469 470 redox reactivity and ROS generation of the nanoparticle form (Dimkpa et al., 2012; Chung et al., 471 2019; Xiong et al., 2020). Others have reported no significant impact at the physiological level 472 (Servin et al., 2017a; Tamez et al., 2019; Marmiroli et al., 2021; Roubeau Dumont et al., 2022), which 473 highlights the importance of the experimental variables and design, including dose, particle 474 properties, exposure conditions and endpoints.

475

476 *2.7. Quantum dots*

477 Cadmium-based nanomaterials, and cadmium sulfide quantum dots (CdS QDs) in particular, have 478 been used as a model material to elucidate physiological mechanisms and molecular pathways 479 involved in the response plant response to exposure (Marmiroli et al., 2014; Imperiale et al., 2022). 480 A Systems biology approach gave a complete picture of the targets in both model (A. thaliana) and 481 crop (C. pepo) species (Marmiroli et al., 2014; Marmiroli et al., 2015; Pagano et al., 2017; Gallo et 482 al., 2021; Marmiroli et al., 2020; Pagano et al., 2022). In A. thaliana, CdS QDs tolerant mutants were used to establish *in vitro* inhibition concentrations for growth (80 mg L⁻¹) in an attempt to elucidate 483 484 the mechanisms involved in the plant response; the results largely implicated metabolic functions and 485 chloroplast energy production as sensitive targets (Marmiroli et al., 2014). The results demonstrate 486 that CdS QDs and ionic Cd were exploiting different pathways in the plant, highlighting that the 487 tolerance to CdS QDs did not overlap with the tolerance to CdSO₄. Conversely, Cd sensitive mutants 488 of Arabidopsis (Howden & Cobbett, 1992) that were exposed to CdS QDs did not exhibit differences 489 in growth as compared to the wild type line (Marmiroli et al., 2014). A transcriptomic analysis and 490 proteomic comparison between wild type and tolerant mutants highlighted that only a few genes were commonly modulated upon ionic Cd and CdS QDs treatment (Marmiroli et al., 2015, Gallo et al., 491

492 2021). Marmiroli et al., (2020) used EXAFS to investigate the cadmium environment in planta and 493 showed that the spectra were compatible with a mixed O/S coordination; while Cd–S distances did 494 not show relevant variations, Cd–O distances varied in samples grown with QDs compared with those 495 grown with CdSO₄. The number of Cd–S bonds in plants grown with ODs was higher than Cd–O 496 bonds. This EXAFS analysis demonstrated that CdS QDs were biotransformed after uptake: the QD 497 original structure was modified but not completely absent within the plant cell, and Cd atoms were 498 not released as Cd ions. Interestingly, CdS QDs showed a relatively high stability; once accumulated 499 by the plant, the QD may go through different stages in the response pathways: i) exposure: explained 500 by the different genetic mechanisms behind the physiological/molecular response between the wild 501 type and tolerant phenotypes; ii) reactivity/biotransformation: explained by a transition phase in 502 which the structure of CdS QDs is modified to decrease particle reactivity, and this can be detected 503 by XANES and EXAFS analyses; iii) effects/detoxification: transcriptomic, proteomic and metabolomic response related to the physico-chemical forms after QDs biotransformation. 504 505 Additionally, the effects on organelle genomes (ptDNA and mtDNA) demonstrate how QDs 506 biotransformation may modify the genomes stoichiometry or sub-stoichiometry, likely through 507 potential morpho-functional adaptive response triggered by modifications in the bioenergetic redox 508 balance, or a reduction of photosynthesis or cellular respiration rates after QD exposure (Pagano et 509 al., 2022).

510 Similarly to what was observed in A. thaliana, CdS QDs induced analogous effects other plant species 511 of agricultural interest: Pagano et al. (2017) analyzed the effects of the CdS QDs in a context of 512 ENMs binary co-contamination, highlighting a similar response as in A. thaliana; specific and 513 common biomarkers were involved between CdS QDs and other the ENMs tested (nCeO₂, nLa₂O₃, 514 nCuO, nZnO). Majumdar et al. (2019) investigated the effect of differently functionalized CdS QDs 515 in G. max; the authors used proteomic and metabolomic endpoints to demonstrate how the 516 transmembrane proteins involved uptake and related genes including NRAMP6 and HMA8 were 517 differently regulated in CdS QDs and ion treated plants. In addition, ATP-dependent ion transporters

in the membranes presented feedback mechanisms in the soybean roots to restrict the uptake of CdS
QDs and simultaneously to alter the mineral acquisition. Moreover, CdS QDs altered major metabolic
functions, including glutathione metabolism, the tricarboxylic acid cycle, glycolysis, fatty acid
oxidation and phenylpropanoid and amino acids biosynthesis. Physiologically, CdS QDs, induced
oxidative stress, decreased biomass, reduced chlorophyll and carotenoids content, and damaged
primary roots (Majumdar et al., 2019; Pagano et al., 2022).

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526 3. Biotransformation as a perspective to comprehend ENM response in plant

ENMs have been rather extensively tested in recent years, with data indicating that several physico-527 528 chemical parameters are fundamental to explaining their behaviour during exposure, including 529 composition, stability, surface charge, and functionalization. These ENM properties become 530 biologically relevant and mediate subsequent biotransformation processes, including: i) the 531 possibility to be translocated within organs, tissues, and cells; ii) the ability to interact with the 532 biologically active environment within the plant (e.g., phospholipids, nucleic acids, proteins, 533 secondary metabolites, reactive oxygen species); iii) the dissolution rate and the consequent ion 534 release. Importantly, to fully comprehend the plant response to ENMs exposure, one must consider 535 the biologically modified ENMs forms that are indicative of the highly complex interactions between 536 plants and ENMs interaction. Integration of the information from physiological and molecular 537 analyses with physical evidence (e.g., types and number of atoms surrounding the ENM, radial 538 distance between atoms of the interactors and atoms constituting the ENM; ENM crystal structure) 539 obtained through high energy X-ray spectroscopy platforms such as synchrotron-based techniques 540 will enable a more realistic, mechanistic, and systems-level picture of plant response to ENM 541 exposure.

542 This review describes some of the primary biological constraints that determine ENM543 biotransformation in plants (Figure 2, Table 2). For ENMs characterized by high stability, such as

nCeO₂, nTiO₂ or nAu, limited dissolution and translocation has been observed, even considering differences determined by structure and atomic properties related to the redox state (*e.g.*, the redox state of Ce). Conversely, ENMs with higher dissolution such as Fe- or Zn-based ENMs, nCuO, nAg or nLa₂O₃, exhibit greater translocation rates, likely involving a dynamic process of particle interaction with the plant biomolecules that increase ENM solubility and bioavailability, as exemplified with nCuO (Marmiroli et al., 2021).

The importance of in planta ENM biotransformation is corroborated indirectly at molecular level by 550 551 "omic" analyses that can describe the effects on the plant at genetic and epigenetic level (including genome stability) by measuring transcriptional modulation, protein abundance and metabolite 552 553 synthesis, as well as on physiological (phenotypical) level by observing the plant redox state, ROS 554 production, photosynthetic activity, and cellular respiration rate in response to stress (Marmiroli et 555 al., 2020; Gallo et al., 2021). The direct measurement of changes upon ENM biotransformation within 556 the plant tissues by synchrotron-based techniques (µ-XRF, µ-XANES, and XAS) provide critical 557 information in terms of distribution, atomic redox state, and atomic local structure, and add critical 558 knowledge necessary to understand the ENM-plant interactions. This information is highly relevant 559 with regard to potential applicability: ENMs can interact with sensitive ecosystem components within 560 trophic food chains, affect microbial populations in soil, enter into the plant and where they can be 561 translocated to different tissues and organs, including the edible tissues or organs (Holden et al., 2013; 562 Liu et al., 2015). Biotransformation can occur at each step within these processes, modifying and/or amplifying ENM effects at organism level. These interactions from the level of ecosystem, organism, 563 tissue, cell, and organelles become key factors when applying "ENM biotransformation" as a concept 564 565 for a safer design, when considering applications for agriculture and food production, and for 566 minimizing the adverse biological impact (Burello & Worth, 2015; Pagano et al., 2018; Lowry et al., 2019; Kah et al., 2019; Zulfiqar et al., 2019; Ma et al., 2020; Hameed et al., 2022; Xu et al., 2022). 567

568

569 *Author contributions*

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1094 Figure captions and Tables

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1096 Figure 1. Schematic representation of principal effects emerging after biotransformation of ENMs in 1097 soil, on foliar surface and within the plant tissues. ENMs in soil, due to particle and soil physico-1098 chemical interactions, may remain unmodified, or may undergo to potential dissolution (with ions 1099 release), or undergo homo-/hetero-aggregation, which may highly influence particle stability and 1100 potential bioavailability (and consequently their uptake). On the foliar surface, temperature and light 1101 may also affect particle stability before uptake into the leaf tissues. Once within the plant, ENMs 1102 pristine, or modified, can interact with several biomolecule types (peptides, sugars, lipids, nucleic 1103 acids, secondary metabolites) leading to phenomena such as coating, enzymatic degradation,

1104 chelation or functionalization, which may influence the biotransformed particle at level of 1105 translocation, storage or reactivity. These parameters may also influence the interaction within the 1106 plant cell, triggering differential responses (*e.g.*, toxicity, oxidative stress, ROS production), which 1107 may be indirectly measured by physiological and molecular assays, but directly observed through 1108 physical strategies, including synchrotron-based methods.

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1110 Figure 2. Principal effects of ENM biotransformation in plant and relevant biomarkers observed in 1111 different plant species from model organisms (A. thaliana) to crops (C. pepo; S. lycopersicum; G. 1112 max) and different tissues (roots, leaves and flowers/pollen). Relevant ENM parameters such as size, 1113 stability, dissolution may influence the translocation from roots to shoots. Potential biotransformation 1114 mechanisms that may occur within plant tissues are also reported: enzymatic degradation, protein 1115 functionalization, functionalization at the level of cytoplasm and organelles (organic acids, thiol-1116 containing compounds, aminoacids, sugars, secondary metabolites). In this scenario, chloroplast 1117 become not only a in important actor in the energy production but also one of the key targets and 1118 main regulators involved in the ENM exposure and response. Details on the biomarkers generated 1119 are reported in Table 1.

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Table 1. Genes as potential biomarkers of exposure/effect observed in roots, leaves and pollen, in different plant species (reported in Figure 2). Genes reported cannot be considered only as modulated in the different plant organs by the different type of ENMs, but also they showed a nano-specificity during the ENM response. It is also important to observe how, depending on the ENM type, biotransformation, and as indirect consequence, the transcriptomic response can be convergent between different forms (nano, bulk, ion) of the same element (see Figure 2).

flower & pollen						
plant	gene	function pathway		ENM	reference	
	Cp4.1LG00g07430	Beta-galactosidase	primary metabolism			
	Cp4.1LG13g06380	Pectinesterase	primary metabolism	nCuO Marmiroli <i>et</i>		
Constitution	Cp4.1LG12g04750	Phosphatidylinositol 3-/4- kinase family protein	primary metabolism		Managinali at al. 2021	
Cucurotta pepo L.	Cp4.1LG03g02560	Delta-1-pyrroline-5- carboxylate synthetase	primary metabolism		Marmiron <i>et al.</i> , 2021	
	Cp4.1LG02g07240	Leucine-rich repeat family protein	signaling, stress response			
	Cp4.1LG02g12750	Protein EFR3 like	signaling			

leaf						
plant	gene	function	pathway	ENM	reference	
	Cp4.1LG01(*)	PSBN, photosystem II reaction center protein N	chloroplast electron transport	nCeO2 nLa2O3	Pagano et al 2016	
Cucurbita pepo L.	Cp4.1LG00(*)	PetL, component of Cytochrome b6f	chloroplast electron transport	nCuO nZnO CdS QD	Pagano <i>et al.</i> , 2017	
Solanum lycopersicum L.	Solyc09g074540	PetL, component of Cytochrome b6f	chloroplast electron transport	nCeO2 nLa2O3 nCuO	Pagano et al., 2016	
Glicine max L.	Glyma12g36130	PetL, component of Cytochrome b6f	chloroplast electron transport	CdS QD	Majumdar et al., 2019	
Arabidonsi thaliana I	AtCg00590	PetL, component of Cytochrome b6f	chloroplast electron transport	CdS OD	Marmiroli <i>et al</i> 2014	
Trabuopsi mununu E.	AtCg00270	PSBN, photosystem II reaction center protein N	chloroplast electron transport	cus QD	Warmion et al., 2014	

root							
plant	gene	function	pathway	ENM	reference		
	Cp4.1LG16g08630	BIP3, Heat shock protein 70 family protein	protein folding, stress response				
Cucurbita pepo L.	Cp4.1LG00g00090	GPT2, glucose-6- phosphate/phosphate translocator	primary metabolism	nCeO2 nLa2O3 nCuO	Pagano <i>et al.</i> , 2016		
	Cp4.1LG05g08050	RPS12, ribosomal protein S12A	protein protein synthesis nZnO CdS QD ipase response		Pagano et al., 2017		
	Cp4.1LG05g11200	PLP2, phospholipase					
	Solyc08g006150	ChaC-like family protein	glutathione degradation		Pagano <i>et al.</i> , 2016		
Solanum lycopersicum	Solyc03g081240	PRR5, pseudo-response regulator 5	biotic/abiotic stress response	nCeO2 nLa2O3			
	Solyc10g005080	LHY1, Homeodomain-like superfamily protein	stress response	nCuO			
	Glyma19g45030	LHY1, Homeodomain-like superfamily protein	stress response	C 10 OD	M . 1 1 2010		
Glicine max L.	Glyma15g06800	PR1, pathogenesis-related gene 1	biotic/abiotic stress response	Cas QD	wajumdar <i>et al.</i> , 2019		

1134 Table 2. Principal evidence of the ENM biotransformation in plant observed by physiological,

1135 molecular and synchrotron-based analyses.

ENM	plant	physiological response	molecular response	techniques	biotransformation	reference
nCeO2	Cucumis sativus L.	nCeO2 exposure had no significant effects on the biomass production under both the +P and -P conditions. However, the uptake of Ce in the plants is different under the two conditions	- TEM XANES NEXAFS		high stability modified redox state,	Rui et al., 2015
	Lactuca sativa L. Cucurbita pepo L. Zea mays L. Glycine max L.	biomass in the agricultural soil amended with biochar 600°C was largely unaffected	-	SEM μ-XRF μ-XANES	from Ce(IV) to Ce(III) low translocation from roots to shoots	Servin et al., 2017
nCeO2 nZnO	Glycine max L.	-	-	μ-XRF μ-XANES		Hernandez- Viezcas et al., 2013
nCeO2 nLa2O3	Cucumis sativus L.	nCeO2 had no phytotoxicity to cucumber at all tested concentrations, while nLa2O3 showed significant inhibition on root elongation, shoot elongation, root biomass, and shoot biomass, as well as induced more reactive oxygen species and cell death in roots	-	μ-XRF XAS	higher dissolution compared to nCeO2 moderate translocation from roots to shoots	Ma et al., 2014
	Cucumis sativus L.	at all concentrations, nTiO2 significantly increased root length (average >300%)	-	μ-XRF μ-XANES		Servin et al., 2012
nTiO2	Cucumis sativus L.	In nTiO2treated plants, the chlorophyll content in leaves increased as the external concentration of NPs increased. nTiO2 treatments increased CAT activity in leaves.	-	μ-XRF μ-XANES FTIR	high stability low translocation from roots to shoots	Servin et al., 2013
nAu	Nicotiana tabacum L.	leaf necrosis was observed after 14 days of exposure to 3.5 nm nAu	-	μ-XRF	high stability no changes in Au valence	Sabo- Attwood et al., 2012
nAg	Lolium multiflorum L.	nAg and ionic silver significantly reduced growth, resulting in shorter shoots and roots and lower biomass. The growth inhibition from nAg was stronger than that from AgNO3. Higher concentrations of AgNPs caused broken epidermis and rootcap. Cell structures were unaltered in AgNO3 treated roots.	-	μ-XRF XANES	low stability	Yin et al., 2011
	Lactuca sativa L. Cucurbita pepo L, Zea mays L. Glycine max L.	fresh foliar biomass was unchanged. Chlorophyll a, chlorophyll b, carotenoid and pheophytin contents were not affect	-	SEM µ-XRF XANES	roots to snoots	Larue et al., 2013
	Medicago sativa L.	-	-	TEM XRF		Stegemeier et al., 2015
nZVI	Cucumis sativus L.	nZVI treatments did not affected the biomass of plants in hydroponic or soil systems. Only nZVI treated plant shoots grown under hydroponic conditions exhibited increased biomass (15%). Chlorosis observed in the leaves of the control plants but not in the plants treated with nZVI	ATPase isoforms increased their expression in the roots of plant exposed to nZVI.	EXAFS	low stability limited translocation from roots to shoots modified particle structure	Dwivedi et al., 2018

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 Table 2 continue in the next page...

ENM	plant	physiological response	molecular response	techniques	biotransformation	reference
	Zea Mays L.	-	-	μ-XRF XANES		Lv et al., 2015
nZnO	Zea Mays L.	By the 7th day, the treatment of 9 nm nZnO and ZnSO4 significantly reduced the dry weight of roots by 44% and 58% respectively, compared to the unexposed control plants. In general, ZnSO4 treatment had the greatest effect on root biomass, followed by 9 nm nZnO and finally 40 nm nZnO	-	μ-XRF	low stability high translocation from roots to shoots	Lv et al., 2021
nCuO	Nicotiana tabacum L.	When exposed to equivalent weight of Cu, nCu2O exhibited higher toxicity than nCuO, implying that the transformation may elevate the toxicity upon nCuO exposure	-	TEM XANES	low stability high translocation from roots to shoots consistent with an increased ion release modified redox state, from Cu(II) to Cu (I)	Dai et al., 2019
	Lactuca sativa L.	Cu exposure had limited impacts on lettuce biomass. For the unweathered exposures, only the root biomass of NP-exposed plants was less than in bulk treatment; no other tissue- specific differences were evident. In the W exposure, the total biomass ranged from 8.2 g (W NP) to 9.5 g (unexposed control); nCuO and ion-treated plant biomass was significantly less than the unexposed controls. With regard to individual tissues in the W exposure, there were no differences of significance in the root biomass.	The expression level of nine genes involved in Cu transport shows that the mechanisms of nCuO and bulk CuO response- accumulation are different from ionic Cu	μ-XRF XANES		Servin et al., 2017
	Cucurbita pepo L.	no impact on zucchini biomass, photosynthetic activity or cellular respiration.	RNA-seq analyses on vegetative and reproductive tissues highlighted common and nanoscale- specific components of the response. Mitochondrial and chloroplast functions were uniquely modulated in response to ENM exposure as compared with bulk and salt forms	μ-XRF XANES EXAFS		Marmiroli et al., 2021
CdS QD	Arabidopsis thaliana L.	treatment with CdS QDs caused a slight stress that increased the biomass in the mutants, but not in the wt, while CdSO4 caused modest phytotoxicity to both the wt and mutants	-	EXAFS	high stability limited ion release high translocation modification in bonds distance	Marmiroli et al., 2020