



Non-conventional Stabilization for Fruit and Vegetable Juices: Overview, Technological Constraints, and Energy Cost Comparison

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Abstract

This study will provide an overview and a description of the most promising alternatives to *conventional thermal treatments* for juice stabilization, as well as a review of the literature data on fruit and vegetable juice processing in terms of three key parameters in juice production, which are microbial reduction, enzyme inactivation, and nutrient-compound retention. The alternatives taken into consideration in this work can be divided, according to the action mechanism upon which these are based, in *non-conventional thermal treatments*, among which microwave heating (MWH) and ohmic heating (OH), and *non-thermal treatments*, among which electrical treatments, i.e., pulsed electric fields (PEF), high-pressure processing (HPP), radiation treatments such as ultraviolet light (UVL) and high-intensity pulsed light (PL), and sonication (HIUS) treatment, and inert-gas treatments, i.e., the pressure change technology (PCT) and supercritical carbon dioxide (SC-CO₂) treatments. For each technology, a list of the main critical process parameters (CPP), advantages (PROS), and disadvantages (CONS) will be provided. In addition, for the non-thermal technologies, a summary of the most relevant published result of their application on fruit and vegetable juices will be presented. On top of that, a comparison of typical specific working energy costs for the main effective and considered technologies will be reported in terms of KJ per kilograms of processed product.

Keywords Non-conventional technologies · Food processing · Energy cost · Technological constraints · Vegetable and fruit juices

Introduction

Fruit and vegetable juices, beverages, juice blends, smoothies, and purees are an increasingly popular way of consuming fruit and fresh-like vegetables and may contribute to a healthy diet and healthy life. Over the last few years, the consumption of fruit and vegetable juices has been rapidly increasing, making the juice and beverage industry among the largest agro-based industries worldwide (Walkling-Ribeiro et al., 2010).

Vegetable and fruit juices are traditionally preserved by thermal processing. Unfortunately, they might have some

detrimental effects on the nutritional quality, impacting negatively on the fresh-like characteristics. Therefore, recent consumer demand for safe and minimally processed foods with high-quality attributes have encouraged food industry and scientific researchers to design alternative technologies to produce food with a minimum of changes induced by the technologies themselves (Jiménez-Sánchez et al., 2017a).

For this reason, recently there has been a growing interest in the design of non-conventional and novel non-thermal processing systems that minimally modify sensory, nutritional, and functional properties of fruit and vegetable juices and beverages. The non-conventional and non-thermal technologies that will be presented in this paper could meet industry and consumer expectations. Anyway, although non-conventional treatment seems less detrimental than the conventional thermal ones, the effects are strongly dependent on the food matrix (Alves Filho et al., 2016). Therefore, the main motivation for food processors is to select the most appropriate thermal or non-thermal technology along with validated processing conditions to retain nutritive constituents, color, and flavor attributes (Koutchma et al., 2016).

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In the last few years, many studies and research about comparison among different technologies for fruit and vegetable juice treatment have been carried out (Bevilacqua et al., 2018; Jiménez-Sánchez et al., 2017a, b; Qazalbash et al., 2018; Timmermans et al., 2011; Van Impe et al., 2018; Vervoort et al., 2011), but to the best of our knowledge no report gives a comprehensive overview of the advantages, disadvantages, and technological constraints for their application, or provide a comparison of their specific energy consumption with the conventional thermal treatment.

Based on the above premises, as an input to processor choice, this paper will provide an overview of the most promising non-conventional technologies, specifying their mechanisms of action and critical process parameters, reporting the results of their application, and lastly, comparing their specific working energy costs.

Non-conventional Technologies

Thermal Technologies

Microwave heating (MWH) and *ohmic heating* (OH) are processes based on temperature increasing into the product to which they are applied, but not related to conventional heat transmission methods (conduction and convection). Therefore since their effect on microbial reduction, enzymatic deactivation, and nutrient deterioration is still related to heat, they can be classified as non-conventional thermal technologies.

Microwave Heating

Microwave heating is a sub-category of electrical treatments, where electromagnetic waves are emitted by a small-dimension magnetron and guided through space to the target. Microwaves are electromagnetic waves whose frequency varies from 300 MHz to 300 GHz. The industrial microwave systems typically operate at frequencies from 915 MHz to 2.45 GHz (Datta & Davidson, 2000).

MWH is caused by the ability of the materials to absorb microwave energy and convert it into heat. Microwave heating of food materials mainly occurs due to dipolar and ionic mechanisms. The presence of moisture or water causes dielectric heating due to the dipolar nature of water. There are many factors affecting microwave heating and its heat distribution, but the most important of them are the dielectric properties and penetration depth (Chandrasekaran et al., 2013).

MWH is a promising way for juice stabilization because of some advantages, like the reduced processing time, a good process control, and space savings (Salazar-González et al., 2014). Destruction of microbes or enzymes by microwave

or radio frequency waves at sublethal temperatures was explained by one or more of the following theories: selective heating, electroporation, cell membrane rupture, and magnetic field coupling.

The selective heating theory suggests that the microorganisms are selectively heated due to microwaves and reach a temperature higher than that of the surrounding fluid. This causes the microorganisms to be destroyed more quickly. According to the electroporation theory, the electrical potential across the cell membrane causes pores, which results in the leakage of cellular materials. In the cell membrane rupture theory, the cell membrane is ruptured due to the voltage applied across the cell membrane. According to the magnetic field coupling theory, the internal components of the cell are disrupted due to the coupling of electromagnetic energy with critical molecules such as protein or DNA (Kozempel et al., 1998). Although various theories suggest the non-thermal effect of microwaves, it was further observed that in the absence of other stresses such as pH or heat, microwave energy did not inactivate microorganisms (Chandrasekaran et al., 2013).

MWH treatments are nowadays applied by some food industries and were found to save some costs and time compared to indirect heating methods. Also, food quality is maximized and better retained using electromagnetic energy rather than conventional heating. Microwave heating processes used on fruit and vegetable juices can achieve high processing temperatures in shorter times; therefore, more nutritional and sensory properties are conserved.

Ohmic Heating

Ohmic heating (OH) applied to food products involves the passage of high-frequency alternating electric current through them, generating internal heat as a result of electrical resistance — Joule effect — of the food matrix (Valero et al., 2010).

As outlined in Fig. 1, in the typical industrial design for liquid food OH treatment involves the application of a high electrical potential (typically around 5000 V) between the two flanges at the extremities of each module, using the food product flowing through as a resistor. The high-frequency electrical current (typically between 20 and 30 kHz) therefore passes through the food, increasing its temperature fast and uniformly thanks to the Joule effect, thus bypassing conventional heat transfer mechanisms such as conduction and convection.

The heating rate is directly proportional to the square of the electric field strength, and the electrical conductivity of the product (Jiménez-Sánchez et al., 2017a).

For this reason, the efficiency of the application of OH for the stabilization of liquid foods strongly depends on the conductivity of the product to be treated. Typical conductivity

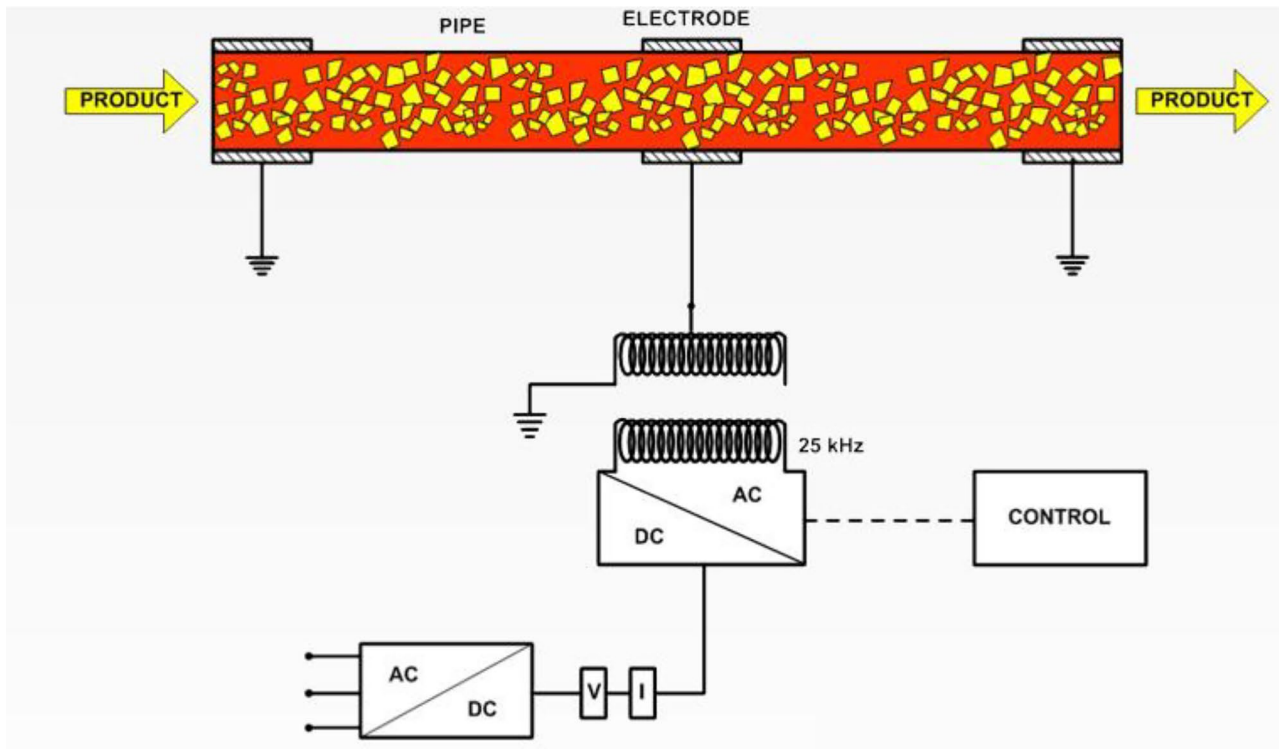


Fig. 1 OH application mechanism scheme

value of fruit and vegetable juices is between 0.2 and 1 S/m at 20 °C with lower value for raw water and honey sugar and higher values for meat products and seafood (Zhang, 2007).

The ohmic heating technology has many benefits: for example, compared to the conventional heating, it reduces the problems of surface fouling, or over heating of the product, it has low maintenance costs and high energy conversion efficiencies (Pereira & Vincente, 2010), and retain higher nutritional value of food product (Debbarma et al., 2021), but the different electrode materials during OH at different electrical frequencies have an influence on protein structural aspects (Ferreira et al., 2021).

OH is very effective in fruit and vegetable juices that contain water and ionic salts in abundance (Miller & Silva, 2012). In these kinds of products OH provides uniform and rapid heating, resulting very efficacious for microbial reduction and enzyme inactivation, with a beneficial effect on the nutritional and organoleptic properties of processed products (Mercali et al., 2015). Additionally, compared to conventional thermal technologies, OH offers better energy efficiency, lower capital cost, and shorter treatment time. In addition, it results to be an environmentally friendly process, since around 97% of electrical energy provided is converted into heat (Lee et al., 2015). Figure 2 provides a typical example of liquid food product heating curves on a temperature over time chart, showing the faster temperature

rising with OH in comparison with conventional indirect heating technologies.

Non-thermal Technologies

The technologies that will be described in this section are defined as non-thermal because their effect of microbial reduction is not due to the increase of temperature, in contrast to the technologies seen so far, but is a result of different action mechanisms, specific for each technology.

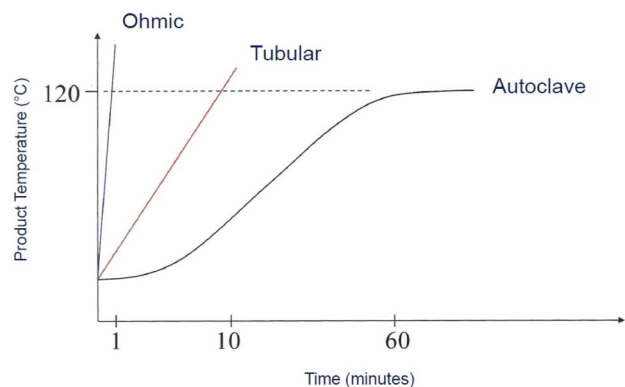


Fig. 2 Example of temperature rising curves for product under conventional thermal and OH

Pulsed Electric Fields

Pulsed electric field (PEF) is one of the most extensively studied non-thermal technologies that had been applied to fruit and vegetable juices for microorganism inactivation as well as for maintaining organoleptic and nutritional qualities similar to those of fresh juice.

This treatment involves the application of high-intensity electric field (typically between 10 and 40 kV/cm), in form of very short pulses (usually 5–30 μ s), to a product placed between two electrodes. The application of PEF pulses induces microscopic pores — called electropores — in the microbiological membranes, resulting in an increase in their permeability. The plasma membranes of cells become hence permeable to small molecules, ions, and water, which will be able to pass from one side of the membrane to the other. This phenomenon is called electroporation and induces swelling and the rupture of the cell membrane leading to cell death (Jiménez-Sánchez et al., 2017a).

Although a temperature might rise due to the electric current flowing through the liquid food (as it happens during ohmic heating), PEF is intended to be a non-thermal technique (Jiménez-Sánchez et al., 2017a).

In addition, even if the application of PEF at relatively lower temperatures to inactivate pathogens and food spoilage bacteria, as well as enzymes, has already been described in the literature, a better understanding and accurate prediction of inactivation levels are necessary to achieve enzymatically stable products without overprocessing (Bevilacqua et al., 2018).

High-Pressure Processing

High-pressure processing (HPP) refers to the application of hydrostatic pressure in the range from 100 to over 900 MPa on pre-packaged food. During this process, the

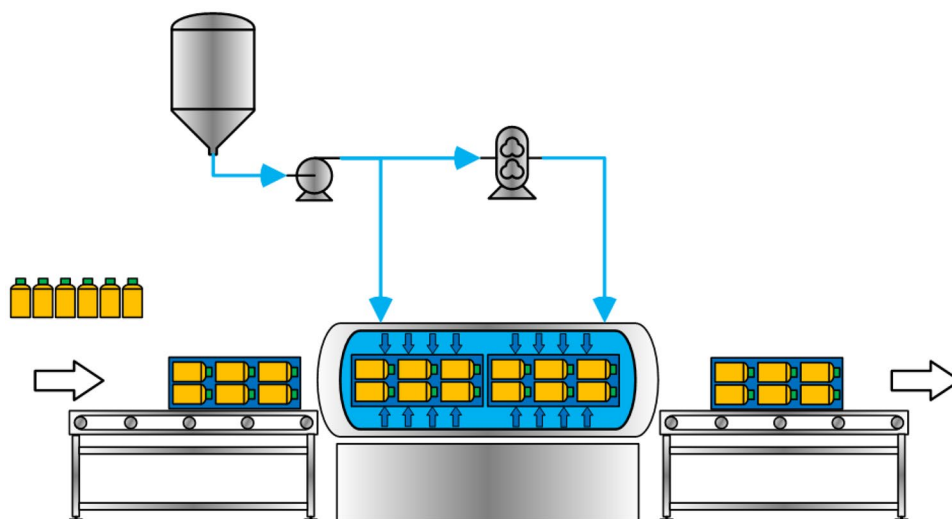
pressurization is applied isostatically, i.e., equally in all for the duration of the treatment and then released (Jiménez-Sánchez et al., 2017a).

High pressure causes unfolding of proteins or enzymes, as well as considerable damage to the genetic material of microorganisms, due to phase transition fluidity change of the cell membrane, an intracellular pH change, and breakdown of ribosomes, ultimately resulting in injury and death of vegetative microorganisms (Qazalbash et al., 2018). On the other hand, this technology exerts limited effects on small molecules such as volatile compounds, pigments, vitamins, and antioxidant compounds (Stefanini et al., 2021), owing to its limited impacts on the covalent bonds and its low processing temperature (Chen et al., 2015). This led to the commercial adoption of this treatment for increasing the shelf life of juices and for manufacturing of high-quality products.

Figure 3 shows a schematic example of HPP technology application: HPP is typically applied as a batch process in which pre-packed products are loaded into the pressure vessel. As soon as they are loaded and closed, the vessel is filled with pressure-transmitting fluid, by using a pressure-generating mean. A pressure medium, water in most current HPP equipment (Rastogi, 2013), is pumped isostatically from its tank into the pressure vessel and once the desired pressure is reached, the pump is stopped by closing the inlet valves (Elamin et al., 2015). The desired pressure can be maintained with no more energy needed to hold it (Huang et al., 2014). After holding the product for the required time, the pressure is released from the vessel by freeing out the pressure-transmitting fluid to return to its initial tank reservoir (Farkas & Hoover, 2000).

It is also important to notice that although HHP is intended to be a cold (totally non-thermal) technology, an inherent mild increase in pressurized water temperature does occur.

Fig. 3 Example scheme of HPP process application



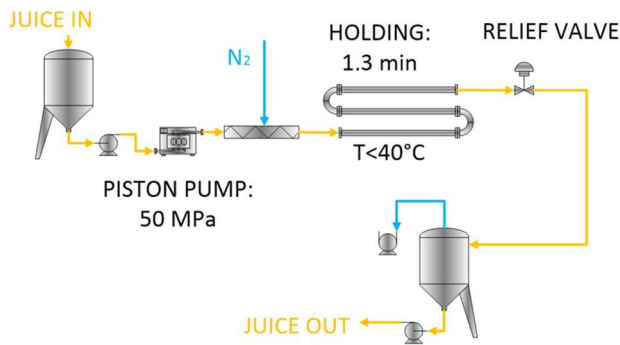


Fig. 4 Example scheme of continuous PCT process flow diagram

The temperature increasing during compression is reported to be approximately 3 °C every 100 MPa (Timmermans et al., 2011).

Pressure Change Technology

Pressure change technology (PCT) is an emerging process which has been recently proposed as an innovative approach for the non-thermal inactivation of microorganisms and stabilization of liquid foods (Aschoff et al., 2016).

A schematic representation of PCT process application is provided in Fig. 4. When pressure change technology (PCT) is applied, the liquid product is pressurized with a high-pressure pump at a maximum pressure of 50 MPa and subsequently mixed with an inert gas (such as nitrogen, helium, or argon) at a slightly higher pressure (approximately 1 MPa) using an inline static mixer. During the subsequent holding time, the inert gas dissolves and diffuses in the liquid medium in high amounts, penetrating into intracellular microbial liquids until reaching saturation. After the retention time, the pressurized product saturated

with gas is quickly released to atmospheric pressure by a relief valve.

This flash decompression causes a sudden outgassing of the inert gas, which damages all the microbial cell structures into which it has penetrated but minimizes the impact on enzyme activity and nutritional compounds. Thus, in contrast to static technologies such as high-pressure processing, the lethal effect of PCT is achieved at the dynamic decompression step instead of during the retention time (Aschoff et al., 2016). Therefore, the stabilization mechanism of PCT can be called *dynamic decompression*.

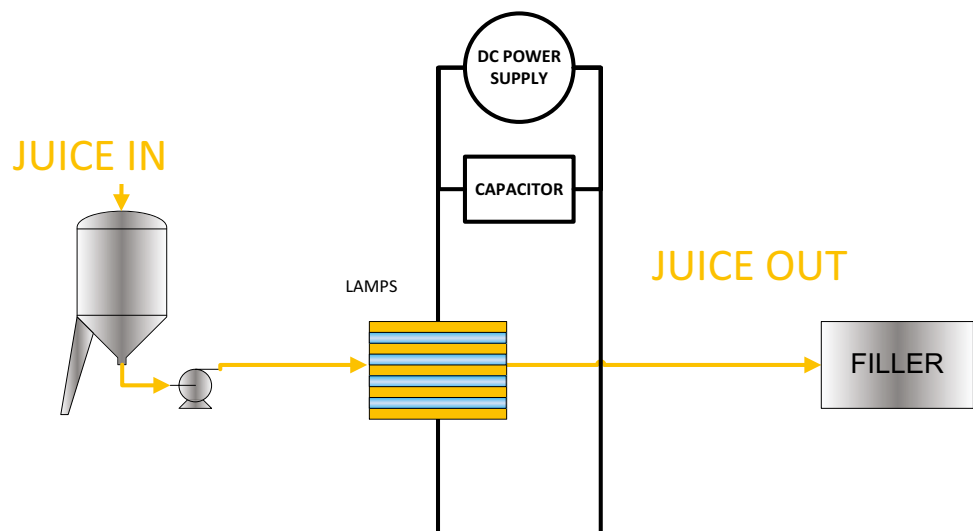
Ultraviolet Light Radiation

Among the non-thermal technologies developed in the last few decades, *ultraviolet light* (UVL) processing is one of the most promising because it is easy to use, lethal to most microorganisms, and it is a cold process that can be effective at low cost in comparison with other preservation methods (Gayán et al., 2012). A schematic example of industrial UVL technology application is provided in Fig. 5.

The wavelength range for UVL for food processing varies from 100 to 400 nm and is categorized as UV-A (320–400 nm), UV-B (280–320), and UV-C (200–280 nm). UV-C radiation, especially the wavelength of 254 nm, is considered the *germicidal region* in which the main bactericidal effect occurs (Gayán et al., 2012).

The inactivation of microorganisms starts with the microorganism’s DNA absorbing UV radiation, and then cross-linked pyrimidine nucleoside bases are formed causing a mutation in the DNA, mainly thymine dimers. The structural damage caused by the formation of these dimers inhibits the formation of new DNA, resulting in the inactivation of the affected microorganism. This reaction has been called the *photochemical effect* (Gómez-López et al., 2012).

Fig. 5 Example scheme of UVL process flow diagram



Pulsed Light Radiation

Recently, *pulsed light* (PL) has been intensely investigated as an alternative to thermal treatments for killing pathogenic and spoilage microorganisms (Maftai et al., 2014). It is based on application of very short intense flashes of light. The equipment used consists of a high-energy electrical energy capacitor that discharges pulses of electrical energy to *flash lamps* which produce flashes of broad-spectrum light. The spectrum of emitted light is in the range of 200–1100 nm. The emitted flashes are very intense but have an extremely short duration (0.2–0.4 ms).

In addition to the *photochemical effect* previously mentioned for the UVL technology, exposure to PL also causes a membrane disruption as a result of a momentous overheating. This phenomenon is attributed to a difference in UV light absorption between the microorganism and its surrounding environment, called *photothermal effect*. Besides, structural damage in microbial cells like cytoplasmic membrane shrinkage was also reported, called *photophysical effect* (Ferrario et al., 2014).

Also in this case, the outline for PL treatment application has been provided in Fig. 6.

Supercritical Carbon Dioxide

Among the non-thermal process for liquid foods such as fresh juices, there is also a method called dense phase carbon dioxide (DPCD) or supercritical carbon dioxide (SC-CO₂) that is able to inactivate microorganisms and enzymes using CO₂ in the supercritical state (Deng et al., 2020). Foods are subject to sub-critical or supercritical (i.e., pressurized) CO₂ at low temperature (20–50 °C) under moderate pressure (below 50 MPa) for 5–30 min (Ferrentino & Spilimbergo, 2011). CO₂ has many advantages: it is inert to oxidation

reactions, non-flammable, non-corrosive, non-toxic, safe solvent, and has low critical temperature, which allows the development of non-thermal process, therefore minimizing the influence on sensorial and nutritional characteristics of foods (Silva et al., 2020).

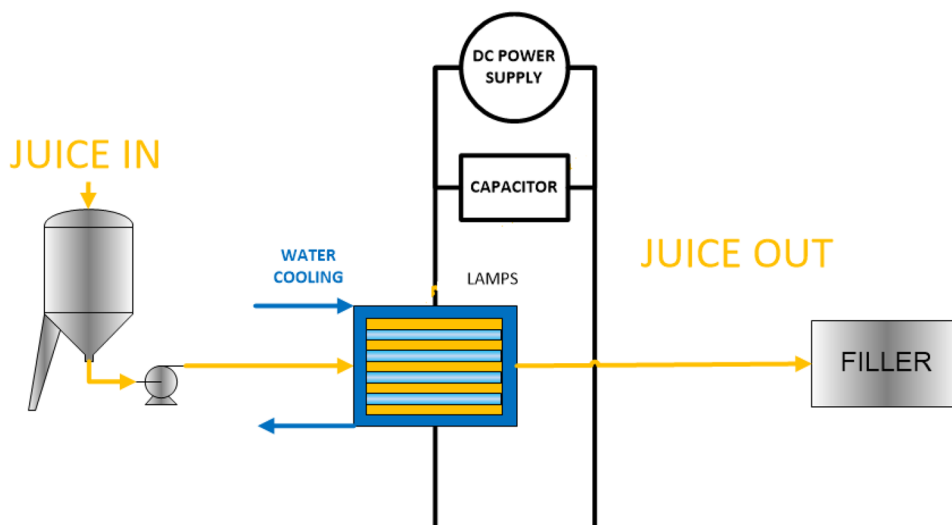
The equipment for SC-CO₂ processing of liquid foods is specific to each application and the process may be operated in batch, semicontinuous, or pseudo-continuous and continuous operating mode (Perrut, 2012).

This technology has been investigated over the past 50 years: its effects on various microorganisms including pathogens, spoilage bacteria, yeasts and molds, and different enzymes have been demonstrated (Fleury et al., 2018). Several studies have been performed on the efficiency of SC-CO₂ processing in the preservation of juices, such as mango (Tang et al., 2021), tomato (Zhao et al., 2019), orange (Niu et al., 2019), apple (Gasperi et al., 2009), guava (Plaza et al., 2015), and melon (Pei et al., 2018). Few studies evaluated the shelf life of natural juices processed by SC-CO₂ technology, regarding microbial quality and other parameters (Torabian et al., 2018; Zou et al., 2016). Moreover, the literature regarding the effects of SC-CO₂ technology on the sensory properties as well as the acceptance of the non-thermally processed juices by the consumers is still scarce (Silva et al., 2020). This type of process, although known to the applied research sector, still finds little attention in the food industry today.

High-Intensity Ultrasound

High-intensity ultrasound (HIUS) refers to ultrasound operating at frequency higher than 20 kHz: this technology gained success in the field of food disinfection (Afari et al., 2016). To get the ultrasound, an electric current alternating is applied to a piezoelectric material fixed to the wall of a

Fig. 6 Example scheme of PL process flow diagram



container. A sonicator consists of an electricity generator, a converter to transform electrical energy into mechanical energy, and probes that amplify the produced vibration.

The mechanism of operation of the sonication is based on the phenomenon of cavitation, with the formation of small bubbles in the liquid medium that quickly alternates compression and expansion and cause violent collapse. Shock waves with high energy densities can radiate from collapsing bubbles that are strong enough to shear and break cell walls and membrane structures, as well as depolymerize large molecules (Deng et al., 2020). Therefore, this process is able to guarantee a bactericide effect (Gómez et al., 2011). Moreover, hydroxyl radicals can be formed due to the rise of temperature at a localized position inside a collapsing bubble: they can react with the DNA chain and break the double-strand microbial DNA (Bilek & Turantaş, 2013).

However, even if HIUS is generally considered safe, non-toxic, and environmentally friendly (Deng et al., 2020), information on its commercial application is scarce and more efforts are needed to develop large-scale inexpensive equipment for their application in the food industry.

Fruit and Vegetable Juice Stabilization Effectiveness

Although the effectiveness of heat treatments is well known and does not change significantly depending on the technology used to apply the heat (conventional or non-conventional), the results of non-thermal technologies are often uncertain and may differ depending on the variation in process parameters.

In Table 1, what we consider to be the most representative data found in the scientific literature regarding the effectiveness of the main non-thermal technologies has been summarized, in terms of the three key aspects of microbial reduction, enzymatic inactivation, and nutrient-compound retention for each standpoint. The results obtained and the process conditions applied have been reported.

Technological Constraints

Following the description and the effects of the various stabilization technologies reported in the previous sections of this work, it is possible to summarize a list of the principal critical process parameters (CPP), advantages (PROS), and disadvantages (CONS) associated with each of these processes.

In Table 2, an overview of the above aspects is provided for each technology, reporting also the data source.

Working Energy Cost Comparison

Starting from the technical features, the process flow diagrams, and the operating mechanism of the technologies taken into account in this paper, the energy consumption per mass unit of treated product has been estimated in order to perform a comparison of the specific energy cost required by each of them. For this comparison, also an estimation for the *conventional indirect thermal treatment* (CITT) has been considered.

The estimation of the specific working energy consumption for each technology has been carried out starting from the method reported by Rodriguez-Gonzalez et al. (2015), with the following further assumptions:

- The components of working costs considered are those for product stabilization treatment (i.e., energy for heating and pumping), other service fluid pumping, heat dissipation (i.e., for equipment cooling), and for product cooling.
- The evaluation of energy consumption per unit mass of juice processed has been carried on a system boundary going from inlet untreated product to outlet stabilized product, considering both with the same temperature value equal to 20 °C.
- In the cases of thermal treatments, the use of a process temperature not exceeding 68 °C were assumed.

This latter condition was assumed in order to make the comparison between different technologies as fair as possible. In fact, thermal processes reaching temperatures higher than the herein imposed are able to reach levels of product stability, i.e., shelf life, often inaccessible with non-thermal technologies, which would nevertheless penalize them from an energy point of view.

Approximately 5-log reduction of *Escherichia coli* in apple-derived products was taken into consideration as target for all the stabilization technologies under consideration. The treatment conditions to achieve such *E. coli* inactivation levels and, therefore, utilized for specific energy cost estimation for the different technologies are the following:

CITT/OH/MWH: A treatment at 68 °C for 15 s has been considered, since more than 5-log reduction of non-adapted and acid-adapted *E. coli* O157:H7 was obtained at 68.1 °C for 14 s in apple cider at pH 4.1 and 11 °Brix (Mak et al., 2001).

HPP: A treatment at 600 MPa for 2 min has been considered, since for high-pressure treatment, literature reports 1–5 min at 350–600 MPa to inactivate *E. coli* in apple juice (Daher et al., 2017). Also, 3 min for pressure fluid to come up to the desired pressure was estimated.

Table 1 Overview of reported non-thermal technologies effects in terms of microbial reduction, enzymes inactivation, and nutrients retention

Technology	Standpoint	Results obtained	Process parameters	References
PEF	Pathogens vegetative	6 log reduction of <i>E. coli</i>	Apple juice, 36 KV/cm, 1.6 μs pulse width, 55 °C	Pellicer et al. (2017)
	Spoilage vegetative	5,1 log reduction of <i>S. cerevisiae</i>	Orange juice, 35 KV/cm, 4 μs pulse width, 32,5 °C	Elez-Martínez et al. (2006)
	Endospores	4 log reduction of <i>B. subtilis</i>	Orange juice, 16,3 KV/cm, 20 μs pulse width, 100 °C	Uemura & Isobe (2003)
		3,9 log reduction of <i>A. acidoterrestris</i>	Ringer's solution, 9 KV/cm, 20 μs pulse width, 127 °C	Siemer et al. (2014)
	Enzymes activity	93 % of POD activity reduction	Carrot juice, 35 KV/cm, 6 μs pulse width, 200 Hz, <40 °C	Bevilacqua et al. (2018)
		34% of PME activity reduction	Orange juice, 35 KV/cm, 2 μs pulse width, 90 Hz, <58 °C	Vervoort et al. (2011)
	Nutrient compounds	6,2 % total vitamin C loss	Orange juice, 23 kV/cm, 4 μs pulse width, 800 Hz, <50 °C	Sánchez-Moreno et al. (2005)
		9,4 % total carotenoids loss	Orange juice, 35 kV/cm, 4 μs pulse width, 800 Hz, <50 °C	Sánchez-Moreno et al. (2005)
	Pathogens vegetative	6 log reduction of <i>L. monocytogenes</i>	Carrot juice, 500 MPa, 1 min, 20 °C	Bevilacqua et al. (2018)
	Spoilage vegetative	5 log reduction of <i>E. coli</i>	Orange juice, 200 MPa, 15min 22 °C	Wang et al. (2012)
HPP	Endospores	5 log reduction of <i>Lb. plantarum</i>	Orange juice, 300 MPa, 15min 22 °C	Wang et al. (2012)
		0,9 log reduction of <i>Alicyclobacillus</i> spp	Orange juice, 300 MPa, 20min 50 °C	Bevilacqua et al. (2018)
	Enzymes activity	10% reduction of POD activity	Orange juice, 600 MPa, 1min, 22 °C	Vervoort et al. (2011)
		9% reduction of PPO activity	Melon juice, 500 MPa, 20min, 22 °C	Ma et al. (2010)
	Nutrient compounds	2,8% total vitamin C loss	Orange juice, 400 Mpa, 1 min, 40 °C	Sánchez-Moreno et al. (2005)
	Pathogens vegetative	Total retention of carotenoids	Orange juice, 400 Mpa, 1 min, 40 °C	Plaza et al. (2011)
		3,8 log reduction of <i>Escherichia coli</i>	Orange juice, 50 MPa, T≤40 °C, holding time 5 min	Bönsch et al. (2007)
	Spoilage vegetative	6,4 log reduction of <i>Pseudom. fluorescens</i>	Orange juice, 50 MPa, T≤40 °C, holding time 5 min	Bönsch et al. (2007)
	Endospores	2,6 log reduction of <i>Saccharomyces cerevisiae</i>	Orange juice, 50 MPa, T≤40 °C, holding time 5 min	Bönsch et al. (2007)
		<i>n.d.</i>	Orange juice, 50 MPa, T≤40 °C, holding time 5 min	Bönsch et al. (2007)
PCT	Enzymes activity	26% of PME activity reduction	Orange juice, 50 MPa, T≤40 °C, holding t = 1,3 min	Aschoff et al. (2016)
		100% inactivation of POD activity	Orange juice, 50 MPa, T≤40 °C, holding t = 1,3 min	Aschoff et al. (2016)
	Nutrient compounds	Total retention of ascorbic acid	Orange juice, 50 MPa, T≤40 °C, holding t = 1,3 min	Aschoff et al. (2016)

Table 1 (continued)

Technology	Standpoint	Results obtained	Process parameters	References
UVL		5 % total vitamin C loss	Orange juice, 50 MPa, $T \leq 40$ °C, holding $t = 1,3$ min	Aschoff et al. (2016)
		20% total carotenoid loss	Orange juice, 50 MPa, $T \leq 40$ °C, holding $t = 1,3$ min	Aschoff et al. (2016)
	Pathogens vegetative	3,9 log reduction of <i>E. coli</i> (K12)	Apple juice - 0,707 J/cm ² , 254 nm for 40 min	Akgün et al. (2017)
		4,4 log reduction of <i>E. coli</i> (K12)	Apple juice - 0,771 J/cm ² , 280 nm for 40 min	Akgün et al. (2017)
		> 6 log reduction of <i>E. coli</i> (K12)	Lemon and melon juice blend - 2,46 J/mL, 254 nm	Bevilacqua et al. (2018)
	Spoilage vegetative	1,2 log reduction of yeast counts	Guava nectar - 0,0215 J/cm ² , 254 nm	Guevara et al. (2012)
	Endospores	2 log reduction of <i>A. acidoterrestris</i>	Apple juice - 0,62 J/cm ² , 254 nm, 8 min	Tremarin et al. (2016)
	Enzymes activity	67,4 % reduction of PPO activity	Apple juice - 100,4 kJ/L, 280-365 nm for 40 min	Akgün et al. (2017)
		18 % reduction of PME activity	Carrot & orange juice blend - 10,6 J/cm ² for 1 min	Bevilacqua et al. (2018)
	Nutrient compounds	15 % of ascorbic acid loss	Grapefruit juice - 3,94 J/cm ² , 254 nm	Bevilacqua et al. (2018)
PL		25 % of antioxidant capacity loss	Grapefruit juice - 3,94 J/cm ² , 254 nm	Bevilacqua et al. (2018)
	Pathogens vegetative	5 log reduction of <i>E. coli</i>	Fluence: 5,5 J/cm ² in orange juice	Pataro et al. (2011)
		0,93 log reduction of <i>L. innocua</i>	Fluence: 5,5 J/cm ² in orange juice	Pataro et al. (2011)
		2,9 log reduction of <i>E. coli</i>	Fluence: 12 J/cm ² in apple juice	Pataro et al. (2011)
	Spoilage vegetative	4,4 log reduction of <i>Saccharomyces cerevisiae</i>	Fluence: 71,6 J/cm ² , $T < 12$ °C in apple juice	Ferrario et al. (2014)
	Endospores	3,0 log reduction of <i>A. acidoterrestris</i>	Fluence: 71,6 J/cm ² , $T < 12$ °C in apple juice	Ferrario et al. (2014)
	Enzymes activity	96% of POD activity reduction	Fluence: 128 J/cm ² with pulses of 0,2 ms and an incident fluence of 2,14 J/cm ² pulse ⁻¹	Pellicer et al. (2017)
	Nutrient compounds	22,5% of vitamin C loss	Fluence: 19,2 J/cm ² , energy received: 0,08 J/cm ² per pulse in pineapple juice	Palaniswamy et al. (2016)
		No variation of total phenol content	Fluence: 19,2 J/cm ² , energy received: 0,08 J/cm ² per pulse in pineapple juice	Palaniswamy et al. (2016)
	Pathogens vegetative	3,7 log reduction of mesophilic bacteria	Strawberry juice, 60 MPa, 45 °C, 30 min, 72% CO ₂	Marszałek et al. (2015)
Spoilage vegetative	5,38-log reduction of yeasts	Elderberry juice, 18 MPa, 45 °C, 90 min, 95,83% CO ₂	Torabian et al. (2018)	

Table 1 (continued)

Technology	Standpoint	Results obtained	Process parameters	References
HIUS	Endospores	1.0 log inactivation at 50 °C and 3.5 log reduction at 75 °C of <i>A. acidoterrestris</i>	Apple juice, 60 MPa, 50 and 75 °C, 20–40 min, 30% CO ₂	Porębska et al. (2017)
	Enzymes activity	100% inactivation of PPO and 85% POD	Strawberry, 10–60 MPa, 35–65 °C, 10–30 min, 72% CO ₂	Marszałek et al. (2015)
	Nutrient compounds	80% and 95% PPO inactivation	Apple juice, 25 MPa, 40 °C and 25 MPa, 55 °C	Murtaza et al. (2019)
	Pathogens vegetative	Any changes in antioxidant components	Orange juice, 230 bar, 36 ± 1 °C	Fabroni et al. (2010)
	Endospores	2 logs reduction of <i>E. Coli</i>	Strawberry juice, 55 °C (3 min) and 517.1 mW/mL acoustic energy density	Yildiz et al. (2021)
	Enzymes activity	1.3 log reduction of <i>E. Coli</i>	Orange juice, 42 kHz, 60 min	Kernou et al. (2021)
	Nutrient compounds	2.48 log reduction of moulds	Apple juice, 30 °C/525 W/12 min	Yüsi et al. (2021)
	Endospores	2 log reduction of <i>A. acidoterrestris</i> spores	Orange juice, 20/40 kHz, 24 min and 64 °C	Wahia et al. (2021)
	Enzymes activity	A significant increase in total phenol contents	Apple juice, 60 °C with 1125 W power for 12min	Yüsi et al. (2021)
	Nutrient compounds	Improved DPPH free radical scavenging activity	Apple juice, 60 °C with 1125 W power for 12min	Yüsi et al. (2021)

Table 2 Principal critical process parameters (CPP), advantages (PROS) and disadvantages (CONS) associated with each selected technology

Technology	CPP	PROS	CONS	References
<i>Microwave heating (MHW)</i>	<ul style="list-style-type: none"> - Electromagnetic frequency and processing time - Product pH, thickness, and moisture, as well as presence of particulates - Uniformity of temperature rise within the product during treatment 	<ul style="list-style-type: none"> - Fast heating (about one quarter with respect to indirect thermal heating) leading to a lower cooking value and lower nutrient degradation - Suitable for <i>cleaning in place</i> (CIP) cycles, since it is viable for water heating - Cold heating tube reflecting in less fouling 	<ul style="list-style-type: none"> - Relative low energy efficiency especially compared to ohmic (around 80–85%) - Non-uniform temperature distribution, which can lead to the presence of cold spots within the product and, therefore, an incomplete eradication of microorganisms - Maximum working pressure limited (up to max 1 MPa), due to plastic or ceramic heating tubes - High operating costs since it is generated from electric energy - Magnetrans require water cooling circuit for heat dissipation 	<ul style="list-style-type: none"> - Salazar-González et al. (2012) - Petruzzi et al. (2017)
<i>Ohmic heating (OH)</i>	<ul style="list-style-type: none"> - Power range (in kW), frequency range (in kHz), pulse width (in μs), and processing time - Product viscosity and homogeneity, as well as matrix and eventual presence of particulates - Product conductivity (S/m) and pH - Avoid formation of air bubbles during treatment, for the risk of electric arch formation 	<ul style="list-style-type: none"> - Fast heating: up to one tenth of temperature rising time with respect to indirect thermal heating, leading to lower cooking value and lower nutrient degradation - Uniform temperature distribution during treatment - Suitable for <i>cleaning in place</i> (CIP) cycles, since it is viable for water heating, - High energy efficiency (up to 97%) 	<ul style="list-style-type: none"> - Limited operating pressure (up to max 1.2–1.5 MPa) due to the necessary use of insulating material between electrodes (glass or plastic). For this reason, it is necessary to carefully evaluate the viscosity of the product to be treated - High operating costs since it is generated from electric energy, commonly more expensive than steam 	<ul style="list-style-type: none"> - Icier (2012) - Fadl and Liu (2014) - Petruzzi et al. (2017)
<i>Pulsed electric fields (PEF)</i>	<ul style="list-style-type: none"> - Electric field strength (kV/cm), energy dose supplied, and treatment time - Pulse shape, width (μs), frequency (Hz), and specific energy - Product conductivity (S/m) and pH - Product matrix and homogeneity since presence of particulate or gas can cause dielectrical breakdowns 	<ul style="list-style-type: none"> - PEF is a fast treatment, especially faster than an equivalent conventional thermal one - PEF is easily scalable and already available at industrial scale sizes (up to 10,000-L/h capacity) - It requires lower energy consumption compared to standard thermal processes, both for treatment and cooling of the product 	<ul style="list-style-type: none"> - Heat, generated in combination with PEF or as a side effect, can affect the quality of the final products and requires a cooling system downstream the treatment - PEF can have limited effect on some enzymes and microbial spores 	<ul style="list-style-type: none"> - Toepfl. (2012) - Toepfl et al. (2007) - Witt et al. (2021) - Bevilacqua et al. (2018) - Jiménez-Sánchez et al. (2017a)
<i>High-pressure processing (HPP)</i>	<ul style="list-style-type: none"> - High pressure involved (up to 900 MPa) and holding time at maximum pressure - Pressurization and decompression speed - Inlet product temperature and eventual slight increase during treatment - Juice matrix, pH, and concentration 	<ul style="list-style-type: none"> - HPP treatment ensures good retention of nutrients, organoleptic properties, and fresh-like characteristics - The process is carried out at fairly low temperatures (typically < 40 °C) - Short process time (commonly 10 to 15 min for both pressurization and holding) 	<ul style="list-style-type: none"> - HPP treatment has limited effect on microbial spores and enzymes - HPP is a batch process applied to packaged product, which limits the plant throughput - HPP requires high working energy consumption and investment cost 	<ul style="list-style-type: none"> - Bevilacqua et al. (2018) - Jiménez-Sánchez et al. (2017a) - Elamin et al. (2015)

Table 2 (continued)

Technology	CPP	PROS	CONS	References
<i>Pressure change technology (PCT)</i>	<ul style="list-style-type: none"> - Process temperature and pressure reached (these parameters influence the amount of gas that can be dissolved into the liquid food, as stated by Henry's law) - Permeability/solubility of the inert gas in the product to be treated - Process flow rate and holding time at high pressure 	<ul style="list-style-type: none"> - PCT is able to reach fast and uniform inactivation of vegetative microbial forms - PCT treatment presents high retention of nutrient compounds - PCT process involves low energy consumption and working costs 	<ul style="list-style-type: none"> - PCT has limited effect on enzyme inactivation - Endospores penetration and destruction is not achieved by this technology - It cannot be applied to heated products, and therefore cannot be easily combined with thermal technologies, due to the fact that increasing temperatures reduce the solubility of gases in liquid products (as stated by Henry's law) - PCT scale-up to industrial application still represent a challenge 	<ul style="list-style-type: none"> - Bevilacqua et al. (2018) - Aschoff et al. (2016) - Bönsch et al. (2007)
<i>Ultraviolet light radiation (UVL)</i>	<ul style="list-style-type: none"> - Power range (W), specific energy (kJ/L), radiation intensity (J/cm^2), and exposure time - Product thickness (industrial applications typically use 1 mm) - Transmittance of the medium (i.e., 13% for 1 mm of apple juice, 50% for 1 mm of water) - Number of lamps in series required (i.e., 3 for clear juices, 5 for darker) 	<ul style="list-style-type: none"> - UVL presents very low working energy consumption - It is a completely cold process, approved by USFDA for fruit juice pasteurization - Widely proven at industrial scale for extended shelf life apple cider in the USA 	<ul style="list-style-type: none"> - Shadowing effect due to the low UV transmittance through the juice containing dense suspended solids can reduce photochemical effect - Radiation can in some cases damage vitamin C and other nutrients - A remarkable inactivation of spores has not yet been achievable with industrial scale units - Only limited microbe inactivation kinetic modeling are available; therefore, recipe by recipe validation is still required 	<ul style="list-style-type: none"> - Bevilacqua et al. (2018) - Gómez-López et al. (2007) - Gómez-López et al. (2012)
<i>Pulsed light radiation (PL)</i>	<ul style="list-style-type: none"> - Energy dose supplied (fluence in J/cm^2), frequency and width of pulses, and exposure time - Product thickness - Transmittance of the medium (i.e., 13% for 1 mm of apple juice, 50% for 1 mm of water) - Number of lamps required 	<ul style="list-style-type: none"> - PL radiation has better light penetration than UVL process - PL is a faster and more energy-efficient treatment than UVL process - Even if PL treatment can generate some heat, treatment temperatures involved are much lower than thermal processes 	<ul style="list-style-type: none"> - Even for PL, the shadowing effect still represents a weakness for treatment of juice with particulates - Product temperature increase can impact the nutrient compounds - Effectiveness is strongly affected by turbidity of the juice - Only limited kinetic models are available; therefore, recipe by recipe validation is still required - PL scale-up to industrial application for juices still represent a challenge 	<ul style="list-style-type: none"> - Bevilacqua et al. (2018) - Gómez-López et al. (2012) - Keyser et al. (2008)

Table 2 (continued)

Technology	CPP	PROS	CONS	References
Supercritical carbon dioxide (SC-CO ₂)	<ul style="list-style-type: none"> - Pressure, temperature, processing time/residence time, and the CO₂/juice ratio - Density and solvation power of CO₂ are increased with pressure; the diffusivity and cell membrane penetration of CO₂ are facilitated with increasing temperature 	<ul style="list-style-type: none"> - Allow processing at lower temperatures than the HIUS and much lower pressures than the HPP - Relatively low operating costs compared to HHP - Modification of the extracellular and intracellular pH is the effect that causes the microbial inactivation 	<ul style="list-style-type: none"> - The effectiveness of enzymatic inactivation seems to be achieved only with temperature near to 55 °C - Long time required for CO₂ to diffuse throughout the suspended fragments of fruit and vegetable tissues in the juices - High costs of the equipment and operation 	<ul style="list-style-type: none"> - Spilimbergo et al. (2002) - Silva et al. (2020) - Ferrentino et al. (2014)
High-intensity ultrasound (HIUS)	<ul style="list-style-type: none"> - Microbial reductions closely associated with power, exposure time, and temperature - Increases in exposure time and ultrasound frequency could achieve higher reductions of microorganisms 	<ul style="list-style-type: none"> - Little change in quality of products - Without harmful residues 	<ul style="list-style-type: none"> - Limited antimicrobial effect - Limited intensity of industrial-scale equipment - Undesirable cell rupture and quality changes at high doses 	<ul style="list-style-type: none"> - Deng et al. (2020) - Afari et al. (2016) - Millan-Sango et al. (2016)

PEF: A treatment with monopolar pulses of 2-μs duration at electric field strength of 23 kV/cm has been considered. In addition, it was estimated a preheating of the product to 44 °C and a post PEF treatment temperature of 56 °C at a repetition rate of 90 Hz and a flow rate of 130 L/h. Also energy for product preheating and cooling have been taken into account.

UVL/PL: For both technologies, a treatment module made of 24 lamps with 65-W output power each has been considered. In this study, pilot modules with a flow rate of approximately 20 L/min were chosen. Such parameters are able to achieve the desired bacterial inactivation in clear apple cider according to industries.

PCT: Since no data on *E. coli* inactivation in apple juice have been found in scientific literature, the energy cost estimation in this case has been done starting from the process parameters described by Aschoff et al. (2016), i.e., product pressure of 50 MPa, $T_{max} < 40$ °C, and 1.3-min holding time.

As far as the SC-CO₂ and HIUS treatments are concerned, the literature is scarce and the data available for microbial inactivation are very low in comparison to the other technologies: for example, only 1.3 log reduction of *E. coli* was reached in orange juice treated with HIUS (42 kHz, 60 min) (Kernou et al., 2021). Therefore, since the established target of 5-log reduction of *E. coli* in apple-derived products is not achieved, these two treatments cannot be considered in this evaluation.

For thermal treatments, i.e., CITT, OH, and MW, the most commonly used equation is the one related to heat content which considers a physical property (specific heat capacity or C_p) to estimate the energy required to change the temperature of a material. This equation is utilized in food materials as well as equipment materials and is an indicator of heat transfer by conduction (Singh & Heldman, 2001; Toledo, 2007):

$$E_h = (C_p \times m \times \Delta T) \times \eta$$

where E_h is the specific energy for heating, C_p is the specific heat capacity, m is the mass to be heated, ΔT is the temperature differential, and η is the system efficiency.

This equation can be used for a valid prediction of heating costs also for non-conventional thermal technologies, i.e., OH and MWH. In this study, the efficiency values of 90%, 97%, and 85%, respectively, for CITT, OH, and MW have been considered. The same equation has been referred to also for cooling energy cost estimation.

In addition, for the non-conventional thermal technologies, in which the product is heated without direct contact with hot surfaces, the electric energy not converted in food heating need to be dissipated. For this reason, an additional energy cost for electric equipment’s cooling system (E_d) has been considered:

$$E_d = (C_p \times m \times \Delta T) \times (1 - \eta)$$

The basic equation used for estimating the energy required to pump fluids through pipes is the following:

$$E_p = (P \times V) \times \eta$$

where E_p is the specific energy for pumping, V is the volumetric flow rate, and η is the pump efficiency.

The total amount of working energy consumption for thermal treatments has therefore been calculated by summing the three contributes above described:

$$E_{\text{tot}} = E_h + E_d + E_p$$

In the case of 65% of heat recovery, the energy savings are considerable in terms of both product warming and cooling, as well as heat dissipation.

The internal energy requirement for HPP can be estimated basing on process control metrics using the pressure head component of pump power calculation equations (Rodriguez-Gonzalez et al., 2015):

$$E_s = (P_f - P_i) / \rho$$

where E_s is the specific energy, P is the pressure, and ρ is the density. The same equation has been utilized also for PCT running cost estimations, being the fluids (product and inert gas) pumping the only energy requiring contribute.

A measure of the specific energy input for PEF process can be estimated using the following equation (in a thermodynamic system is enthalpy, and its change as a function of temperature is also applicable to PEF (Heinz et al., 2003):

$$E_s = f \frac{1}{\dot{m}} \int_0^\infty k(T) E(t)^2 dt$$

where E_s is the specific energy for heating and E , $k(T)$, f , and \dot{m} denotes the electric field strength, the media conductivity, the repetition rate, and the mass flow rate, respectively (Toepfl et al., 2007).

For both UVL and PL processes, lastly, the total applied UV energy for treatment of a liter of liquid product in a continuous-flow unit can be calculated using the following equation, as UV output power of the n number of the UV sources divided by volumetric flow rate (V) of the treated fluid (Keyser et al., 2008) in (J/L).

$$E_s = (P_{UV} \times L_N) / V$$

where E_s is the specific energy for heating, P_{UV} is the output power, L_N is the number of lamps, and V is the volumetric flow rate. The results have than been divided by the estimated product density ρ in order to evaluate the specific energy per kg.

Any eventual data missing or to be integrated have been obtained from the scientific papers by Gómez-López et al. (2012), Cacace et al. (2020), and Vollmer et al. (2020). In addition, information from the literature has been cross-checked with experts from leading companies in the field of fruit and vegetable juice processing technology, such as CFT (Catelli Food Technology) and Elea Vertriebs- und Vermarktungsgesellschaft mbH.

All the results of the estimates have been expressed in kJ over kg of treated product. Both cases of no heat recovery and 65% of heat recovery have been reported in Tables 3 and 4, as well as in the subsequent Figs. 7 and 8. The specific working energy costs resulted very high for the microwave heating, followed by the conventional indirect thermal treatment, the ohmic heating, the high-pressure processing, and pulsed electric fields. On the other hand, it resulted very low for ultraviolet light radiation, pulsed light radiation, and pressure change technology. However, considering the 65% of heat recovery, the estimated results change: HPP is characterized by the highest specific working energy costs, followed by MHW, OH, CITT, and PEF, while UVL, PL, and PCT continue to result as the lowest ones.

In the second graph, the specific energy consumption estimated for the thermal technologies and those technologies that involve a product pre-heating such as PEF are much

Table 3 Specific working energy cost estimations for thermal and non-thermal technologies with no heat recovery

Technology	Treatment (heating / pressurizing + pumping) (kJ/kg)	Product cooling (kJ/kg)	Heat dissipation (kJ/kg)	Total (kJ/kg)
Conventional indirect thermal treatment (CITT)	211.39	186.15	0	397.54
Ohmic heating (OH)	193.41	171.96	5.80	371.16
Microwave heating (MHW)	217.68	196.23	32.65	446.57
High-pressure processing (HPP)	339.94	0	0	339.94
Pulsed electric fields (PEF)	161.81	140.0	0	301.81
Ultraviolet light radiation (UVL)	26.24	0	0	26.24
Pulsed light radiation (PL)	25.15	0	0	25.15
Pressure change technology (PCT)	26.28	0	0	26.28

Table 4 Specific working energy cost estimations for thermal and non-thermal technologies with 65% of heat recovery

Technology	Treatment (heating / pressurizing + pumping) (kJ/kg)	Product cooling (kJ/kg)	Heat dissipation (kJ/kg)	Total (kJ/kg)
Conventional indirect thermal treatment (CITT)	94.23	68.44	0	162.66
Ohmic heating (OH)	114.09	76.65	3.42	194.16
Microwave heating (MHW)	124.91	87.47	18.74	231.12
High-pressure processing (HPP)	339.94	0	0	339.94
Pulsed electric fields (PEF)	78.55	51.0	0	129.55
Ultraviolet light radiation (UVL)	26.24	0	0	26.24
Pulsed light radiation (PL)	25.15	0	0	25.15
Pressure change technology (PCT)	26.28	0	0	26.28

Fig. 7 Working energy cost comparison with no heat recovery

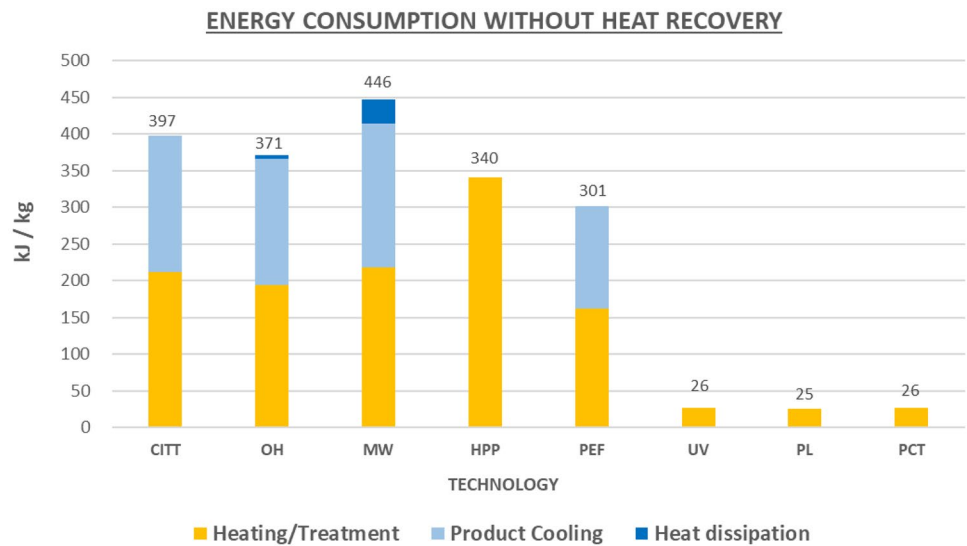
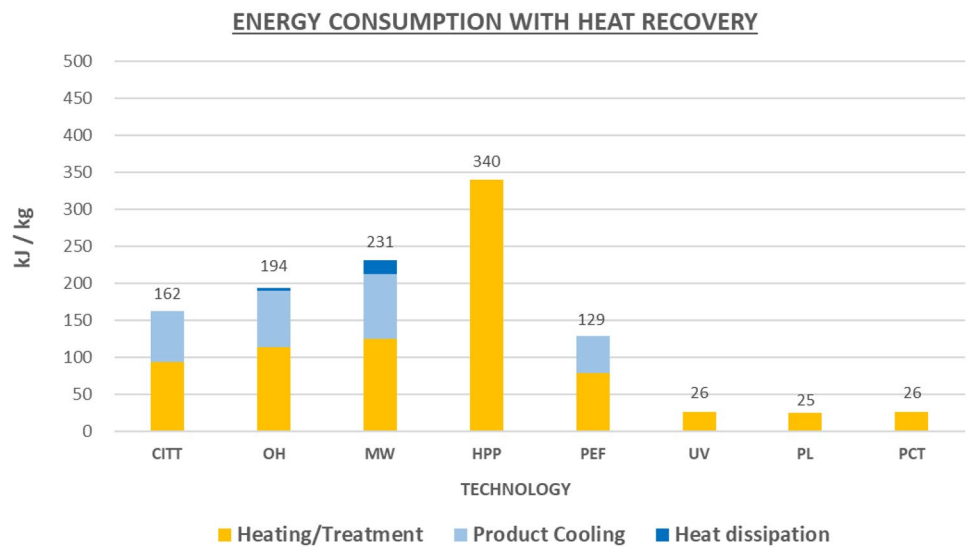


Fig. 8 Working energy cost comparison with 65% of heat recovery



lower than in the second, since the energy recovery system allows energy saving of around 36% on the total process. This comparison was made by fully including the energy for product cooling, but cheaper alternatives (in terms of energy) could also be considered such as well cooling-towers to be recovered somewhere else in the process.

Furthermore, for OH and MWH technologies, the heat recovery system allows a lower overheating of the electrodes and magnetrons since the thermal increase that must be provided to the product would be lower. This therefore reduces heat dissipation and thus the energy costs for cooling the equipment.

Even the PEF technology, although not thermal, involves, as previously mentioned, a temperature increases of the product and therefore gains benefits in terms of energy consumptions from the heat recovery system.

Completely non-thermal processes such as HPP, UVL, and PCT, as can be seen, do not involve any energy costs for product and equipment cooling, so their specific energy consumption is still constant in both graphs. In contrast, for the PL technology, a minimal energy cost for the lamps cooling should always be taken into account. The high-energy requirements of HPP technology are due to the achievement of the high pressures for product treatment; therefore, no benefits in terms of energy consumptions could come from a heat recovery system.

Conclusions

This work provides an overview of some of the existing alternatives to conventional thermal treatments, currently utilized to achieve the safety and improved quality of fruit and vegetable juices. Thermal treatments are still the most commonly used methods and the only ones capable of effectively inactivating spores and enzymes for the production of low-acid shelf stable products. Non-conventional thermal treatments such as OH and MWH are gaining great success among the producers, since they allow to reach very quickly the high temperatures for stabilization processes, leading in many cases to a better retention of the nutritional and sensory properties of products.

Non-thermal approaches seem to offer the most effective alternative in terms of nutrients and fresh-like characteristics preservation as well as working energy costs saving, but they also have many limits. In fact, targeting pathogen microorganism only, they are often able to obtain exclusively the sanitary treatment, with variable effects on spoilage microorganisms and enzymes, leading to final products requiring refrigerated storage. In addition, some non-thermal approaches, such as PEF, HPP, and UVL, are currently used for industrial applications, while others, like PCT, PL,

HIUS, and SC-CO₂, are still at pilot-scale level and their scale-up represents a challenge.

Therefore, it is fundamental for each producer aiming to choose the best technology for achieving or improving the desired final product or production process, to take into consideration, compare, and possibly to further investigate all the various critical parameters and technical aspects presented in this overview.

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Declarations

Conflict of Interest The authors declare no competing interests.

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