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(Article begins on next page)

# Floodwater pathways in urban areas: a method to compute porosity fields for anisotropic subgrid models in differential form

ALESSIA FERRARI, *PhD, Research Assistant, Department of Engineering and Architecture, University of Parma, Parco Area delle Scienze 181/A, 43124 Parma, Italy.*  
Email: [alessia.ferrari@unipr.it](mailto:alessia.ferrari@unipr.it)

DANIELE P. VIERO, *PhD, Assistant Professor, Department of Civil, Environmental and Architectural Engineering, University of Padova, via Loredan 20, 35131 Padova, Italy.*  
Email: [daniele.viero@unipd.it](mailto:daniele.viero@unipd.it)

## Abstract

In the framework of porosity models for large-scale urban floods, this work presents a method to compute the spatial distribution of the porosity parameters of complex urban areas by analyzing the footprints of buildings and obstacles. Precisely, an algorithm is described that estimates the four parameters required by the differential, dual-porosity formulation we recently presented. In this formulation, beside the common isotropic porosity accounting for the reduced storage volume due to buildings, a cell-based conveyance porosity is introduced in the momentum equations in tensor form to model anisotropic resistances and alterations in the flow direction due to presence of preferential pathways such as streets. A cell-averaged description of the spatial connectivity in the urban medium and of the preferential flow directions is the main ingredient for robust and mesh-independent estimates. To achieve this goal, the algorithm here presented automatically extracts the spatially distributed porosity fields of urban layouts relying only on geometrical information, thus avoiding additional calibration effort. The proposed method is described with the aid of schematic applications and then tested by simulating the flooding of real, complex urban areas using structured Cartesian grids. A Fortran implementation of the algorithm is made available for free download and use.

**Keywords:** Urban flood; porosity model; conveyance porosity; porous shallow water equations; spatially-distributed porosity field; anisotropic friction; structured grid.

## 34 **1 Introduction**

35 Urban flooding is recognized as a global challenge, exacerbated by the growth of  
36 megacities in flood-prone areas, by anthropogenic modifications of landscapes, and by  
37 climate change as well (Arnell and Gosling, 2016; Jongman et al., 2012; Tanoue et al.,  
38 2016; Viero et al., 2019).

39 The adoption of structural measures and complementary strategies to reduce the effects  
40 of floods (Kundzewicz et al., 2018; Mel et al., 2020), the achievement of increased  
41 resilience (Ferrari et al., 2020a; McClymont et al., 2020) and effective adaptation  
42 (Jongman, 2018; Muis et al., 2015; Radhakrishnan et al., 2018), all rely on the knowledge  
43 of the processes involved. The need of assessing flood hazard accurately entails the need  
44 of suitable modelling tools for large scale urban floods (Sanders, 2017; Sanders and  
45 Schubert, 2019; Vacondio et al., 2016; Wing et al., 2018).

46 In this view, subgrid porosity models for urban floods reproduce the effects of fine  
47 scale topography at a relatively coarse resolution, allowing physics-based, large-scale  
48 applications with limited need of computational resources. This kind of models has been  
49 the subject of ongoing research and of numerous applications (Braschi and Gallati, 1989;  
50 Bruwier et al., 2017; Chen et al., 2012a, 2012b; Costabile et al., 2020; Cozzolino et al.,  
51 2018; Defina, 2000; Defina et al., 1994; Ferrari et al., 2020b, 2017; Guinot, 2012; Guinot  
52 et al., 2017; Özgen et al., 2016; Sanders et al., 2008; Varra et al., 2020; Yu and Lane, 2011,  
53 2006).

54 Here we draw the reader's attention to the dual-porosity model in differential form  
55 recently proposed by Viero (2019) and Ferrari et al. (2019), in which an isotropic porosity  
56 accounts for storage reduction due to the presence of buildings, and a directionally-  
57 dependent conveyance porosity is introduced in the momentum equations in tensor form to  
58 account for anisotropic resistances exerted by buildings and obstacles, and for the presence  
59 of preferential pathways. Both the storage and the conveyance porosities are defined at the  
60 cell-level. The model retains the mesh-independence typical of porosity models in  
61 differential form, and the natural inclusion of anisotropic effects related to alignment of  
62 buildings and obstacles typical of integral porosity models (Guinot et al., 2017; Sanders et  
63 al., 2008).

64 In previous contributions, the model by Ferrari et al. (2019) and Viero (2019) was only  
65 tested using uniform porosity parameters, averaged within the urban area, and assigned to  
66 all the computational cells therein. Actually, to our knowledge, porosity models in  
67 differential form were all used with uniform porosity so far (e.g., Cea and Vázquez-  
68 Cendón, 2010; Guinot, 2012; Guinot and Soares-Frazão, 2006; Soares-Frazao et al., 2008),  
69 with the only exception of the exploratory study by Soares-Frazão et al. (2018).

70 The use of uniform porosity parameters allows verifying the model skills in terms of  
71 global resistance exerted by a patch of urbanized area on the surrounding flow, yet it offers  
72 no chance of describing the spatial variability of the flow field within the urban area.  
73 Moreover, it has to be admitted that for increasingly larger urban areas, uniform porosity  
74 parameters become as difficult to estimate as meaningless from a physical point of view.  
75 That is to say, the modelling of real urban layouts is still an open challenge for porosity  
76 models in differential form.

77 Integral Porosity (IP) models (Guinot et al., 2017; Sanders et al., 2008) were introduced  
78 with the specific aim of accounting for the flow field variability within the urban fabric;  
79 yet, for how they are constructed, IP models suffer a marked sensitivity to the mesh design  
80 (Guinot, 2017a; Kim et al., 2015). Recently, Varra et al. (2020) argued that resorting to the  
81 differential approach does not prevent a model to supply meaningful information at the  
82 scale comparable to those of buildings (meters or tens of meters). Of course, porosity fields  
83 have to reflect the actual spatial variability of blocking features within the urban fabric.

84 With this in mind, in this work we present a method to infer the porosity parameters  
85 needed by the dual porosity model of Ferrari et al. (2019) and Viero (2019) automatically,  
86 for real and complex urban areas, making use of geometrical information only. This should  
87 assure model robustness and limit the need for successive model calibration.

88 Special care is devoted to the estimation of the conveyance porosity, for multiple  
89 reasons. Unlike in the Integral Porosity models, in which it is defined at the cell sides,  
90 conveyance porosity is here defined at the cell-level, i.e., it has to reflect the connectivity  
91 properties of the urban medium within the entire cell (Guinot, 2017a; Viero, 2019). This is  
92 both an opportunity and a challenge; the cell-based, spatially-averaged description of the  
93 spatial connectivity and of preferential flow directions is the main ingredient assuring  
94 robust and mesh-independent estimates; yet, conveyance porosity is actually directionally-  
95 dependent, thus entailing the need of recognising effective principal components (i.e.,  
96 minimum and maximum conveyances) along with the associated directions, by only  
97 analysing the spatial distribution of building footprints. Importantly, the geometrically-  
98 based estimates must be effective in representing the real hydraulic behaviour of obstacles  
99 and preferential pathways within the cell. Thus, the method here presented computes the  
100 directionally-dependent conveyance porosity, its principal components and the associated  
101 directions, as well as storage porosity, from the building footprints of a given urban area  
102 on a cell-by-cell basis. A graphical method, based on the use of roseplots, is also proposed  
103 to preliminary check the effectiveness of the conveyance porosity estimates.

104 The paper is organized as follows. The key aspects of the dual-porosity formulation in  
105 differential form (Ferrari et al., 2019; Viero, 2019), together with the main features of the  
106 2D accelerated shallow water model adopted in the work, are recalled in Sect. 2. The

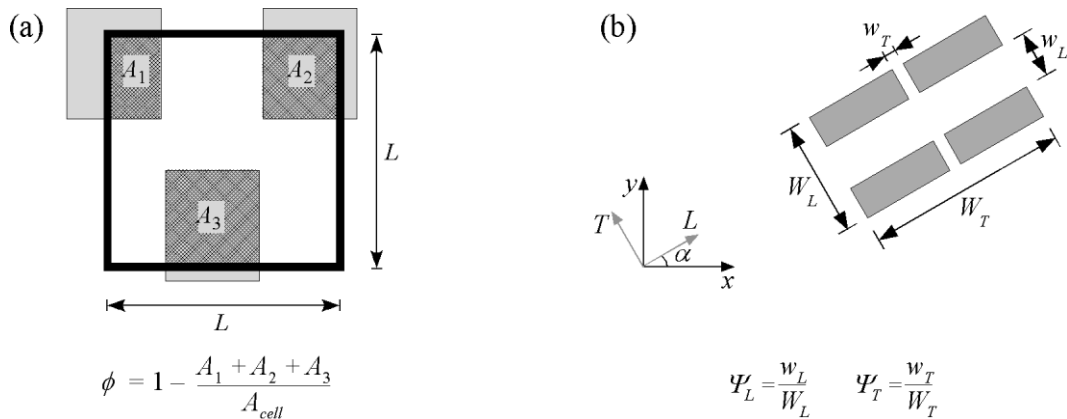
107 method to automatically extract the porosity parameters from building footprints is  
 108 described in Sect. 3 and made available in a permanent repository (see Appendix A). The  
 109 method is then tested by simulating floods in real urban areas (Sect. 4). The discussion on  
 110 the proposed procedure and some concluding remarks are finally outlined in Sect. 5.

## 111 2 Material and Methods

### 112 2.1 The dual porosity model in differential form

113 In the framework of urban flood modelling based on the Shallow Water Equations  
 114 (SWEs) with porosity, the formulation recently presented in Ferrari et al. (2019) and Viero  
 115 (2019) describes the effects exerted by buildings and obstacles by adopting an isotropic  
 116 storage porosity and an anisotropic conveyance porosity, both defined at the cell level.

117 The isotropic porosity,  $\phi$ , accounts for the storage reduction due to the presence of  
 118 buildings; it is evaluated for each computational cell as the ratio between the area free of  
 119 obstacles and the total area (Figure 1a), as in single porosity (SP) and integral porosity (IP)  
 120 models (Guinot and Soares-Frazão, 2006; Sanders et al., 2008).



121  
 122 Figure 1. Definition of the four porosity parameters ( $\phi$ ,  $\Psi_L$ ,  $\Psi_T$ ,  $\alpha$ ) in the dual-porosity,  
 123 anisotropic model by Ferrari et al. (2019) and Viero (2019): a) isotropic storage  
 124 porosity,  $\phi$  (the thick black square is the computational cell with area  $A_{cell}$ ); b)  
 125 anisotropic conveyance porosity defined by  $\Psi_L$ ,  $\Psi_T$ , and  $\alpha$ . Grey areas denote  
 126 buildings.

127 On the other hand, the reduced conveyance, the alteration in the flow direction, and the  
 128 presence of preferential flow pathways related to the alignment of buildings and obstacles,  
 129 are accounted for by introducing in the momentum equations a directionally-dependent  
 130 conveyance porosity in tensor form (Ferrari et al., 2019; Viero, 2019; Viero and Valipour,  
 131 2017). This conveyance porosity, which reflects the spatial distribution of obstacles and

132 preferential pathways *within* cell and not only at cell-edges, is the genuine novelty of the  
133 approach proposed by Ferrari et al. (2019) and Viero (2019). In previous SP models, in  
134 fact, preferential flow directions have been taken into account by introducing directional  
135 drag terms that essentially rely on model calibration (Velickovic et al., 2017), thus limiting  
136 the predictive power of the model. In the IP model (Sanders et al., 2008), and in the dual-  
137 IP model as well (Guinot et al., 2017), conveyance porosity is locally defined at the cell  
138 sides, thus making these models unusually sensitive to the mesh design (Guinot, 2017a).

139 In a one-dimensional (1D) framework, the conveyance porosity  $\Psi$  is analogous to the  
140 width ratio of a channel contraction (Defina and Viero, 2010), i.e., it is evaluated as the  
141 ratio between the width at the narrowest cross-section and the total width. In a two-  
142 dimensional (2D) framework (Figure 1b), the conveyance porosity assumes different  
143 values for different flow directions. It is then evaluated along the principal directions of  
144 maximum,  $L$ , and minimum,  $T$ , conveyance, resulting in the longitudinal,  $\Psi_L$ , and  
145 transverse,  $\Psi_T$ , conveyance parameters, which are supposed to be mutually orthogonal.  
146 Finally, the rotation angle between the  $L$ - $T$  frame and the  $x$ - $y$  model frame is expressed by  
147 the parameter  $\alpha$  (Figure 1b).

148 This dual-porosity approach has been implemented in two different 2D hydrodynamic  
149 models. Ferrari et al. (2019) described the implementation of the subgrid scheme in  
150 PARFLOOD, a GPU-enhanced Finite Volume model on Cartesian and multi-resolution  
151 grids (Vacondio et al., 2017, 2014); Viero (2019) described its implementation in 2DEF, a  
152 Finite Element, mixed Eulerian-Lagrangian model on staggered unstructured meshes  
153 (D’Alpaos et al., 2007; Defina, 2000; Viero et al., 2014, 2013). In the PARFLOOD and  
154 2DEF models, the implementation of the dual-porosity model was slightly different: Viero  
155 (2019) used the conveyance porosity in tensor form to express both acceleration terms and  
156 friction losses; Ferrari et al. (2019) used the conveyance porosity for friction losses and  
157 kept the storage porosity for acceleration terms, to retain the general structure of classical  
158 Finite Volume schemes. To sum up, the implementation of the dual porosity scheme is  
159 more rigorous in Viero (2019), but the 2DEF model is neither suitable to deal with shock  
160 waves, nor with rapidly varying flows; on the other hand, although accounting for  
161 anisotropic effects only through friction losses, the porous version of PARFLOOD  
162 described in Ferrari et al. (2019) is shock-capturing and suitable for subcritical,  
163 supercritical, and rapidly-varying flows. Nevertheless, both the schemes were shown to  
164 provide reasonably good results in their respective field of applications. In the present  
165 work, the effectiveness of the porosity parameters estimated from building footprints with  
166 the method described in the following Sect. 3, is tested using the model by Ferrari et al.  
167 (2019), whose main features are briefly recalled in the following section.

168 2.2 The porous version of the PARFLOOD numerical model

169 In the PARFLOOD model, according to Ferrari et al. (2019), the four parameters  $\phi$ ,  $\Psi_L$ ,  
170  $\Psi_T$ , and  $\alpha$ , are introduced in the system of 2D-SWEs written in integral form (Toro, 2001):

$$\frac{d}{dt} \int_A \mathbf{U} dA + \int_C \mathbf{H} \cdot \mathbf{n} dC = \int_A (\mathbf{S}_0 + \mathbf{S}_f + \mathbf{S}_p) dA \quad (1)$$

171 where  $A$  and  $C$  are the area and the boundary of the integration element, respectively,  $\mathbf{n}$  is  
172 the outward unit vector normal to  $C$ . The vector of the conserved variables,  $\mathbf{U}$ , and the  
173 tensor of fluxes in the  $x$  and  $y$  directions,  $\mathbf{H} = (\mathbf{F}, \mathbf{G})$ , are defined as:

$$\mathbf{U} = \begin{bmatrix} \eta \\ uh \\ vh \end{bmatrix} \quad \mathbf{F} = \begin{bmatrix} uh \\ u^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \\ uvh \end{bmatrix} \quad \mathbf{G} = \begin{bmatrix} vh \\ uvh \\ v^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \end{bmatrix} \quad (2)$$

174 with  $h$  the water depth,  $\eta$  the water surface elevation,  $z$  the bottom elevation,  $g$  the  
175 gravitational acceleration,  $u$  and  $v$  the velocity components in the  $x$  and  $y$  directions,  
176 respectively.

177 The bed slope source term,  $\mathbf{S}_0$ , and the porosity-related non-conservative product,  $\mathbf{S}_p$ ,  
178 are defined as:

$$\mathbf{S}_0 = \begin{bmatrix} 0 \\ -g\eta \frac{\partial z}{\partial x} \\ \frac{\partial z}{\partial z} \\ -g\eta \frac{\partial z}{\partial y} \end{bmatrix} \quad \mathbf{S}_p = \begin{bmatrix} -\frac{h}{\phi} \left( u \frac{\partial \phi}{\partial x} + v \frac{\partial \phi}{\partial y} \right) \\ -\frac{uh}{\phi} \left( u \frac{\partial \phi}{\partial x} + v \frac{\partial \phi}{\partial y} \right) \\ -\frac{vh}{\phi} \left( u \frac{\partial \phi}{\partial x} + v \frac{\partial \phi}{\partial y} \right) \end{bmatrix} \quad (3)$$

179 Finally, the friction source term,  $\mathbf{S}_f$ , is obtained by first projecting the flow velocity on  
180 the  $L$ - $T$  frame and then projecting friction components back to the  $x$ - $y$  frame, thus  
181 accounting for anisotropic conveyance porosity as follows (Ferrari et al., 2019):

$$\mathbf{S}_f = \begin{bmatrix} 0 \\ -gh \frac{n^2 u_{eL} \sqrt{u_{eL}^2 + u_{eT}^2}}{h^{4/3}} \cos \alpha + gh \frac{n^2 u_{eT} \sqrt{u_{eL}^2 + u_{eT}^2}}{h^{4/3}} \sin \alpha \\ -gh \frac{n^2 u_{eL} \sqrt{u_{eL}^2 + u_{eT}^2}}{h^{4/3}} \sin \alpha - gh \frac{n^2 u_{eT} \sqrt{u_{eL}^2 + u_{eT}^2}}{h^{4/3}} \cos \alpha \end{bmatrix} \quad (4)$$

182 where  $n$  is the Manning coefficient,  $u_{eL} = u_L \phi / \Psi_L$  and  $u_{eT} = u_T \phi / \Psi_T$  are the effective  
183 velocity components along the  $L$  and  $T$  directions, respectively.

184 As pointed out in Ferrari et al. (2019), the formulation guarantees the well-balancing  
185 between fluxes and source terms (Liang and Borthwick, 2009), and preserves the  $C$ -  
186 *property* also in presence of wet-dry fronts, regardless the slope source term discretization  
187 (Liang and Marche, 2009). The numerical fluxes in Eq. (2) are computed at the cell  
188 interfaces adopting the HLLC approximate Riemann solver (Toro, 2001). A robust  
189 treatment of non-physical velocities, which may develop at wet-dry fronts, is ensured, with  
190 a zero-mass error, by adopting the flux correction of Kurganov and Petrova (2007).

191 The numerical scheme achieves both first and second order of accuracy. This last  
192 approximation in space is ensured by reconstructing the conserved variables at the cell  
193 edges by means of the linear Monotone Upwind Schemes for Scalar Conservation Laws  
194 (MUSCL) with *minmod* limiter (Toro, 1999). The conserved variables are updated at each  
195 time step according to the second order Runge-Kutta method, providing a second-order  
196 accuracy in time.

197 The set of partial differential equations can be solved on two different structured grids,  
198 both Cartesian (Vacondio et al., 2014) and multi-resolution Block Uniform Quadtree  
199 (BUQ, Vacondio et al., 2017). Given that the dual-porosity approach is not over-sensitive  
200 to the mesh design, it can be safely implemented on structured grids, which cannot be  
201 adapted to meet the strict requirements of proper mesh design needed by, e.g., IP models  
202 (Guinot, 2017a).

203 With reference to the implementation technique, the explicit finite volume scheme is  
204 written in CUDA/C++ architecture that exploits parallel computation offered by  
205 NVIDIA™ Graphic Processing Units (GPUs), thus significantly reducing the  
206 computational time.

### 207 **3 A procedure to infer porosity parameters from building footprints**

#### 208 *3.1 Basic principles*

209 In simulating urban floods with porosity models, the adoption of coarse grids entails an  
210 unavoidable loss of detail in the representation of the flow field within a urban area, with  
211 respect to the use of fine grids that resolve buildings explicitly. This loss of detail becomes  
212 substantial when models are used with uniform porosity distributions within an entire urban  
213 district, which is the common practice for porosity models in differential form (e.g., Cea  
214 and Vázquez-Cendón, 2010; Guinot, 2012; Guinot and Soares-Frazão, 2006; Soares-  
215 Frazao et al., 2008).

216 To find a reasonable trade-off between computational effort and spatial resolution of  
217 the flow field description, first, the grid resolution has to be adequate to the length-scale of  
218 the problem (i.e., comparable to the width of streets and buildings), and second, the porosity  
219 parameters must reflect the spatial distribution of obstacles and preferential pathways  
220 *within* the urban fabric. While the first requirement is relatively easy to meet, the second  
221 one is actually an open challenge.

222 This last issue is here addressed in the framework of the dual-porosity model in  
223 differential form described in Sect. 2.1. The four porosity parameters required by the model  
224 are supposed to vary inside the built-up area, so as to account for the spatial distribution of

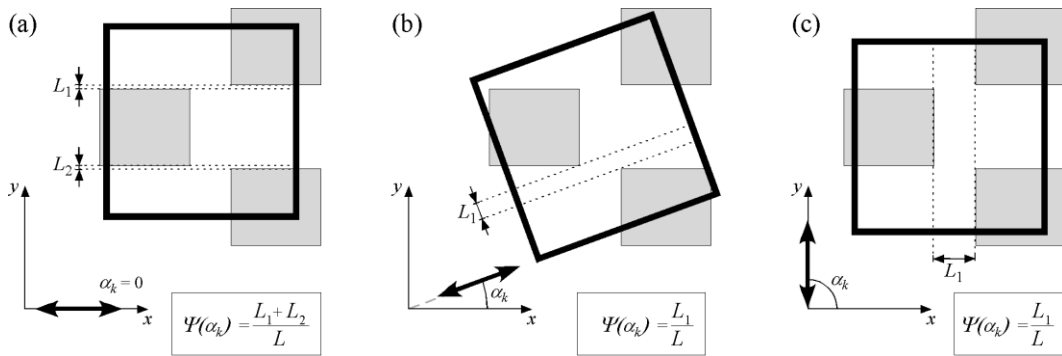


225 obstacles and preferential flow paths within the urban area. Accordingly, porosity  
 226 parameters are estimated on a genuine cell-by-cell basis. This is expected to improve the  
 227 description of the effects exerted by buildings on the flow field, both close to and inside  
 228 the urban area, at a spatial scale comparable to that of the (relatively) coarse grid. The same  
 229 basic idea has been theoretically supported by Varra et al. (2020), and has been tested by  
 230 Soares-Frazão et al. (2018) in the framework of SP porosity models (plus drag terms in  
 231 tensor form), highlighting the benefits of accounting for distributed porosity based on the  
 232 actual layout of buildings and streets.

233 The present method for estimating porosity distributions in real urbanized areas is  
 234 designed to fulfil some basic principles: *i*) the spatial distribution of the porosity parameters  
 235 should only rely on geometrical information, so as to reduce the successive need of model  
 236 calibration (Arrault et al., 2016), *ii*) the estimation of porosity parameters should be inferred  
 237 automatically, so as to allow straightforward large-scale model applications, and *iii*) the  
 238 procedure should be intuitive and controlled by few parameters of clear physical meaning,  
 239 so as to promote easy and trustful use by practitioners.

### 240 3.2 Spatially-distributed porosity fields from urban geometry

241 Given a relatively coarse computational grid covering a built-up area, the porosity  
 242 parameters are evaluated by applying the procedure described in the following to each  
 243 computational cell.



244

245 Figure 2. For a single computational cell with side length  $L$  (thick black square), the  
 246 sketch depicts the general procedure used to evaluate the conveyance porosity for  
 247 three given mean flow directions (identified by the thick double-headed arrow),  
 248 namely  $\alpha_k = 0^\circ$  (a),  $\alpha_k = 20^\circ$  (b), and  $\alpha_k = 90^\circ$  (c). Grey areas denote buildings.

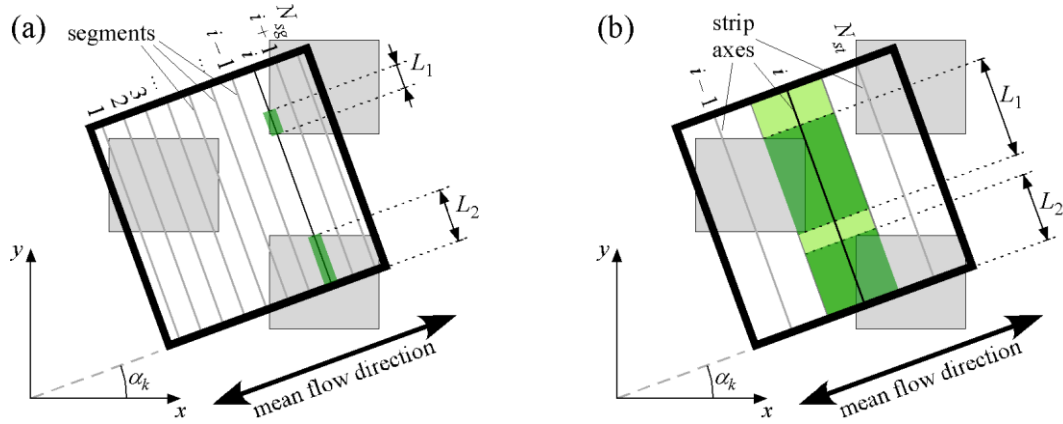
249 In extracting the porosity parameters from geometrical information, the computation of  
 250 the storage porosity,  $\phi$ , is straightforward (Figure 1a), whereas estimating conveyance  
 251 porosity effectively is far more complicated, as it requires the joint estimation of the  
 252 principal components and of the associated angles. Indeed, the conveyance porosity is

253 directionally-dependent, and the angles that define the principal directions are not known  
 254 a-priori.

255 In general, for a hypothetical mean flow direction at an angle  $\alpha_k$  to the  $x$  axis (double-  
 256 headed arrow in Figure 2), the conveyance porosity  $\Psi(\alpha_k)$  should be estimated as the width  
 257 ratio of the narrowest cross-section, in analogy to the definition of Figure 1b. Then,  
 258 considering that the function  $\Psi(\alpha_k)$  is periodic with period  $\pi$ , i.e.,  $\Psi(\alpha_k) = \Psi(\alpha_k + \pi)$ , the  
 259 function  $\Psi(\alpha_k)$  should be characterized for (discrete values of)  $\alpha_k$  in the range  $[0; \pi[$ .  
 260 Finally, once known the behaviour of  $\Psi(\alpha_k)$ , a proper criterion should allow identifying the  
 261 principal components of the conveyance porosity,  $\Psi_L$  and  $\Psi_T$ , along with the angle  $\alpha$  that  
 262 identifies the direction of maximum conveyance  $\Psi_L$  (Figure 1b).

263 The proposed approach is a step forward with respect to Bruwier et al. (2017), who  
 264 determined the conveyance porosities by evaluating the minimum areas across a coarse cell  
 265 only in the  $x$  and  $y$  directions.

266 The procedure for the computation of the conveyance porosity principal components is  
 267 implemented in two different versions, denoted as segment-based and strip-based methods,  
 268 as described in the following. The code, implemented in Fortran language, is made  
 269 available as supplementary material (see Appendix A).



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Figure 3. Segment-based (a) and strip-based (b) methods for computing the conveyance porosity for a mean flow direction  $\alpha_k$ . The thick black square is a computational cell with side length  $L$ ; the grey areas denote buildings.  $L_1$  and  $L_2$  denote the occupied parts of the  $i$ -th segment or strip (highlighted in dark green).

### 275 3.2.1 Anisotropic conveyance porosity: segment-based method

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The first version of the algorithm that computes the porosity parameters is denoted as segment-based method (Figure 3a). It has to be applied to each cell of the computational grid, and consists in the following steps:

1. identify the buildings and obstacles whose footprint intersects the cell;

- 280 2. compute the storage porosity,  $\phi$ , which is the complement to unity of the fraction of  
281 cell area occupied by buildings (Figure 1a), using any polygons intersection routine;
- 282 3. span the sampling directions,  $\alpha_k$ , in the interval  $[0; \pi[$  as in Figure 2. Given a number  
283 of directions to be considered,  $N_\alpha$ , the angular spacing (in degrees) is  $\Delta\alpha = 180^\circ / N_\alpha$ .  
284 The  $k$ -th sampling direction is  $\alpha_k = (k - 1) \cdot \Delta\alpha$ , with  $k \in [1, N_\alpha]$ . A recommended value  
285 for  $\Delta\alpha$  is  $1^\circ$ ;
- 286 4. segment sampling. The cell is temporarily rotated by  $\alpha_k$  and sampled by considering  
287  $N_{sg}$  equispaced segments (denoted with index  $i$ ), with spacing  $d_{sg} = L / N_{sg}$  (Figure 3a);
- 288 5. evaluate the free length for each of the  $N_{sg}$  segments. For each segment  $i$ , once detected  
289 the  $N_j$  parts that overlap the building footprints ( $L_1$  and  $L_2$  in Figure 3a), the total free  
290 length is computed as  $L_i^{free} = L - \sum_{j=1}^{N_j} L_j$ ;
- 291 6. evaluate the conveyance porosity in the  $\alpha_k$  direction as the ratio of minimum free  
292 length to segment length,  $\Psi_{\alpha_k} = \min_i (L_i^{free}) / L$ . This is a simple estimate of the width  
293 ratio of the narrowest cross-section for the given mean flow direction;
- 294 7. find the angle  $\alpha$  for which the (reciprocally orthogonal) principal components of the  
295 conveyance porosity  $\Psi_L$  and  $\Psi_T$  are closest to the maximum and minimum values  
296 among the  $N_\alpha$  values of the function  $\Psi(\alpha_k)$ , respectively. The goal is achieved by  
297 finding  $\alpha_k$  such that the product  $P_\Psi = \Psi_{\alpha_k} \cdot (1 - \Psi_{\alpha_k + \pi/2})$  is maximum, and setting  
298  $\alpha = \alpha_k$ . Indeed,  $P_\Psi$  attains a maximum when  $\Psi$  is large along  $\alpha_k$ , and small in the  
299 orthogonal direction,  $\alpha_k + \pi/2$  (see Figure 4f for an example);
- 300 8. determine  $\Psi_L$  and  $\Psi_T$ . Considering that the minimum and maximum values of  $\Psi(\alpha_k)$   
301 are not always orthogonal to each other, a trade-off is needed. The  $L$  direction should  
302 coincide with that of maximum conveyance,  $\alpha$ , to preserve the flux alignment in  
303 preferential pathways (e.g., streets), and  $\Psi_T$  should be taken as the minimum value of  
304 conveyance to represent blocking features correctly. Accordingly, the conveyance  
305 parameters are assumed as  $\Psi_L = \Psi(\alpha)$  and  $\Psi_T = \min[\Psi(\alpha_k)]$ .

### 306 3.2.2 Anisotropic conveyance porosity: strip-based method

307 The second version of the algorithm is denoted as strip-based method (Figure 3b). Only  
308 points 4 and 5 differ from the segment-based method described above:

- 309 4. strip slicing. The cell is temporarily rotated by  $\alpha_k$  and sliced in  $N_{st}$  strips (denoted with  
310 index  $i$ ). Each strip has width  $d_{st} = L / N_{st}$  (Figure 3b, in which  $N_{st} = 3$  and  $d_{st} = L/3$ );
- 311 5. evaluate the free length for each of the  $N_{st}$  strips. For each  $i$ -th strip, once found the  $N_j$   
312 projections on the strip axis of each (part of) building that overlaps the strip ( $L_1$  and  $L_2$

313 in Figure 3b), the total length of the strip axis, free of any building projection, is  
 314 evaluated as  $L_i^{free} = L - \sum_{j=1}^{N_j} L_j$ .

### 315 3.2.3 Graphical representation of the conveyance porosity

316 To judge the strengths and weaknesses of the above methods, the first step consists in  
 317 visualizing the algorithm results in terms of directionally dependent conveyance porosity,  
 318  $\Psi(\alpha_k)$ . To reach the goal, in the figures hereinafter and in the supplementary data (see  
 319 Appendix A), the (coarse) grid is superposed to the building footprints and, for each cell,  
 320 the roseplot of  $\Psi(\alpha_k)$  is plotted. Considering that  $\Psi(\alpha_k)$  ranges in the interval  $[0, 1]$ , for each  
 321 of the  $N_\alpha$  sampling directions, the coordinates of the roseplot line vertexes  $(x_{RP}, y_{RP})$  are  
 322 obtained as

$$\begin{aligned} x_{RP} &= x_C + 0.4 \cdot L \cdot \Psi(\alpha_k) \cdot \cos(\alpha_k) \\ y_{RP} &= y_C + 0.4 \cdot L \cdot \Psi(\alpha_k) \cdot \sin(\alpha_k) \end{aligned} \quad (5)$$

323 where  $(x_C, y_C)$  is the cell center, and 0.4 is a coefficient that determines the size of the  
 324 roseplot with respect to the grid size,  $L$ . For each cell, two diametral segments are plotted  
 325 that denote the  $L$  (blue) and  $T$  (red) directions of maximum and minimum conveyance, as  
 326 determined according to points 7 and 8 in Sect. 3.2.1.

### 327 3.3 Considerations on the segment-based and strip-based methods

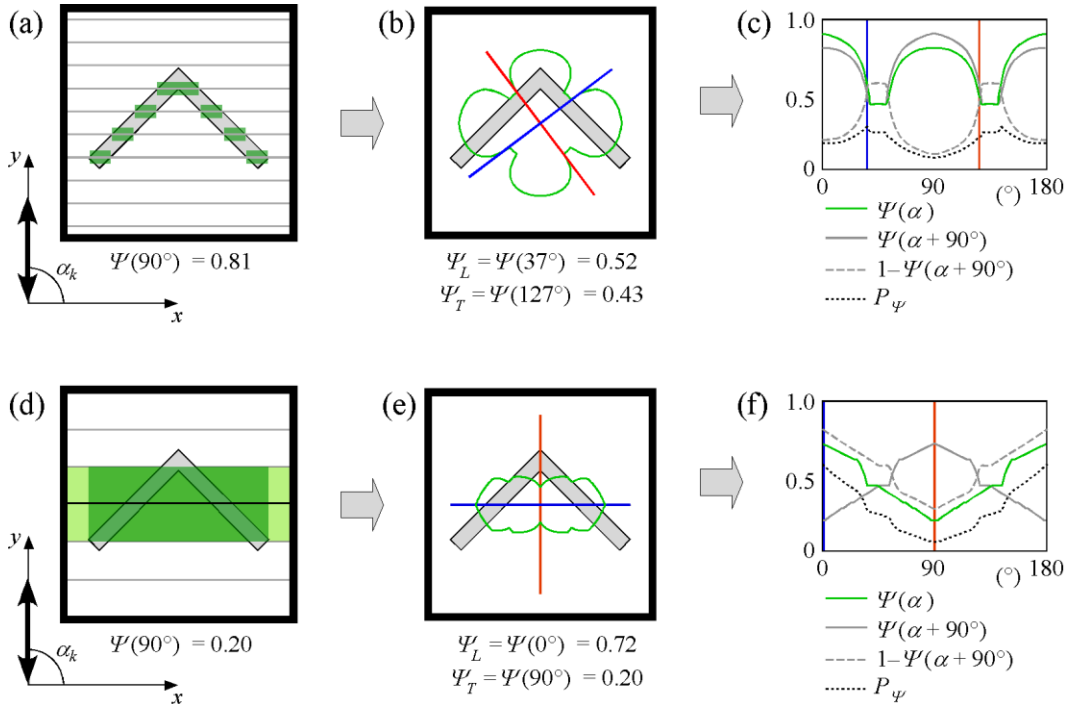
328 This section aims at discussing the pros and cons of the two methods previously  
 329 described.

330 The segment-based method is the plainest way to face the problem of conveyance  
 331 porosity evaluation, but it is subject to some limitations. A very small segment spacing,  $d_{sg}$ ,  
 332 is required to sample the cell in order to capture the possible presence of linear blocking  
 333 features as thin walls (Hodges, 2015). This entails a large number of segments to be  
 334 analyzed, which requires a significant computational effort (even if it is performed only  
 335 once before running the simulation). Most importantly, the free length of each segment,  
 336  $L_i^{free}$ , is estimated regardless of what happens upstream and downstream of the segment  
 337 itself, seldom leading to inconsistencies. This is shown with some examples.

338 In Figure 4, a slender building (or a linear blocking feature) is sampled through  
 339 segments (a) and strips (d), for the hypothetical flow direction  $\alpha_k = 90^\circ$ . The roseplots of  
 340  $\Psi(\alpha_k)$  are obtained by analyzing all directions in the range  $[0, \pi[$ . Panels (c) and (f) show  
 341 the trend of  $\Psi(\alpha_k)$  (green lines), which is then translated by  $\pi/2$  (grey lines), to obtain its  
 342 complement to one (grey dashed line) and, in turn, the product  $P_\Psi(\alpha_k)$  (black dotted line).

343 The longitudinal ( $L$ ) direction (blue lines in b,c,e,f) is the one that maximizes the product  
 344  $P_\psi$ , and the transverse ( $T$ ) direction (red lines in b,c,e,f) is assumed as orthogonal to  $L$ ; this  
 345 is the criterion chosen to determine the (most reliable) principal components for  
 346 conveyance  $\Psi$  according to point 7 in Sect. 3.2.1.

347 In Figure 4, while the segment-based method (a) is unable to recognize the whole width  
 348 of the obstacle (the green segments as far shorter than the total building width), the strip-  
 349 based method succeeds since the dark green portion of the strip in (d) is as wide as the  
 350 obstacle. The segment-derived roseplot in (b) shows similar values of  $\Psi(\alpha_k)$  in the north-  
 351 south and in the east-west directions; as a consequence, the criterion based on the product  
 352  $P_\psi$  is unable to recognize orthogonal principal directions of maximum and minimum  
 353 conveyance properly (c). The strip-based roseplot (e) shows a significantly lower  
 354 conveyance in the north-south direction and more realistic results also for the entire range  
 355 of directions; this allows detecting the principal directions correctly.



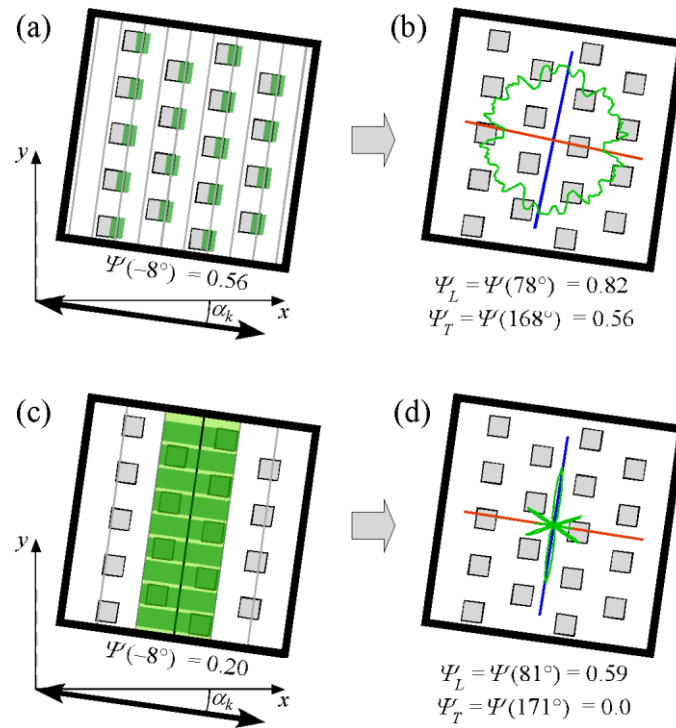
356

357 Figure 4. Example of segment-based (upper row) and strip-based (lower row) methods  
 358 applied to a computational cell (thick black square) with a single slender building  
 359 (grey area). Conveyance porosity for a mean flow direction  $\alpha_k = 90^\circ$  (a, d) and for the  
 360 whole range of flow directions,  $\alpha_k \in [0; \pi]$  (b, e). Criterion for detecting the principal  
 361 directions according to point 7 in Sect. 3.2.1 (c, f). The principal directions of  
 362 maximum (blue) and minimum (red) conveyance are shown in panels (b,c,e,f).

363 The comparison of panels (c) and (f) in Figure 4 suggests that the criterion to determine  
 364 the principal components, described at point 7 in Sect. 3.2.1, works well when  $\Psi(\alpha_k)$  shows  
 365 (nearly) orthogonal maxima and minima, otherwise it fails in determining the direction of

366 minimum conveyance (but not the value of minimum conveyance,  $\Psi_T$ , which is chosen  
 367 regardless of the actual value of  $\Psi$  in the  $T$  direction, according to point 8 in Sect. 3.2.1).

368 In Figure 5, the segment- and strip-based methods are applied to the checkerboard  
 369 building arrangement of the Toce experiment (Testa et al., 2007). To obtain accurate results  
 370 in the same urban layout using a coarse grid, Ferrari et al. (2019) highlighted the need of  
 371 using lower values of conveyance porosity than the free length computed for a single row  
 372 of buildings. Indeed, the staggered arrangement of buildings imposes severe, successive  
 373 deviations to the flow, thus increasing the resistances with respect to the case of aligned  
 374 buildings. For this reason, the conveyance porosity computed accounting for a single row  
 375 of buildings is far greater than the effective one.



376

377 Figure 5. Example of segment-based (upper row) and strip-based (lower row) methods  
 378 applied to a computational cell (thick black square) with the checkerboard  
 379 arrangement of buildings (grey area) of the Toce experiment. Conveyance porosity  
 380 for a mean flow direction  $\alpha_k = -8^\circ$  (a, c) and for the whole range of flow directions,  
 381  $\alpha_k \in [0; \pi[$  (b, d). The principal directions of maximum (blue) and minimum (red)  
 382 conveyance are shown in panels (b) and (d).

383 Although the segment-based method is expected to work properly when the grid size is  
 384 comparable to (or smaller than) the size of buildings, when using coarser grids as in Figure  
 385 5a, the segment-based sampling is unable to capture the tortuosity of floodwater pathways  
 386 within the cell, thus overestimating the real conveyance. The strip-based method is  
 387 expected to perform similarly well to the segment-based method for finer grids, and  
 388 significantly better in case of coarser grids (as in the case of Figure 5c). In the considered

389 direction, the strip-based estimate of conveyance porosity accounts for the staggered  
390 arrangement of buildings, i.e., for the tortuosity of pathways within the cell. The obtained  
391 value corresponds to the theoretical one,  $\Psi(-8^\circ) = 0.2$  (buildings are 0.15 m wide and  
392  $L = 1.7$  m in this case).

393 To sum up, the strip-based method improves the estimation of the conveyance porosity  
394 by considering all the blocking features that overlap a strip orthogonal to the assumed flow  
395 direction. By increasing the strip width, information is added that concerns the presence of  
396 obstacles both upstream and downstream. Accordingly, a tortuous path is given a lower  
397 conveyance porosity than a straight path.

398 It is interesting to note that the strip-based method reduces to the segment-based  
399 method in the limit  $d_{st} \rightarrow 0$ , with  $d_{st}$  the strip width, thus implying that the segment-based  
400 method is actually a special case of the more general strip-based method.

401 In view of giving some operating instructions on the application of the strip-based  
402 method, the strip width has to be chosen as large as possible (i.e.,  $d_{st} = L$ ) in order to  
403 recognize the real direction of preferential pathways (e.g., streets) correctly, and also to  
404 reflect the tortuosity of floodwater pathways within each single cell. On the other hand,  
405 when very coarse grids are used to model dense urban layouts with irregularly arranged  
406 obstacles, the adoption of excessively wide strips may result in a significant  
407 underestimation of the conveyance porosity. Simply speaking, one can obtain  $\Psi_L = \Psi_T = 0$ ,  
408 meaning that the flow is inhibited in all directions, also when obstacle interspaces are well  
409 interconnected. Accordingly, to reflect the connectivity of the urban medium yet avoiding  
410 misrepresentations, the strip width should be taken larger than the typical length scale of  
411 the urban layout, although being careful of not exceeding it too much.

412 Finally, focusing on the computational efforts required by the two methods, the strip-  
413 based method is generally faster as the number of strips,  $N_{st}$ , is typically much smaller than  
414 the number of segments,  $N_{sg}$ , implying that the number of line/polygon intersections to be  
415 computed is largely lower for the strip-based method. Nonetheless, it is worth noting that  
416 the porosity parameters are evaluated for each cell of the (coarse) grid only once, in a pre-  
417 processing step. The computation of the spatially distributed porosity parameters, for the  
418 finest meshes of the test cases shown in Sect. 4, is performed in a few minutes, and does  
419 not affect the simulation runtime. The resulting parameters are kept constant during the  
420 simulation, thus assuming that both storage and conveyance porosities are not depth-  
421 dependent (Bruwier et al., 2017; Guinot et al., 2018; Li and Hodges, 2019; Özgen et al.,  
422 2016, 2015; Rong et al., 2020).

## 423 **4 Results**

424 The segment- and strip-based methods presented in Sect. 3 are tested by simulating the  
425 flooding in a laboratory experiment (the Toce case study, Testa et al., 2007) and in two real  
426 urban districts. The laboratory experiment with staggered obstacles is chosen because  
427 previous applications of the porosity model (with a uniform porosity distribution) required  
428 particular values of porosity parameters, a need that makes not obvious the successful  
429 application of the present algorithm. Then, since the main novelty of this work is to  
430 compute the porosity parameters in real urban layouts, two districts in Northern Italy are  
431 chosen as benchmarks, which are representative of complex urban fabrics with irregular  
432 shaped buildings and streets, courtyards, gardens walls, etc.

433 In all the tests, the spatial distribution of the four porosity parameters is extracted  
434 geometrically using the two above methods, and the PARFLOOD model is used to solve  
435 the porous 2D-SWEs with anisotropic friction (see Sect. 2.2). The model results are  
436 compared against reference, refined solutions, obtained by solving the classical 2D-SWEs  
437 (again with the PARFLOOD model) on fine grids in which buildings and obstacles are  
438 explicitly resolved (“building hole” method, Schubert and Sanders, 2012). All the  
439 simulations were run on a NVIDIA® Tesla® P100 GPU.

440 The model sensitivity to the bottom roughness and to the inflow boundary conditions  
441 was already addressed in Ferrari et al. (2019). Hence, in this work only the sensitivity of  
442 the porosity model to the mesh size and to the parameters controlling the computation of  
443 conveyance is tested. It is well known that the size of the (coarse) grid cells affects the  
444 accuracy of the numerical solution in terms of flow depth and velocity (Sanders and  
445 Schubert, 2019); more importantly, in this case the porosity fields are expected to change  
446 dramatically with the resolution of the (coarse) grid. This is because the number and the  
447 position of buildings and obstacles within a cell strongly depend on its size and location.  
448 The goal is to demonstrate that the change of grid resolution and the contextual change in  
449 the porosity fields lead to similar results, and that these results tend towards the reference  
450 solution for increasing grid resolutions.

451 As a final note, the footprints of buildings and walls are superposed to all the figures  
452 referring to porous results for facilitating the comparison, even if they are not explicitly  
453 resolved in the computation.

#### 454 *4.1 The Toce experimental case study*

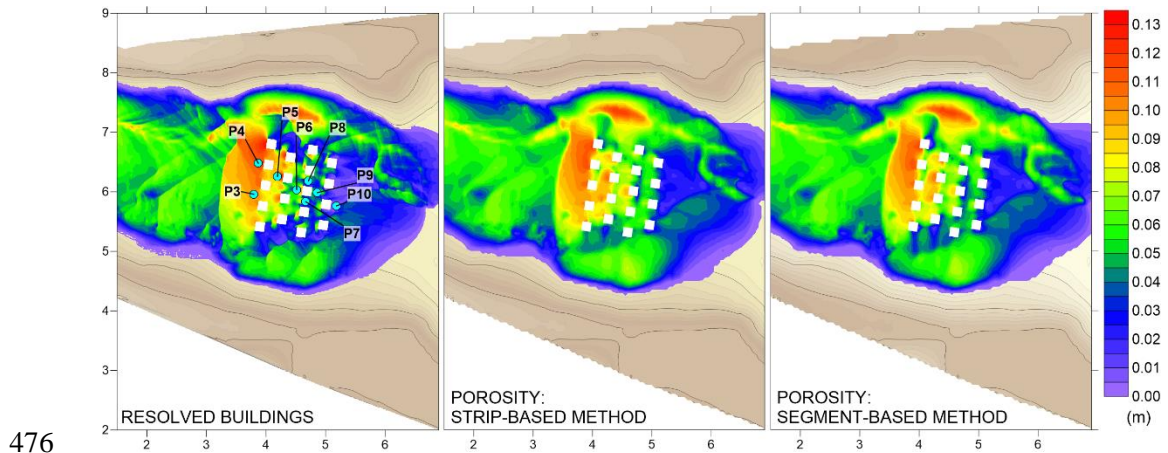
455 Before proceeding with the application to real urban layouts and to the sensitivity  
456 analysis, the two methods are firstly compared considering the Toce River experiment (EU  
457 IMPACT project, Testa et al., 2007). The benchmark is a physical model in scale 1:100,  
458 which reproduces the flooding in the Toce valley (Northern Italy). A checkerboard building



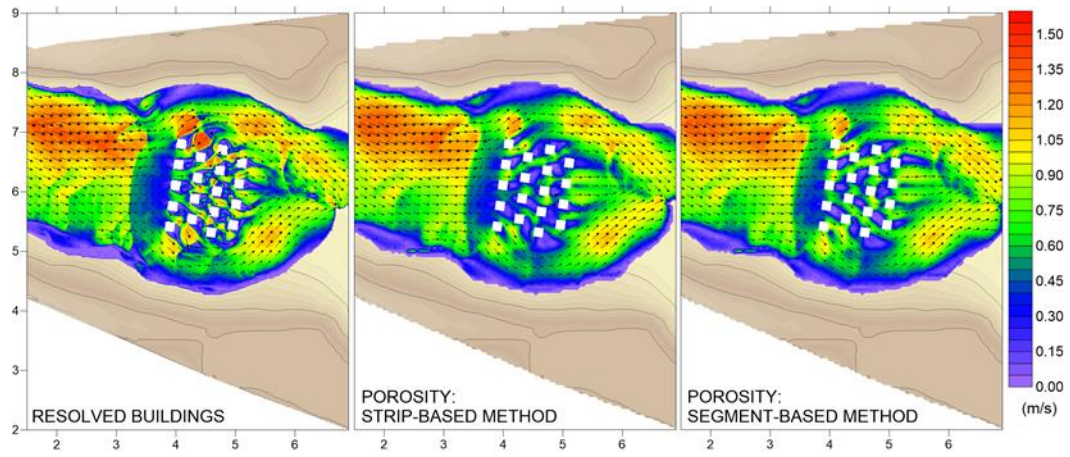
459 layout with 18 square concrete building blocks of 15 cm side length is used to simulate the  
 460 presence of an urban environment. Such a building arrangement has already been discussed  
 461 in Sect. 3.3 and in Figure 5.

462 The porosity formulation recalled in Sect. 2.2 was already tested against this  
 463 experimental benchmark using a uniform distribution of porosity parameters (Ferrari et al.,  
 464 2019), but the successful application of the model required a particular value of conveyance  
 465 porosity, obtained by collapsing two consecutive rows of buildings. Hence, it is not obvious  
 466 that the algorithm for porosity computation is able to extract effective porosity distributions  
 467 for the same schematic (but not trivial) building layout.

468 In the simulations, the initially dry domain is flooded by a 60 s long high inflow  
 469 discharge entering the river (Testa et al., 2007), and a free outflow condition is specified at  
 470 the end of the valley reach. The domain is characterized by a Manning roughness  
 471 coefficient equal to  $n = 0.0162 \text{ m}^{-1/3}\text{s}$  (Testa et al., 2007). In the reference solution,  
 472 buildings are explicitly resolved on a Cartesian grid with square cells of size  $\Delta x = 1 \text{ cm}$ ;  
 473 the segment- and strip-based methods are used for the porous configuration with  $\Delta x = 5 \text{ cm}$ .  
 474 Conveyance porosity is computed considering either a segment spacing  $d_{sg} = 5 \text{ mm}$  or a  
 475 strip with  $d_{st} = L = 5 \text{ cm}$ .



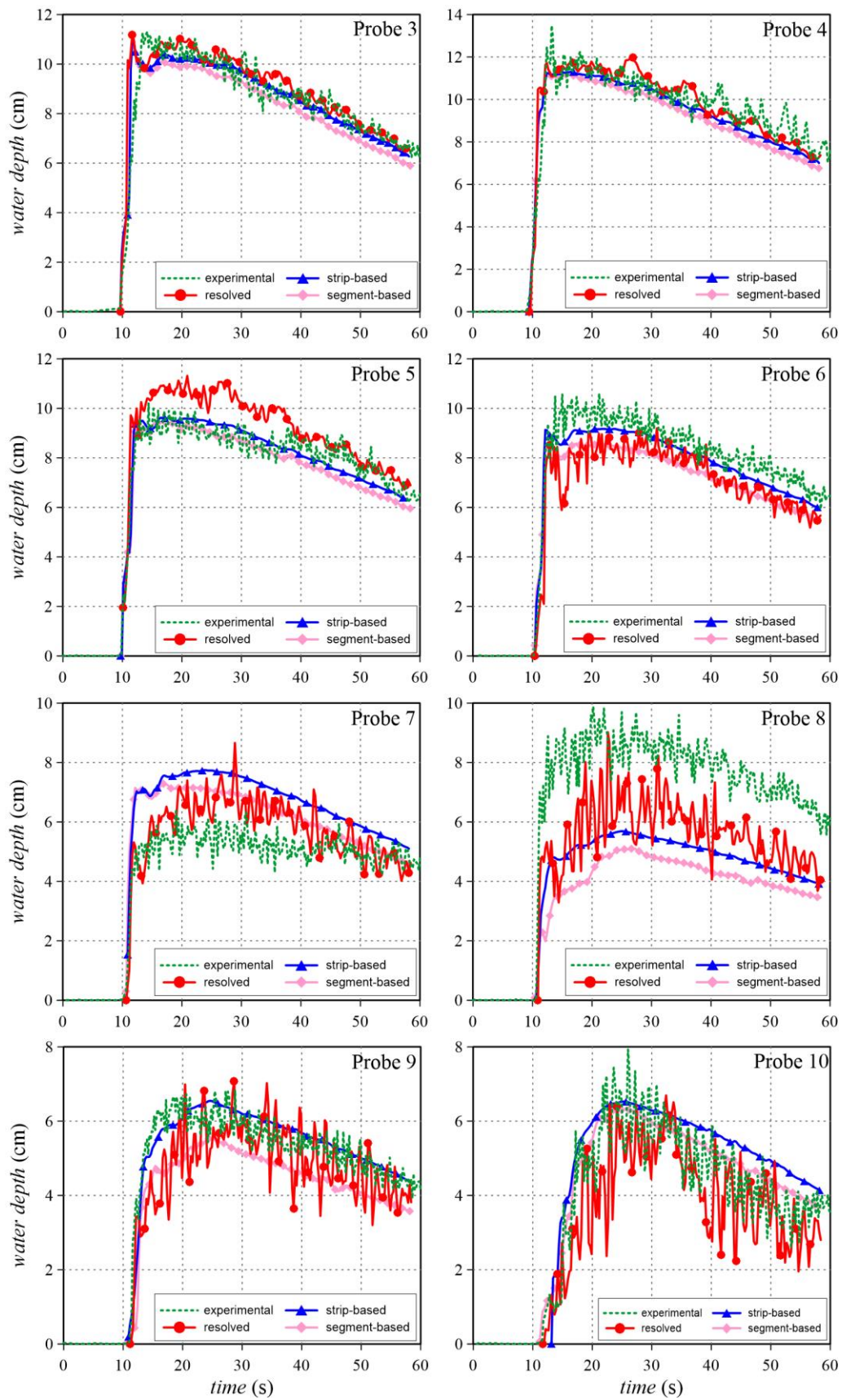
476  
 477 Figure 6. Toce River test. Water depths at  $t=14 \text{ s}$ . In background the bathymetry. The  
 478 location of the gauge points is also reported.



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Figure 7. Toce River test. Water velocity at  $t=14$  s. In background the bathymetry.



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Figure 8. Toce River test. Water depths time series at gauge locations: comparison between the measured values and the results obtained with resolved buildings (red lines), the strip-based (blue lines) and the segment-based (pink lines) porosity parameters.

486 The water depths and velocities provided by the different methods are compared in  
487 Figure 6 (water depth) and Figure 7 (velocity) at time  $t = 14$  s. The comparison shows that  
488 both the segment- and strip-based methods allow reproducing the hydraulic jump that forms  
489 just upstream the obstacles and main flow features correctly. In particular, the velocity  
490 maps shown in Figure 7 highlight that the adoption of spatial distributed porosity fields  
491 allows describing the flow field variability within the urban area, and not only its effect on  
492 the external flow field (as in uniform porosity applications, Ferrari et al., 2019).

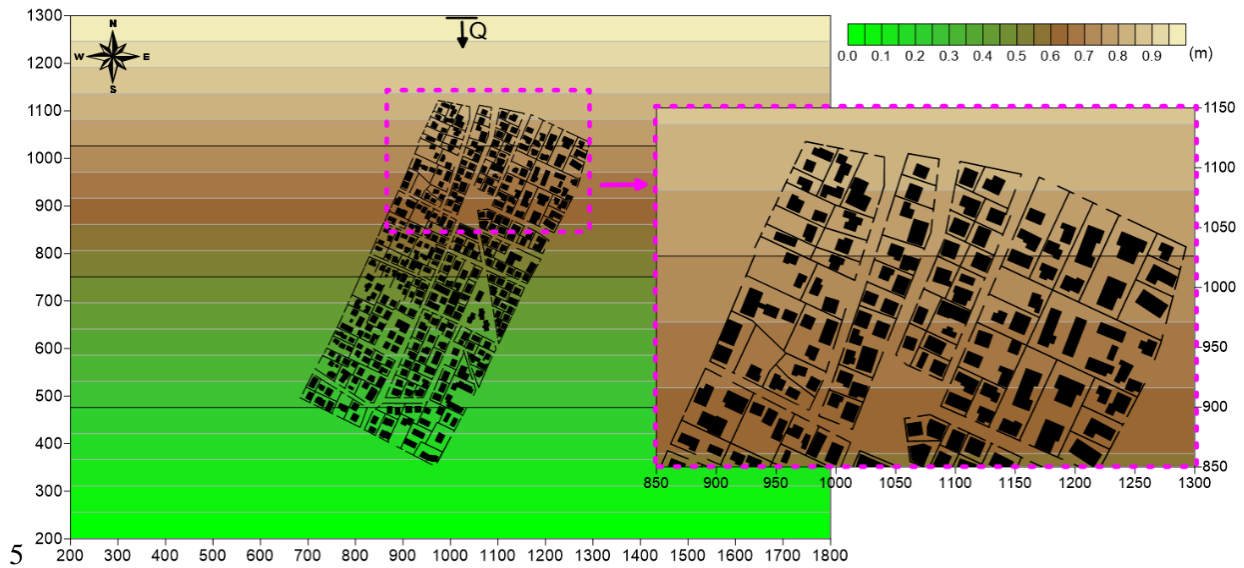
493 Figure 8 compares the water level time series recorded at gauge locations (Alcrudo et  
494 al., 2002; Testa et al., 2007) with those simulated by explicitly resolving the buildings and  
495 with the porosity parameters obtained with the strip- and segment-based methods. All the  
496 approaches provide similar results and show a generally good agreement with the measured  
497 values. Importantly, the use of spatially distributed porosity fields improves the model  
498 results, at the internal points P5 and P6, with respect to the uniform porosity parameters  
499 assumed in Ferrari et al. (2019).

500 The segment- and strip-based methods provide very similar results in this case; this is  
501 expected (see Sect. 3.3) considering that the resolution of the coarse grid (5 cm) is smaller  
502 than the geometrical length scale of the problem (buildings size is  $15 \times 15$  cm).

503 As discussed in Sect. 3.3, and in agreement with the schematic examples of Figure 4  
504 and Figure 5, the strip-based method tends to provide lower values for  $\Psi_T$  than the segment-  
505 based method, resulting in slightly more dissipative scenarios; this is confirmed by the  
506 slightly larger water depths obtained with the strip-based method.

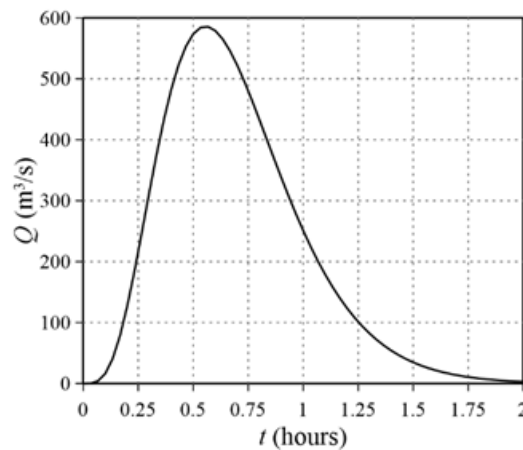
#### 507 4.2 *The Spinea district case study*

508 The first real urban layout here analyzed is a district in the town of Spinea, in Northern  
509 Italy (Figure 9). This middle-density area presents different-shaped buildings, which are  
510 separated one another by small walls, surrounded by gardens and courtyards, which act as  
511 temporally storage areas during flooding (Viero, 2019).



513 Figure 9. Spinea test. Bathymetry with the urban layout (dimensions in m).

514 In the simulations, the domain is characterized by a bottom slope of 0.09% (southward)  
 515 and a Manning roughness coefficient  $n = 0.029 \text{ m}^{-1/3}\text{s}$ . The domain is initially dry; in the  
 516 central 50 m of the northern edge, an inflow boundary condition is prescribed in the form  
 517 of a 2-hours Gamma-distributed flood wave (Figure 10), with a peak value of about  
 518  $600 \text{ m}^3/\text{s}$ . Free outflow is assumed at the southern edge.



519  
 520 Figure 10. Spinea test. Inflow boundary condition.

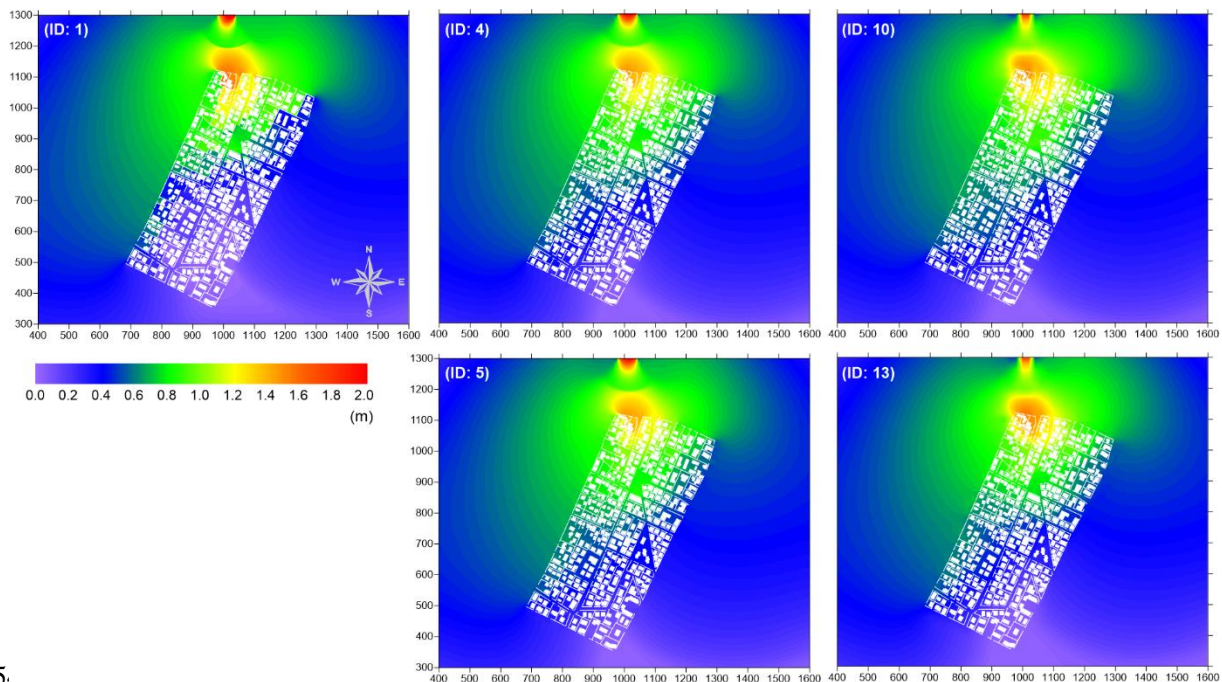
521 The computational domain is discretized using a Cartesian grid with square cells of size  
 522  $\Delta x = 0.5 \text{ m}$  for the refined solution, and  $\Delta x = 2, 5, 10, 20$  and  $50 \text{ m}$  for the porous  
 523 simulations. The porous tests adopt the porosity fields resulting from both the segment- and  
 524 strip-based methods (for this latter case, different strip widths are considered). The main  
 525 features of the simulations are reported in Table 1.

526 The model results, at the arrival of the flood peak ( $\approx 0.6 \text{ h}$ ), are shown in Figure 11  
 527 (water depths) and Figure 12 (velocity fields), for the reference simulation and for the  
 528 porous applications with  $\Delta x = 5 \text{ m}$  and  $20 \text{ m}$ . Looking at the maps as a whole, it emerges

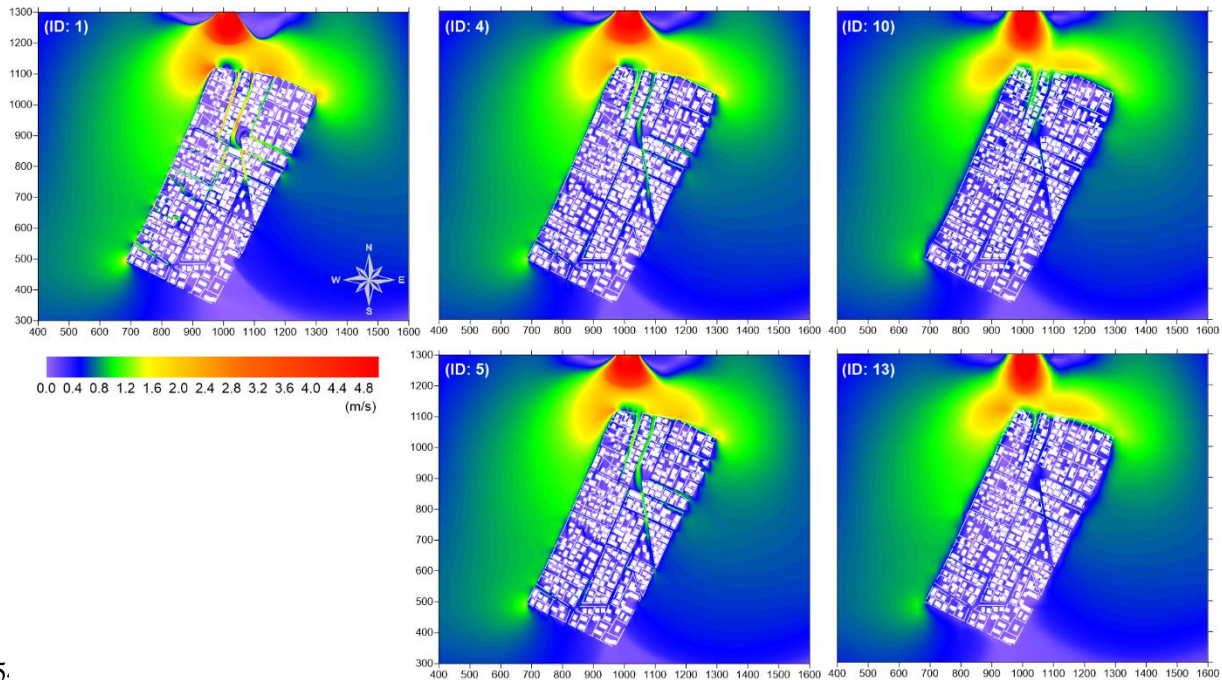


529 that the adoption of spatially distributed porosity parameters allows capturing the most  
 530 relevant features of flooding both outside and inside the urbanized area. In terms of water  
 531 depths (Figure 11), the porosity schemes capture the rise of the water depths north of the  
 532 built-up area (orange-red zone) and the downstream drop (purple-blue zone south-east),  
 533 with a slight loss of accuracy associated to grid coarsening (passing from ID:4 to ID:10 or,  
 534 equivalently, from ID:5 to ID:13). In terms of velocity fields (Figure 12), the porosity  
 535 schemes well capture the high velocity zone at the northern edge (orange-red), the middle  
 536 one at west (green), and the low one at south-east (purple-blue). Differences with the  
 537 reference solution can be found, essentially in terms of velocity, in external areas at the  
 538 beginning or end of streets, due to the presence of singularities that only a resolved scheme  
 539 on a fine mesh can capture properly.

540 The comparison of large-scale maps shows that the porosity fields provided by the  
 541 segment- and by the strip-based methods, as for example test ID:4 or ID:10 (segments)  
 542 against test ID:5 or ID:13 (strips), produce negligible differences in the simulated flow  
 543 fields for grid resolutions of 5 and 20 m.



545 Figure 11. Spinea test. Water depth at the flood peak for the simulations with resolved  
 546 buildings (ID:1), with porosity parameters evaluated using the segment-based method  
 547 with  $\Delta x = 5$  m (ID:4) and  $\Delta x = 20$  m (ID:10), and the strip-based one with  $\Delta x = 5$  m  
 548 (ID:5) and  $\Delta x = 20$  m (ID:13).



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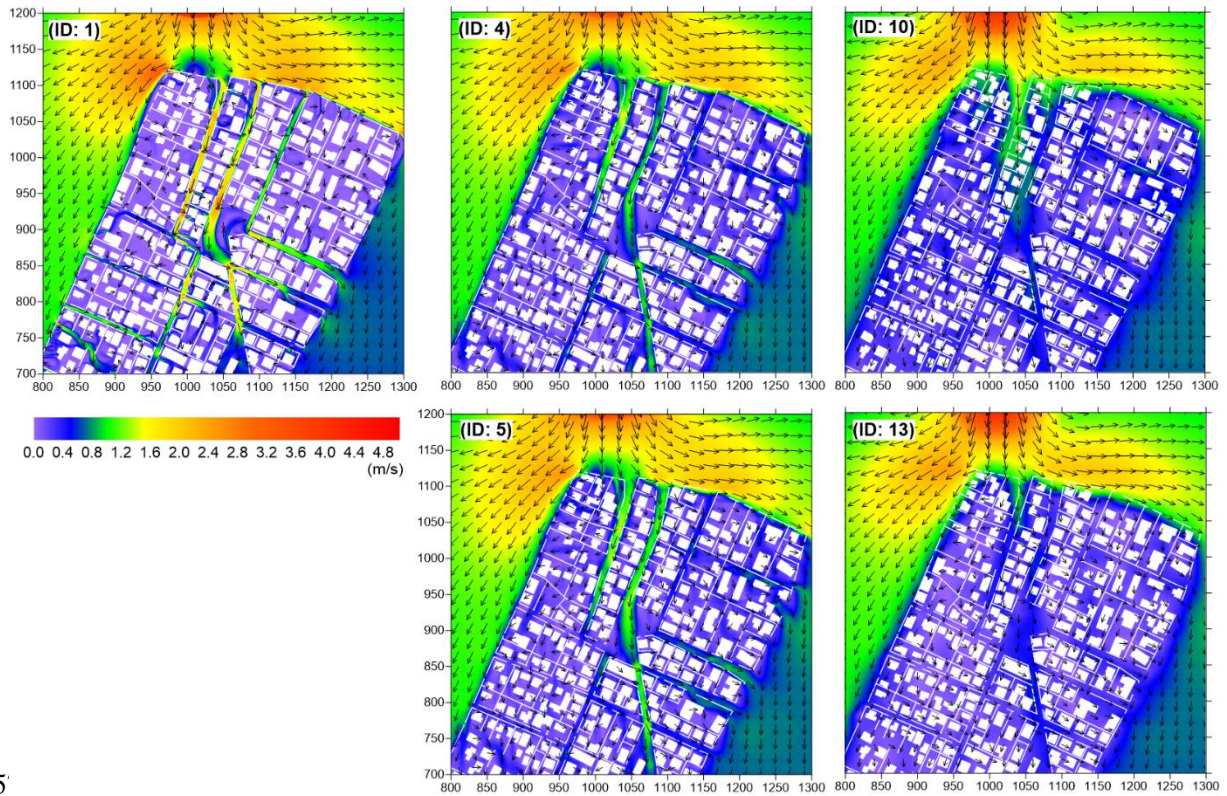
550 Figure 12. Spinea test. Velocity field at the flood peak for the simulations with  
 551 resolved buildings (ID:1), with porosity parameters evaluated using the segment-  
 552 based method with  $\Delta x = 5$  m (ID:4) and  $\Delta x = 20$  m (ID:10), and the strip-based one  
 553 with  $\Delta x = 5$  m (ID:5) and  $\Delta x = 20$  m (ID:13).

554 Obviously, the results depend on the grid resolution: the simulations with  $\Delta x = 5$  m  
 555 (ID:4, ID:5) agree with the reference solution (ID:1) better than the ones with  $\Delta x = 20$  m  
 556 (ID:10, ID:13). The choice of a proper grid resolution is thus related to the flow field  
 557 definition needed by the modeler, and not to specific requirements of the porosity approach.

558 Besides the large-scale analysis of the flow field around the built-up area, interesting  
 559 information can be gained by looking at the inner velocity fields (Figure 13). As mentioned  
 560 at the beginning of Sect. 3.1, the use of porosity models entails an unavoidable loss of  
 561 details in the flow field within the urban area, essentially due to the adoption of coarse  
 562 meshes in which buildings are not resolved explicitly. Nonetheless, the zoom view of  
 563 Figure 13 shows that the use of spatially distributed porosity fields, evaluated with the  
 564 methods of Sect. 3.2, allows reproducing the flow concentration along the main streets.  
 565 Expectedly, the velocity values obtained in the reference solution (ID:1, with  $\Delta x = 0.5$  m)  
 566 cannot be captured accurately with grids that are at least one order of magnitude coarser  
 567 ( $\Delta x \geq 5$  m).

568 The comparison of ID:10 and ID:13 maps in Figure 13 shows that, for coarser grids,  
 569 the strip-based method describes the blocking effects exerted by buildings and garden walls  
 570 better than the segment-based method; indeed, in the western part of the built-up area, flow  
 571 velocity is lower in ID:13 (purple colors) than in ID:10 (blue colors).





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Figure 13. Spinea test. Zoom view of the velocity fields shown in Figure 12.

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For the same time instant, Figure 14 compares the total depth indicator, which accounts for simultaneous water depth and velocity, representing the water depth at rest,  $D$ , whose static force is equivalent to the total force of the flow (Aureli et al., 2008; Ferrari et al., 2019) according to:

$$D = h\sqrt{1 + 2F} \quad (6)$$

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where  $h$  represents the water depth and  $F$  the Froude number. The partition showed in the low-left panel of Figure 14 allows for the definition of the following classes: low ( $0 \leq D < 0.5$  m), medium ( $0.5 \leq D < 1$  m), high ( $1 \leq D < 1.5$  m) and very high ( $D \geq 1.5$  m).

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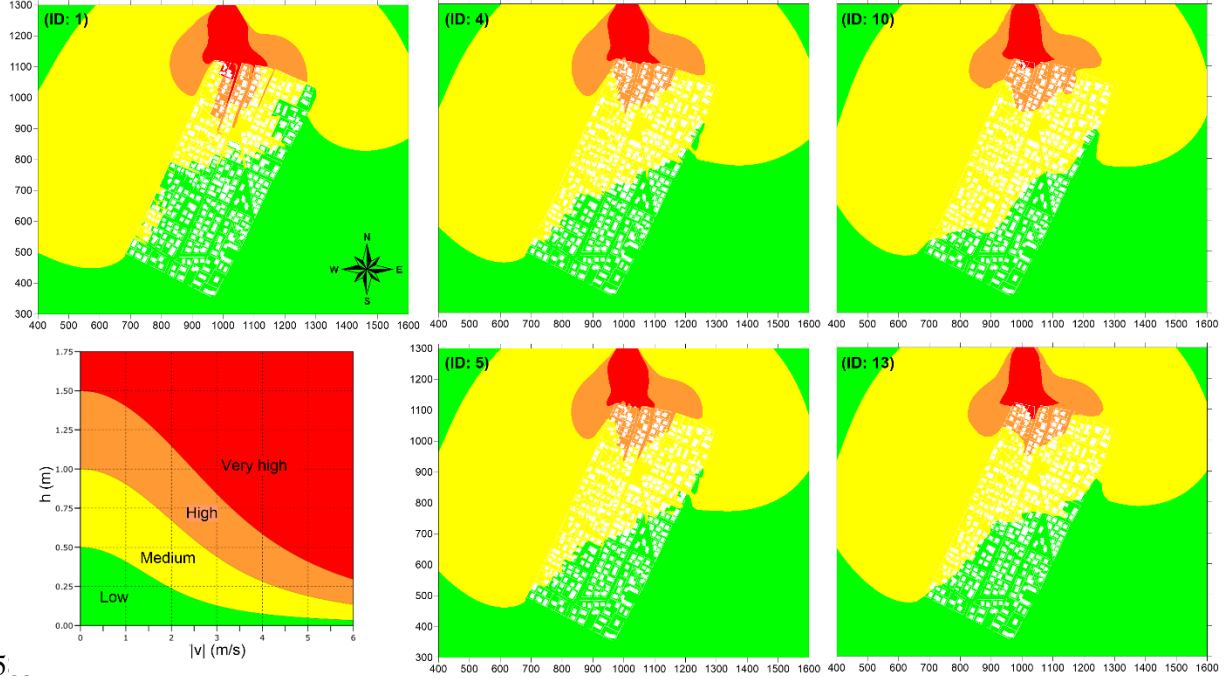
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Focusing on the effects exerted by the built-up area on the neighbouring ones, Figure 14 highlights that the porosity results well match with the reference one. Moreover, the porous scenarios capture the upper zone with high hazard level inside the urban patch, whereas they slightly overestimate the medium rank in the middle of the urban area.





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Figure 14. Spinea test. Total depth at the flood peak for the simulations with resolved buildings (ID:1), with porosity parameters evaluated using the segment-based method with  $\Delta x = 5$  m (ID:4) and  $\Delta x = 20$  m (ID:10), and the strip-based one with  $\Delta x = 5$  m (ID: 5) and  $\Delta x = 20$  m (ID:13). The  $h$ - $|v|$  plane relating the maximum total depth and the hazard degree is reported in the low left panel.

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A more systematic analysis of the model performance, for all the simulations run, is carried out by quantifying the  $L_2$  error norm for the maximum water depth and the maximum velocity, according to:

$$L_2(f) = \sqrt{\frac{1}{N} \sum_{i=1}^N (f_{por}^i - f_{res}^i)^2} \quad (7)$$

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where  $N$  denotes the number of computational cells,  $f$  is the variable of interest (maximum water depth,  $h_{max}$ , or velocity magnitude,  $u_{max}$ ), “por” and “res” subscripts identify the porous and reference solutions, respectively.

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The analysis of error norms, reported in Table 1, gives further insights. The capability of both the segment- and strip-based methods in extracting reliable porosity parameters is confirmed by the relatively small values assumed by the error norms. Importantly, smaller error norms are obtained by increasing the grid resolution, indicating that the coarse solutions tend to the reference solution. The error norms increase significantly when passing from  $\Delta x \leq 20$  m to  $\Delta x = 50$  m, confirming the importance of choosing the grid size carefully on the base of the length-scale of the problem; in this case  $\Delta x = 50$  m denotes a cell size two order of magnitude larger than the reference one, and five times larger than the typical street width, which is about 10 m in this test (Figure 9). For a given grid resolution, the errors associated to the different methods (segments or strips) are similar to

607 each other for finer grids, whereas the strip-based method performs slightly better in the  
 608 case of coarser grids (i.e., in line with the reasoning reported in Sect. 3.3).

609 Table 1. Spinea test. Simulation ID, modelling approach for the built-up area, method  
 610 use for evaluating the porosity parameters, cell size  $\Delta x$ , cell number, run time  $t_{run}$ ,  
 611 norm of the maximum water depth  $L_2(h_{max})$  and of the maximum velocity  $L_2(u_{max})$ .

<i>ID</i>	Building modelling	Method	$\Delta x$ (m)	# cells ( $10^3$ )	$t_{run}$ (min)	$L_2(h_{max})$ (m)	$L_2(u_{max})$ ( $m \cdot s^{-1}$ )
1	Resolved	-	0.5	7045.4	119.47	-	-
2	Porosity	Segment	2	441.35	2.53	0.087	0.115
3	Porosity	Strip (2 m)	2	441.35	2.42	0.087	0.115
4	Porosity	Segment	5	70.94	0.24	0.087	0.127
5	Porosity	Strip (5 m)	5	70.94	0.25	0.090	0.126
6	Porosity	Segment	10	17.87	0.06	0.090	0.151
7	Porosity	Strip (1 m)	10	17.87	0.07	0.090	0.142
8	Porosity	Strip (2 m)	10	17.87	0.07	0.088	0.142
9	Porosity	Strip (10 m)	10	17.87	0.06	0.086	0.142
10	Porosity	Segment	20	4.54	0.03	0.096	0.179
11	Porosity	Strip (2 m)	20	4.54	0.03	0.095	0.180
12	Porosity	Strip (10 m)	20	4.54	0.03	0.095	0.174
13	Porosity	Strip (20 m)	20	4.54	0.03	0.092	0.174
14	Porosity	Segment	50	0.76	0.01	0.118	0.240
15	Porosity	Strip (10 m)	50	0.76	0.01	0.110	0.218
16	Porosity	Strip (50 m)	50	0.76	0.01	0.101	0.218

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 613 Finally, it is relevant to notice the high reduction of the runtimes that can be achieved  
 614 in the porous simulations. As an example, the cases ID:2 and ID:3, which adopt the finest  
 615 mesh here used for the porous simulations ( $\Delta x = 2$  m), run about 48 times faster than the  
 616 simulation with resolved buildings (ID:1, 119.5/2.5). This gap enlarges up to two order of  
 617 magnitude when using coarser meshes (e.g., 119.5/0.03).

### 618 4.3 The Palmanova town case study

619 The third model application deals with the modelling of a flood wave in a radial city as  
 620 that of Palmanova (Northern Italy), in which buildings and streets converge to a central  
 621 hexagon square (Figure 15). The goal is validating the effectiveness of the spatial  
 622 distribution of porosity parameters, provided by the algorithms of Sect. 3.2, also in a real  
 623 urban area characterized by a non-conventional building alignment.



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Figure 15. Aerial view of the Palmanova town in Northern Italy, a particular example of radial city planning.

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The domain, which is shown in Figure 16, is given a southward bottom slope of 0.08%. In the simulations, a Manning roughness coefficient  $n = 0.029 \text{ m}^{-1/3}\text{s}$  is assumed. As in the previous test, the initially dry domain is flooded by the 2 h long, Gamma-distributed flood wave shown in Figure 10; the upstream inflow boundary condition is prescribed in the central 50 m of the northern edge of the domain. Free outflow is assumed at the southern edge.

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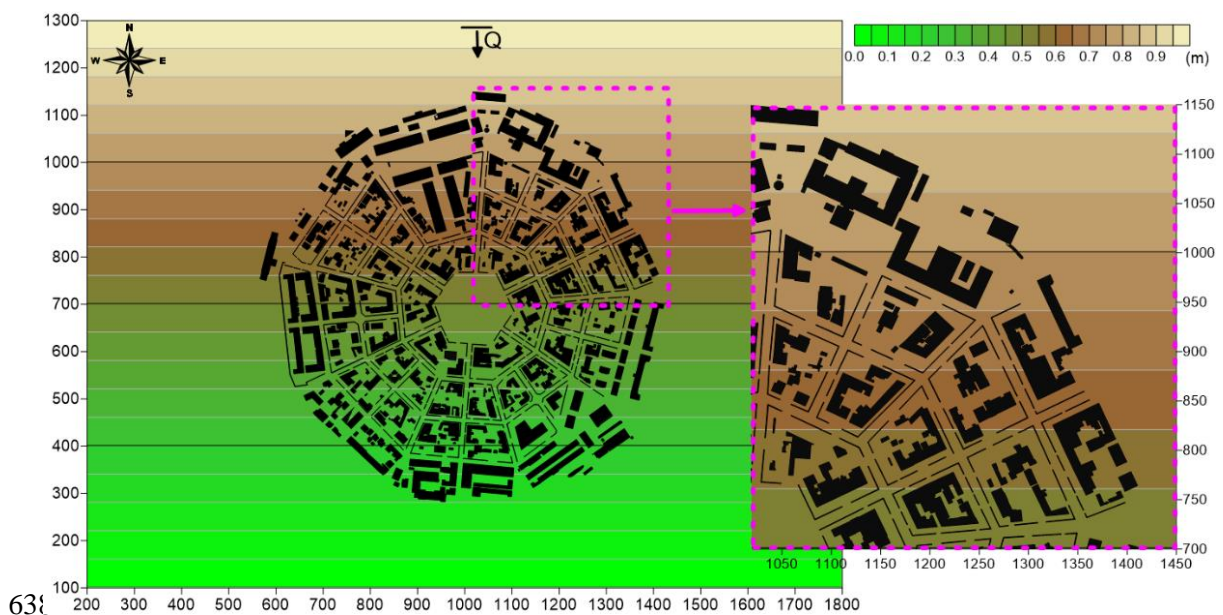
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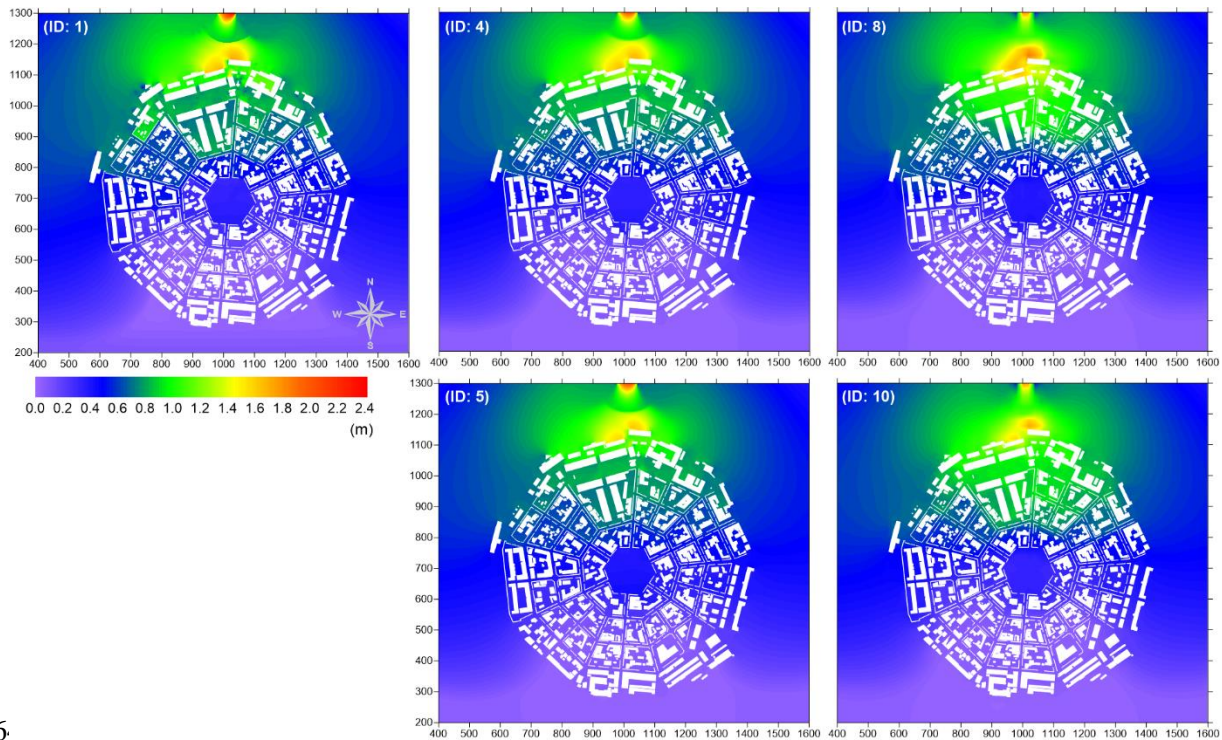
A Cartesian grid with square cells of size  $\Delta x = 0.4 \text{ m}$  is used to discretize the domain for the reference solution with resolved buildings, and  $\Delta x = 2, 5, 10$  and  $20 \text{ m}$  for the porous simulations. Again, the porous tests adopt the porosity fields resulting from both the segment- and strip-based methods and, for this latter case, different strip widths are considered. The main features of the simulations are reported in Table 2.



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Figure 16. Palmanova test. Bathymetry with footprints of buildings and garden walls (dimensions in m).



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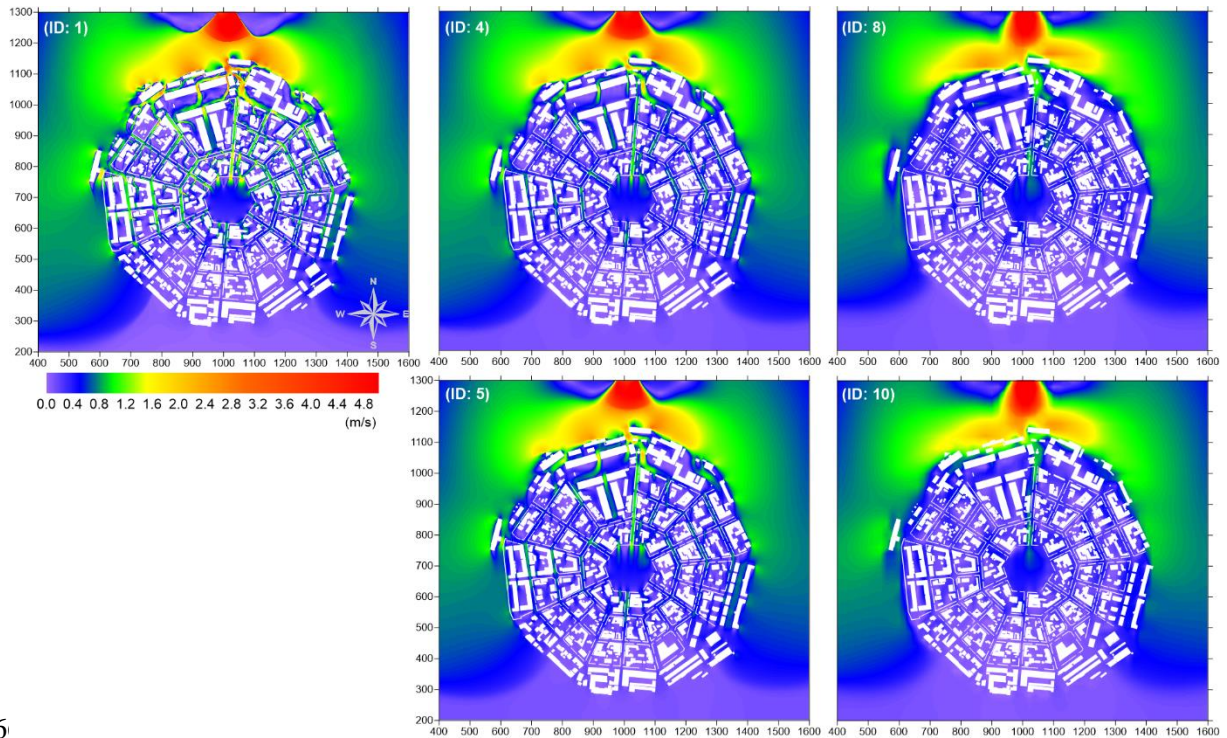
Figure 17. Palmanova test. Water depth at the flood peak for the simulations with resolved buildings (ID:1), with porosity parameters evaluated using the segment-based method with  $\Delta x = 5$  m (ID:4) and  $\Delta x = 20$  m (ID:8), and the strip-based one with  $\Delta x = 5$  m (ID:5) and  $\Delta x = 20$  m (ID:10).

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Analogously to the previous test, the model results at the arrival of the flood peak ( $\approx 0.6$  h) are shown in Figure 17 (water depths) and Figure 18 (velocity fields), for the reference simulation and for the porous applications with  $\Delta x = 5$  m and 20 m. Compared with the reference solution (ID:1), the anisotropic porous solutions on the  $\Delta x = 5$  m grid (ID:4 and ID:5) well capture the deeper water depths at the entrance of the urban area (yellow-orange values), the flooding characteristics in the north part of the built-up zone (green values), and the low depth zone at south (purple values). Moreover, also for this urban layout, the segment- and strip-based methods show minimal differences with  $\Delta x = 5$  m and 20 m. For both the methods, the use of a coarser mesh size ( $\Delta x = 20$  m in ID:8 and ID:10) entails an excessive increment of the water depth inside the urban area (norther part) and, for this reason, it seems less adequate to model this scenario accurately.

A look at the velocity fields in Figure 18 confirms that the results with  $\Delta x = 5$  m (ID:4 and ID:5) match the reference solution (ID:1) well; the high flow velocity zone in the north part of the domain (orange-red values) and the medium one in the upstream semicircle (green zone) is captured quite accurately. The  $\Delta x = 20$  m grid confirms a loss of accuracy.

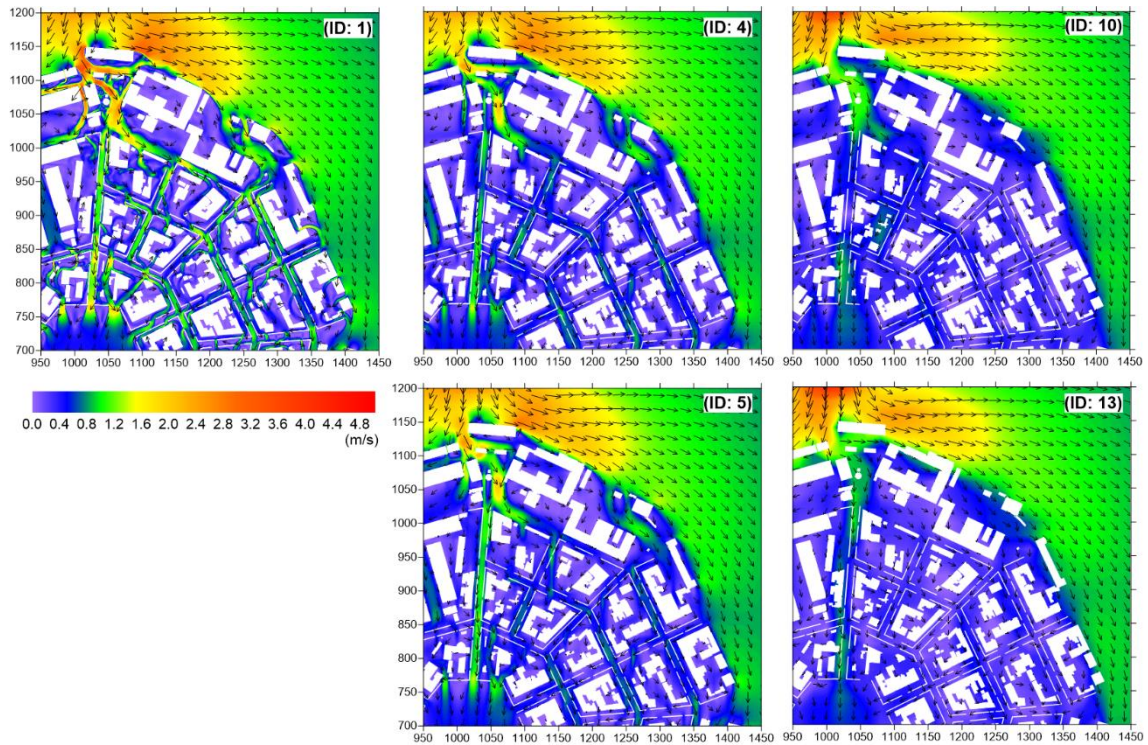




6.

662 Figure 18. Palmanova test. Velocity field at the flood peak for the simulations with  
 663 resolved buildings (ID:1), with porosity parameters evaluated using the segment-  
 664 based method with  $\Delta x = 5$  m (ID:4) and  $\Delta x = 20$  m (ID:8), and the strip-based one  
 665 with  $\Delta x = 5$  m (ID:5) and  $\Delta x = 20$  m (ID:10).

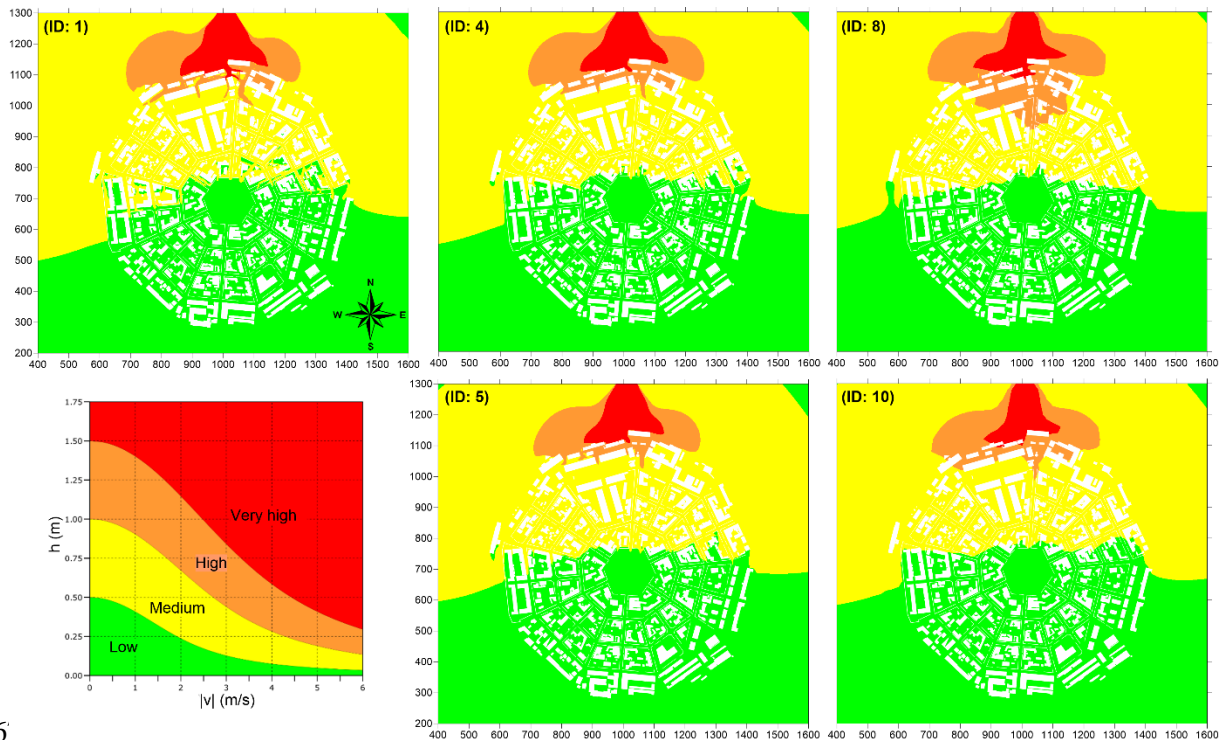
666 Importantly, the detailed view in Figure 19 reveals that the porous modelling allows  
 667 for partially reproducing the flow field variability within the built-up area (ID: 4 and ID:5).  
 668 Although only the high-resolution reference solution (ID:1) succeeds in modelling the flow  
 669 field among small pathways, also the coarse grid allows identifying some preferential flow  
 670 directions, with pathways characterized by larger flow velocities.



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Figure 19. Palmanova test. Zoom view of the velocity fields shown in Figure 18.



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Figure 20. Palmanova test. Total depth at the flood peak for the simulations with resolved buildings (ID:1), with porosity parameters evaluated using the segment-based method with  $\Delta x = 5$  m (ID:4) and  $\Delta x = 20$  m (ID:8), and the strip-based one with  $\Delta x = 5$  m (ID:5) and  $\Delta x = 20$  m (ID:10). The  $h$ - $|v|$  plane relating the maximum total depth and the hazard degree is reported in the low left panel.

679 For the same time instant, Figure 20 compares the total depth indicator of Eq. (6)  
 680 obtained from the different scenarios. The use of  $\Delta x = 5$  m grid resolution allows capturing  
 681 the overall hazard rank regardless of the method used to extract the porosity fields,  
 682 implying that such a grid size is suitable given the typical length scale of the problem. With  
 683 the  $\Delta x = 20$  m grid, the segment-based method leads to an overestimation of the high hazard  
 684 level in the north, whereas the strip-based method still provides accurate results, confirming  
 685 that the strip-based method performs better on coarse grids.

686 With the aim of analyzing the model results quantitatively, the  $L_2$  error norms are  
 687 evaluated according to Eq. (7) for both the maximum water depth and velocity magnitude  
 688 (Table 2). The analysis leads to the same conclusions as in the Spinea test; model errors do  
 689 not vary with the chosen algorithm (segment- or strip-based) significantly for lower mesh  
 690 sizes, whereas the strip-based method is better suited for coarser grids. Moreover, when the  
 691 mesh size is relatively large (e.g.,  $\Delta x = 20$  m), the errors increase significantly, essentially  
 692 for the loss of details in describing the flow field within the built-up area.

693 Table 2. Palmanova test. Simulation ID, modelling approach for the built-up area,  
 694 method for evaluating the porosity parameters, cell size  $\Delta x$ , cell number, run time  $t_{run}$ ,  
 695 norm of the maximum water depth  $L_2(h_{max})$  and of the maximum velocity  $L_2(u_{max})$ .

<i>ID</i>	Building modelling	Method	$\Delta x$ (m)	# cells ( $10^3$ )	$t_{run}$ (min)	$L_2(h_{max})$ (m)	$L_2(u_{max})$ ( $m \cdot s^{-1}$ )
1	Resolved	-	0.4	12007.0	250.56	-	-
2	Porosity	Segment	2	481.40	2.78	0.070	0.156
3	Porosity	Strip (2 m)	2	481.40	2.84	0.063	0.144
4	Porosity	Segment	5	77.36	0.26	0.077	0.202
5	Porosity	Strip (5 m)	5	77.36	0.26	0.066	0.176
6	Porosity	Segment	10	19.48	0.07	0.084	0.250
7	Porosity	Strip (10 m)	10	19.48	0.07	0.074	0.214
8	Porosity	Segment	20	4.94	0.03	0.104	0.283
9	Porosity	Strip (10 m)	20	4.94	0.03	0.088	0.267
10	Porosity	Strip (20 m)	20	4.94	0.03	0.086	0.271

696  
 697 Finally, the analysis of the runtimes reported in Table 2 further confirms the great  
 698 advantage of the porous approach with respect to the explicit solution of buildings. For  
 699 example, looking at cases ID:2 and ID:3 that still adopt a relatively fine grid ( $\Delta x = 2$  m),  
 700 the computational burden is reduced up to 90 times if compared with the resolved  
 701 simulation (250.56/2.78).

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## 705 **5 Discussion and Conclusions**

706 The present work dealt with a method to extract the spatial distribution of porosity  
707 parameters from building and obstacle footprints, to be used in the dual porosity model  
708 proposed by Viero (2019) and by Ferrari et al. (2019). A Fortran implementation of the  
709 algorithm is available, in a permanent repository, for free download and use (detailed  
710 information in Appendix A).

711 The key feature of the proposed method is the computation of the direction dependent  
712 conveyance porosity, which is performed by analysing the connectivity and the presence  
713 of preferential pathways within the cell, and not only at cell edges as in Integral Porosity  
714 models (Guinot et al., 2017; Sanders et al., 2008).

715 The effectiveness of the implemented method was assessed first by visual inspection,  
716 superposing the roseplots of conveyance porosity to the building footprints, to check the  
717 algorithm ability in detecting obstructions and preferential pathways correctly. Then, the  
718 porosity fields provided by the algorithm were used to simulate the flooding of  
719 experimental and real urban layouts with the porous version of the PARFLOOD model; the  
720 results were found to compare well with the reference solutions obtained using refined grids  
721 with explicitly resolved buildings.

722 The proposed method proved able to account for the presence of blocking features,  
723 which are known to affect the flow field substantially (Hodges, 2015; Li and Hodges,  
724 2019), as well as for the role of large streets as preferential pathways and global flow pattern  
725 separators (Chen et al., 2018). The model application to experimental and real case studies  
726 suggests that the effects of restrictions are fairly reproduced, despite they are modelled  
727 through a modification of friction resistance only (Li and Hodges, 2020).

728 Notwithstanding the considerable variability of porosity fields with the grid resolution,  
729 the results in terms of flow field characteristics (water depths and velocities) were limited  
730 to the expected loss of accuracy associated with grid coarsening, confirming the substantial  
731 independence of the porosity approach to the computational grid.

732 It's worth stressing that the proposed method was conceived in the framework of large-  
733 scale, subgrid modelling of major flooding events in urbanized areas. Specific attention  
734 was paid to reproduce the effects exerted by the main obstacles that characterize complex  
735 urban layouts; urban micro-features, which can significantly influence the simulated  
736 inundation extent and depth (Mignot et al., 2013; Wang et al., 2018; Yu and Lane, 2011),  
737 were not considered for now.

738 As a final note, Viero (2019) warned that assuming the existence of two, reciprocally  
739 orthogonal, principal directions for the conveyance porosity is likely too simplistic to  
740 capture the complexity of real urban settlements under general conditions. The application  
741 of the methods presented above shows that the cell conveyance is well represented by the



742 tensor formulation with two reciprocally orthogonal principal directions, in particular when  
743 it is mainly determined by the presence of a single dominant obstacle. On the contrary, in  
744 the presence of multiple (either aligned or staggered) obstacles within a cell, the  
745 conveyance function  $\Psi(\alpha)$  presents multiple maxima and minima (for example, see the  
746 three local maxima in the green roseplot of Figure 5d), which reveal the presence of  
747 multiple preferential pathways along different directions. In such cases, the tensor  
748 formulation proposed by Viero (2019) and Ferrari et al. (2019) cannot reproduce the  
749 peculiar behaviours of  $\Psi(\alpha)$  properly. In assessing the case of aligned buildings, Velickovic  
750 et al. (2017) proposed to use drag terms along with suitable amplification coefficients  
751 depending on the flow direction, a solution then questioned by Guinot (2017b). Alternative  
752 formulations of the porosity model should be explored to this purpose.

753

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759

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## 762 **Appendix A. Algorithm for porosity computation**

763 A Fortran implementation of the algorithm for computing the spatial distribution of the  
764 four porosity parameters is made available for free download and use in a permanent  
765 repository (<http://dx.doi.org/10.17632/47ypvbx9vm.1>). The repository also contains the  
766 input (and some output) files for the three case studies analyzed in the paper, as applicative  
767 examples.

768 The code reads geometric data of the polygon footprints in vector form from a .BLN  
769 file (Surfer ASCII), and the characteristics (cell size, location, and extent) of the numerical  
770 grid in the form of an .ASC (ESRI ASCII) file header. The output files are put in a specific  
771 subfolder, whose name includes the .ASC filename, the method used (segments or strips),  
772 and the value of segment spacing or strip width. As output files, the code can produce:

- 773 • four .xyz files (ASCII) with the coordinates of the cell center and the specific porosity  
774 parameter (one file per each parameter);
- 775 • four .ASC file (ESRI ASCII) with the spatial distribution of the specific porosity  
776 parameter (one file per each parameter, same information as in the above .xyz files);

- 777     • one .DXF file (AutoCAD ASCII) with building footprints, grid cells, conveyance  
778        roseplots, segments identifying the  $L$  and  $T$  principal directions;  
779     • one .BLN file (Surfer ASCII) with building footprints, grid cells, conveyance  
780        roseplots, segments identifying the  $L$  and  $T$  principal directions;  
781     • one .CNT file (ASCII, similar to the BLN format) with building footprints, grid cells,  
782        conveyance roseplots, segments identifying the  $L$  and  $T$  principal directions.

783     A configuration file in text format, to be placed in the same folder of the executable,  
784     allows choosing the output files to be produced; if the code cannot find this file, it will  
785     produce all the output files.

786     The code contains some optimizations that allow for a fast porosity computation. First,  
787     the polygons identifying the building footprints are ordered according to the  $x$  coordinate.  
788     Then, for each grid cell, the code identifies the (potentially) overlapping polygons, and  
789     processes only these ones in order to compute the storage and the conveyance porosity.

790     The algorithm performs the operations described in Sect. 3.2. Some additional details  
791     concerning the point n. 5 of the algorithm are given herein. With reference to the segment-  
792     based method (Sect. 3.2.1), the code performs the following operations:

- 793     5a) search all the intersection points between the sampling segment and the sides of the  
794        obstacle footprints. If no intersections are found, check if the whole segment is  
795        contained within any polygon (this occurs if the segment center falls within at least  
796        one polygon): if so, the free length is zero; otherwise, the algorithm continues as  
797        follows;  
798     5b) order the intersection points based on the distance from the first endpoint of the  
799        sampling segment;  
800     5c) check if each part of the sampling segment, between two consecutive intersection  
801        points, is contained within a polygon (i.e., the segment part overlaps a building  
802        footprint), to determined possible polygon overlapping;  
803     5d) the free length of the segment is obtained by subtracting the length of all the  
804        overlapping parts, taking care of accounting for multiple overlapping only once (this  
805        may occur in the case of duplicated polygons).

806     Similarly, with reference to the strip-based method (Sect. 3.2.2), the code performs the  
807     following operations:

- 808     5a) search all the intersections between the strip edges and the sides of the obstacle  
809        footprints. If no intersections are found, check if the whole strip is contained within  
810        any polygon (this occurs if the strip center falls within at least one polygon): if so,  
811        the free length is zero; otherwise, the algorithm continues as follows;

812 5b) compute the projection of the intersections on the strip axis (starting and ending  
813 points for each projection). The projections are marked as “overlapping parts” of the  
814 strip axes;  
815 5c) order the projections based on the distance from the first endpoint of the sampling  
816 segment to the starting point of the projection;  
817 5d) check if each part of the strip axis, which is free of intersection projections, is  
818 contained within a polygon. If so, also these parts of strip axis are marked as  
819 “overlapping parts”;  
820 5e) the free length of the strip axis is obtained by subtracting the length of all the  
821 “overlapping parts”, taking care of accounting for multiple overlapping only once  
822 (this may occur in the case of duplicated polygons or, more frequently, when  
823 different polygons intersect a single strip, and only the projections on the strip axis  
824 overlap).

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