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Floodwater pathways in urban areas: a method to compute porosity fields for anisotropic subgrid models in differential form

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14 Abstract

15 In the framework of porosity models for large-scale urban floods, this work presents a 16 method to compute the spatial distribution of the porosity parameters of complex urban 17 areas by analyzing the footprints of buildings and obstacles. Precisely, an algorithm is 18 described that estimates the four parameters required by the differential, dual-porosity 19 formulation we recently presented. In this formulation, beside the common isotropic 20 porosity accounting for the reduced storage volume due to buildings, a cell-based 21 conveyance porosity is introduced in the momentum equations in tensor form to model 22 anisotropic resistances and alterations in the flow direction due to presence of preferential 23 pathways such as streets. A cell-averaged description of the spatial connectivity in the 24 urban medium and of the preferential flow directions is the main ingredient for robust and 25 mesh-independent estimates. To achieve this goal, the algorithm here presented 26 automatically extracts the spatially distributed porosity fields of urban layouts relying only 27 on geometrical information, thus avoiding additional calibration effort. The proposed 28 method is described with the aid of schematic applications and then tested by simulating 29 the flooding of real, complex urban areas using structured Cartesian grids. A Fortran 30 implementation of the algorithm is made available for free download and use.

- 31
- Keywords: Urban flood; porosity model; conveyance porosity; porous shallow water
 equations; spatially-distributed porosity field; anisotropic friction; structured grid.

34 **1 Introduction**

Urban flooding is recognized as a global challenge, exacerbated by the growth of megacities in flood-prone areas, by anthropogenic modifications of landscapes, and by climate change as well (Arnell and Gosling, 2016; Jongman et al., 2012; Tanoue et al., 2016; Viero et al., 2019).

The adoption of structural measures and complementary strategies to reduce the effects of floods (Kundzewicz et al., 2018; Mel et al., 2020), the achievement of increased resilience (Ferrari et al., 2020a; McClymont et al., 2020) and effective adaptation (Jongman, 2018; Muis et al., 2015; Radhakrishnan et al., 2018), all rely on the knowledge of the processes involved. The need of assessing flood hazard accurately entails the need of suitable modelling tools for large scale urban floods (Sanders, 2017; Sanders and Schubert, 2019; Vacondio et al., 2016; Wing et al., 2018).

46 In this view, subgrid porosity models for urban floods reproduce the effects of fine scale topography at a relatively coarse resolution, allowing physics-based, large-scale 47 48 applications with limited need of computational resources. This kind of models has been 49 the subject of ongoing research and of numerous applications (Braschi and Gallati, 1989; 50 Bruwier et al., 2017; Chen et al., 2012a, 2012b; Costabile et al., 2020; Cozzolino et al., 51 2018; Defina, 2000; Defina et al., 1994; Ferrari et al., 2020b, 2017; Guinot, 2012; Guinot 52 et al., 2017; Özgen et al., 2016; Sanders et al., 2008; Varra et al., 2020; Yu and Lane, 2011, 53 2006).

Here we draw the reader's attention to the dual-porosity model in differential form 54 55 recently proposed by Viero (2019) and Ferrari et al. (2019), in which an isotropic porosity 56 accounts for storage reduction due to the presence of buildings, and a directionally-57 dependent conveyance porosity is introduced in the momentum equations in tensor form to 58 account for anisotropic resistances exerted by buildings and obstacles, and for the presence 59 of preferential pathways. Both the storage and the conveyance porosities are defined at the cell-level. The model retains the mesh-independence typical of porosity models in 60 61 differential form, and the natural inclusion of anisotropic effects related to alignment of 62 buildings and obstacles typical of integral porosity models (Guinot et al., 2017; Sanders et 63 al., 2008).

In previous contributions, the model by Ferrari et al. (2019) and Viero (2019) was only tested using uniform porosity parameters, averaged within the urban area, and assigned to all the computational cells therein. Actually, to our knowledge, porosity models in differential form were all used with uniform porosity so far (e.g., Cea and Vázquez-Cendón, 2010; Guinot, 2012; Guinot and Soares-Frazão, 2006; Soares-Frazão et al., 2008), with the only exception of the exploratory study by Soares-Frazão et al. (2018). The use of uniform porosity parameters allows verifying the model skills in terms of global resistance exerted by a patch of urbanized area on the surrounding flow, yet it offers no chance of describing the spatial variability of the flow field within the urban area. Moreover, it has to be admitted that for increasingly larger urban areas, uniform porosity parameters become as difficult to estimate as meaningless from a physical point of view. That is to say, the modelling of real urban layouts is still an open challenge for porosity models in differential form.

Integral Porosity (IP) models (Guinot et al., 2017; Sanders et al., 2008) were introduced with the specific aim of accounting for the flow field variability within the urban fabric; yet, for how they are constructed, IP models suffer a marked sensitivity to the mesh design (Guinot, 2017a; Kim et al., 2015). Recently, Varra et al. (2020) argued that resorting to the differential approach does not prevent a model to supply meaningful information at the scale comparable to those of buildings (meters or tens of meters). Of course, porosity fields have to reflect the actual spatial variability of blocking features within the urban fabric.

With this in mind, in this work we present a method to infer the porosity parameters needed by the dual porosity model of Ferrari et al. (2019) and Viero (2019) automatically, for real and complex urban areas, making use of geometrical information only. This should assure model robustness and limit the need for successive model calibration.

88 Special care is devoted to the estimation of the conveyance porosity, for multiple reasons. Unlike in the Integral Porosity models, in which it is defined at the cell sides, 89 90 conveyance porosity is here defined at the cell-level, i.e., it has to reflect the connectivity 91 properties of the urban medium within the entire cell (Guinot, 2017a; Viero, 2019). This is 92 both an opportunity and a challenge; the cell-based, spatially-averaged description of the 93 spatial connectivity and of preferential flow directions is the main ingredient assuring 94 robust and mesh-independent estimates; yet, conveyance porosity is actually directionally-95 dependent, thus entailing the need of recognising effective principal components (i.e., minimum and maximum conveyances) along with the associated directions, by only 96 97 analysing the spatial distribution of building footprints. Importantly, the geometrically-98 based estimates must be effective in representing the real hydraulic behaviour of obstacles 99 and preferential pathways within the cell. Thus, the method here presented computes the 100 directionally-dependent conveyance porosity, its principal components and the associated 101 directions, as well as storage porosity, from the building footprints of a given urban area 102 on a cell-by-cell basis. A graphical method, based on the use of roseplots, is also proposed 103 to preliminary check the effectiveness of the conveyance porosity estimates.

The paper is organized as follows. The key aspects of the dual-porosity formulation in differential form (Ferrari et al., 2019; Viero, 2019), together with the main features of the 2D accelerated shallow water model adopted in the work, are recalled in Sect. 2. The 107 method to automatically extract the porosity parameters from building footprints is 108 described in Sect. 3 and made available in a permanent repository (see Appendix A). The 109 method is then tested by simulating floods in real urban areas (Sect. 4). The discussion on 110 the proposed procedure and some concluding remarks are finally outlined in Sect. 5.

111 2 Material and Methods

112 2.1 The dual porosity model in differential form

In the framework of urban flood modelling based on the Shallow Water Equations (SWEs) with porosity, the formulation recently presented in Ferrari et al. (2019) and Viero (2019) describes the effects exerted by buildings and obstacles by adopting an isotropic storage porosity and an anisotropic conveyance porosity, both defined at the cell level.

117 The isotropic porosity, ϕ , accounts for the storage reduction due to the presence of 118 buildings; it is evaluated for each computational cell as the ratio between the area free of 119 obstacles and the total area (Figure 1a), as in single porosity (SP) and integral porosity (IP) 120 models (Guinot and Soares-Frazão, 2006; Sanders et al., 2008).



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Figure 1. Definition of the four porosity parameters (ϕ , Ψ_L , Ψ_T , α) in the dual-porosity, anisotropic model by Ferrari et al. (2019) and Viero (2019): a) isotropic storage porosity, ϕ (the thick black square is the computational cell with area A_{cell}); b) anisotropic conveyance porosity defined by Ψ_L , Ψ_T , and α . Grey areas denote buildings.

127 On the other hand, the reduced conveyance, the alteration in the flow direction, and the 128 presence of preferential flow pathways related to the alignment of buildings and obstacles, 129 are accounted for by introducing in the momentum equations a directionally-dependent 130 conveyance porosity in tensor form (Ferrari et al., 2019; Viero, 2019; Viero and Valipour, 131 2017). This conveyance porosity, which reflects the spatial distribution of obstacles and preferential pathways *within* cell and not only at cell-edges, is the genuine novelty of the approach proposed by Ferrari et al. (2019) and Viero (2019). In previous SP models, in fact, preferential flow directions have been taken into account by introducing directional drag terms that essentially rely on model calibration (Velickovic et al., 2017), thus limiting the predictive power of the model. In the IP model (Sanders et al., 2008), and in the dual-IP model as well (Guinot et al., 2017), conveyance porosity is locally defined at the cell sides, thus making these models unusually sensitive to the mesh design (Guinot, 2017a).

139 In a one-dimensional (1D) framework, the conveyance porosity Ψ is analogous to the 140 width ratio of a channel contraction (Defina and Viero, 2010), i.e., it is evaluated as the 141 ratio between the width at the narrowest cross-section and the total width. In a two-142 dimensional (2D) framework (Figure 1b), the conveyance porosity assumes different 143 values for different flow directions. It is then evaluated along the principal directions of 144 maximum, L, and minimum, T, conveyance, resulting in the longitudinal, Ψ_L , and 145 transverse, Ψ_T , conveyance parameters, which are supposed to be mutually orthogonal. 146 Finally, the rotation angle between the L-T frame and the x-y model frame is expressed by 147 the parameter α (Figure 1b).

148 This dual-porosity approach has been implemented in two different 2D hydrodynamic 149 models. Ferrari et al. (2019) described the implementation of the subgrid scheme in 150 PARFLOOD, a GPU-enhanced Finite Volume model on Cartesian and multi-resolution 151 grids (Vacondio et al., 2017, 2014); Viero (2019) described its implementation in 2DEF, a 152 Finite Element, mixed Eulerian-Lagrangian model on staggered unstructured meshes 153 (D'Alpaos et al., 2007; Defina, 2000; Viero et al., 2014, 2013). In the PARFLOOD and 154 2DEF models, the implementation of the dual-porosity model was slightly different: Viero 155 (2019) used the conveyance porosity in tensor form to express both acceleration terms and 156 friction losses; Ferrari et al. (2019) used the conveyance porosity for friction losses and 157 kept the storage porosity for acceleration terms, to retain the general structure of classical 158 Finite Volume schemes. To sum up, the implementation of the dual porosity scheme is 159 more rigorous in Viero (2019), but the 2DEF model is neither suitable to deal with shock 160 waves, nor with rapidly varying flows; on the other hand, although accounting for 161 anisotropic effects only through friction losses, the porous version of PARFLOOD described in Ferrari et al. (2019) is shock-capturing and suitable for subcritical, 162 163 supercritical, and rapidly-varying flows. Nevertheless, both the schemes were shown to 164 provide reasonably good results in their respective field of applications. In the present 165 work, the effectiveness of the porosity parameters estimated from building footprints with 166 the method described in the following Sect. 3, is tested using the model by Ferrari et al. 167 (2019), whose main features are briefly recalled in the following section.

168 2.2 The porous version of the PARFLOOD numerical model

169 In the PARFLOOD model, according to Ferrari et al. (2019), the four parameters ϕ , Ψ_L ,

170 Ψ_T , and α , are introduced in the system of 2D-SWEs written in integral form (Toro, 2001):

$$\frac{d}{dt} \int_{A} \mathbf{U} dA + \int_{C} \mathbf{H} \cdot \mathbf{n} \, dC = \int_{A} \left(\mathbf{S}_{0} + \mathbf{S}_{f} + \mathbf{S}_{p} \right) dA \tag{1}$$

where *A* and *C* are the area and the boundary of the integration element, respectively, **n** is the outward unit vector normal to *C*. The vector of the conserved variables, **U**, and the tensor of fluxes in the *x* and *y* directions, $\mathbf{H} = (\mathbf{F}, \mathbf{G})$, are defined as:

$$\mathbf{U} = \begin{bmatrix} \eta \\ uh \\ vh \end{bmatrix} \qquad \mathbf{F} = \begin{bmatrix} uh \\ u^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \\ uvh \end{bmatrix} \qquad \mathbf{G} = \begin{bmatrix} vh \\ uvh \\ v^2h + \frac{1}{2}g(\eta^2 - 2\eta z) \end{bmatrix}$$
(2)

174 with *h* the water depth, η the water surface elevation, *z* the bottom elevation, *g* the 175 gravitational acceleration, *u* and *v* the velocity components in the *x* and *y* directions, 176 respectively.

177 The bed slope source term, S_0 , and the porosity-related non-conservative product, S_p , 178 are defined as:

$$\mathbf{S}_{0} = \begin{bmatrix} 0\\ -g\eta \frac{\partial z}{\partial x}\\ -g\eta \frac{\partial z}{\partial y} \end{bmatrix} \qquad \mathbf{S}_{p} = \begin{bmatrix} -\frac{h}{\phi} \left(u \frac{\partial \phi}{\partial x} + v \frac{\partial \phi}{\partial y} \right) \\ -\frac{uh}{\phi} \left(u \frac{\partial \phi}{\partial x} + v \frac{\partial \phi}{\partial y} \right) \\ -\frac{vh}{\phi} \left(u \frac{\partial \phi}{\partial x} + v \frac{\partial \phi}{\partial y} \right) \end{bmatrix}$$
(3)

Finally, the friction source term, S_f , is obtained by first projecting the flow velocity on the *L*-*T* frame and then projecting friction components back to the *x*-*y* frame, thus accounting for anisotropic conveyance porosity as follows (Ferrari et al., 2019):

$$\mathbf{S}_{f} = \begin{bmatrix} -gh \frac{n^{2} u_{eL} \sqrt{u_{eL}^{2} + u_{eT}^{2}}}{h^{4/3}} \cos \alpha + gh \frac{n^{2} u_{eT} \sqrt{u_{eL}^{2} + u_{eT}^{2}}}{h^{4/3}} \sin \alpha \\ -gh \frac{n^{2} u_{eL} \sqrt{u_{eL}^{2} + u_{eT}^{2}}}{h^{4/3}} \sin \alpha - gh \frac{n^{2} u_{eT} \sqrt{u_{eL}^{2} + u_{eT}^{2}}}{h^{4/3}} \cos \alpha \end{bmatrix}$$
(4)

182 where *n* is the Manning coefficient, $u_{eL} = u_L \phi / \Psi_L$ and $u_{eT} = u_T \phi / \Psi_T$ are the effective 183 velocity components along the *L* and *T* directions, respectively.

As pointed out in Ferrari et al. (2019), the formulation guarantees the well-balancing between fluxes and source terms (Liang and Borthwick, 2009), and preserves the *Cproperty* also in presence of wet-dry fronts, regardless the slope source term discretization (Liang and Marche, 2009). The numerical fluxes in Eq. (2) are computed at the cell interfaces adopting the HLLC approximate Riemann solver (Toro, 2001). A robust treatment of non-physical velocities, which may develop at wet-dry fronts, is ensured, with a zero-mass error, by adopting the flux correction of Kurganov and Petrova (2007). The numerical scheme achieves both first and second order of accuracy. This last approximation in space is ensured by reconstructing the conserved variables at the cell edges by means of the linear Monotone Upwind Schemes for Scalar Conservation Laws (MUSCL) with *minmod* limiter (Toro, 1999). The conserved variables are updated at each time step according to the second order Runge-Kutta method, providing a second-order accuracy in time.

The set of partial differential equations can be solved on two different structured grids, both Cartesian (Vacondio et al., 2014) and multi-resolution Block Uniform Quadtree (BUQ, Vacondio et al., 2017). Given that the dual-porosity approach is not over-sensitive to the mesh design, it can be safely implemented on structured grids, which cannot be adapted to meet the strict requirements of proper mesh design needed by, e.g., IP models (Guinot, 2017a).

With reference to the implementation technique, the explicit finite volume scheme is written in CUDA/C++ architecture that exploits parallel computation offered by NVIDIATM Graphic Processing Units (GPUs), thus significantly reducing the computational time.

3 A procedure to infer porosity parameters from building footprints

208 3.1 Basic principles

In simulating urban floods with porosity models, the adoption of coarse grids entails an unavoidable loss of detail in the representation of the flow field within a urban area, with respect to the use of fine grids that resolve buildings explicitly. This loss of detail becomes substantial when models are used with uniform porosity distributions within an entire urban district, which is the common practice for porosity models in differential form (e.g., Cea and Vázquez-Cendón, 2010; Guinot, 2012; Guinot and Soares-Frazão, 2006; Soares-Frazao et al., 2008).

To find a reasonable trade-off between computational effort and spatial resolution of the flow field description, first, the grid resolution has to be adequate to the length-scale of the problem (i.e., comparable to the width of streets and buildings), and second, the porosity parameters must reflect the spatial distribution of obstacles and preferential pathways *within* the urban fabric. While the first requirement is relatively easy to meet, the second one is actually an open challenge.

This last issue is here addressed in the framework of the dual-porosity model in differential form described in Sect. 2.1. The four porosity parameters required by the model are supposed to vary inside the built-up area, so as to account for the spatial distribution of

obstacles and preferential flow paths within the urban area. Accordingly, porosity 225 226 parameters are estimated on a genuine cell-by-cell basis. This is expected to improve the 227 description of the effects exerted by buildings on the flow field, both close to and inside 228 the urban area, at a spatial scale comparable to that of the (relatively) coarse grid. The same basic idea has been theoretically supported by Varra et al. (2020), and has been tested by 229 230 Soares-Frazão et al. (2018) in the framework of SP porosity models (plus drag terms in 231 tensor form), highlighting the benefits of accounting for distributed porosity based on the 232 actual layout of buildings and streets.

The present method for estimating porosity distributions in real urbanized areas is designed to fulfil some basic principles: *i*) the spatial distribution of the porosity parameters should only rely on geometrical information, so as to reduce the successive need of model calibration (Arrault et al., 2016), *ii*) the estimation of porosity parameters should be inferred automatically, so as to allow straightforward large-scale model applications, and *iii*) the procedure should be intuitive and controlled by few parameters of clear physical meaning, so as to promote easy and trustful use by practitioners.

240 3.2 Spatially-distributed porosity fields from urban geometry

Given a relatively coarse computational grid covering a built-up area, the porosity parameters are evaluated by applying the procedure described in the following to each computational cell.



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Figure 2. For a single computational cell with side length *L* (thick black square), the sketch depicts the general procedure used to evaluate the conveyance porosity for three given mean flow directions (identified by the thick double-headed arrow), namely $\alpha_k = 0^\circ$ (a), $\alpha_k = 20^\circ$ (b), and $\alpha_k = 90^\circ$ (c). Grey areas denote buildings.

In extracting the porosity parameters from geometrical information, the computation of the storage porosity, ϕ , is straightforward (Figure 1a), whereas estimating conveyance porosity effectively is far more complicated, as it requires the joint estimation of the principal components and of the associated angles. Indeed, the conveyance porosity is directionally-dependent, and the angles that define the principal directions are not knowna-priori.

255 In general, for a hypothetical mean flow direction at an angle α_k to the x axis (double-256 headed arrow in Figure 2), the conveyance porosity $\Psi(\alpha_k)$ should be estimated as the width 257 ratio of the narrowest cross-section, in analogy to the definition of Figure 1b. Then, 258 considering that the function $\Psi(\alpha_k)$ is periodic with period π , i.e., $\Psi(\alpha_k) = \Psi(\alpha_k + \pi)$, the 259 function $\Psi(\alpha_k)$ should be characterized for (discrete values of) α_k in the range [0; π]. 260 Finally, once known the behaviour of $\Psi(\alpha_k)$, a proper criterion should allow identifying the 261 principal components of the conveyance porosity, Ψ_L and Ψ_T , along with the angle α that 262 identifies the direction of maximum conveyance Ψ_L (Figure 1b).

The proposed approach is a step forward with respect to Bruwier et al. (2017), who determined the conveyance porosities by evaluating the minimum areas across a coarse cell only in the x and y directions.

The procedure for the computation of the conveyance porosity principal components is implemented in two different versions, denoted as segment-based and strip-based methods, as described in the following. The code, implemented in Fortran language, is made available as supplementary material (see Appendix A).



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Figure 3. Segment-based (a) and strip-based (b) methods for computing the conveyance porosity for a mean flow direction α_k . The thick black square is a computational cell with side length *L*; the grey areas denote buildings. L_1 and L_2 denote the occupied parts of the *i*-th segment or strip (highlighted in dark green).

275 3.2.1 Anisotropic conveyance porosity: segment-based method

The first version of the algorithm that computes the porosity parameters is denoted as segment-based method (Figure 3a). It has to be applied to each cell of the computational grid, and consists in the following steps:

1. identify the buildings and obstacles whose footprint intersects the cell;

- 280 2. compute the storage porosity, ϕ , which is the complement to unity of the fraction of 281 cell area occupied by buildings (Figure 1a), using any polygons intersection routine;
- 282 3. span the sampling directions, α_k , in the interval [0; π [as in Figure 2. Given a number of directions to be considered, N_{α} , the angular spacing (in degrees) is $\Delta \alpha = 180^{\circ}/N_{\alpha}$. 283 The k-th sampling direction is $\alpha_k = (k-1) \cdot \Delta \alpha$, with $k \in [1, N_{\alpha}]$. A recommended value 284 for $\Delta \alpha$ is 1°; 285
- 286 4. segment sampling. The cell is temporarily rotated by α_k and sampled by considering 287 N_{sg} equispaced segments (denoted with index *i*), with spacing $d_{sg} = L/N_{sg}$ (Figure 3a);
- 288 5. evaluate the free length for each of the N_{sg} segments. For each segment *i*, once detected the N_i parts that overlap the building footprints (L_1 and L_2 in Figure 3a), the total free 289 length is computed as $L_i^{free} = L - \sum_{j=1}^{N_j} L_j$; 290

291 6. evaluate the conveyance porosity in the α_k direction as the ratio of minimum free length to segment length, $\Psi_{\alpha_k} = \min(L_i^{free})/L$. This is a simple estimate of the width 292

293 ratio of the narrowest cross-section for the given mean flow direction;

- 294 7. find the angle α for which the (reciprocally orthogonal) principal components of the 295 conveyance porosity Ψ_L and Ψ_T are closest to the maximum and minimum values among the N_{α} values of the function $\Psi(\alpha_k)$, respectively. The goal is achieved by 296 finding α_k such that the product $P_{\Psi} = \Psi_{\alpha_k} \cdot (1 - \Psi_{\alpha_k + \pi/2})$ is maximum, and setting 297 298 $\alpha = \alpha_k$. Indeed, P_{Ψ} attains a maximum when Ψ is large along α_k , and small in the 299 orthogonal direction, $\alpha_k + \pi/2$ (see Figure 4f for an example);
- 300 8. determine Ψ_L and Ψ_T . Considering that the minimum and maximum values of $\Psi(\alpha_k)$ 301 are not always orthogonal to each other, a trade-off is needed. The L direction should 302 coincide with that of maximum conveyance, α , to preserve the flux alignment in 303 preferential pathways (e.g., streets), and Ψ_T should be taken as the minimum value of 304 conveyance to represent blocking features correctly. Accordingly, the conveyance parameters are assumed as $\Psi_L = \Psi(\alpha)$ and $\Psi_T = \min[\Psi(\alpha_k)]$. 305

306 3.2.2 Anisotropic conveyance porosity: strip-based method

- 307 The second version of the algorithm is denoted as strip-based method (Figure 3b). Only 308 points 4 and 5 differ from the segment-based method described above:
- 309 4. strip slicing. The cell is temporarily rotated by α_k and sliced in N_{st} strips (denoted with
- 310 index *i*). Each strip has width $d_{st} = L / N_{st}$ (Figure 3b, in which $N_{st} = 3$ and $d_{st} = L/3$);
- 311 5. evaluate the free length for each of the N_{st} strips. For each *i*-th strip, once found the N_i
- 312 projections on the strip axis of each (part of) building that overlaps the strip (L_1 and L_2)

313 in Figure 3b), the total length of the strip axis, free of any building projection, is 314 evaluated as $L_i^{free} = L - \sum_{i=1}^{N_j} L_j$.

315 3.2.3 Graphical representation of the conveyance porosity

To judge the strengths and weaknesses of the above methods, the first step consists in visualizing the algorithm results in terms of directionally dependent conveyance porosity, $\Psi(\alpha_k)$. To reach the goal, in the figures hereinafter and in the supplementary data (see Appendix A), the (coarse) grid is superposed to the building footprints and, for each cell, the roseplot of $\Psi(\alpha_k)$ is plotted. Considering that $\Psi(\alpha_k)$ ranges in the interval [0, 1], for each of the N_{α} sampling directions, the coordinates of the roseplot line vertexes (x_{RP} , y_{RP}) are obtained as

$$x_{RP} = x_C + 0.4 \cdot L \cdot \Psi(\alpha_k) \cdot \cos(\alpha_k)$$

$$y_{RP} = y_C + 0.4 \cdot L \cdot \Psi(\alpha_k) \cdot \sin(\alpha_k)$$
(5)

where (x_c, y_c) is the cell center, and 0.4 is a coefficient that determines the size of the roseplot with respect to the grid size, *L*. For each cell, two diametral segments are plotted that denote the *L* (blue) and *T* (red) directions of maximum and minimum conveyance, as determined according to points 7 and 8 in Sect. 3.2.1.

327 3.3 Considerations on the segment-based and strip-based methods

This section aims at discussing the pros and cons of the two methods previously described.

The segment-based method is the plainest way to face the problem of conveyance 330 331 porosity evaluation, but it is subject to some limitations. A very small segment spacing, d_{se} , 332 is required to sample the cell in order to capture the possible presence of linear blocking features as thin walls (Hodges, 2015). This entails a large number of segments to be 333 334 analyzed, which requires a significant computational effort (even if it is performed only once before running the simulation). Most importantly, the free length of each segment, 335 L_i^{free} , is estimated regardless of what happens upstream and downstream of the segment 336 337 itself, seldom leading to inconsistencies. This is shown with some examples.

In Figure 4, a slender building (or a linear blocking feature) is sampled through segments (a) and strips (d), for the hypothetical flow direction $\alpha_k = 90^\circ$. The roseplots of $\Psi(\alpha_k)$ are obtained by analyzing all directions in the range $[0, \pi[$. Panels (c) and (f) show the trend of $\Psi(\alpha_k)$ (green lines), which is then translated by $\pi/2$ (grey lines), to obtain its complement to one (grey dashed line) and, in turn, the product $P_{\Psi}(\alpha_k)$ (black dotted line). The longitudinal (*L*) direction (blue lines in b,c,e,f) is the one that maximizes the product P_{Ψ} , and the transverse (*T*) direction (red lines in b,c,e,f) is assumed as orthogonal to *L*; this is the criterion chosen to determine the (most reliable) principal components for conveyance Ψ according to point 7 in Sect. 3.2.1.

347 In Figure 4, while the segment-based method (a) is unable to recognize the whole width 348 of the obstacle (the green segments as far shorter than the total building width), the strip-349 based method succeeds since the dark green portion of the strip in (d) is as wide as the 350 obstacle. The segment-derived roseplot in (b) shows similar values of $\Psi(\alpha_k)$ in the north-351 south and in the east-west directions; as a consequence, the criterion based on the product 352 P_{Ψ} is unable to recognize orthogonal principal directions of maximum and minimum 353 conveyance properly (c). The strip-based roseplot (e) shows a significantly lower 354 conveyance in the north-south direction and more realistic results also for the entire range 355 of directions; this allows detecting the principal directions correctly.



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Figure 4. Example of segment-based (upper row) and strip-based (lower row) methods applied to a computational cell (thick black square) with a single slender building (grey area). Conveyance porosity for a mean flow direction $\alpha_k = 90^\circ$ (a, d) and for the whole range of flow directions, $\alpha_k \in [0; \pi[$ (b, e). Criterion for detecting the principal directions according to point 7 in Sect. 3.2.1 (c, f). The principal directions of maximum (blue) and minimum (red) conveyance are shown in panels (b,c,e,f).

The comparison of panels (c) and (f) in Figure 4 suggests that the criterion to determine the principal components, described at point 7 in Sect. 3.2.1, works well when $\Psi(\alpha_k)$ shows (nearly) orthogonal maxima and minima, otherwise it fails in determining the direction of 366 minimum conveyance (but not the value of minimum conveyance, Ψ_T , which is chosen 367 regardless of the actual value of Ψ in the *T* direction, according to point 8 in Sect. 3.2.1).

368 In Figure 5, the segment- and strip-based methods are applied to the checkerboard 369 building arrangement of the Toce experiment (Testa et al., 2007). To obtain accurate results 370 in the same urban layout using a coarse grid, Ferrari et al. (2019) highlighted the need of 371 using lower values of conveyance porosity than the free length computed for a single row 372 of buildings. Indeed, the staggered arrangement of buildings imposes severe, successive 373 deviations to the flow, thus increasing the resistances with respect to the case of aligned 374 buildings. For this reason, the conveyance porosity computed accounting for a single row 375 of buildings is far greater than the effective one.



376

Figure 5. Example of segment-based (upper row) and strip-based (lower row) methods applied to a computational cell (thick black square) with the checkerboard arrangement of buildings (grey area) of the Toce experiment. Conveyance porosity for a mean flow direction $\alpha_k = -8^\circ$ (a, c) and for the whole range of flow directions, $\alpha_k \in [0; \pi[$ (b, d). The principal directions of maximum (blue) and minimum (red) conveyance are shown in panels (b) and (d).

Although the segment-based method is expected to work properly when the grid size is comparable to (or smaller than) the size of buildings, when using coarser grids as in Figure 5a, the segment-based sampling is unable to capture the tortuosity of floodwater pathways within the cell, thus overestimating the real conveyance. The strip-based method is expected to perform similarly well to the segment-based method for finer grids, and significantly better in case of coarser grids (as in the case of Figure 5c). In the considered direction, the strip-based estimate of conveyance porosity accounts for the staggered arrangement of buildings, i.e., for the tortuosity of pathways within the cell. The obtained value corresponds to the theoretical one, $\Psi(-8^\circ) = 0.2$ (buildings are 0.15 m wide and L = 1.7 m in this case).

To sum up, the strip-based method improves the estimation of the conveyance porosity by considering all the blocking features that overlap a strip orthogonal to the assumed flow direction. By increasing the strip width, information is added that concerns the presence of obstacles both upstream and downstream. Accordingly, a tortuous path is given a lower conveyance porosity than a straight path.

398 It is interesting to note that the strip-based method reduces to the segment-based 399 method in the limit $d_{st} \rightarrow 0$, with d_{st} the strip width, thus implying that the segment-based 400 method is actually a special case of the more general strip-based method.

401 In view of giving some operating instructions on the application of the strip-based method, the strip width has to be chosen as large as possible (i.e., $d_{st} = L$) in order to 402 403 recognize the real direction of preferential pathways (e.g., streets) correctly, and also to 404 reflect the tortuosity of floodwater pathways within each single cell. On the other hand, 405 when very coarse grids are used to model dense urban layouts with irregularly arranged 406 obstacles, the adoption of excessively wide strips may result in a significant underestimation of the conveyance porosity. Simply speaking, one can obtain $\Psi_L = \Psi_T = 0$, 407 meaning that the flow is inhibited in all directions, also when obstacle interspaces are well 408 409 interconnected. Accordingly, to reflect the connectivity of the urban medium yet avoiding 410 misrepresentations, the strip width should be taken larger than the typical length scale of 411 the urban layout, although being careful of not exceeding it too much.

412 Finally, focusing on the computational efforts required by the two methods, the strip-413 based method is generally faster as the number of strips, N_{st} , is typically much smaller than 414 the number of segments, N_{sg} , implying that the number of line/polygon intersections to be 415 computed is largely lower for the strip-based method. Nonetheless, it is worth noting that 416 the porosity parameters are evaluated for each cell of the (coarse) grid only once, in a pre-417 processing step. The computation of the spatially distributed porosity parameters, for the 418 finest meshes of the test cases shown in Sect. 4, is performed in a few minutes, and does 419 not affect the simulation runtime. The resulting parameters are kept constant during the 420 simulation, thus assuming that both storage and conveyance porosities are not depth-421 dependent (Bruwier et al., 2017; Guinot et al., 2018; Li and Hodges, 2019; Özgen et al., 422 2016, 2015; Rong et al., 2020).

423 **4 Results**

424 The segment- and strip-based methods presented in Sect. 3 are tested by simulating the 425 flooding in a laboratory experiment (the Toce case study, Testa et al., 2007) and in two real 426 urban districts. The laboratory experiment with staggered obstacles is chosen because 427 previous applications of the porosity model (with a uniform porosity distribution) required 428 particular values of porosity parameters, a need that makes not obvious the successful 429 application of the present algorithm. Then, since the main novelty of this work is to 430 compute the porosity parameters in real urban layouts, two districts in Northern Italy are 431 chosen as benchmarks, which are representative of complex urban fabrics with irregular 432 shaped buildings and streets, courtyards, gardens walls, etc.

In all the tests, the spatial distribution of the four porosity parameters is extracted geometrically using the two above methods, and the PARFLOOD model is used to solve the porous 2D-SWEs with anisotropic friction (see Sect. 2.2). The model results are compared against reference, refined solutions, obtained by solving the classical 2D-SWEs (again with the PARFLOOD model) on fine grids in which buildings and obstacles are explicitly resolved ("building hole" method, Schubert and Sanders, 2012). All the simulations were run on a NVIDIA® Tesla® P100 GPU.

440 The model sensitivity to the bottom roughness and to the inflow boundary conditions 441 was already addressed in Ferrari et al. (2019). Hence, in this work only the sensitivity of 442 the porosity model to the mesh size and to the parameters controlling the computation of 443 conveyance is tested. It is well known that the size of the (coarse) grid cells affects the 444 accuracy of the numerical solution in terms of flow depth and velocity (Sanders and 445 Schubert, 2019); more importantly, in this case the porosity fields are expected to change 446 dramatically with the resolution of the (coarse) grid. This is because the number and the 447 position of buildings and obstacles within a cell strongly depend on its size and location. 448 The goal is to demonstrate that the change of grid resolution and the contextual change in 449 the porosity fields lead to similar results, and that these results tend towards the reference 450 solution for increasing grid resolutions.

451 As a final note, the footprints of buildings and walls are superposed to all the figures 452 referring to porous results for facilitating the comparison, even if they are not explicitly 453 resolved in the computation.

454 4.1 The Toce experimental case study

Before proceeding with the application to real urban layouts and to the sensitivity analysis, the two methods are firstly compared considering the Toce River experiment (EU IMPACT project, Testa et al., 2007). The benchmark is a physical model in scale 1:100, which reproduces the flooding in the Toce valley (Northern Italy). A checkerboard building layout with 18 square concrete building blocks of 15 cm side length is used to simulate the
presence of a urban environment. Such a building arrangement has already been discussed
in Sect. 3.3 and in Figure 5.

The porosity formulation recalled in Sect. 2.2 was already tested against this experimental benchmark using a uniform distribution of porosity parameters (Ferrari et al., 2019), but the successful application of the model required a particular value of conveyance porosity, obtained by collapsing two consecutive rows of buildings. Hence, it is not obvious that the algorithm for porosity computation is able to extract effective porosity distributions for the same schematic (but not trivial) building layout.

468 In the simulations, the initially dry domain is flooded by a 60 s long high inflow 469 discharge entering the river (Testa et al., 2007), and a free outflow condition is specified at 470 the end of the valley reach. The domain is characterized by a Manning roughness coefficient equal to $n = 0.0162 \text{ m}^{-1/3} \text{s}$ (Testa et al., 2007). In the reference solution, 471 buildings are explicitly resolved on a Cartesian grid with square cells of size $\Delta x = 1$ cm; 472 473 the segment- and strip-based methods are used for the porous configuration with $\Delta x = 5$ cm. 474 Conveyance porosity is computed considering either a segment spacing $d_{sg} = 5$ mm or a 475 strip with $d_{st} = L = 5$ cm.



477 Figure 6. Toce River test. Water depths at *t*=14 s. In background the bathymetry. The
478 location of the gauge points is also reported.

476





Figure 7. Toce River test. Water velocity at t=14 s. In background the bathymetry.



Figure 8. Toce River test. Water depths time series at gauge locations: comparison between the measured values and the results obtained with resolved buildings (red lines), the strip-based (blue lines) and the segment-based (pink lines) porosity parameters.

The water depths and velocities provided by the different methods are compared in Figure 6 (water depth) and Figure 7 (velocity) at time t = 14 s. The comparison shows that both the segment- and strip-based methods allow reproducing the hydraulic jump that forms just upstream the obstacles and main flow features correctly. In particular, the velocity maps shown in Figure 7 highlight that the adoption of spatial distributed porosity fields allows describing the flow field variability within the urban area, and not only its effect on the external flow field (as in uniform porosity applications, Ferrari et al., 2019).

Figure 8 compares the water level time series recorded at gauge locations (Alcrudo et al., 2002; Testa et al., 2007) with those simulated by explicitly resolving the buildings and with the porosity parameters obtained with the strip- and segment-based methods. All the approaches provide similar results and show a generally good agreement with the measured values. Importantly, the use of spatially distributed porosity fields improves the model results, at the internal points P5 and P6, with respect to the uniform porosity parameters assumed in Ferrari et al. (2019).

500 The segment- and strip-based methods provide very similar results in this case; this is 501 expected (see Sect. 3.3) considering that the resolution of the coarse grid (5 cm) is smaller 502 than the geometrical length scale of the problem (buildings size is 15×15 cm).

As discussed in Sect. 3.3, and in agreement with the schematic examples of Figure 4 and Figure 5, the strip-based method tends to provide lower values for Ψ_T than the segmentbased method, resulting in slightly more dissipative scenarios; this is confirmed by the slightly larger water depths obtained with the strip-based method.

507 4.2 The Spinea district case study

508 The first real urban layout here analyzed is a district in the town of Spinea, in Northern 509 Italy (Figure 9). This middle-density area presents different-shaped buildings, which are 510 separated one another by small walls, surrounded by gardens and courtyards, which act as 511 temporally storage areas during flooding (Viero, 2019).





900 1000 1100 1200 1300 1400 1500 1600 1700 1800 400 500 600 700 800



514 In the simulations, the domain is characterized by a bottom slope of 0.09% (southward) and a Manning roughness coefficient $n = 0.029 \text{ m}^{-1/3}\text{s}$. The domain is initially dry; in the 515 central 50 m of the northern edge, an inflow boundary condition is prescribed in the form 516 517 of a 2-hours Gamma-distributed flood wave (Figure 10), with a peak value of about 518 600 m^3 /s. Free outflow is assumed at the southern edge.



519

520 Figure 10. Spinea test. Inflow boundary condition.

521 The computational domain is discretized using a Cartesian grid with square cells of size 522 $\Delta x = 0.5$ m for the refined solution, and $\Delta x = 2$, 5, 10, 20 and 50 m for the porous 523 simulations. The porous tests adopt the porosity fields resulting from both the segment- and 524 strip-based methods (for this latter case, different strip widths are considered). The main 525 features of the simulations are reported in Table 1.

526 The model results, at the arrival of the flood peak (≈ 0.6 h), are shown in Figure 11 527 (water depths) and Figure 12 (velocity fields), for the reference simulation and for the 528 porous applications with $\Delta x = 5$ m and 20 m. Looking at the maps as a whole, it emerges

529 that the adoption of spatially distributed porosity parameters allows capturing the most 530 relevant features of flooding both outside and inside the urbanized area. In terms of water 531 depths (Figure 11), the porosity schemes capture the rise of the water depths north of the 532 built-up area (orange-red zone) and the downstream drop (purple-blue zone south-east), 533 with a slight loss of accuracy associated to grid coarsening (passing from ID:4 to ID:10 or, 534 equivalently, from ID:5 to ID:13). In terms of velocity fields (Figure 12), the porosity 535 schemes well capture the high velocity zone at the northern edge (orange-red), the middle 536 one at west (green), and the low one at south-east (purple-blue). Differences with the 537 reference solution can be found, essentially in terms of velocity, in external areas at the 538 beginning or end of streets, due to the presence of singularities that only a resolved scheme 539 on a fine mesh can capture properly.

540 The comparison of large-scale maps shows that the porosity fields provided by the 541 segment- and by the strip-based methods, as for example test ID:4 or ID:10 (segments) against test ID:5 or ID:13 (strips), produce negligible differences in the simulated flow 542 543 fields for grid resolutions of 5 and 20 m.



545 Figure 11. Spinea test. Water depth at the flood peak for the simulations with resolved 546 buildings (ID:1), with porosity parameters evaluated using the segment-based method

- 547 with $\Delta x = 5$ m (ID:4) and $\Delta x = 20$ m (ID:10), and the strip-based one with $\Delta x = 5$ m
- 548 (ID:5) and $\Delta x = 20$ m (ID:13).



5. . .

300-400 500 600 700 800 900 1000 1100 1200 1300 1400 1500 1600 400 500 600 700 800 900 1000 1100 1200 1300 1400 1500 1600

550 Figure 12. Spinea test. Velocity field at the flood peak for the simulations with 551 resolved buildings (ID:1), with porosity parameters evaluated using the segment-552 based method with $\Delta x = 5$ m (ID:4) and $\Delta x = 20$ m (ID:10), and the strip-based one 553 with $\Delta x = 5$ m (ID:5) and $\Delta x = 20$ m (ID:13).

554 Obviously, the results depend on the grid resolution: the simulations with $\Delta x = 5$ m 555 (ID:4, ID:5) agree with the reference solution (ID:1) better than the ones with $\Delta x = 20$ m 556 (ID:10, ID:13). The choice of a proper grid resolution is thus related to the flow field 557 definition needed by the modeler, and not to specific requirements of the porosity approach. 558 Besides the large-scale analysis of the flow field around the built-up area, interesting 559 information can be gained by looking at the inner velocity fields (Figure 13). As mentioned 560 at the beginning of Sect. 3.1, the use of porosity models entails an unavoidable loss of 561 details in the flow field within the urban area, essentially due to the adoption of coarse 562 meshes in which buildings are not resolved explicitly. Nonetheless, the zoom view of 563 Figure 13 shows that the use of spatially distributed porosity fields, evaluated with the 564 methods of Sect. 3.2, allows reproducing the flow concentration along the main streets. 565 Expectedly, the velocity values obtained in the reference solution (ID:1, with $\Delta x = 0.5$ m) 566 cannot be captured accurately with grids that are at least one order of magnitude coarser 567 $(\Delta x \ge 5 \text{ m}).$

The comparison of ID:10 and ID:13 maps in Figure 13 shows that, for coarser grids, the strip-based method describes the blocking effects exerted by buildings and garden walls better than the segment-based method; indeed, in the western part of the built-up area, flow velocity is lower in ID:13 (purple colors) than in ID:10 (blue colors).





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Figure 13. Spinea test. Zoom view of the velocity fields shown in Figure 12.

1200 1250

(6)

574 For the same time instant, Figure 14 compares the total depth indicator, which accounts 575 for simultaneous water depth and velocity, representing the water depth at rest, *D*, whose 576 static force is equivalent to the total force of the flow (Aureli et al., 2008; Ferrari et al., 577 2019) according to:

$$D = h\sqrt{1 + 2F}$$

578where *h* represents the water depth and *F* the Froude number. The partition showed in the579low-left panel of Figure 14 allows for the definition of the following classes: low580 $(0 \le D < 0.5 \text{ m})$, medium $(0.5 \le D < 1 \text{ m})$, high $(1 \le D < 1.5 \text{ m})$ and very high $(D \ge 1.5 \text{ m})$.581Focusing on the effects exerted by the built-up area on the neighbouring ones, Figure58214 highlights that the porosity results well match with the reference one. Moreover, the583porous scenarios capture the upper zone with high hazard level inside the urban patch,584whereas they slightly overestimate the medium rank in the middle of the urban area.



586Figure 14. Spinea test. Total depth at the flood peak for the simulations with resolved587buildings (ID:1), with porosity parameters evaluated using the segment-based method588with $\Delta x = 5$ m (ID:4) and $\Delta x = 20$ m (ID:10), and the strip-based one with $\Delta x = 5$ m589(ID: 5) and $\Delta x = 20$ m (ID:13). The *h*-|v| plane relating the maximum total depth and590the hazard degree is reported in the low left panel.

591 A more systematic analysis of the model performance, for all the simulations run, is 592 carried out by quantifying the L_2 error norm for the maximum water depth and the 593 maximum velocity, according to:

$$L_{2}(f) = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left(f_{por}^{i} - f_{res}^{i}\right)^{2}}$$
(7)

where *N* denotes the number of computational cells, *f* is the variable of interest (maximum water depth, h_{max} , or velocity magnitude, u_{max}), "por" and "res" subscripts identify the porous and reference solutions, respectively.

597 The analysis of error norms, reported in Table 1, gives further insights. The capability 598 of both the segment- and strip-based methods in extracting reliable porosity parameters is 599 confirmed by the relatively small values assumed by the error norms. Importantly, smaller 600 error norms are obtained by increasing the grid resolution, indicating that the coarse 601 solutions tend to the reference solution. The error norms increase significantly when 602 passing from $\Delta x \le 20$ m to $\Delta x = 50$ m, confirming the importance of choosing the grid size 603 carefully on the base of the length-scale of the problem; in this case $\Delta x = 50$ m denotes a 604 cell size two order of magnitude larger than the reference one, and five times larger than 605 the typical street width, which is about 10 m in this test (Figure 9). For a given grid 606 resolution, the errors associated to the different methods (segments or strips) are similar to

607 each other for finer grids, whereas the strip-based method performs slightly better in the 608 case of coarser grids (i.e., in line with the reasoning reported in Sect. 3.3).

- Table 1. Spinea test. Simulation ID, modelling approach for the built-up area, method
- 610 use for evaluating the porosity parameters, cell size Δx , cell number, run time t_{run} ,
- 611 norm of the maximum water depth $L_2(h_{max})$ and of the maximum velocity $L_2(u_{max})$.

ID	Building modelling	Method	Δx (m)	# cells (10 ³)	t _{run} (min)	$L_2(h_{max})$ (m)	$\frac{L_2(u_{max})}{(\mathbf{m}\cdot\mathbf{s}^{-1})}$
1	Resolved	-	0.5	7045.4	119.47	-	-
2	Porosity	Segment	2	441.35	2.53	0.087	0.115
3	Porosity	Strip (2 m)	2	441.35	2.42	0.087	0.115
4	Porosity	Segment	5	70.94	0.24	0.087	0.127
5	Porosity	Strip (5 m)	5	70.94	0.25	0.090	0.126
6	Porosity	Segment	10	17.87	0.06	0.090	0.151
7	Porosity	Strip (1 m)	10	17.87	0.07	0.090	0.142
8	Porosity	Strip (2 m)	10	17.87	0.07	0.088	0.142
9	Porosity	Strip (10 m)	10	17.87	0.06	0.086	0.142
10	Porosity	Segment	20	4.54	0.03	0.096	0.179
11	Porosity	Strip (2 m)	20	4.54	0.03	0.095	0.180
12	Porosity	Strip (10 m)	20	4.54	0.03	0.095	0.174
13	Porosity	Strip (20 m)	20	4.54	0.03	0.092	0.174
14	Porosity	Segment	50	0.76	0.01	0.118	0.240
15	Porosity	Strip (10 m)	50	0.76	0.01	0.110	0.218
16	Porosity	Strip (50 m)	50	0.76	0.01	0.101	0.218

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Finally, it is relevant to notice the high reduction of the runtimes that can be achieved in the porous simulations. As an example, the cases ID:2 and ID:3, which adopt the finest mesh here used for the porous simulations ($\Delta x = 2$ m), run about 48 times faster than the simulation with resolved buildings (ID:1, 119.5/2.5). This gap enlarges up to two order of magnitude when using coarser meshes (e.g., 119.5/0.03).

618 4.3 The Palmanova town case study

The third model application deals with the modelling of a flood wave in a radial city as that of Palmanova (Northern Italy), in which buildings and streets converge to a central hexagon square (Figure 15). The goal is validating the effectiveness of the spatial distribution of porosity parameters, provided by the algorithms of Sect. 3.2, also in a real urban area characterized by a non-conventional building alignment.



624

625 Figure 15. Aerial view of the Palmanova town in Northern Italy, a particular example 626 of radial city planning.

627 The domain, which is shown in Figure 16, is given a southward bottom slope of 0.08%. 628 In the simulations, a Manning roughness coefficient $n = 0.029 \text{ m}^{-1/3}\text{s}$ is assumed. As in the 629 previous test, the initially dry domain is flooded by the 2 h long, Gamma-distributed flood 630 wave shown in Figure 10; the upstream inflow boundary condition is prescribed in the 631 central 50 m of the northern edge of the domain. Free outflow is assumed at the southern 632 edge.

633 A Cartesian grid with square cells of size $\Delta x = 0.4$ m is used to discretize the domain 634 for the reference solution with resolved buildings, and $\Delta x = 2, 5, 10$ and 20 m for the porous 635 simulations. Again, the porous tests adopt the porosity fields resulting from both the 636 segment- and strip-based methods and, for this latter case, different strip widths are 637 considered. The main features of the simulations are reported in Table 2.





- Figure 16. Palmanova test. Bathymetry with footprints of buildings and garden walls
 - (dimensions in m).





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0-400 500 600 700 800 900 1000 1100 1200 1300 1400 1500 1600 400 500 600 700 800 900 1000 1100 1200 1300 1400 1500

642Figure 17. Palmanova test. Water depth at the flood peak for the simulations with643resolved buildings (ID:1), with porosity parameters evaluated using the segment-644based method with $\Delta x = 5$ m (ID:4) and $\Delta x = 20$ m (ID:8), and the strip-based one645with $\Delta x = 5$ m (ID:5) and $\Delta x = 20$ m (ID:10).

646 Analogously to the previous test, the model results at the arrival of the flood peak 647 $(\approx 0.6 \text{ h})$ are shown in Figure 17 (water depths) and Figure 18 (velocity fields), for the 648 reference simulation and for the porous applications with $\Delta x = 5$ m and 20 m. Compared 649 with the reference solution (ID:1), the anisotropic porous solutions on the $\Delta x = 5$ m grid 650 (ID:4 and ID:5) well capture the deeper water depths at the entrance of the urban area 651 (yellow-orange values), the flooding characteristics in the north part of the built-up zone 652 (green values), and the low depth zone at south (purple values). Moreover, also for this 653 urban layout, the segment- and strip-based methods show minimal differences with $\Delta x = 5$ m and 20 m. For both the methods, the use of a coarser mesh size ($\Delta x = 20$ m in 654 655 ID:8 and ID:10) entails an excessive increment of the water depth inside the urban area 656 (norther part) and, for this reason, it seems less adequate to model this scenario accurately. 657 A look at the velocity fields in Figure 18 confirms that the results with $\Delta x = 5$ m (ID:4 658 and ID:5) match the reference solution (ID:1) well; the high flow velocity zone in the north 659 part of the domain (orange-red values) and the medium one in the upstream semicircle 660 (green zone) is captured quite accurately. The $\Delta x = 20$ m grid confirms a loss of accuracy.



Figure 18. Palmanova test. Velocity field at the flood peak for the simulations with resolved buildings (ID:1), with porosity parameters evaluated using the segmentbased method with $\Delta x = 5$ m (ID:4) and $\Delta x = 20$ m (ID:8), and the strip-based one with $\Delta x = 5$ m (ID:5) and $\Delta x = 20$ m (ID:10).

Importantly, the detailed view in Figure 19 reveals that the porous modelling allowsfor partially reproducing the flow field variability within the built-up area (ID: 4 and ID:5).

Although only the high-resolution reference solution (ID:1) succeeds in modelling the flow

669 field among small pathways, also the coarse grid allows identifying some preferential flow

670 directions, with pathways characterized by larger flow velocities.







674 Figure 20. Palmanova test. Total depth at the flood peak for the simulations with 675 resolved buildings (ID:1), with porosity parameters evaluated using the segment-676 based method with $\Delta x = 5$ m (ID:4) and $\Delta x = 20$ m (ID:8), and the strip-based one 677 with $\Delta x = 5$ m (ID:5) and $\Delta x = 20$ m (ID:10). The *h*-|v| plane relating the maximum 678 total depth and the hazard degree is reported in the low left panel.

For the same time instant, Figure 20 compares the total depth indicator of Eq. (6) obtained from the different scenarios. The use of $\Delta x = 5$ m grid resolution allows capturing the overall hazard rank regardless of the method used to extract the porosity fields, implying that such a grid size is suitable given the typical length scale of the problem. With the $\Delta x = 20$ m grid, the segment-based method leads to an overestimation of the high hazard level in the north, whereas the strip-based method still provides accurate results, confirming that the strip-based method performs better on coarse grids.

686 With the aim of analyzing the model results quantitatively, the L_2 error norms are 687 evaluated according to Eq. (7) for both the maximum water depth and velocity magnitude 688 (Table 2). The analysis leads to the same conclusions as in the Spinea test; model errors do 689 not vary with the chosen algorithm (segment- or strip-based) significantly for lower mesh 690 sizes, whereas the strip-based method is better suited for coarser grids. Moreover, when the 691 mesh size is relatively large (e.g., $\Delta x = 20$ m), the errors increase significantly, essentially 692 for the loss of details in describing the flow field within the built-up area.

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694 695

Table 2. Palmanova test. Simulation ID, modelling approach for the built-up area, method for evaluating the porosity parameters, cell size Δx , cell number, run time t_{run} , norm of the maximum water depth $L_2(h_{max})$ and of the maximum velocity $L_2(u_{max})$.

ID	Building modelling	Method	Δx (m)	# cells (10 ³)	t_{run} (min)	$L_2(h_{max})$ (m)	$\begin{array}{c} L_2(u_{max}) \\ (\mathbf{m}\cdot\mathbf{s}^{-1}) \end{array}$
1	Resolved	-	0.4	12007.0	250.56	-	-
2	Porosity	Segment	2	481.40	2.78	0.070	0.156
3	Porosity	Strip (2 m)	2	481.40	2.84	0.063	0.144
4	Porosity	Segment	5	77.36	0.26	0.077	0.202
5	Porosity	Strip (5 m)	5	77.36	0.26	0.066	0.176
6	Porosity	Segment	10	19.48	0.07	0.084	0.250
7	Porosity	Strip (10 m)	10	19.48	0.07	0.074	0.214
8	Porosity	Segment	20	4.94	0.03	0.104	0.283
9	Porosity	Strip (10 m)	20	4.94	0.03	0.088	0.267
10	Porosity	Strip (20 m)	20	4.94	0.03	0.086	0.271

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Finally, the analysis of the runtimes reported in Table 2 further confirms the great advantage of the porous approach with respect to the explicit solution of buildings. For example, looking at cases ID:2 and ID:3 that still adopt a relatively fine grid ($\Delta x = 2$ m), the computational burden is reduced up to 90 times if compared with the resolved simulation (250.56/2.78).

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705 **5 Discussion and Conclusions**

The present work dealt with a method to extract the spatial distribution of porosity parameters from building and obstacle footprints, to be used in the dual porosity model proposed by Viero (2019) and by Ferrari et al. (2019). A Fortran implementation of the algorithm is available, in a permanent repository, for free download and use (detailed information in Appendix A).

The key feature of the proposed method is the computation of the direction dependent conveyance porosity, which is performed by analysing the connectivity and the presence of preferential pathways within the cell, and not only at cell edges as in Integral Porosity models (Guinot et al., 2017; Sanders et al., 2008).

The effectiveness of the implemented method was assessed first by visual inspection, superposing the roseplots of conveyance porosity to the building footprints, to check the algorithm ability in detecting obstructions and preferential pathways correctly. Then, the porosity fields provided by the algorithm were used to simulate the flooding of experimental and real urban layouts with the porous version of the PARFLOOD model; the results were found to compare well with the reference solutions obtained using refined grids with explicitly resolved buildings.

The proposed method proved able to account for the presence of blocking features, which are known to affect the flow field substantially (Hodges, 2015; Li and Hodges, 2019), as well as for the role of large streets as preferential pathways and global flow pattern separators (Chen et al., 2018). The model application to experimental and real case studies suggests that the effects of restrictions are fairly reproduced, despite they are modelled through a modification of friction resistance only (Li and Hodges, 2020).

Notwithstanding the considerable variability of porosity fields with the grid resolution,
the results in terms of flow field characteristics (water depths and velocities) were limited
to the expected loss of accuracy associated with grid coarsening, confirming the substantial
independence of the porosity approach to the computational grid.

Tit's worth stressing that the proposed method was conceived in the framework of largescale, subgrid modelling of major flooding events in urbanized areas. Specific attention was paid to reproduce the effects exerted by the main obstacles that characterize complex urban layouts; urban micro-features, which can significantly influence the simulated inundation extent and depth (Mignot et al., 2013; Wang et al., 2018; Yu and Lane, 2011), were not considered for now.

As a final note, Viero (2019) warned that assuming the existence of two, reciprocally orthogonal, principal directions for the conveyance porosity is likely too simplistic to capture the complexity of real urban settlements under general conditions. The application of the methods presented above shows that the cell conveyance is well represented by the 742 tensor formulation with two reciprocally orthogonal principal directions, in particular when 743 it is mainly determined by the presence of a single dominant obstacle. On the contrary, in 744 the presence of multiple (either aligned or staggered) obstacles within a cell, the 745 conveyance function $\Psi(\alpha)$ presents multiple maxima and minima (for example, see the 746 three local maxima in the green roseplot of Figure 5d), which reveal the presence of 747 multiple preferential pathways along different directions. In such cases, the tensor 748 formulation proposed by Viero (2019) and Ferrari et al. (2019) cannot reproduce the 749 peculiar behaviours of $\Psi(\alpha)$ properly. In assessing the case of aligned buildings, Velickovic 750 et al. (2017) proposed to use drag terms along with suitable amplification coefficients 751 depending on the flow direction, a solution then questioned by Guinot (2017b). Alternative 752 formulations of the porosity model should be explored to this purpose.

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762 Appendix A. Algorithm for porosity computation

A Fortran implementation of the algorithm for computing the spatial distribution of the four porosity parameters is made available for free download and use in a permanent repository (<u>http://dx.doi.org/10.17632/47ypvbx9vm.1</u>). The repository also contains the input (and some output) files for the three case studies analyzed in the paper, as applicative examples.

The code reads geometric data of the polygon footprints in vector form from a .BLN file (Surfer ASCII), and the characteristics (cell size, location, and extent) of the numerical grid in the form of an .ASC (ESRI ASCII) file header. The output files are put in a specific subfolder, whose name includes the .ASC filename, the method used (segments or strips), and the value of segment spacing or strip width. As output files, the code can produce:

- four .xyz files (ASCII) with the coordinates of the cell center and the specific porosity
 parameter (one file per each parameter);
- four .ASC file (ESRI ASCII) with the spatial distribution of the specific porosity
 parameter (one file per each parameter, same information as in the above .xyz files);

- 777 • one .DXF file (AutoCAD ASCII) with building footprints, grid cells, conveyance 778 roseplots, segments identifying the L and T principal directions; 779 • one .BLN file (Surfer ASCII) with building footprints, grid cells, conveyance 780 roseplots, segments identifying the L and T principal directions; 781 • one .CNT file (ASCII, similar to the BLN format) with building footprints, grid cells, 782 conveyance roseplots, segments identifying the L and T principal directions. 783 A configuration file in text format, to be placed in the same folder of the executable, 784 allows choosing the output files to be produced; if the code cannot find this file, it will 785 produce all the output files. 786 The code contains some optimizations that allow for a fast porosity computation. First, 787 the polygons identifying the building footprints are ordered according to the x coordinate. 788 Then, for each grid cell, the code identifies the (potentially) overlapping polygons, and 789 processes only these ones in order to compute the storage and the conveyance porosity. 790 The algorithm performs the operations described in Sect. 3.2. Some additional details 791 concerning the point n. 5 of the algorithm are given herein. With reference to the segment-792 based method (Sect. 3.2.1), the code performs the following operations: 793 5a) search all the intersection points between the sampling segment and the sides of the 794 obstacle footprints. If no intersections are found, check if the whole segment is 795 contained within any polygon (this occurs if the segment center falls within at least 796 one polygon): if so, the free length is zero; otherwise, the algorithm continues as 797 follows: 798 5b) order the intersection points based on the distance from the first endpoint of the 799 sampling segment; 800 5c) check if each part of the sampling segment, between two consecutive intersection 801 points, is contained within a polygon (i.e., the segment part overlaps a building 802 footprint), to determined possible polygon overlapping; 803 5d) the free length of the segment is obtained by subtracting the length of all the 804 overlapping parts, taking care of accounting for multiple overlapping only once (this 805 may occur in the case of duplicated polygons). 806 Similarly, with reference to the strip-based method (Sect. 3.2.2), the code performs the 807 following operations: 808 5a) search all the intersections between the strip edges and the sides of the obstacle 809 footprints. If no intersections are found, check if the whole strip is contained within 810
- any polygon (this occurs if the strip center falls within at least one polygon): if so,
 the free length is zero; otherwise, the algorithm continues as follows;

- 5b) compute the projection of the intersections on the strip axis (starting and ending
 points for each projection). The projections are marked as "overlapping parts" of the
 strip axes;
- 5c) order the projections based on the distance from the first endpoint of the samplingsegment to the starting point of the projection;
- 5d) check if each part of the strip axis, which is free of intersection projections, is
 contained within a polygon. If so, also these parts of strip axis are marked as
 "overlapping parts";
- 5e) the free length of the strip axis is obtained by subtracting the length of all the
 "overlapping parts", taking care of accounting for multiple overlapping only once
 (this may occur in the case of duplicated polygons or, more frequently, when
 different polygons intersect a single strip, and only the projections on the strip axis
 overlap).

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