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Vaginotypes of the human vaginal microbiome

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Original

Vaginotypes of the human vaginal microbiome / Mancabelli, L.; Tarracchini, C.; Milani, C.; Lugli, G. A.; Fontana, F.; Turroni, F.; van Sinderen, D.; Ventura, M. - In: ENVIRONMENTAL MICROBIOLOGY. - ISSN 1462-2912. - 23:3(2021), pp. 1780-1792. [10.1111/1462-2920.15441]

Availability: This version is available at: 11381/2895517 since: 2024-12-17T09:42:46Z

Publisher: Blackwell Publishing Ltd

Published DOI:10.1111/1462-2920.15441

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note finali coverpage

(Article begins on next page)



# Vaginotypes of the human vaginal microbiome.

Journal:	Environmental Microbiology and Environmental Microbiology Reports
Manuscript ID	EMI-2021-0080.R1
Journal:	Environmental Microbiology
Manuscript Type:	EMI - Research article
Date Submitted by the Author:	n/a
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Keywords:	metagenomics, shotgun metagenomics, community state types



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### Summary 25

26 The human vaginal environment harbors a community of bacteria that plays an important role in maintaining vaginal health and in protecting this environment from various urogenital infections. This 27 bacterial population, also known as vaginal microbiota, has been demonstrated to be dominated by 28 members of the Lactobacillus genus. Several studies employing 16S rRNA gene-based amplicon 29 sequencing have classified the vaginal microbiota into five distinct Community State Types (CSTs) 30 or vaginotypes. To deepen our understanding of the vaginal microbiota we performed an in-depth 31 meta-analysis of 1312 publicly available data sets concerning healthy vaginal microbiome 32 information obtained by metagenomics sequencing. The analysis confirmed the predominance of taxa 33 34 belonging to the Lactobacillus genus, followed by members of the genera Gardnerella, Vibrio and Atopobium. Moreover, the statistical robustness offered by this meta-analysis allowed us to 35 disentangle the species-level composition of dominant and accessory taxa constituting each 36 37 vaginotype and to revisit and refine the previously proposed CST classification. In addition, a functional characterization of the metagenomic datasets revealed particular genetic features 38 íczoniz associated with each assigned vaginotype. 39

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## 45 Introduction

The human body harbors thousands of microorganisms living in a mutualistic relationship with their 46 host. The presence or absence of particular microbial species is determined by environmental 47 conditions and host factors and thus will vary from site to site (Costello et al., 2009). In this context, 48 the human vagina and its resident bacterial communities represent an example of a finely balanced 49 mutual association (Ma et al., 2012). Remarkably, the human vaginal microbiota appears to play an 50 important role in preventing several urogenital diseases, such as urinary tract infections, bacterial 51 vaginosis (BV), yeast infections, human papillomavirus (HPV) and other sexually transmitted 52 infections (STIs) (Taha et al., 1998; Donders et al., 2000; Wiesenfeld et al., 2003; Lai et al., 2009; 53 De Seta et al., 2019). From a taxonomic perspective, this peculiar bacterial community is 54 characterized by a relatively low microbial diversity and a predominance of Lactobacillus species, 55 which appear to prevent colonization by (opportunistic) pathogens (Borges et al., 2014; Aldunate et 56 57 al., 2015). Lactobacillus species are known to elicit their protective role by lowering the environmental pH through lactic acid production, by competitive exclusion, or through the production 58 of particular bacteriostatic and/or bactericidal compounds (Boskey et al., 2001; Voravuthikunchai et 59 al., 2006). In 2011, a cross-sectional study encompassing 394 healthy women of reproductive age 60 allowed the classification of the human vaginal microbiota into five Community State Types (CSTs), 61 62 also referred to vaginotypes (Ravel et al., 2011). In detail, CSTs I, II, III and V are characterized by the predominance of Lactobacillus crispatus, Lactobacillus gasseri, Lactobacillus iners, and 63 Lactobacillus jensenii, respectively, while CST IV is not associated with a particular dominant 64 65 species. Interestingly, CST IV was initially further classified into type CST IV-A and IV-B, which are characterized by moderate proportions of Lactobacillus spp. concurrent with low abundance of 66 several species of strictly anaerobic bacteria or higher relative abundance of the genus Atopobium, 67 respectively (Gajer et al., 2012). Subsequently, Albert et al. (Albert et al., 2015) identified two further 68 subgroups of CST IV, i.e. CST IV-C and CST IV-D, which are delineated by a predominance of 69 70 species belonging to the Gardnerella genus or by a heterogeneous group of bacteria, such as

*Bifidobacterium*, *Lactobacillus*, *Alloscardovia*, *Gardnerella* and *Atopobium*, respectively.
Furthermore, recent studies revealed that pregnancy induces reduced biodiversity and increases
taxonomic composition stability of the vaginal microbiota (Freitas et al., 2017; Gupta et al., 2020).

The majority of studies aimed at dissecting the taxonomic composition of the human vaginal 74 microbiota have been performed employing 16S rRNA gene-based amplicon sequencing (Ravel et 75 al., 2011; Gajer et al., 2012; Virtanen et al., 2017; Cobo et al., 2019; Vargas-Robles et al., 2020). 76 Such studies lead to the identification of correlations between taxonomic profiles and health 77 condition, such as the beneficial effects of L. crispatus or the role of G. vaginalis in bacterial vaginosis 78 (Pleckaityte et al., 2012; Chen et al., 2018; Cobo et al., 2019; Pramanick et al., 2019; Zwittink et al., 79 80 2020). Despite the widespread use of the 16S rRNA gene-based amplicon sequencing approach, this analysis is prone to technical biases, such as the efficiency of the DNA extraction method and 81 performance of the primer pair used for PCR amplification, that may prevent accurate prediction of 82 83 bacterial taxonomic ranks present in a sample, especially when aiming at species-level resolution (Yarza et al., 2014; Hillmann et al., 2018). Furthermore, 16S rRNA gene amplicon sequencing is 84 unable to provide a functional overview of the genetic potential encoded by a microbial community. 85 In this context, whole-metagenome shotgun (WMS) sequencing is now rapidly replacing 16S rRNA 86 87 gene microbial profiling as the gold standard for the investigation of complex microbial communities 88 thanks to a reduction in sequencing costs accompanied by development of associated bioinformatic software for data analysis of the generated sequence data sets. Compared to 16S rRNA gene-based 89 microbial profiling, WMS represents a major step forward in terms of taxonomic profiling accuracy 90 91 and functional investigation of the microbiome, while at the same time reducing the risk of technical biases (Jovel et al., 2016; Hillmann et al., 2018). 92

In order to provide a complete overview of the taxonomic composition of the human vaginal microbiota down to species level and to gain insights into the genetic potential harbored by the vaginal microbiome, we performed an in depth meta-analysis of seven publicly available shotgun metagenomics datasets corresponding to 1312 vaginal samples from healthy women.

## 97 **Results and discussion**

Selection of publicly available datasets. An extensive literature search was performed in order to 98 retrieve all publicly available data pertaining to studies involving shotgun metagenomics of a 99 sufficient number of vaginal samples to reach robust statistical power, in accordance with previous 100 studies focusing on human-associated microbiota. In detail, the literature survey allowed us to retrieve 101 vaginal microbiota data from seven publicly available datasets (Llovd-Price et al., 2017; Goltsman et 102 al., 2018; Oliver et al., 2020; Yang et al., 2020) covering three different countries (Table 1 and 103 Supplemental Table S1). Overall, the multi-population cohort meta-analysis performed in this study 104 encompasses datasets corresponding to a total of 1312 vaginal samples from healthy adult women 105 106 (average age  $31 \pm 6$ ), including 333 pregnant individuals (Table 1 and Supplemental Table S2).

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Meta-analysis of healthy non-pregnant women microbiota. A total of 979 publicly available 108 109 samples from four cohorts encompassing vaginal samples of healthy non-pregnant women were retrieved (Lloyd-Price et al., 2017; Yang et al., 2020) (Table 1). Quality filtering resulted in a total of 110 2,795,011 Mbp with an average of 2,130 Mbp per sample (Supplemental Table S1). In accordance 111 with previous studies (Duvallet et al., 2017; Bisanz et al., 2019; Greathouse et al., 2019; Mancabelli 112 et al., 2020), we employed this large number of data sets and the possibilities offered by the shotgun 113 114 metagenomic approach to accurately profile bacteria at species level through re-analysis with the METAnnotatorX platform (Milani et al., 2018). 115

The shotgun metagenomic meta-analysis allowed an in depth investigation into the biodiversity of the collected healthy non-pregnant vaginal samples. In detail, analysis of the species richness revealed an average number of species of  $24 \pm 18$  (Figure S1a), confirming the previously proposed notion that the healthy vaginal microbiota is characterized by a rather low microbial biodiversity when compared to other human body sites (Wessels et al., 2017).

Focusing on the bacterial composition, the vaginal samples showed an overall predominance of taxa belonging to the *Lactobacillus* genus (average abundance of 68.35 %  $\pm$  38.09%), followed by members of the genera *Gardnerella*, *Vibrio* and *Atopobium* (average abundance of 7.42 %  $\pm$  17.53 %, 3.10 %  $\pm$  10.51 % and 2.99 %  $\pm$  14.43 %, respectively). Moreover, only the species *L. crispatus* (average abundance of 41.52 %  $\pm$  42.63 %), *L. jensenii* (average abundance of 4.09 %  $\pm$  11.58 %), *L. iners* (average abundance of 13.87 %  $\pm$  27.21 %) and *L. gasseri* (average abundance of 4.73 %  $\pm$ 15.80 %) revealed a prevalence of > 40%, confirming the predominance of *Lactobacillus* species in the vaginal environment (Mancabelli et al., 2020).

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**Prediction of vaginotypes.** The collected data sets were used to confirm the existence of vaginal 130 Community State Types (CSTs), i.e. common taxonomic profiles patterns also referred to as 131 132 vaginotypes, and identify possible novel CSTs or sub-CSTs. Screening for vaginotypes was performed by cluster analysis through Hierarchical CLustering (HCL) involving the microbial 133 taxonomic profiles at species level of healthy non-pregnant women (Figure 1a) and were confirmed 134 by 3D Bray Curtis PCoA (Figure 1b). The identified clusters had to be represented by at least 10 135 samples to be defined as putative CSTs, as previously highlighted (Mancabelli et al., 2020) (Figure 136 1c and Supplemental Table S2). Clustering occurrences observed in the PCoA representation were 137 statistically validated through PERMANOVA (p-value < 0.05,  $R^2 = 0.22$ ). Moreover, a PCoA 138 analysis based on the host geographical origin (Figure S1b) revealed that there was no correlation 139 140 between host geographical origin and the vaginal microbiota profile (PERMANOVA p-value > 0.05, R2 = 0.02). The metagenomic analysis of healthy non-pregnant vaginal samples allowed us to confirm 141 four CSTs, i.e. I, II, III and V, previously identify by Ravel et al. (Ravel et al., 2011) (Figure 1). In 142 detail, our analysis revealed that 49.03 % of the samples are classified as CST I, followed by CST III, 143 CST II and CST V, which exhibited a prevalence across the analysed samples of 16.65 %, 7.05 % 144 and 2.86 %, respectively (Figure 1c). Interestingly, each proposed vaginal CST was typified by the 145 presence of a dominant *Lactobacillus* species with an average abundance >20 % and a prevalence 146 >90 % (Figure 1c and Supplemental Table S2) as previously reported by Ravel et al. (Ravel et al., 147 148 2011). In detail, CSTs, i.e. I, II, III and V showed dominance of L. crispatus, L. gasseri, L. iners and

L. jensenii, respectively. Furthermore, 17.47 % of the samples seems to correspond to CST IV, which 149 150 has been described as the most heterogeneous and controversial vaginotype. In fact, CST IV was initially defined to be characterized to have no dominant species (Ravel et al., 2011), although 151 subgroups dominated by non-Lactobacillus species, such as Gardnerella or Atopobium, were 152 subsequently included (Gajer et al., 2012; Albert et al., 2015). In this context, based on the outcome 153 from the current meta-analysis, 13.38 % of the samples appeared to be characterized by the dominant 154 presence of the Gardernella genus, i.e. G. vaginalis and Unclassified Gardenella species, and is 155 referred here as CST-G, which encompasses CST IV-B, -C and -D as previously defined in literature 156 (Albert et al., 2015; Freitas et al., 2017). Moreover, the remaining 4.09 % of the total pool of samples 157 158 seems to represent the previously reported CST IV-A (Gajer et al., 2012), characterized by a heterogeneous group of bacteria mainly represented by members of the genera Bacteroides and 159 Prevotella (Figure 1c and Supplemental Table S2). Interestingly, our meta-analysis revealed the 160 161 presence of two additional and possibly novel CSTs, which are characterized by dominance of Kocuria rosea/Klebsiella pneumoniae (named here CST-KK) and Vibrio harveyi (designated CST-162 *Vh*) with a prevalence among all vaginal swab samples of 3.06 % and 3.88 %, respectively (Figure 163 1c). Although the collected vaginal samples were cataloged as being derived from healthy subjects, 164 165 these two putative novel CSTs appear to be characterized by presence of opportunistic pathogens of 166 the urinary tract, i.e. Kocuria, Klebsiella, and Vibrio (Kandi et al., 2016; Cristea et al., 2017; Defoirdt et al., 2017), and as such may represent biomarkers for a shift from a healthy to a diseased status of 167 the vaginal environment. Unfortunately, the absence of longitudinal data for these samples prevents 168 169 validation of this hypothesis.

The taxonomic profiles obtained in this study were used to evaluate the most prevalent taxa which typified each assigned vaginotypes, i.e. those species that have been detected in >80 % of samples classified as a CST with a relative abundance of >0.05 % (Figure 2). As shown in Figure 2, we observed that no single species appears to be ubiquitous between CSTs, thus indicating the absence of a species-level core vaginal microbiota. Moreover, the presence of a total of 34 taxa seems to be linked to specific CSTs, which indicates that the low biodiversity charactering the vaginal
environment is accompanied by taxonomic variability that is strictly correlated with the established
vaginotypes (Figure 2).

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Identification of sub-CSTs. The high number of vaginal samples collected and our in depth shotgun 179 metagenomic analysis allowed to highlight the presence of putative sub-CSTs. The sub-CSTs of 180 healthy non-pregnant vaginal samples were identified by HCL analysis of taxonomic profiles at 181 species level (Figure 1a) and 3D Bray Curtis PCoA (Figure 1b), and had to be supported by at least 182 10 samples. In detail, the HCL clustering analysis showed clear subgroups for CST I, II, III and CST-183 184 G (Figure 1c), confirmed by PERMANOVA of the PCoA representation (p-value < 0.05, R<sup>2</sup> = 0.85). Interestingly, CST I was shown to include a main subgroup, designated here as CST Ia, which is 185 characterized by the predominance of L. crispatus (average abundance of 89.66  $\% \pm 10.99$  %) and 186 187 three additional subgroups, i.e. CST Ib, CST Ic and CST Id, characterized by a high abundance of L. crispatus (>53.72 %  $\pm$  16.40 % in all cases) accompanied by Klebsiella quasipneumoniae (average 188 abundance of 18.90 %  $\pm$  5.51 %) or L. iners (average abundance of 22.77 %  $\pm$  8.72 %) or L. jensenii 189 (average abundance of 18.60  $\% \pm 2.56$  %), respectively (Figure 1c). 190 191 Furthermore, HCL analysis of CST II revealed two subclusters, i.e. CST IIa, which is characterized 192 by dominance of L. gasseri (average abundance of  $68.41\% \pm 27.46\%$ ) and subgroup CST IIb in which the high abundance of L. gasseri (average abundance of 51.81  $\% \pm 10.36$  %) is accompanied 193

by *Bifidobacterium scardovii* (average abundance of 28.35  $\% \pm 2.72$  %) (Figure 1c). CST III can be

- subdivided in subgroup CST IIIa, dominated by *L. iners* (average abundance of 72.44  $\% \pm 17.99$  %),
- and CST IIIb, which mainly constitutes *L. iners* (average abundance of 56.12  $\% \pm 9.08$  %) and *L*.
- 197 *jensenii* (average abundance of  $32.72 \% \pm 10.39 \%$ ) (Figure 1c).
- 198 Moreover, CST-*G* showed the presence of subgroups, i.e. CST-*G* a mainly represented by species of
- 199 Gardnerella (average abundance of 54.51 %  $\pm$  14.72 %) and CST-Gb characterized by species

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Covariances between vaginotypes members and the role of dominant species in defining the 203 taxonomic composition of the vaginal microbiota. In order to identify if the main dominant taxa 204 that characterize each vaginotype are implied in defining the overall taxonomic composition of the 205 206 vaginal microbiota, we performed a covariance analysis through Spearman's rho coefficient 207 (Supplemental Table S3). For this purpose, we correlated the relative abundance observed for all taxa which exhibit a total average abundance greater than 0.05 % and which are present in at least one 208 209 sample with an abundance greater than 5 % (Supplemental Table S3). Interestingly, this analysis showed that L. crispatus and L. jensenii elicit the highest ability to negatively impact on the presence 210 of other bacteria, as highlighted by negative correlations (p-value < 0.05) with more than 55 % of the 211 212 taxa included in the analysis (Supplemental Table S3). Furthermore, L. crispatus revealed the lowest number of positive correlations, i.e. 9.38 %, compared to the other dominant taxa characterizing 213 vaginotypes (Supplemental Table S3). These results support the notion that the CST I plays a key 214 role in countering colonization by other bacteria and in maintaining low biodiversity in the vaginal 215 216 environment, a condition considered to be associated with vaginal health (Ravel et al., 2011; Human 217 Microbiome Project, 2012; Vargas-Robles et al., 2020). In contrast, L. iners and G. vaginalis, representative species of CST III and CST-G respectively, positively correlate with each other and 218 appear to promote the presence of some pathogenic bacteria of the urinary tract, such as *Atopobium* 219 220 vaginae (Burton et al., 2004; Burton et al., 2005), Prevotella bivia (Gilbert et al., 2019) and unknown species belonging to the genus Megasphaera (Fredricks et al., 2009), as indicated by positive 221 correlations (Supplemental Table S3). Therefore, despite its rather high prevalence among women 222 (16.65 %), CST III seems to facilitate vaginal infections (Jakobsson and Forsum, 2007; Petrova et al., 223 2017; Zheng et al., 2019). Intriguingly, K. pneumoniae/K. rosea and V. harvevi, species characteristic 224 225 of putative CST-KK and CST-Vh respectively, positively correlate with species belonging to the

belonging to Gardnerella genus and Atopobium vaginae (average abundance of 40.75  $\% \pm 10.49$  %

and  $36.77 \% \pm 11.45 \%$ , respectively) (Figure 1c).

Proteobacteria phylum, such as *Raoultella planticola* and *Haemophilus parainfluenzae*, and
negatively correlate with *Lactobacillus* species, as well as *Lactobacillus jensenii* (Supplemental Table
S3), highlighting the ability of these taxa to alter the homeostasis of the vaginal microbiota.

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Meta-analysis of healthy pregnant women microbiota. During pregnancy, hormonal changes leads 230 to immune modulation and physico-chemical changes in the mucosa of the genital tract (Gupta et al., 231 232 2020). These changes can affect the composition and the function of the vaginal microbiota, making it distinctive from non-pregnant women. In order to identify possible variations between healthy non-233 pregnant and pregnant women, we analyzed the metagenomics data of a total of 333 vaginal samples 234 235 obtained from publicly available studies and encompassing pregnant women. Quality filtering of shotgun metagenomic data resulted in a total of 36,574 Mbp with an average of ~109 Mbp per sample 236 (Supplemental Table S2). Analysis of the species richness revealed a statistically significant 237 238 simplification of the vaginal microbiota of pregnant women (average species richness of  $17 \pm 14$ ) when compared to non-pregnant samples (average species richness of  $24 \pm 18$ ) (t-test *p*-value < 0.01) 239 (Figure S1a), confirming the shift of the vaginal microbiota towards to low biodiversity during 240 pregnancy (Romero et al., 2014; Freitas et al., 2017; Gupta et al., 2020). Moreover, HCL and PCoA 241 analysis allowed the identification of associations between vaginotypes and pregnancy (Figure 3). In 242 243 detail, the meta-analysis allowed us to observe that the CST I and CST III each had a prevalence of > 30 % amongst pregnant women, thus being the most common CSTs, while CST II, CST-G, CST 244 IV and CSTV had a prevalence of <13 % (Figure 3C). Nevertheless, the prevalence of CST I is lower 245 246 compared to that of healthy non-pregnant women (36.94 % in pregnant vs 49.03 % in non-pregnant women). Moreover, pregnancy seems to be correlated with a higher prevalence of CST III and CST 247 V (Figure 3c), thereby indicating an overall destabilization of vaginal microbiota homeostasis. This 248 result corroborates the suggestion that bacterial communities in pregnancy do shift from one 249 vaginotype dominated by Lactobacillus spp. to another CST dominated by Lactobacillus spp., but 250 251 rarely to CST-G or CST-IV (Romero et al., 2014). Notably, the putative CST-KK and CST-Vh seem

to be absent in pregnant women probably due to the simplification of the vaginal microbiota that
characterize pregnant women, as previously reported (Romero et al., 2014; Freitas et al., 2017; Gupta
et al., 2020). In this context, the lower number of samples analyzed compared to non-pregnant
samples may prevent identification of vaginotypes at low prevalence such as CST-*KK* and CST-*Vh*,
and therefore these findings require further validation.

In order to identify possible specific sub-CSTs in vaginal samples from pregnant women, cluster 257 258 analyses were performed. The HCL and PCoA analyses allowed the identification of subgroups CST Ia (prevalence 33.63 %) and CST Ic (prevalence 3.30 %), previously identified in the non-pregnant 259 samples. Moreover, CST V could be subdivided in the subgroup CST Va, dominated by L. jensenii 260 261 (average abundance of  $57.36\% \pm 25.52\%$ ), and a new subgroup CST Vb characterized by high abundance of L. jensenii (average abundance of 66.78  $\% \pm 13.36$  %) and L. iners (average abundance 262 of 25.88  $\% \pm 13.33\%$ ) (Figure 3C). Notably, CST Vb was not identified by analysis of non-pregnant 263 264 women due to insufficient (<10 women) prevalence. In fact, only the non-pregnant samples SRR513792 can be assigned to this sub-CST. The data suggest that future integration of this 265 comparative analysis with novel samples may result in the identification of additional sub-CSTs. 266

In order to identify possible differences in the taxonomic profiling of the vaginal microbiota between 267 non-pregnant and pregnant women, we performed a t-test between the average taxonomic 268 269 composition observed for the two groups. Focusing on bacterial taxa showing an average relative abundance of > 0.1% in at least one of the two groups. The analysis allowed the identification of 270 statistically significant differences for 46 microbial taxa (Supplemental Table S3). Remarkably, 271 272 samples collected from pregnant women showed higher relative abundance of L. iners (+211 % compared to samples from non-pregnant women) and Gardnerella species (+60 % compared to the 273 274 non-pregnant group) (p-value < 0.05) (Supplemental Table S3). Notably, these changes are indicative of disruption of vaginal microbiota homeostasis. This idea is reinforced by average relative 275 abundance increases in opportunistic pathogens such as Ralstonia pickettii and Ureaplasma parvum 276 (+14185 % and +1242 %, when compared to samples from the non-pregnant group) (Shurin et al., 277

1975; Ryan et al., 2006; Normann et al., 2009; Ryan et al., 2011; Ryan and Adley, 2014; CombazSohnchen and Kuhn, 2017; de Goffau et al., 2019).

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**Functional capabilities of vaginotypes.** The different taxonomic profiles associated with each predicted vaginotypes are assumed to correspond to specific microbiomes, each with their particular genetic repertoires. In order to explore the genetic features characterizing each of the identified CST, a total of 133 shotgun metagenomics samples were classified. We focused on a comparison between various identified CSTs and CST I, i.e. the CST dominated by *L. crispatus*, due to its generally accepted positive role in supporting vaginal health (Nardini et al., 2016; Wang et al., 2017; Chee et al., 2020).

Screening for genes related to bacteriocins showed that, on average, CST I encodes a 10-fold higher 288 abundance of bacteriocins when compared to all other CSTs (average of 0.03 % and 0.003 % of the 289 290 whole metagenomic dataset, respectively) (ANOVA p-value < 0.01) (Figure 4a). In detail, this difference is caused by a higher abundance of Class III bacteriocins in CST I when compared to other 291 CSTs (ANOVA p-value < 0.01) (Figure 4a). Intriguingly, Class III bacteriocins represent peptides 292 that cause bacterial cells death by cell wall degradation (Class IIIa) and peptides that dissipate the 293 cytoplasmic membrane potential and cause cell death without cell lysis. The higher abundance of 294 295 bacteriocins in CST I may explain the low biodiversity associated with this CST and the ability of L. *crispatus* to dominate the vaginal microbiota while inhibiting colonization of opportunistic pathogens 296 (Nardini et al., 2016; Wang et al., 2017; Atassi et al., 2019; Chee et al., 2020). 297

Moreover, we performed a screening of the genetic repertoire involved in catabolic pathways based on the MetaCyc database (Caspi et al., 2018) (Figure 4b). In dept evaluation of changes in the relative abundance of each biosynthetic and degradative pathway profiles allowed the identification of 44 pathways whose abundance in CST I is higher than all 6 other CSTs included in the analysis (Figure 4b). Among these, N-acetylneuraminate and N-acetylmannosamine degradation II, mannitol degradation I and D-arabitol revealed a statistical significance compared to all other CSTs (ANOVA 313

*p*-value < 0.05, Tukey post-hoc test *p*-value < 0.05) (Figure 4b). Notably, vaginal mucus secretions 304 are rich in sialic acids and the higher abundance of genes involved in the degradation of N-305 acetylneuraminate, the most common form of sialic acid, indicates a greater adaptability of the CST 306 I-associated microbes to the vaginal environment (Haines-Menges et al., 2015). Furthermore, the 307 competition in the degradation of sialic acids could disfavor colonization by BV-associated bacterium 308 Gardnerella vaginalis (Lewis et al., 2013), promoting the stability of the vaginal environment (Lewis 309 et al., 2013). Similarly, mannitol has been suggested to support L. crispatus in adhering to the 310 .ion epithelial layer and inhibit the colonization of other microbes, potentially by altering the mucin 311 structure (Wu et al., 2015), further highlighting the possible beneficial role of CST I. 312

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## 314 CONCLUSIONS

315 The human vaginal environment is characterized by bacteria, i.e. vaginal microbiota, inhabiting the human vaginal tract and presumed to play a key role in supporting a healthy host status. In this study, 316 we performed an in-depth meta-analysis based on a total of seven publicly available shotgun 317 metagenomics datasets of 1312 vaginal samples from healthy women. The performed meta-analysis 318 confirmed the existence of vaginotypes defined as Community State Types (CSTs), i.e. CST I, CST 319 II, CST III and CST V, and allowed a detailed dissection of the controversial CST IV. Based on our 320 findings, we propose the new vaginotype CST-G which appears to be typified by the dominant 321 presence of members of the Gardnerella genus. Furthermore, covariance analyses between 322 323 vaginotypes and the taxonomic composition of the vaginal microbiota supported the positive role of the CST I in maintaining vaginal health, preventing the colonization of other bacteria, in particular 324 vaginal pathogens, and preserving low biodiversity. In contrast, CST III and CST-G seem to promote 325 326 the establishment of putative pathogenic bacteria in the urogenital tract. Furthermore, analysis of the vaginal microbiota of pregnant women revealed a significant reduction in species richness when 327 compared to non-pregnant samples and showed high prevalence of CST III and CST V, thus 328 suggesting an overall destabilization of vaginal microbiota homeostasis. In addition, CST I was 329 predicted to encode a higher abundance of Class III bacteriocins when compared to all other CSTs 330 331 and appears to encompass a genetic repertoire that plays a beneficial role by promoting the stability of the vaginal environment. 332

### 333 Materials and Methods

**Database selection.** In this meta-analysis, we retrieved seven publicly available data sets from studies involving the taxonomic determination of the vaginal microbiota. In order to reduce the variability in the input data, we selected shotgun metagenomics datasets obtained by an Illumina sequencing platform. In detail, we selected shotgun metagenomics data sets from 1312 vaginal samples of women covering four geographic regions, ensuring that vaginal samples corresponded to healthy subjects only, while also including samples from 333 pregnant subjects (Table 1 and Supplemental Tables S1 and S2).

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Taxonomic classification of sequence reads. Taxonomic profiling of sequenced reads was performed employing the METAnnotatorX bioinformatics platform (Milani et al., 2018). Taxonomic classification of up to 100,000 reads was achieved by means of megablast (Chen et al., 2015) employing a manually curated and pre-processed database of genomes retrieved from the National Center for Biotechnology Information (NCBI).

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**Functional prediction.** Functional profiling of sequenced reads was performed with the METAnnotatorX bioinformatics platform (Milani et al., 2018). Functional classification of reads was performed to reveal metabolic pathways based on the MetaCyc database (Caspi et al., 2016). Identification and functional assignment of genes related to bacteriocin biosynthesis and immunity was performed using the BAGEL4 tool (de Jong et al., 2006)

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Vaginal Community State Type (VCST) prediction. The hierarchical clustering (HCL) of samples
was obtained using bacterial composition at species level and was calculated through TMeV 4.8.1
software using Pearson correlation as a distance metric based on information at species level. The
data obtained was represented by a cladogram.

Statistical analysis. ORIGIN 2021 (https://www.originlab.com/2021) and SPSS software 359 (www.ibm.com/software/it/analytics/spss/) were used to compute statistical analyses. 360 PERMANOVA analyses were performed using 1,000 permutations to estimate p-values for 361 differences among populations in PCoA analyses. Furthermore, differential abundance of bacterial 362 genera was tested by t-test analysis. Moreover, we also calculated ANOVA and the post hoc analysis 363 Tukey's HSD (Honestly Significant Difference) test for multiple comparison. Covariance analyses 364 were calculated through Spearman's rho coefficient correlation. 365

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## 367 Acknowledgements

Part of this research is conducted using the High Performance Computing (HPC) facility of the
University of Parma. We thank GenProbio srl for financial support of the Laboratory of
Probiogenomics. DvS is a member of APC Microbiome Ireland funded by Science Foundation
Ireland (SFI), through the Irish Government's National Development Plan (Grant no's.
SFI/12/RC/2273-P1 and SFI/12/RC/2273-P2).

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## **375 Author Contributions**

LM processed the metagenomic data, conducted the analyses and wrote the manuscript. CT contributed to the metagenomic analyses. CM participated in the design of the study and contributed to the manuscript preparation. GAL contributed to the metagenomic analyses. FF contributed to the statistical analyses. FT participated in the design of the study. DvS participated and supervised the study. MV conceived the study, participated in its design and coordination and contributed to the manuscript preparation. All authors have read and approved the final manuscript.

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384 Declaration of interest: none.

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Bioproject	PMID/DOI Females status		Nation	Age	n° of samples
PRJEB38528	-	non-pregnant	Sweden	$34 \pm 6$	74
PRJNA275349	20022044	non-pregnant	USA (HMP)	$26 \pm 5$	29
PRJNA48479	29022944	non-pregnant	USA (HMP)		841
PRJNA576566	32656096	non-pregnant	China	$35 \pm 6$	35
PRJNA288562	30232199	pregnant	USA	$31 \pm 6$	101
PRJNA612083	32843557	pregnant	USA	$28 \pm 5$	35
PRJNA639592	doi: https://doi.org/10.1101 /2020.06.26.173922	pregnant	-	$29 \pm 4$	197

**Table 1.** Metadata of samples included in the meta-analysis.

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**Figure legends** 562 Figure 1. Identification of vaginotypes. Panel a shows a circular cladogram of the healthy vaginal 563 samples obtained by means of hierarchical clustering (HCL) analysis. The cladogram highlighted the 564 different CSTs identified by through HCL analysis. 565 Panel b reports the principal coordinate analysis (PCoA) of the healthy vaginal samples, subdivided 566 by vaginotypes. 567 Panel c displays the average abundance and prevalence of bacteria that correspond to an identified 568 CST and sub-CST. 569 570 Figure 2. Evaluation of the most prevalent taxa characterizing each predicted vaginotypes. In detail, 571 we selected those species that have been detected in >80 % of samples classified as a CST with a 572 relative abundance >0.05 %. 573 574 **Figure 3.** Identification of pregnancy vaginotypes. Panel a shows a circular cladogram of the healthy 575 vaginal samples of pregnancy women, obtained by means of hierarchical clustering (HCL) analysis. 576 The cladogram highlighted the different CSTs identified by through HCL analysis. 577 Panel b reports the principal coordinate analysis (PCoA) of the healthy vaginal samples of pregnancy 578 579 women, subdivided by vaginotypes. Panel c displays the average abundance and prevalence of bacteria that correspond to an identified 580 CST and sub-CST. 581 582

Figure 4. Functional capabilities of vaginotypes. Panel a shows the abundance of bacteriocins class,
i.e. class I, II and III, in different CSTs.

585 Panel b reveals the relative abundance of each biosynthetic and degradative pathway of the 44 586 pathways whose abundance in CST I is higher than all 6 other CSTs included in the analysis.

- Significant Tukey post-hoc analysis between CST I and the other CSTs are highlighted with a violet 587 588 outline.
- 589

#### **Additional files** 590

Figure S1. Evaluation of the species richness and evaluation of possible correlation between 591 vaginotypes and host geographical origin. Panel a reports the Whiskers plot representing the species 592 richness identified from non-pregnant and pregnant women. The x axis represents the different 593 groups, while the y axis indicates the number of species. The boxes are determined by the 25<sup>th</sup> and 594 75<sup>th</sup> percentiles. The whiskers are determined by standard deviation. The line in the boxes represented 595 the average, while the circle represents the median. 596

Panel b shows the PCoA depicting the beta diversity of samples in relation to geographical origin. 597

Supplementary tables S1. Studies included in this meta-analysis covering non-pregnant women. 598

599 Supplementary tables S2. Studies included in this meta-analysis covering pregnant women.

Supplementary tables S3. Covariance analysis based on the retrieved taxonomic profiles. 600

601 Supplementary tables S4. Species whose relative abundance differs in non-pregnant versus pregnat women with t-test p-value <0.05.

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Figure 1. Identification of vaginotypes. Panel a shows a circular cladogram of the healthy vaginal samples obtained by means of hierarchical clustering (HCL) analysis. The cladogram highlighted the different CSTs identified by through HCL analysis.

Panel b reports the principal coordinate analysis (PCoA) of the healthy vaginal samples, subdivided by vaginotypes.

Panel c displays the average abundance and prevalence of bacteria that correspond to an identified CST and sub-CST.



Figure 2. Evaluation of the most prevalent taxa characterizing each predicted vaginotypes. In detail, we selected those species that have been detected in >80 % of samples classified as a CST with a relative abundance >0.05 %.



Figure 3. Identification of pregnancy vaginotypes. Panel a shows a circular cladogram of the healthy vaginal samples of pregnancy women, obtained by means of hierarchical clustering (HCL) analysis. The cladogram highlighted the different CSTs identified by through HCL analysis.

Panel b reports the principal coordinate analysis (PCoA) of the healthy vaginal samples of pregnancy women, subdivided by vaginotypes.

Panel c displays the average abundance and prevalence of bacteria that correspond to an identified CST and sub-CST.

	CSTI	CSTII	CSTIII	CST-G	CSTIV	CSTV	CST-Vh
Class I	0.00018%	0.00003%	0.00186%	0.00005%	0.00580%	0.00045%	0.00002%
Class II	0.00001%	0.00003%	0.00001%	0.00122%	0.00011%	0.00000%	0.00000%
Class III	0.02717%	0.00250%	0.00109%	0.00005%	0.00163%	0.00014%	0.00002%

Pathways Class	Pathway	CST I	CST II	CST III	CST-G	CST IV	CST V	CST-Vh	ANOVA p-value
Alcohol Degradation	glycerol degradation I	0.65%	0.11%	0.50%	0.07%	0.12%	0.30%	0.36%	0.000
Amine and Polyamine Biosynthesis	histamine biosynthesis	0.03%	0.00%						0.654
Amino Acid Biosynthesis	L-ornithine degradation I (L-proline biosynthesis)	0.08%	0.00%	0.06%		0.04%	0.06%		0.017
Amino Acid Biosynthesis	L-arginine degradation VII (arginase 3 pathway)	0.08%	0.00%	0.06%		0.04%	0.06%		0.017
Amino Acid Biosynthesis	L-arginine degradation (Stickland reaction)	0.44%	0.19%	0.39%	0.36%	0.43%	0.21%	0.38%	0.041
Amino Acid Degradation	L-leucine degradation I	0.12%	0.08%	0.12%	0.04%	0.11%	0.06%	0.00%	0.422
Amino Acid Degradation	L-lysine degradation XI (mammalian)	0.02%							0.884
Aromatic Compound Biosynthesis	dipicolinate biosynthesis	0.32%	0.19%	0.05%	0.06%	0.11%	0.00%	0.22%	0.000
Aromatic Compound Biosynthesis	3-dehydroquinate biosynthesis II (archaea)	2.16%	1.03%	1.55%	0.12%	0.16%	1.40%	0.88%	0.000
Aromatic Compound Biosynthesis	chorismate biosynthesis II (archaea)	2.16%	1.06%	1.55%	0.12%	0.16%	1.42%	0.95%	0.000
Aromatic Compound Degradation	γ-resorcylate degradation I	0.02%							0.889
Aromatic Compound Degradation	4-ethylphenol degradation (anaerobic)	0.02%							0.886
Carbohydrate Biosynthesis	protein N-glycosylation processing phase (yeast)	0.06%							0.904
Carbohydrate Biosynthesis	trehalose biosynthesis IV	0.11%		0.06%		0.11%	0.10%	0.00%	0.703
Carbohydrate Degradation	N-acetylneuraminate and N-acetylmannosamine degradation I	0.25%	0.03%	0.02%	0.03%		0.00%	0.08%	0.000
Carboxylate Degradation	oxalate degradation II	0.40%	0.37%		0.01%	0.00%		0.00%	0.000
Carboxylate Degradation	pyruvate fermentation to acetate and (S)-lactate I	1.46%	0.72%	1.27%	0.54%	0.69%	0.36%	0.87%	0.000
Carboxylate Degradation	pyruvate fermentation to acetate and lactate II	1.46%	0.74%	1.27%	0.54%	0.69%	0.36%	0.95%	0.000
Carboxylate Degradation	glycerol and glycerophosphodiester degradation	0.65%	0.13%	0.50%	0.08%	0.12%	0.30%	0.36%	0.000
Carboxylate Degradation	superpathway of acetate utilization and formation	0.62%	0.29%	0.54%	0.52%	0.54%	0.27%	0.47%	0.020
Carboxylate Degradation	pyruvate fermentation to acetate II	0.58%	0.28%	0.51%	0.52%	0.53%	0.26%	0.47%	0.028
Carboxylate Degradation	acetate and ATP formation from acetyl-CoA I	0.62%	0.28%	0.54%	0.60%	0.57%	0.27%	0.47%	0.010
Carboxylate Degradation	pyruvate fermentation to acetate I	0.58%	0.31%	0.51%	0.54%	0.57%	0.26%	0.55%	0.031
Carboxylate Degradation	malonate degradation I (biotin-independent)	0.06%	0.01%	0.00%	0.00%	0.00%	0.00%	0.00%	0.897
Carboxylate Degradation	acetate conversion to acetyl-CoA	0.02%							0.821
Cell Structure Biosynthesis	poly(ribitol phosphate) wall teichoic acid biosynthesis II (S. aureus)	4.77%	2.76%	3.19%	0.04%	1.13%	2.46%	1.36%	0.000
Cell Structure Biosynthesis	poly(glycerol phosphate) wall teichoic acid biosynthesis	4.92%	2.77%	3.36%	0.08%	1.11%	2.58%	1.07%	0.000
Cell Structure Biosynthesis	type IV lipoteichoic acid biosynthesis (S. pneumoniae)	4.55%	2.54%	2.88%	0.09%	1.21%	2.36%	1.82%	0.000

poly(ribitol phosphate) wall teichoic acid biosynthesis I (B. sub

type I lipoteichoic acid biosynthesis (S. aureus)

NAD salvage pathway I (PNC VI cycle)

NAD salvage pathway II (PNC IV cycle)

glutathione-mediated detoxification I

4-hydroxy-2-nonenal detoxification

tetrapyrrole biosynthesis I (from glutar

achromobactin biosynthesis

mannitol degradation I

D-arabitol degradation

superpathway of heme b biosynthesis from glutamate

γ-glutamyl cycle

Cofactor, Carrier, and Vitamin Biosynthesis NAD de novo biosynthesis I (from aspartate)

Generation of Precursor Metabolites and Energy heterolactic fermentation Generation of Precursor Metabolites and Energy lactate biosynthesis (archaea)

Cell Structure Biosynthesis

Cell Structure Biosynthesis

Detoxification

Cofactor, Carrier, and Vitamin Biosynthesis

Degradation/Utilization/Assimilation

Secondary Metabolite Biosynthesis

Secondary Metabolite Degradation

Secondary Metabolite Degradation

Tetrapyrrole Biosynthesis

Generation of Precursor Metabolites and Energy L-alanine fermentation to propanoate and ac Figure 4

4.5%

Figure 4. Functional capabilities of vaginotypes. Panel a shows the abundance of bacteriocins class, i.e. class I, II and III, in different CSTs.

Panel b reveals the relative abundance of each biosynthetic and degradative pathway of the 44 pathways whose abundance in CST I is higher than all 6 other CSTs included in the analysis. Significant Tukey posthoc analysis between CST I and the other CSTs are highlighted with a violet outline.