

## Engineering shallow and deep level defects in $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> thin films: comparing metal-organic vapour phase epitaxy to molecular beam epitaxy and the effect of annealing treatments

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### ABSTRACT

Orthorhombic gallium oxide ( $\kappa$ -Ga<sub>2</sub>O<sub>3</sub>) is an ultra-wide bandgap semiconductor with great potential in new generation electronics. Its application is hindered at present by the limited physical understanding of the relationship between synthesis and functional properties. This work discusses the effects of growth method (metal-organic vapour phase epitaxy and molecular beam epitaxy) as well as annealing treatments in different atmospheres (O<sub>2</sub>, H<sub>2</sub>) on point defects in  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers epitaxially grown on c-plane sapphire. Comprehensive experimental characterization by X-ray diffraction, photo current-as well as photoluminescence excitation spectroscopy, and X-ray photo electron spectroscopy is combined with first principles calculations of the point defects' formation and complex-dissociation energies. We demonstrate that for  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> the concentration of shallow and deep level defects can be sensitively controlled through annealing treatments at temperatures ( $T = 500$  °C) well below the thermal stability threshold of this polymorph. In particular, our results suggest that hydrogen-related defects (e.g., H-interstitials, Ga-vacancies—H complexes) play a key role in this process. While we provide direct exemplary implications of our results for the performances of  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> based photodetectors, these findings are predicted to impact further application fields of  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub>, such as high electron mobility transistors or memory devices.

Gallium oxide is an ultra-wide bandgap ( $E_g \approx 5$  eV) semiconductor that possesses five different polymorphs, i.e.,  $\beta$ ,  $\kappa$  (also referred as  $\epsilon$ ) [1],  $\alpha$ ,  $\gamma$  [2], and  $\delta$  [3]. Its most investigated polytype is the thermodynamically stable  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>. For this polymorph, the possibility to grow the material from the melt [4] and to control its electrical properties over a wide range through extrinsic doping [5] opened up to its possible application in different fields, e.g. power electronics [6,7], UVC-photodetection [8–10]. Nonetheless, metastable Ga<sub>2</sub>O<sub>3</sub> polymorphs are gaining increasing attention. In particular, the hexagonal  $\alpha$  and the orthorhombic  $\kappa$  are the most promising alternatives to  $\beta$  because of their higher symmetry crystal structure which can be more easily accommodated on relatively cheap substrates (e.g., sapphire, MgO) for the realization of multi-layer heterostructures [11]. Moreover,  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub>

possesses a large spontaneous polarization along the [001] direction [12–17] with a suggested switchable behaviour (i.e., ferroelectric properties) [18], it shows the possibility to tune its bandgap through In- and Al-alloying [19,20], and it is suggested to have a large dielectric constant [21]. The combination of these characteristics are very appealing for application fields spanning from high electron mobility transistors (HEMT) [22,23] to non-volatile memory devices [15,16,24], and quantum-well infrared photodetectors [25] with properly designed heterostructures. Nonetheless, achieving a fine control over the relationship between synthesis and functional properties in  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> has still to be achieved. In this framework, the presence of vertically-oriented structural defects in (001)  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> epitaxial layers (e.g., rotational domains) is an issue [26], and their reduction [27,28] / suppression [29]

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are important milestones for this material system. On the other hand, only limited theoretical and experimental efforts have been devoted to the investigation and control of point defects in  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> [26,30]. These aspects are currently hindering its widespread application for the most technologically demanding fields. In contrast, in simpler resistive solar-blind UVC-photodetector (PD) devices, nominally undoped  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers on c-plane sapphire substrates are already showing promising performance, compatible with the state of the art of the most investigated  $\beta$  polymorph [31–33].

In this work we study the effect of mild annealing treatments in different background atmospheres on (001)  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> epitaxial layers deposited by metal organic vapour phase epitaxy (MOVPE) and by molecular beam epitaxy (MBE) on c-plane sapphire substrates. Based on (i) the combination of various experimental techniques (time-of-flight secondary ion mass spectrometry ToF-SIMS, X-ray photoelectron spectroscopy XPS, photoluminescence spectroscopy PL and photoluminescence excitation spectroscopy PLE), (ii) the fabrication/characterization of PDs, and (iii) theoretical calculations with density functional theory (DFT), we investigate the formation of different point defects and the dissociation of defect complexes in  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub>. In particular, the interpretation of our results points strongly toward H-related defects being mostly responsible for the detected changes in the performances of  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub>-based PDs upon mild thermal treatments. Similarly to what has been already demonstrated in the case of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> [34–38], we here provide unprecedented experimental and theoretical evidence that also in the  $\kappa$  polymorph hydrogen plays a fundamental role to determine its functional properties, being able to act as a shallow (interstitial H<sub>i</sub>, oxygen substitutional H<sub>O</sub>) donor as well as a deep (Ga vacancies V<sub>Ga</sub>-H complexes) acceptor defect. In particular, an O<sub>2</sub> annealing treatment is found to significantly improve the PD performances and increase the material resistance without being exposed to light (dark), especially in the case of the MOVPE material. On the contrary, an H<sub>2</sub> annealing worsens the PD performances of both MOVPE and MBE deposited layers and generally results in more conductive material in the dark. The recorded changes upon thermal treatments, corroborated by theoretical calculations, suggest that the dissociation energies associated to H-related defect complexes are significantly lower in the case of  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> with respect to  $\beta$ , allowing for such defects engineering (i.e., redistribution) at temperatures well below the thermal stability window of the orthorhombic metastable polymorph. This work represents an important step further for the understanding of this material system and for its present and future application in different (opto) electronic devices and provides a viable comparison among  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers deposited with two technologically relevant deposition techniques (MBE and MOVPE).

## 1. Methods

The orthorhombic  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> thin films investigated in this work were deposited by MOVPE and MBE on c-plane sapphire substrates. MOVPE layers were synthesized from trimethylgallium (TMG) and ultrapure water precursors (H<sub>2</sub>O/TMG flow ratio  $\approx$  200) with He as carrier gas (total pressure 100 mbar) at a growth temperature T<sub>g</sub> = 650 °C. O-plasma assisted MBE with In-mediated metal exchange catalysis (MEX-CAT) [39,40] allowed to grow  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> on top of a 20 nm thick  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> nucleation layer [beam equivalent pressure BEP<sub>Ga</sub> = 3.4 × 10<sup>-7</sup> mbar, BEP<sub>In</sub> = 1.3 × 10<sup>-7</sup> mbar, O<sub>2</sub>-flux = 1 standard cubic centimeter per minute (sccm), plasma power P = 180 W, growth temperature T<sub>g</sub> = 640 °C]. The thickness of all the investigated layers was between 500 and 700 nm. The MOVPE and MBE layers were cut in different pieces (about 5 × 5 mm<sup>2</sup>) and some of them were ex-situ annealed (T = 500 °C, t<sub>dwell</sub> = 2 h) in O<sub>2</sub> (naturally abundant <sup>16</sup>O as well as 97 % isotopic <sup>18</sup>O enriched) or H<sub>2</sub> in a tubular oven or in the MOVPE reactor chamber (1000 and 800 mbar, respectively). The  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers (deposited as well as annealed) were structurally characterized by means of X-Ray

diffraction (XRD) using both a Rigaku Smartly XE diffractometer and a PANalytical X'Pert Pro MRD with Cu K $\alpha$  wavelength.

Resistive metal-semiconductor-metal (MSM) photodetectors were realized through the sputter-deposition of ohmic SnO<sub>2-x</sub>/ITO/Au contacts through a shadow mask [41]. The characterized PDs had a 0.2 mm spacing between the linear contacts (length 4 mm) and the applied bias was 200 V (Keithley source-meter 2400, sensitivity 0.1 nA). Further details on the structure of the contacts as well as on the spectral response acquisition are reported in Ref. [32].

The XPS measurements were performed with a Scienta Omicron XPS-Lab (base pressure of 3 × 10<sup>-10</sup> mbar) using a monochromated Al K $\alpha$  source (h $\nu$  = 1486.6 eV) at an angle of 54.7° with the Argus CU hemispherical analyzer. The energy resolution of the setup with a pass energy of 10 eV is 543 meV determined as the full width at half maximum FWHM of the Ag 3d<sub>5/2</sub> peak. The core level measurements were performed at a pass energy of 20 eV with the source at 225 W (15 kV, 15 mA). A flood gun was used in order to compensate charging effects due to the semi-insulating nature of the samples. The core levels were aligned using the adventitious C 1s peak at 284.8 eV while for the valence band maximum (VBM) 50 % of the intensity were aligned at 0 eV.

The layers were also analyzed by means of ToF-SIMS (ToF-SIMS IV, IONTOF GmbH) depth profiling in negative polarity. The machine is equipped with an extended dynamic range detector, a high energy (25 keV) Ga<sup>+</sup> primary ion gun (raster size: 100  $\mu$ m × 100  $\mu$ m) and a low energy (1 keV) Cs<sup>+</sup> ion gun used for sputter-etching of the thin-films (raster size: 400  $\mu$ m × 400  $\mu$ m). Charge compensation was achieved by an electron flood gun. The crater depth was determined by interference microscopy and confirmed by profilometry.

Photoluminescence excitation PLE measurements were conducted using a Xenon arc lamp (XBO, PTI A500 with Osram 450W/4) which was monochromatized by a two-stage monochromator (2x Acton SpectraPro-275, 2400 l/mm gratings) resulting in a spectral bandwidth of the excitation of 1 nm [2]. The samples were mounted in a He-flow micro-cryostat (Janis ST-500) allowing for temperature dependent measurements between 5 K and 300 K. The optical excitation and detection of the emitted light was performed in back-reflection geometry using a UV fused silica beamsplitter and focusing lens (NA = 0.69). The emitted light was spectrally dispersed in a single-stage monochromator (Acton SpectraPro-300i, 120 l/mm grating) and detected by a thermoelectric-cooled charge-coupled device (Horiba Sincerity 2048x70-UVS). The PLE spectra were corrected by monitoring the excitation light in situ using a UV-optimized, high-sensitivity Si photodiode (Hamamatsu S4349) to account for the lamp's spectral power density and transmission losses across the optical setup and spectrally calibrated using neon and mercury gas discharge lamps.

Calculations to assess the bulk and defect-induced properties of  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> were performed using the Heyd-Scuseria-Ernzshof screened hybrid functional (HSE06) [42] and projector-augmented wave (PAW) approach [43] as implemented in the VASP code [44,45]. The Ga 3d electrons were considered as explicit valence states and the Hartree-Fock mixing parameter was set to 32 %, and all unit cell calculations adopted a 500 eV plane wave cutoff and 6 × 4 × 4 Monkhorst-Pack k-point sampling. The optimized lattice constants for the orthorhombic unit cell were determined to be a = 5.03 Å, b = 8.64 Å, and c = 9.27 Å, which were used to construct a 120-atom supercell (a 3 × 1 × 1 repetition) for all defect calculations. All defect calculations adopted an energy cutoff of 400 eV, a 2 × 2 × 2 Monkhorst-Pack k-point sampling, and included spin polarization. Finite-size corrections for the formation energies of charged defects within the supercell approach were evaluated with the FNV method [46,47], using a calculated (The Materials Project [48]) low-frequency dielectric tensor with diagonal components  $\epsilon_{xx}$  = 15.79,  $\epsilon_{yy}$  = 15.5, and  $\epsilon_{zz}$  = 17.18. Vertical transition energies for configuration coordinate diagrams were computed with energy corrections using the approach of Gake et al. [49] utilizing the calculated high-frequency dielectric tensor diagonal values of  $\epsilon_{xx}$  =

4.30,  $\varepsilon_{yy} = 4.23$ , and  $\varepsilon_{zz} = 4.20$ . 1-dimensional configuration coordinate diagrams were used to evaluate the absorption and emission characteristics of relevant transitions at 300 K as described in Ref. [50].

### 1.1. Experimental results

The XRD analysis of the  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> thin films highlights similar crystal quality for the MOVPE and MBE layers [Fig. 1 (a) and (b)]. Both are characterized by a three-fold rotational domain structure [ $\varphi$ -scan of the (122)  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> reflections reported in Supplementary Information SI Figure S1] as already shown in Refs. [26,51]. The Rocking curve values for the (004) reflection [Fig. 1(b)] were about the same for the MBE and MOVPE samples ( $\approx 0.48^\circ$ ) qualitatively suggesting a similar mean rotational domain size distribution as discussed in Ref. [26]. The additional diffraction peak present for the MBE layer is related to a 20 nm thick (-201) epitaxial  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> nucleation layer [ $2\theta \approx 38.3^\circ$  for the (-402) reflection, labeled as “nl” in the black curve of Fig. 1(a)] which is usually necessary in the In-mediated MEXCAT-MBE growth of  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> on c-plane sapphire [40,52]. The left-side shift in the  $2\theta$  value of the (004) peak of the  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> MBE layer with respect to the MOVPE one is related to a partial incorporation of In during the MEXCAT growth [39, 40,53]. The In cationic content has been quantified by calibrated SIMS (procedure discussed in Ref. [54]) to be about  $3 \times 10^{20} \text{ cm}^{-3}$ , i.e., around 0.8 cation % (SI Figure S2). Such In incorporation is not considered to play an important role in the current study, since (i) it is isovalent with Ga, (ii) it can generally be incorporated up to large percentages in the  $\kappa$ -(In<sub>x</sub>Ga<sub>1-x</sub>)<sub>2</sub>O<sub>3</sub> alloy system without compromising the crystal quality or resulting in phase separation, and (iii) it does not affect significantly the bandgap of the material (i.e., the overall PD characteristics) if the degree of In incorporation is below 1 cation % (as the one of the MEXCAT-MBE layer here investigated) [53].

These layers were cut in different equivalent pieces and some of them were *ex-situ* thermal treated ( $T = 500^\circ\text{C}$ ,  $t_{\text{dwell}} = 2 \text{ h}$ ) in different atmospheres. The annealing temperature here employed is well below the  $\kappa$  to  $\beta$  phase transition one ( $T \geq 700^\circ\text{C}$ ) [55] and the XRD scan before and after annealing did not show any detectable difference (SI Figure S1).

PDs were fabricated with the as deposited and annealed MOVPE and MBE layers. The respective dark currents, as well as the responsivity curves (i.e., electrical response at different wavelengths normalized by incident power – see Ref. [32] for further details) are reported in Fig. 2(a and b). The measured current without provided illumination (i.e., dark

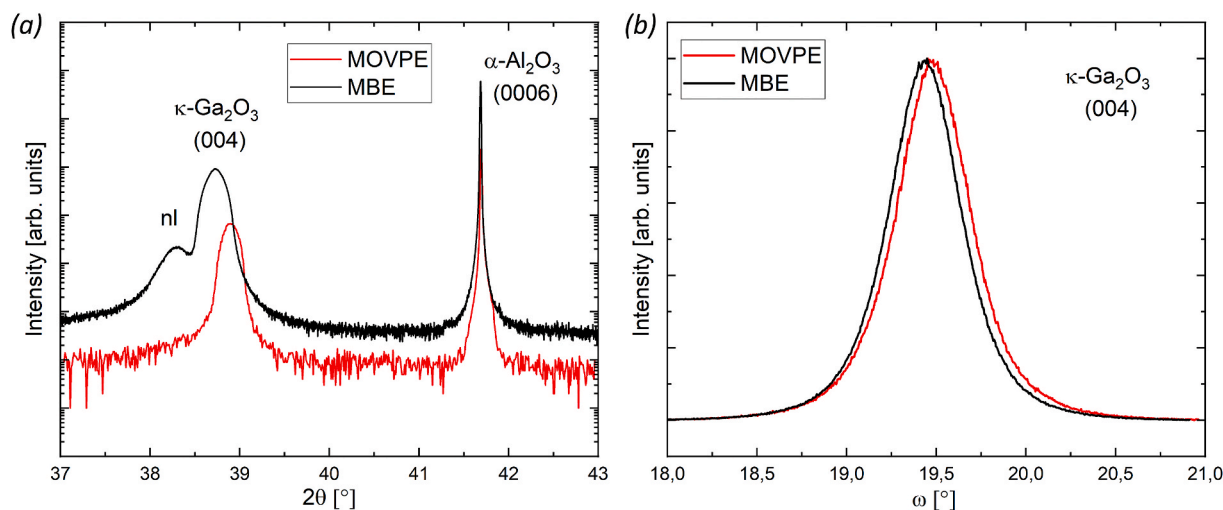
current  $I_{\text{Dark}}$  at 200 V bias) for the MOVPE layers [Fig. 2(a)] is affected by the thermal treatments. In particular, while an O<sub>2</sub>-annealing is found to reduce it of a factor three ( $I_{\text{Dark-MOVPE,as deposited}} \approx 3 \text{ nA}$ ,  $I_{\text{Dark-MOVPE,O}_2} \approx 1 \text{ nA}$ ), a H<sub>2</sub>-annealing is found to exhibit the opposite behavior increasing  $I_{\text{Dark}}$  by about one-and-a-half orders of magnitude ( $I_{\text{Dark-MOVPE,H}_2} \approx 100 \text{ nA}$ ). The responsivity curves highlight that the O<sub>2</sub>-annealing significantly improves the solar blind characteristic of the MOVPE-based PD with respect to the one fabricated with the as-deposited sample [ $\lambda \approx 300\text{--}650 \text{ nm}$  range highlighted in yellow in Fig. 2(a)], with little reduction in the bandgap response. The rejection ratio, defined as  $R_R = \text{responsivity}_{\lambda=250 \text{ nm}} / \text{responsivity}_{\lambda=500 \text{ nm}}$ , improved for the MOVPE layer by one order of magnitude upon O<sub>2</sub>-annealing with respect to the as deposited sample ( $R_{R\text{-MOVPE,O}_2} = 1.4 \times 10^5$  and  $R_{R\text{-MOVPE,as-dep}} = 1.3 \times 10^4$ ). On the other hand, in the case of the H<sub>2</sub> treatment, the overall responsivity curve is shifted to higher values and the resulting PD characteristics significantly worsens with respect to the as-deposited MOVPE layer ( $R_{R\text{-MOVPE,H}_2} = 1.7 \times 10^3$ ).

In the case of the MBE-based PDs, both the dark current and responsivity curves were unaffected by the O<sub>2</sub>-annealing with respect to the PD fabricated with the as-deposited layer ( $I_{\text{Dark-MBE,as deposited}} \approx I_{\text{Dark-MBE,O}_2} \approx 0.3 \text{ nA}$ ,  $R_{R\text{-MBE,as-dep}} = 2.6 \times 10^4$  and  $R_{R\text{-MBE,O}_2} = 2.4 \times 10^4$ ). On the other hand, similarly to the MOVPE samples, the H<sub>2</sub>-annealing increased the dark current and up-shifted the responsivity curve of the MBE layer, with a little improvement in this case of its rejection ratio ( $R_{R\text{-MBE,H}_2} = 4.1 \times 10^4$ ).

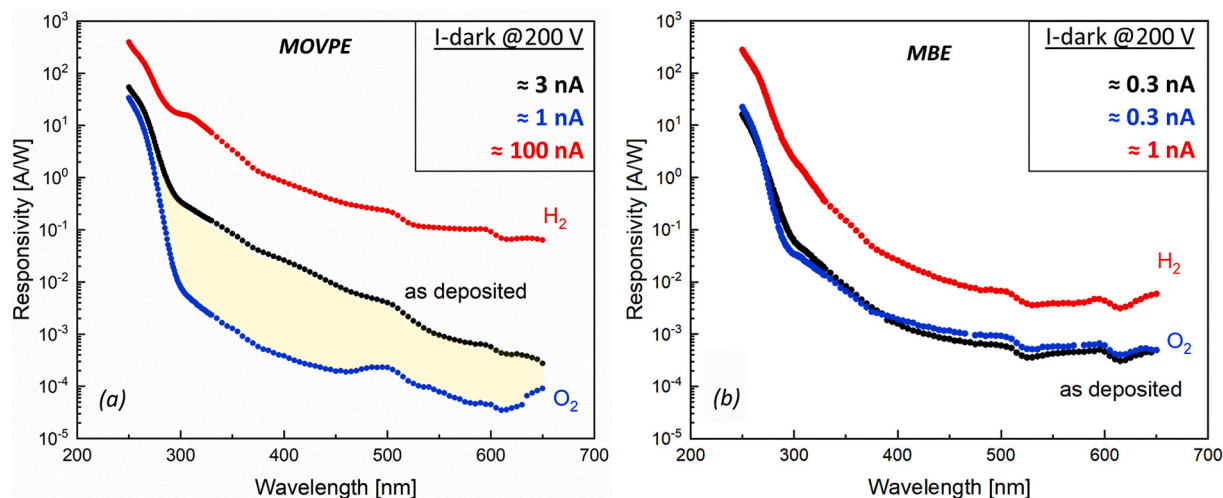
A direct comparison among all the investigated PDs allows to highlight the overall lower  $I_{\text{Dark}}$  recorded for the MBE samples (both as deposited and annealed) than those of the corresponding MOVPE samples. Nonetheless, the PD fabricated with the O<sub>2</sub>-annealed MOVPE layer shows the best  $R_R$  among the analyzed samples.

On-off cycles ( $\lambda_{\text{On}} = 250 \text{ nm}$ ,  $t_{\text{on-off}} = 110 \text{ s}$ ,  $\tau_{\text{ON-OFF}}$  calculated as time to go from 10 % to 90 % of photocurrent and vice-versa, see Fig. 3) qualitatively show for both MOVPE and MBE based PDs the overall fastest response upon O<sub>2</sub>- ( $\tau_{\text{ON-OFF,MOVPE as-dep}} = 39.6 \text{ s} - 26.4 \text{ s}$ ,  $\tau_{\text{ON-OFF,MBE as-dep}} = 35.9 \text{ s} - 9.5 \text{ s}$ ;  $\tau_{\text{ON-OFF,MOVPE O}_2} = 29.6 \text{ s} - 3.1 \text{ s}$ ,  $\tau_{\text{ON-OFF,MBE O}_2} = 37.6 \text{ s} - 5.0 \text{ s}$ ) and the slowest upon H<sub>2</sub>-annealing ( $\tau_{\text{ON-OFF,MOVPE H}_2} = 37.0 \text{ s} - 66.4 \text{ s}$ ,  $\tau_{\text{ON-OFF,MBE H}_2} = 39.5 \text{ s} - 40.9 \text{ s}$ ).

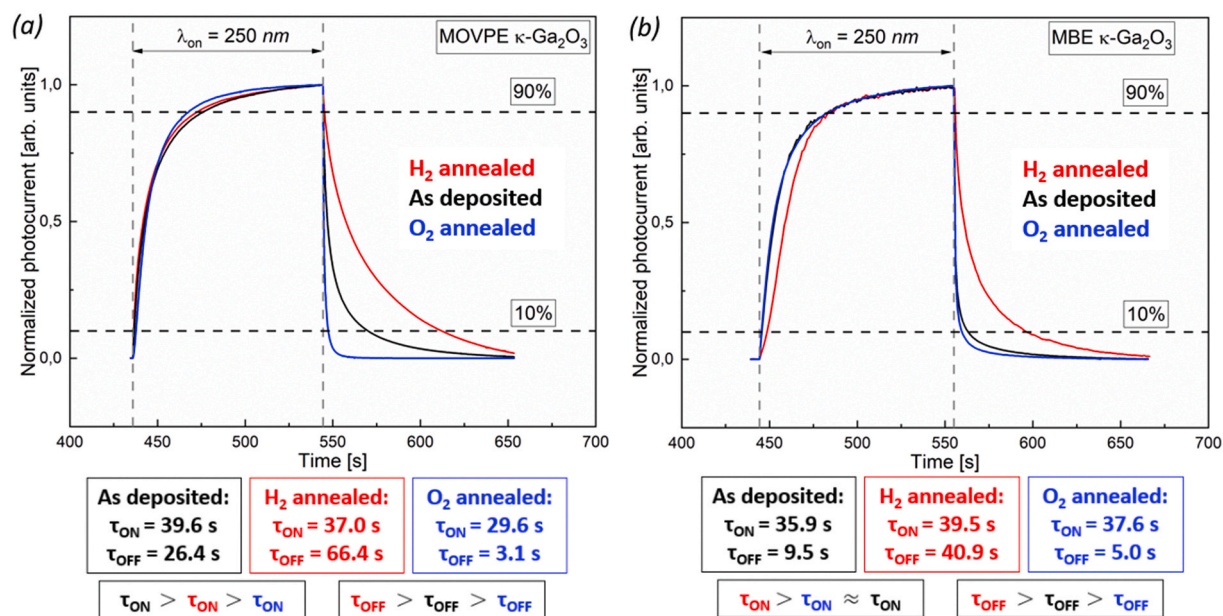
Combining the responsivity curves (Fig. 2) and the time response (Fig. 3) of the analyzed PDs it is possible to highlight that (i) the as deposited MBE material seems to be better performing as PD with



**Fig. 1.** Symmetric out-of-plane (a)  $2\theta$ - $\omega$  (not normalized, logarithmic scale) and (b)  $\omega$  (normalized, linear scale) XRD scans of the as deposited MOVPE and MBE  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> as-deposited layers (red and black curves, respectively) on c-plane sapphire. In (a) for the MBE layer, “nl” stands for a 20 nm thick epitaxial  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> nucleation layer [(-402) reflection]. Measurements performed with monochromatic Cu K $\alpha_1$  radiation. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 2.** Photodetector responsivity (i.e., electrical response at different wavelengths normalized by incident power) of (a) MOVPE and (b) MBE deposited  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers as-deposited, O<sub>2</sub>- and H<sub>2</sub>-annealed (black, blue, and red curves, respectively). The respective dark currents measured at 200 V bias are reported in the insets. The yellow region in (a) highlights the significant drop of responsivity in the 300–600 nm range for the O<sub>2</sub>-annealed material with respect to the PD fabricated with the MOVPE as-deposited material. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 3.** Normalized current for PDs fabricated with (a) MOVPE and (b) MBE layers. The current has been reported for the 3rd acquired cycle; all the acquired non-normalized cycles are reported in the SI (Fig. S3).

respect to the MOVPE as deposited one and (ii) the overall beneficial role of the O<sub>2</sub> annealing on the time response of the  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> based PDs, with the MOVPE O<sub>2</sub>-annealed one resulting in the best performing photodetector. In this framework, it should be specified that this work does not focus on the overall optimization of the PD performances, but rather on the understanding of the point defects in the  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> material system; in fact, we highlight that the control of e.g., PD device architecture, applied bias, illumination conditions, can have significant effects on e.g., the dark current, rejection ratio, photo-gain, and response time [56,57].

A similar beneficial effect on PD performance (responsivity and on-off cycles) upon O<sub>2</sub> or air annealing of MOVPE  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers was reported in a recent work by Li et al. [58], that suggested the V<sub>O</sub>-filling to be responsible for such recorded behavior - mostly based on XPS investigation. We have also performed an XPS investigation on all the studied MOVPE and MBE samples. The O1s core level peaks of the

MOVPE samples are shown in the SI Figure S4 and can be treated as the convolution of two separate contributions, i.e., an O<sub>I</sub> component related to the lattice oxygen and an O<sub>II</sub> one probably related either to adventitious hydroxyls of water [59] or to carbonate contaminations [60,61]. In fact, it is common to observe signatures in the O1s XPS spectra around 532.5–533/531.2–531.8 eV due to hydroxyl (-OH) groups [59] / carbonates [60] that can be commonly present on the surface of the sample, with their concentration being possibly affected by thermal treatment-s/atmospheres. Experimental evidence of the (-OH) groups and their effects on the O 1s peak has been already observed for the  $\beta$  polymorph of Ga<sub>2</sub>O<sub>3</sub> [62]. In their work Li et al. [58] observe a decrease in the O<sub>II</sub>/O<sub>I</sub> ratio after the annealing treatment in O<sub>2</sub>, suggesting a possible partial V<sub>O</sub> filling in the thermal treated layer probed depth as an explanation. As shown in SI Figure S4 Fig. S4 we also observe a similar result for the MOVPE layers, as well as an increase in the O<sub>II</sub>/O<sub>I</sub> after H<sub>2</sub>-annealing. Even if we cannot exclude the possibility of affecting the

overall concentration of  $V_O$  upon the tested annealing conditions in the probed volume, we believe that the reduction of the  $O_{II}$  component after  $O_2$ -annealing, as well as the increase after  $H_2$ -annealing, is given by a variation in the (-OH) group at the surface. In fact, an annealing in  $O_2$  could potentially reduce the amount of (-OH) groups due to desorption, while an annealing in  $H_2$  could instead increase this amount. As for the  $O1s$  core level peaks of the MBE layers (SI Figure S5), even though it is found to be affected by the thermal treatments in different atmospheres, a direct comparison with the MOVPE layers could be misleading. In fact, the employment of the MEXCAT growth in MBE results in a surface segregation/accumulation of the catalyst element [63] (in the current case In, see XPS survey spectra reported in SI Figure S5) that could itself affect the shape of the  $O1s$  levels and their relative change upon thermal treatments. Additional analysis on the Ga 2p and 3s core levels for all the investigated samples are reported and commented in the supplementary information (SI Figure S6).

A comparison for the VBM is provided in Fig. 4. Interestingly, the MOVPE as-deposited sample is the only one that shows a small shoulder peak inside the bandgap [in-gap states highlighted by arrow in Fig. 4 (a)]. This is most likely related to deep energy level defect states as already observed in similar MOVPE  $\kappa$ - $Ga_2O_3$  samples [64]. Using the linear extrapolation method to estimate the VBM will put this in-gap states around  $\sim 2.2$  eV above the VBM. However, Swallow et al. [62] demonstrated how this method tends to underestimate the VBM by  $\sim 0.5$  eV for  $\beta$ - $Ga_2O_3$ . Due to the similarities in the VB structure of the  $\kappa$  and  $\beta$  polymorphs [52] if we then apply this correction to our VBM estimation this will locate the in-gap states at  $\sim 2.7$  eV above the VBM instead. After the annealing process, independently from the annealing environment ( $H_2$  or  $O_2$ ), the in-gap states cannot be detected anymore. It is important to highlight that not even the as deposited MBE layer shows in-gap states, pointing towards an initial different distribution of deep level defects associated to the two investigated deposition techniques.

We stress that the experimental findings on the PDs performances indicate a change in the point defects distribution across the entire film thickness, while XPS is a surface sensitive technique that can provide information just limited to the very-first nanometers of the investigated layers (SI Table S1).

Moreover, to get experimental data on the possible incorporation of oxygen upon mild  $O_2$ -annealing treatments, an as-deposited MOVPE layer was exposed to an oxygen background pressure of stable  $^{18}O$  isotopes in an identical thermal cycle to the ones previously investigated; this has been followed by a depth-resolved ToF-SIMS measurement and compared to the depth profile acquired on an as-deposited layer. The resulting isotope fraction (SI Figure S7) shows a partial penetration

(limited to the first 5–10 nm from the surface of the layer) of the  $^{18}O$  isotopes. From the  $^{18}O$  penetration profile, we estimate a diffusion coefficient of the order of  $10^{-17}$   $cm^2s^{-1}$ . The observation of a penetration profile tells us that oxygen ions diffuse in this material at this temperature, but it does not reveal by what mechanism (vacancy, interstitial or interstitialcy) nor along which path (through the lattice or along extended defects [26]) the ions diffuse. A deeper analysis would require, in addition to solid evidence of mechanism and of path, quantitative information concerning the concentration of the point defects.

Additionally, all the MBE and MOVPE as deposited and annealed layers characterized as PDs were analyzed with depth-resolved ToF-SIMS. The level of Si impurities (possibly playing the role of shallow donors [26,65]) was on the edge of the detection limit of the ToF-SIMS ( $\approx 2 \times 10^{17}$   $cm^{-3}$ , SI Figure S8). It is also worth mentioning that a quantitative analysis of hydrogen in the as-prepared and annealed samples by means of ToF-SIMS was not possible, because of residual gas adsorption onto the sample in the UHV chamber during analysis. In fact, analysis of H-implanted  $\beta$ - $Ga_2O_3$  single crystals (peak concentration of  $7 \times 10^{19}$   $cm^{-3}$ ) under optimized UHV conditions revealed no implantation profile, thus setting here the lower limit of H detection in our system to  $7 \times 10^{19}$   $cm^{-3}$ . Unsurprisingly, the carbon intensity profiles obtained for the analyzed layers were also affected by residual gas adsorption and thus no reliable data for C levels in the films were obtained.

The set of MOVPE and MBE samples was also investigated with PLE and PL spectroscopy. The PLE spectra displayed for integrated detection energies of the entire luminescence bands (between about 1.8 and 4.0 eV, see PL in Fig. 6) for all the investigated MOVPE and MBE layers are displayed in Fig. 5 and in the SI Figure S9 ( $T = 300$  K as well as T-series down to 5K, respectively). While MBE deposited layers are similar in the absorption onset, *i.e.*, largely independent of the post growth annealing treatment, the MOVPE ones show below the band edge (4.5–4.9 eV) clear shifts with lowest energy for  $H_2$ - and highest for  $O_2$ -annealing [Fig. 5(a)]. A direct comparison between MBE and MOVPE layers [Fig. 5 (b)] highlights that the two spectra show the strongest difference for  $H_2$ -annealing, while very similar excitation to the MBE ones is recorded when the MOVPE layer is annealed in  $O_2$ . We note that PLE spectroscopy suggests the energy gap of  $\kappa$ - $Ga_2O_3$  to be about 5 eV, *i.e.*, slightly higher with respect to the one of the  $\beta$  polymorph. This is in good agreement with previously reported theoretical calculations [66].

The temperature dependence of the PLE spectra (SI Figure S9) is invariant for the MBE layers down to 5 K, consistently with the observation at 300K [Fig. 5(a)]. The as-deposited and  $O_2$  annealed MOVPE samples share a similar T-dependence; differently, the  $H_2$ -annealed MOVPE one shows pronounced excitation of PL via sub-bandgap states

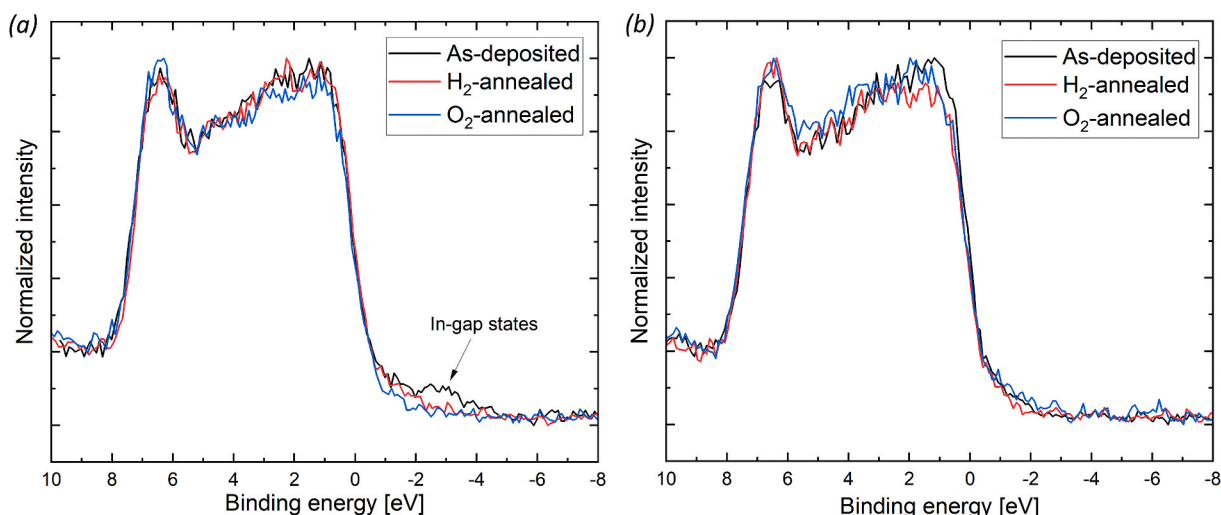
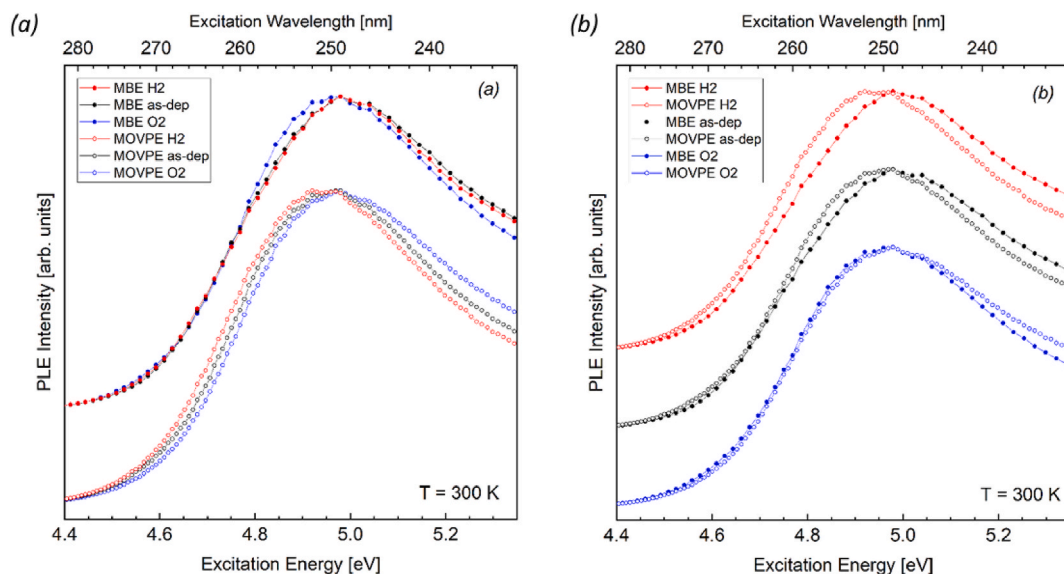
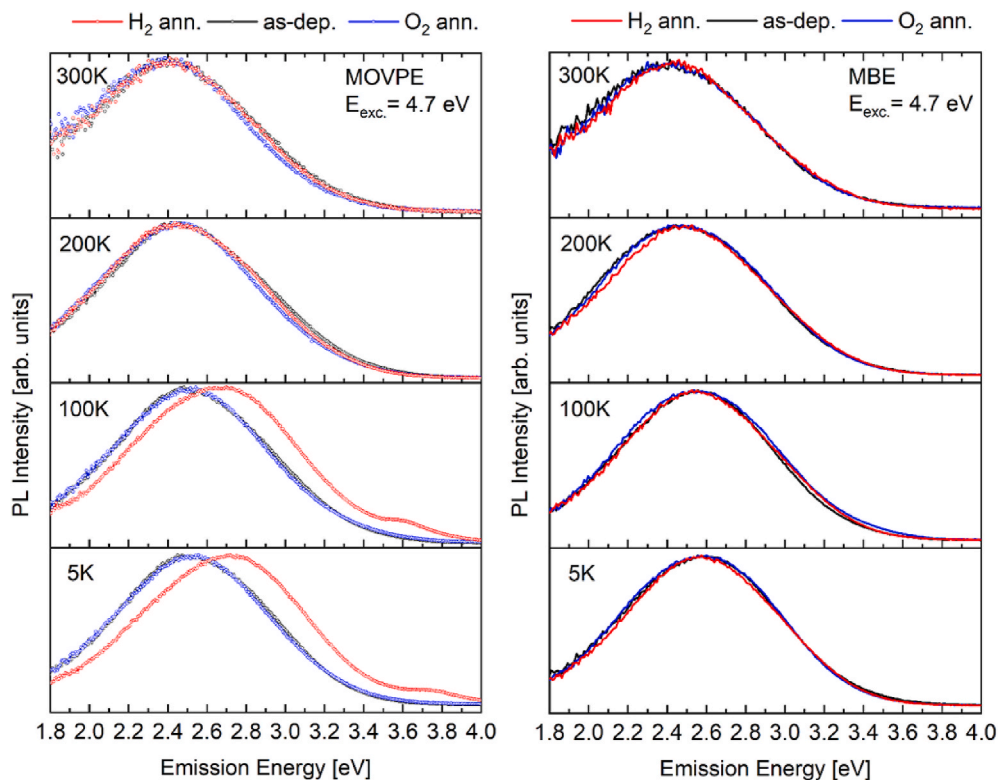


Fig. 4. XPS Valence band spectra of the (a) MOVPE and (b) MBE samples. The 50 % intensity of the VBMs were aligned at 0 eV for direct comparison.



**Fig. 5.** Normalized photoluminescence excitation (PLE) spectra of as grown, O<sub>2</sub>, and H<sub>2</sub> annealed (black, blue, red respectively) MOVPE and MBE (empty and filled circles)  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> thin films measured at T = 300 K. The two graphs are reporting the same data, but are displayed with the aim to directly compare (a) the layers with the same deposition technique (MOVPE or MBE) but different thermal treatments (as deposited, O<sub>2</sub>, H<sub>2</sub>) and (b) the same thermal treatment but different deposition technique. PLE spectra are displayed for integrated detection energies of the entire luminescence bands between about 1.8 eV and 4.0 eV. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 6.** PL spectra of as-deposited, O<sub>2</sub>, and H<sub>2</sub> annealed MOVPE and MBE  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> thin films measured at temperatures of T = 5K, 100K, 200K, and 300K. Spectra are shown for sub band edge excitation at 4.7 eV.

between 4.4 and 4.9 eV at temperatures lower than 100 K.

The PL spectra were analyzed for different excitation energies. Fig. 6 and SI Figure S10 show the collected PL for excitation energies below (4.7 eV) and above (5.2 eV) the bandgap, respectively. Generally, a convoluted broad band between about 1.8 and 3.5 eV can be observed, qualitatively in line with previous cathodoluminescence measurements

on  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> epitaxial layers [67]. For above bandgap excitation (SI Figure S10) an emission maximum is found at about 2.4 eV at room-T, while the T-reduction leads to a spectral shift to higher energies (about 2.7 eV) for all samples; no significant variation among them can be highlighted (despite annealing or different deposition technique).

For sub-band edge excitation (Fig. 6) the room-T PL spectra of MBE

and MOVPE samples are once more mostly independent of post-growth annealing (similar to above band edge PL). Also in this case the emission maximum at room-T is at around 2.4 eV, but its T-dependent shift is less pronounced than for the 5.2 eV excitation for all the analyzed layers (emission maximum at about 2.6 eV) with the only exception of the MOVPE layer annealed in H<sub>2</sub>: in this case a pronounced difference is recorded for temperatures  $\leq 100$  K. The PL of the MOVPE H<sub>2</sub>-annealed sample is significantly shifted to higher energies as compared to the other two MOVPE layers (maximum around 2.8 eV). In addition, a weak luminescence band around 3.6 eV–3.8 eV becomes visible in this sample that shifts to lower energies and decreases in intensity with increasing temperature (from 5 K to 100 K). To further investigate this weak luminescence band, the T-dependent excitation spectra for a detection energy of 3.6 eV–3.8 eV is reported for the MOVPE layers in the SI Figure S11. At temperatures of 100 K and 5 K a new excitation channel below the bandgap becomes visible exclusively in the H<sub>2</sub> annealed MOVPE sample. In contrast, the as deposited and O<sub>2</sub> annealed MOVPE layers exhibit excitation spectra that are similar to the MBE ones (not shown) and those with integrated detection energies between 1.8 and 4.0 eV (SI Figure S9). At 5 K, the excitation channel of the MOVPE layer annealed in H<sub>2</sub> is centered around 4.7 eV, i.e., 300 meV below the bandgap energy of about 5.0 eV at 5 K. Therefore, a rather shallow state at 4.7 eV (300 meV below CB, visible in PLE of SI Figure S11) gives rise to a 3.7 eV luminescence transition (recorded in the PL spectra of Fig. 6).

The collected experimental data suggest that the overall changes induced by mild annealing treatments on the  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers (i) must be related to both shallow as well as deep level defects, (ii) involve most of the layer thickness, and (iii) the recorded effects upon different background atmospheres are not of the same magnitude for MOVPE or MBE deposited material (although the trends are qualitatively similar).

## 1.2. Theoretical calculations

Similar to the low-symmetry  $\beta$ -phase,  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> exhibits a number of symmetrically distinct O and Ga sites that can differ in formation energy and contribute to different defect levels within the band gap. We performed a comprehensive set of theoretical calculations to evaluate the energetics of the six distinct O sites (O1–O6), and four distinct Ga sites in  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> (Ga1–Ga4), as well as their interactions with hydrogen. We adopt similar notation for sites as in references, [66,68] with Ga1 being tetrahedrally-coordinated, Ga2, Ga3 and Ga4 being octahedrally coordinated, with Ga3 and Ga4 exhibiting larger octahedral distortions with a single longer bond (also referred to pentahedral coordinations in literature [69]), and the O sites exhibiting 3-fold and 4-fold coordination. We include the formation energies of the native vacancies in Fig. 7 and summarize the transition levels calculated for the studied defects in SI Table S2. Similar to  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, we find for  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> all isolated V<sub>O</sub> to

behave as deep double donors that are favorable under O-poor conditions, neutrally charged for sufficiently high Fermi energy E<sub>F</sub> level positions ( $\geq 3$  eV) typical of a *n*-type material [Fig. 7(a)] [70]. The V<sub>Ga</sub> behave as deep acceptors with favorable formation under O-rich conditions for E<sub>F</sub> level positions above 3 eV [Fig. 7(b)] that are again typical of a *n*-type material. Again similar to  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, we find that split Ga vacancies [71–75], [71–75] [71–75] V<sub>Ga</sub><sup>i</sup> (i.e., V<sub>Ga</sub>-Ga<sub>i</sub>-V<sub>Ga</sub>) are also stable in the  $\kappa$ -phase, with the most favorable configuration found to be a site in between V<sub>Ga1</sub> and V<sub>Ga4</sub> sites, forming another distorted octahedrally-coordinated Ga species (SI Figure S12). We find that these sites exhibit large local minima similar to the V<sub>Ga</sub><sup>i</sup> configurations in the  $\beta$ - and  $\alpha$ -phases, with V<sub>Ga</sub><sup>i</sup> being more stable than V<sub>Ga1</sub><sup>3</sup> in *n*-type conditions by  $\sim 0.6$  eV, with a barrier of only 0.6 eV to form (more stable than V<sub>Ga4</sub> by  $\sim 1.5$  eV) [76]. Considering the similarities with the  $\beta$ -phase, the strong favorability of V<sub>Ga</sub> and possibility of diffusion at modest temperatures indicates that V<sub>Ga</sub> are likely mobile under the annealing conditions investigated in this work [77].

To evaluate the role of hydrogen in view of possible differences owing to the investigated deposition techniques and annealing treatments, we summarize the formation energies of several hydrogen-related defects in Fig. 8. The presence of hydrogen interstitials H<sub>i</sub> in  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> or when acting as a substitutional defect on an oxygen site (H<sub>O</sub>) are found to behave nearly exclusively as shallow donors (e.g., H<sub>O3</sub>), with H<sub>i</sub> being the most energetically favorable one especially in O-rich conditions [Fig. 8(a)]. This again is in line with previous calculations reported for  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> [70] and in accordance with experimental evidence on MOVPE deposited  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> using H<sub>2</sub> as a carrier gas [26]. The only exception we find is for H<sub>O6</sub>, which binds to a Ga4 and acts as a deep acceptor for Fermi levels above the (+/–) transition [4.58 eV above the VBM, see Fig. 8(a)]. The deep state associated with H<sub>O6</sub> is analogous to  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, where the dimerization of tetrahedrally-coordinated, such as the Ga1 adjacent to the O6 site, lead to the lowest-lying states in the band gap as compared to the other coordination environments [78]. Interestingly, this suggests hydrogenation of oxygen-deficient material could lead to a combination of both shallow donor states from H occupying O1–O5 sites, as well as H<sub>O</sub> that preferentially incorporate as compensating acceptors on the O6 site [the most favorable V<sub>O</sub> site in *n*-type conditions from Fig. 7(a)].

When considering the stability of the H<sub>O</sub>, we evaluate their defect binding energies, which we define as the formation energy of the complex relative to the isolated constituents (e.g., H<sub>i</sub><sup>+</sup> and each type of V<sub>O</sub>). We summarize the results in Fig. 9, where we find that H<sub>O</sub> is only stable in *n*-type conditions, with modest binding energies ranging from  $\sim 0.5$ –1.3 eV depending on the O site occupied. Considering the modest binding energies and the low migration energies for H<sub>i</sub> in other Ga<sub>2</sub>O<sub>3</sub> polymorphs [76,79], this suggests that H<sub>O</sub> are likely to dissociate at even lower temperatures than those of the annealing ones here investigated; this can free more mobile H<sub>i</sub> that can out-diffuse from the sample or interact with other defects in the lattice. Indeed, persistent changes in the electrical conductivity of extrinsically doped  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> layers upon thermal treatments below 500 °C were already highlighted in previous articles [30,80].

Beyond shallow donor configurations, a large amount of literature on the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> polymorph suggests that its defect chemistry is majorly affected by complexes involving V<sub>Ga</sub>, with hydrogenated V<sub>Ga</sub>-H appearing to play a fundamental role as deep acceptors [36,72,73,76,78,81]. In Figs. 8 and 9 we also include the formation energy and stability of various V<sub>Ga</sub>-H-related complexes for  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub>. Fig. 8(b,c,d) shows how multiple H species can be favorably trapped at V<sub>Ga</sub>, especially in (but not limited to) O-rich conditions. For hydrogenated V<sub>Ga</sub>, the levels relevant for *n*-type samples move deeper into the band gap (closer to the valence band edge) depending on the coordination environment and hydrogenation state (SI Table S2). V<sub>Ga</sub> can be readily hydrogenated with very low formation energies in *n*-type conditions (Fig. 8) and binding energies that can approach 2.5 eV in *n*-type conditions for the first hydrogen, and can still exceed 1 eV for a 3rd hydrogen that electrically

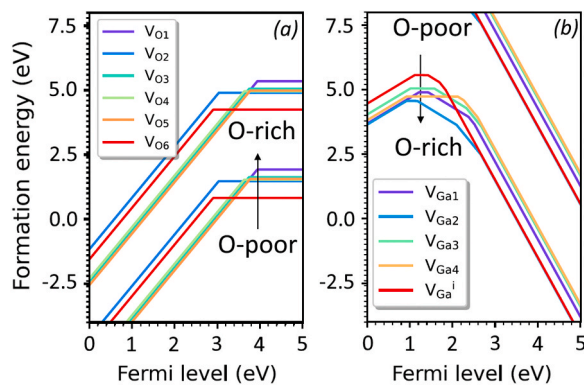
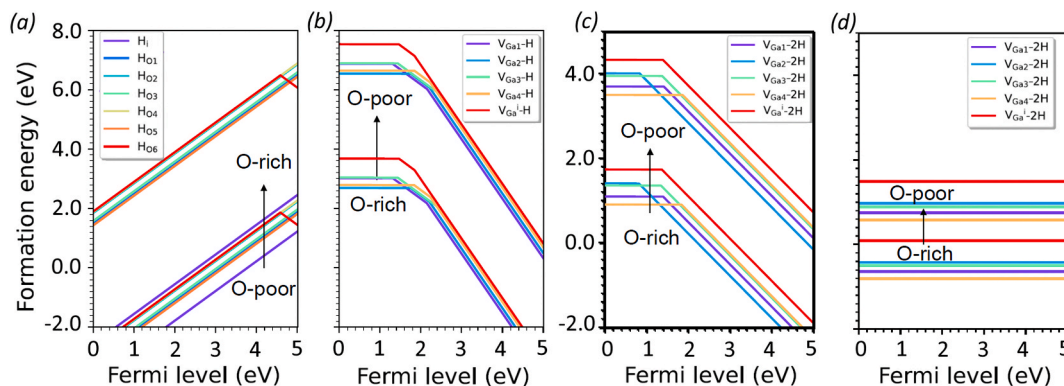
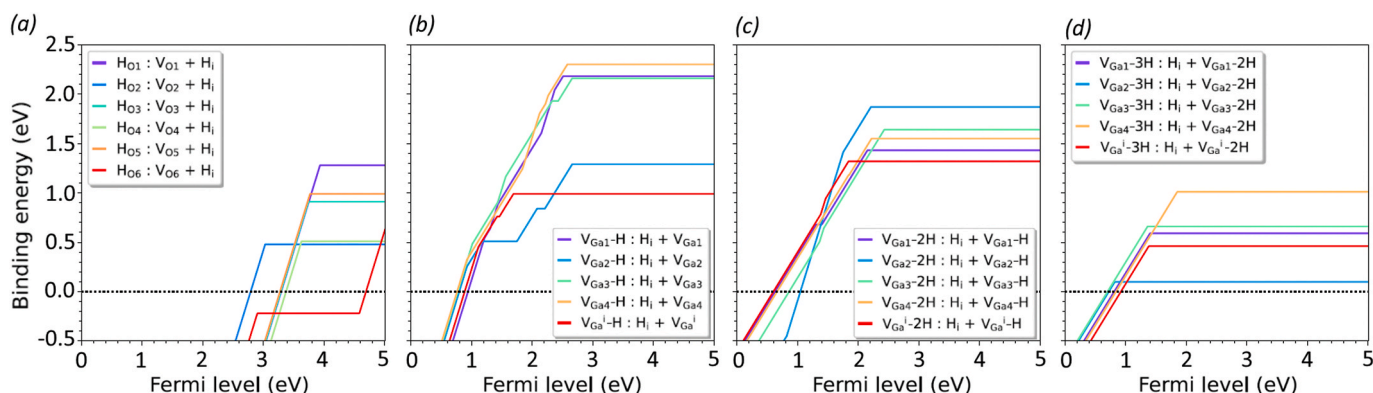


Fig. 7. Formation energy diagram for (a) oxygen vacancies and (b) gallium vacancies in  $\kappa$ -Ga<sub>2</sub>O<sub>3</sub> shown as a function of the Fermi level for the limiting extremes of O-rich and O-poor (Ga-rich) conditions.



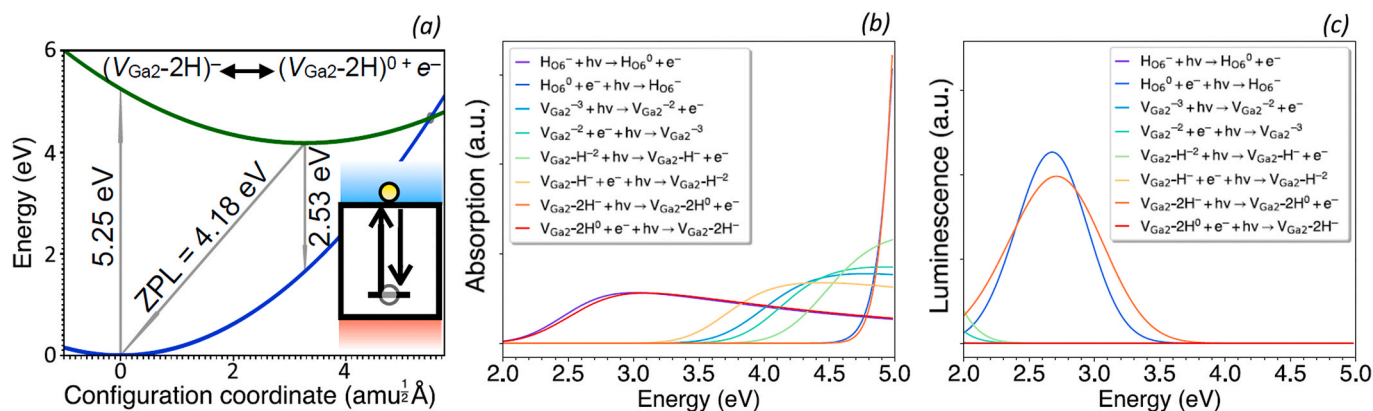
**Fig. 8.** Formation energy diagram for H interstitials ( $H_i$ ) and their complexes with oxygen vacancies (a), and their complexes with gallium vacancies with 1H (b), 2H (c) and 3H (d), shown as a function of the Fermi level for the limiting extremes of O-poor and O-rich conditions.



**Fig. 9.** Plot of the calculated binding energies for hydrogen-related complexes with respect to (a) singly-hydrogenated  $V_{Ga}$ -H, (b) doubly-hydrogenated  $V_{Ga}$ -2H, (c) triply-hydrogenated  $V_{Ga}$ -3H, and (d) in oxygen sites  $H_O$ . The binding energies are calculated as a function of the Fermi level according to the formation energies labeled in the legends, where a positive binding energy indicates a favorable complex with respect to the isolated constituents.

passivates the complex (Fig. 9). We note that as for the other neutral isolated and hydrogenated  $V_{Ga}$  configurations, the fully-passivated  $V_{Ga}$ -3H complexes in Fig. 8(d) can also exhibit a polaronic-like (+/0) transition level approximately 1 eV above the VBM. Interestingly we find the  $V_{Ga}^i$ , which is the most stable isolated  $V_{Ga}$  in  $n$ -type conditions, binds H weaker than the other on-site vacancies and the split vacancies in the  $\beta$ -phase. Therefore, qualitatively the picture is very similar to the one calculated for  $\beta$  [76], with the only exception related to the binding energies of such complexes that are significantly lower for  $\kappa$ - $Ga_2O_3$  (in

$\beta$ - $Ga_2O_3$  the most stable  $V_{Ga}$ -H complex configuration can approach the 3.4 eV<sup>76</sup>). In this regard, considering similar frequencies of the  $V_{Ga}$ -H vibrational modes calculated for  $\beta$  and a similar probability of dissociation [76], a large number of hydrogenated  $V_{Ga}$ -H complex configurations could be dissociated at temperatures in the range of 500 °C (with respect to the significantly higher 800 °C necessary to dissociate them in the  $\beta$  polymorph). This suggests that annealing temperatures and environments can likely influence the relative populations and passivation state of hydrogenated cation vacancies in  $\kappa$ - $Ga_2O_3$  more strongly than in



**Fig. 10.** (a) Example configuration coordinate diagram calculated for optical transitions associated with ionizing an electron on a  $(V_{Ga2}-2H)^-$  complex to the conduction band, showing the calculated absorption, zero-phonon, and emission lines. (b) Absorption and (c) emission profiles calculated for the defects and transitions listed in the legend that would be most relevant in  $n$ -type conditions.





respectively) the concentration of shallow donors ( $H_i$  and  $H_o$  in most configurations, Figs. 8, Figs. 9 and 11). As for deep level defects we focus our discussion on the PL spectra reported in Fig. 6. The highlighted convoluted broad band is peaked for all MOVPE and MBE samples at around 2.4–2.5 eV (300 K and 5 K, respectively) with the only exception of the  $H_2$ -annealed MOVPE layer that shows a significantly different T-dependence: for low temperatures (i) its maximum shifts to about 2.8 eV at 5 K and (ii) a weak luminescence band located at around 3.8 eV at 5 K related to an excitation channel centered at around 4.7 eV (SI Figure S9) appears. The calculated absorption and luminescence spectra for several of the most favorable candidate defects are summarized in Fig. 10(b and c). We find that both the  $H_{O6}$  and  $V_{Ga2-2H}$  exhibit luminescence spectra that favorably agree with the experimental PL spectra reported in Fig. 6 considering the emission arising from optically-excited  $V_{Ga2-2H}$ , such as from an electron in the conduction band recombining with the  $(V_{Ga2-2H})^0$  [Fig. 10(b and c)]. Regarding point (i) of the  $H_2$ -annealed MOVPE sample, some emissions arising from transitions associated with ionized  $H_{O6}$  are similar in energy to those associated to  $V_{Ga2-2H}$  and could possibly contribute to the multiple peaks and their stronger temperature dependence experimentally observed (Fig. 6). We note that non-hydrogenated forms of  $V_{Ga}$  have lower-energy transitions (both absorption and emission, Fig. 10) and are not as good of a match as more hydrogenated forms. Regarding (ii) the weak luminescence band in the  $H_2$ -annealed MOVPE layer could be related to a transition level at about 1 eV above the valence band maximum: this estimation is based on the observation of an excitation channel at about 4.7 eV (SI Figure S11), i.e., 0.3 meV below the CB, that gives rise to an emission band with an energy of 3.7 eV (Fig. 6). We notice that an  $H_2$ -annealing is likely to result in further hydrogenation of  $V_{Ga}$ -related defects, generally resulting in their defects energy levels moving closer to the VBM (Fig. 11). Moreover, given the propensity for rapid hole localization in  $Ga_2O_3$  polymorphs [66,82], [66,82–84] [66,82–84] passivated forms of  $V_{Ga}$ -related complexes are expected to rapidly trap holes, leading to polaronic states in the vicinity of 1 eV above the VBM with values differing within  $\sim 0.1$ – $0.2$  eV based on details of the atomic relaxations such as the hydrogen binding orientations within the vacancy and where the hole(s) localize. As for the excitation channel at 4.7 eV (SI Figure S11), this could be potentially related to the presence of a larger concentration of  $H_{O6}$  defects.

Considering these facts, we present a plausible comprehensive explanation of our experimental findings based on the presented calculations and prior knowledge in  $\beta$ - $Ga_2O_3$  as follows. Both cation vacancy concentrations and H-levels are likely to be different in as-deposited MOVPE and MBE  $\kappa$ - $Ga_2O_3$  layers. A plausible assumption is that more  $V_{Ga}$  and  $V_{Ga-nH}$  deep levels, as well as  $H_i$  and  $H_o$  shallow donors are present in the as deposited MOVPE material. We can speculate this to be related to an O-richer deposition environment with larger H-contaminations in MOVPE with respect to MEXCAT-MBE. In this regard we cannot exclude a different level of C in the layers, which could be relevant in the suggested picture. The different  $V_{Ga}$ -related defects in the as-deposited layers respond strongly to annealing environments at the studied annealing temperatures (i.e., 500 °C).

Differently from the  $\beta$  polymorph, an  $O_2$  annealing at relatively mild temperatures like the ones investigated in this work could redistribute and dissociate various  $V_{Ga-nH}$  and  $H_o$  populations in  $\kappa$ - $Ga_2O_3$  (Fig. 9), likely promoting at this stage  $H_i$  desorption from the layers [85]. This would result in a more resistive material and influence the population of deep level defects. This effect upon  $O_2$  annealing is much more pronounced in the layers deposited by MOVPE for the different initial content of H and  $V_{Ga}$ . In this framework, deep acceptors with different charge states and configurations (e.g.,  $V_{Ga}$ ,  $V_{Ga}^i$ ,  $V_{Ga-nH}$ , Figs. 7 and 8) are generally characterized by different lattice distortions around the defects, which can lead to different emission and capture rates of carriers, with effects on response time to light variation and features of the spectral responsivity in the PDs [86]. The simple variation of the charge state of multivalent acceptor defects in  $\beta$ - $Ga_2O_3$  has been already

demonstrated to induce variations in the hole capture rates [87]. Consistent with the depicted picture,  $H_2$ -annealing could passivate (or further passivate) existing  $V_{Ga}$  and  $V_o$  (and possibly other residual defects – e.g., C-related defects), as well as increase the concentration of  $H_i$  in  $\kappa$ - $Ga_2O_3$ . Indeed, this results in a larger conductivity of the material (i.e., larger  $I_{Dark}$  in PD) and influence the populations of midgap level defects possibly altering the responsivity under the gap of PDs (Fig. 2).

Again, we highlight that the vertically oriented structural defects of the investigated  $\kappa$ - $Ga_2O_3$  epitaxial layers may play a critical role in terms of point defects concentration/distribution as well as diffusion channels.

### 3. Conclusion

This comprehensive study combines extensive experimental and theoretical investigations of the  $\kappa$ - $Ga_2O_3$  material system and in particular on the role of H-related defects on its functional properties. This is found to have immediate implications for the fabrication of solar-blind UVC photodetectors. Particularly, it is here demonstrated on  $\kappa$ - $Ga_2O_3$  heteroepitaxial layers deposited with two technologically relevant deposition techniques (MOVPE and MBE) that it is possible to control the concentration of H-related defects through mild annealing treatments with proper background atmosphere. Calculations based on hybrid functionals identify that H can behave in different ways depending on the other defects present in the material, acting as a shallow donor as an interstitial or most substitutional  $H_o$  sites, while it can contribute to acceptor concentrations through interactions with  $V_{Ga}$  and the most favorable  $V_o$  site. In particular, both shallow donors (e.g.,  $H_i$ ) as well as deep acceptors (e.g.,  $V_{Ga}$ -H complexes) populations can be affected at temperatures significantly lower with respect to the  $\beta$ - $Ga_2O_3$  polymorph. Additional measurements on how the processing environment influences PD responsivity and the optical signatures associated with deep levels support predictions that hydrogenated vacancy complexes are strongly connected with the resulting PD performance. These experimental findings are an important step further in the physical understanding of these material system and are potentially of great interest for PDs as well as different application fields suggested for the  $\kappa$ - $Ga_2O_3$  polymorph (e.g., high electron mobility transistors, ferroelectric memory devices).

### CRedit authorship contribution statement

**P. Mazzolini:** Writing – review & editing, Writing – original draft, Visualization, Validation, Supervision, Resources, Project administration, Methodology, Investigation, Funding acquisition, Formal analysis, Data curation, Conceptualization. **J.B. Varley:** Writing – review & editing, Writing – original draft, Visualization, Validation, Resources, Methodology, Investigation, Data curation. **A. Parisini:** Writing – review & editing, Validation, Resources, Methodology, Funding acquisition, Formal analysis. **A. Sacchi:** Visualization, Investigation, Formal analysis, Data curation. **M. Pavesi:** Writing – review & editing, Validation, Resources, Methodology, Formal analysis, Data curation. **A. Bosio:** Resources, Investigation. **M. Bosi:** Writing – review & editing, Validation, Investigation. **L. Seravalli:** Writing – review & editing, Validation, Investigation. **B.M. Janzen:** Validation, Methodology, Investigation, Formal analysis, Data curation. **M.N. Marggraf:** Visualization, Investigation. **N. Bernhardt:** Visualization, Investigation. **M.R. Wagner:** Writing – review & editing, Writing – original draft, Visualization, Validation, Supervision, Resources, Investigation, Formal analysis, Data curation. **A. Ardenghi:** Writing – original draft, Visualization, Investigation, Data curation. **O. Bierwagen:** Writing – review & editing, Validation, Supervision, Resources, Project administration, Funding acquisition. **A. Falkenstein:** Investigation, Data curation. **J. Kler:** Validation, Investigation. **R.A. De Souza:** Writing – review & editing, Writing – original draft, Validation, Supervision, Resources, Investigation, Funding acquisition, Formal analysis, Data curation. **M. Martin:** Writing – review & editing, Validation, Supervision, Resources, Formal

analysis. **F. Mezzadri**: Writing – review & editing, Validation, Investigation. **C. Borelli**: Investigation. **R. Fornari**: Writing – review & editing, Resources, Funding acquisition.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

Data will be made available on request.

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### Appendix A. Supplementary data

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