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Excess weight mediates changes in HDL pool that reduce cholesterol efflux capacity and increase antioxidant activity

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Title: Excess weight mediates changes in HDL pool that reduce cholesterol efflux capacity and increase antioxidant activity

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Abstract: Objective: Obesity-related decline in high-density lipoprotein (HDL) functions such as cholesterol efflux capacity (CEC) has supported the notion that this lipoprotein dysfunction may contribute for atherogenesis among obese patients. Besides, potentially other HDL protective actions may be affected with weight gain and these changes may occur even before the obesity range.

Methods: Lipid profile, body mass index (BMI), biochemical measurements, and carotid intima-media thickness (cIMT) were obtained in this cross-sectional study with 899 asymptomatic individuals. HDL functions were measured in a subgroup (n=101).

Results: Individuals with increased HDL-C had an attenuated increase in cIMT with elevation of BMI. CEC, HDL-C, HDL size and HDL-antioxidant activity were negatively associated with cIMT. BMI was inversely associated with HDL-mediated inhibition of platelet aggregation and CEC, but surprisingly it was directly associated with the antioxidant activity. Thus, even in non-obese, non-diabetic individuals, increased BMI is associated with a wide change in protective functions of HDL, reducing CEC and increasing antioxidant activity. In these subjects, decreased HDL concentration, size or function are related to increased atherosclerotic burden.

Conclusion: Our findings demonstrate that in non-obese, non-diabetic individuals, the increasing values of BMI are associated with impaired protective functions of HDL and concomitant increase in atherosclerotic burden.

September the 2nd, 2019,

Dear Editor,

I, along with my coauthors, would like to ask you to reconsider the revised attached manuscript entitled “Excess weight-induced decline of multiple HDL functions favors atherosclerosis” for publication in nutrition metabolism and cardiovascular diseases (NMCD) as a research article.

As required, we answered point-by-point the Reviewers queries (attached) and changed the original text using red fonts to facilitate reading.

We hope the changes have reached the Journal requirements and we look forward to hearing from you in the due course.

Sincerely,

Andrei Sposito, MD, Ph.D

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Excess weight mediates changes in HDL pool that reduce cholesterol efflux capacity and increase antioxidant activity

Dear Maurizio R. Averna,

I thank you very much for allowing us to resubmit a revised version of our manuscript.

We made all the required modifications and provided the answers point-by-point in order to deal with the questions posed by the Reviewers. We believe the current version of the study is much improved and we hope it reaches the standards for publication in Nutrition, Metabolism & Cardiovascular Diseases.

Prof. Andrei C Sposito
Senior Author

Point-by-point answers to Reviewers

Reviewers' comments:

Reviewer #1:

- In this cross-sectional study the Authors assessed the association between body weight, cIMT and HDL levels and in a large cohort of non-diabetic subjects with overweight and no obesity. In a further study performed in a subsample of consecutive patients, they also evaluated the association between BMI and HDL function. In particular, they found a negative association between total cholesterol efflux capacity of HDL and increasing body weight.

Both studies are very interesting, innovative and well performed.

The only result that is difficult to interpret is the increase in the antioxidant capacity of HDL with increasing BMI. This result is even more surprising as the antioxidant capacity of HDL was negatively correlated with total cholesterol efflux capacity of HDL. In fact, in overweight and obesity, as well as in the metabolic syndrome, type 2 diabetes and nafld, there is always an increased systemic oxidative stress as the result of increased pro-oxidants and decreased antioxidants such as adiponectin and vitamin E.

Therefore, I recommend the authors to better comment on this in the Discussion given the well-known positive relationship between oxidative stress and BMI.

-We agree with the comment and inserted a paragraph expanding this discussion as required (lines 412-421).

Reviewer #2: In this study, the authors aimed to investigate HDL functions in the setting of weight gain. While the topic is of interest, the reviewer has some concerns that are listed below:

1. Table 1, it was mentioned that "All continuous variables are expressed as medians and interquartile ranges". Can you double check the numbers in parentheses and how are these numbers related to interquartile ranges?
-We appreciated the issue you raised. We double checked and confirmed these dispersion measurements.

2. How were the subgroup individuals selected? Also, in the full dataset, the age goes higher in high BMI group. In the subgroup, the age difference was not as large. It would be helpful to provide more information about how these people were selected.
-We thank the Reviewer for calling our attention to this lack of clarity and accordingly we included a new statement with details of subgroup selection procedure (lines 126-133).

3. Table 4 is suggested to be rearranged. The current table is hard to follow. It would be easier if the actual linear regression models are provided as well.
- As requested, Table 4 has been rearranged to improve its understanding and clarity.

4. Carotid intima-media thickness (cIMT) is a phenotype that is strongly correlated with disease status. Do you have actual disease status in your cohorts? Does it show consistent results?
- We certainly agree with this Reviewer's comment. According to the study design, all enrolled patients were asymptomatic and had a low burden of cardiovascular risk factors. In this way, we have no other aspect of health status to add in the demographics. The follow-up data would certainly inform more about these differences. However, in compliance with national public health standards, since we are a tertiary university hospital, such primary prevention patients must be referred to the primary care network. The question posed by the Reviewer is highly pertinent and we are in the way of raising the follow-up data for such an approach for testing several potential predictors. Unfortunately, raising and adjudicating this data have been time consuming and challenging in many ways, as you certainly know. Thus, although we deeply consider the Reviewer's suggestion, we are not able to obtain this data in timely way for the resubmission of this manuscript.

5. Was CT scans of visceral or subcutaneous fat available? Since BMI is a very general measure of body adiposity and does not differentiate between visceral and subcutaneous fat, it would be very informative to include CT derived fat measures if they are available.

-We completely agree with the suggestion. It would be very informative to have more accurate body composition measurements, but unfortunately, we do not have.

6. What are the ethnicities of the individuals? Are they from the same ethnic background? Are they from the same geographic area? Any dietary differences?

- We thank the Reviewer for raising this important question. We have been trying to verify this ethnic interaction in Brazilian population on several occasions and we have been challenged by the limitation of the ethnic definition based on phenotype. In our country, in a recent publication, 20 to 50% of European ancestry was found among black individuals and 1 to 24% of African ancestry was found among phenotypically characterized Caucasians. In the region where the study was conducted, individuals characterized as black have an average of 50% African ancestry and 43% European ancestry (PLoS ONE 6(2): e17063. doi:10.1371/journal.pone.0017063). Despite of this limitation, we included phenotype-based ethnicity of the enrolled individuals and added some comments on the limitation of this approach in the Discussion. Regarding the geographical area, all individuals were enrolled in two cities about 100 km apart. We don't have any information about dietary intake.

7. Since the Antioxidant observation is unexpected. Are there additional individuals available to potentially replication the effect?

- The reviewer is completely right in his/her concern. We were equally surprised by the finding but we believe it is consistent with the change in HDL size that follows weight gain. A large amount of data has demonstrated that smaller particles are usually worse for cholesterol efflux and better in antioxidant activity (J Biol Chem. 2000 Nov 24;275(47):36596-604./ Arterioscler Thromb Vasc Biol. 2004;24:526-533). We insert a paragraph by expanding this discussion and clarifying this hypothesis (lines 369-385). The experiments were repeated to confirm this finding, but always using the same method. To the best of our knowledge, there are no other ways to globally test HDL's antioxidant activity, but rather to test some of HDL's antioxidant proteins mostly PON1. Unfortunately, we did not reserved samples for such additional tests but we agree that a deeper assessment is required to advance the understanding of this matter. We have included this limitation in the Discussion.

Reviewer #3: Sposito et al. present a study that explores the potential mechanisms of interaction between HDL functions and overweight on the carotid intima-media thickness of a sample of healthy individuals. Overall the study is well written with a clear hypothesis driven method. The topic is highly important since atherogenesis is not completely understood. However I have some concerns that are described below:

Major

When comparing age, LDL-c, total cholesterol, ApoA-I, ApoB and HDL-C between the whole sample and the subsample there is consistent discrepancy across sample described in table 1 and table 2 and table 3 (the last two describing the sample involved in the mechanistic tests and the first describing the study sample). The number of participants described in the abstract, in methods and in the tables are not matching.

-We completely agree and we corrected this lack of clarity stating more clearly that the sample (N=899) analyzed in this paper comes from two separate studies and centers: Dante Pazzanese (339 individuals, NCT02487615) and primary care centers of Campinas (560 individuals, NCT02106013) and that from this sample, a sub-sample with 101 individuals was randomly selected for studying HDL functions.

-Given that some of the main findings of the study are based on a convenience and possibly underpowered sub-sample that may be not representative of study population, I am not sure that the results support the main findings.

- We understand the Reviewer's concern and understand his/her point of view. As we pointed out, functional tests were performed on a subsample due to the fact of being highly laborious methods and, in this study, particularly more laborious due to the original proposal of simultaneously evaluate a set of functional activities of HDL. In most studies, each of these analyzes is done individually, some of them using larger sample size. Reduction in cholesterol efflux capacity, for example, had already been reported in a larger sample of individuals demonstrating that this HDL function decreases in obese individuals; a report consistent with our present finding (Lancet Diabetes Endocrinol 2015; 3: 507–13). The increased antioxidant activity was also recently reported (Lipids Health Dis. 2016 May 11; 15: 92). Such increase in antioxidant activity is consistent with the decrease in HDL size that typically follows weight gain, as reported in the present study and others (J. Lipid Res. 2017. 58: 1916–1923). By these arguments, we believe that the present report deserves to be published and considered by the scientific community, thereby stimulating further replication and deepening in its molecular mechanism.

Minor

-Abstract

The objective is described as a background. The research question should be presented in this section.

-We appreciated the comment and modified as suggested (lines 52-56).

The methods could be described in more details.

-We modified as suggested.

Results could present the effect estimates with CI (95%)

-We included Spearman's rho value and p values, as well CI 95% and b-value in the abstract.

-Methods

The choice of the model is not clear to me. Since insulin is in the pathway of atherogenic dyslipidemia, adjusting for it could potentially "wash" the true association.

-We did a new model for regression analysis without insulin (Table 4) and the effect of the HDL functions in the model looks similar. The individual contribution of "insulin" to the model did not affect the outcome. For instance, in the model with HDL-C, BMI, age, sex, CEC and insulin, the b value of insulin was 0.010 (Std error 0.08, p value 0.229).

-Results

Correlation coefficients are presented without prior description in methods section. Additionally, these correlations are interpreted as associations.

-We appreciated that the reviewer noticed this detail. The description of correlation was added in methods section (Lines 244-245) and we made corrections regarding the use of associations when we meant correlation (lines 285 and 303).

1 **Excess weight mediates changes in HDL pool that reduce cholesterol efflux capacity**
2 **and increase antioxidant activity**

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22 **Running title:** Excess weight and HDL functions

23 The authors declare no conflict of interest.

24 **Keywords** - atherosclerosis; cholesterol efflux; HDL metabolism; HDL; obesity

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28 **Abbreviations:** ALT, alanine aminotransferase; ApoA-I, apolipoprotein A-I; AST,
29 aspartate aminotransferase; CE, cholesteryl ester; CEC, Cholesterol efflux capacity; CETP,
30 cholesteryl ester transfer protein; cIMT, carotid intima-media thickness; FC, free cholesterol;
31 HL, hepatic lipase; HOMA2 % β , Homeostasis model assessment 2 of beta-cell function;
32 HOMA2-IR, Homeostasis model assessment 2 of insulin resistance; HOMA2 %S, Homeostasis
33 model assessment 2 of insulin sensitivity; HUVEC, human umbilical vein endothelial cells;
34 LCAT, Lecithin–cholesterol acyltransferase activity; PL, phospholipidis; LPL, Lipoprotein
35 lipase; PON, paraoxonase; TC, total cholesterol; PLTP, phospholipids transfer protein; VCAM-
36 1, vascular cell adhesion molecule-1.

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51 **Abstract:**

52 **Background:** Obesity-related decline in high-density lipoprotein (HDL) functions such
53 as cholesterol efflux capacity (CEC) has supported the notion that this lipoprotein dysfunction
54 may contribute for atherogenesis among obese patients. We investigated if potentially other
55 HDL protective actions may be affected with weight gain and these changes may occur even
56 before the obesity range in a cross-sectional analysis.

57 **Methods:** Lipid profile, body mass index (BMI), biochemical measurements, and
58 carotid intima-media thickness (cIMT) were obtained in this cross-sectional study with 899
59 asymptomatic individuals. Lipoproteins were separated by ultracentrifugation and HDL
60 physical-chemical characterization, CEC, antioxidant activity, anti-inflammatory activity, HDL-
61 mediated platelet aggregation inhibition were measured in a randomly-selected subgroup
62 (n=101).

63 **Results:** Individuals with increased HDL-C had an attenuated increase in cIMT with
64 elevation of BMI (interaction effect $\beta=-0.054$; CI 95% -0.0815, -0.0301). CEC, HDL-C,
65 HDL size and HDL-antioxidant activity were negatively associated with cIMT. BMI was
66 inversely correlated with HDL-mediated inhibition of platelet aggregation (Spearman's rho -
67 0.157, $p < 0.03$) and CEC (Spearman's rho -0.32, $p < 0.001$), but surprisingly it was directly
68 correlated with the antioxidant activity (Spearman's rho 0.194, $p=0.052$). Thus, even in non-
69 obese, non-diabetic individuals, increased BMI is associated with a wide change in protective
70 functions of HDL, reducing CEC and increasing antioxidant activity. In these subjects,
71 decreased HDL concentration, size or function are related to increased atherosclerotic burden.

72 **Conclusion:** Our findings demonstrate that in non-obese, non-diabetic individuals, the
73 increasing values of BMI are associated with impaired protective functions of HDL and
74 concomitant increase in atherosclerotic burden.

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80 **1. Introduction**

81 Presently, one in three individuals with excess weight will die from cardiovascular
82 disease (CVD)[1, 2]. In fact, both obesity (BMI >30 kg/m²) and overweight (BMI of 25 to <30
83 kg/m²) individuals are at increased risk of cardiovascular death as compared with those with
84 BMI within the normal range (18.5 to <25 kg/m²)[3]. The proposed milieu for this interaction
85 involves a spectrum of mechanisms in which phenotypic or functional changes in high-density
86 lipoprotein (HDL) are involved [4].

87 The identification of HDL involvement in adiposopathy is not a recent issue. Since the
88 metabolic syndrome was conceived, all clinical criteria for its diagnosis included low levels of
89 plasma HDL-cholesterol (HDL-C) as a marker of metabolically, unhealthy obesity. In the
90 mechanistic point of view, the combination of increased substrate, such as triglyceride-rich
91 lipoproteins, and increased activity of HDL remodeling proteins, such as cholesteryl ester
92 transfer protein (CETP), and hepatic lipase (HL), coexists in overweight individuals as a result
93 of insulin resistance, promoting a reduction in HDL concentration, size and its content of
94 apolipoproteins AI (ApoA-I). In addition, a decrease in the overall cholesterol efflux capacity
95 (CEC) has been reported in obese subjects [5], despite the increased ABCA1-mediated
96 cholesterol efflux[6].

97 Besides CEC, HDL also mediates several other anti-atherosclerotic mechanisms, such
98 as antioxidant, anti-inflammatory activities and inhibition of platelet aggregation, whose extent
99 is sensitive to phenotypic changes of the particles, such as those described above. If this is so,
100 more than the reduced capacity as free cholesterol acceptor, an overall dysfunction in the HDL
101 system may follow weight gain [7]. Furthermore, as the magnitude of these phenotypic changes
102 in HDL occurs in parallel with the increase in BMI, it is possible that a cluster of particle
103 dysfunctions occurs earlier with the weight gain, possibly even before the criterion for
104 overweight. Hence, a metabolic legacy related to HDL may contribute to the future CVD risk.
105 In order to shed some light on these gaps, we designed this study to evaluate the impact of BMI

106 on the interaction between HDL concentration and functions with atherosclerotic burden in pre-
107 obese individuals.

108 **2. Materials and methods**

109 **2.1. Cross-sectional study description**

110 We evaluated a sample of 899 asymptomatic individuals who were invited to participate
111 and were enrolled between 2008 and 2013 in two different studies in two centers about 100 km
112 apart: the outpatient clinic at Dante Pazzanese Institute of Cardiology, São Paulo (SP), Brazil
113 (N=339, NCT02487615) and governmental primary care centers of the city of Campinas, SP,
114 Brazil (N=560, NCT02106013). Inclusion criteria were: (1) no manifested atherosclerotic
115 CVD; (2) no diagnosis of type 2 diabetes based on antidiabetic treatment, fast blood glycemia
116 ≥ 126 mg/dL, glycated hemoglobin $\geq 6.5\%$ or glycemia ≥ 200 mg/dL on 120-minutes oral
117 tolerance test and (3) age between 20 and 75 years old. We excluded individuals with (1)
118 uncontrolled hyper (thyroid stimulating hormone (TSH) $< 0.41 \mu\text{UI/mL}$ or free thyroxin
119 $> 1.8 \text{ ng/dL}$) or hypothyroidism (TSH $> 4.50 \mu\text{UI/mL}$ or free thyroxin $< 0.9 \text{ ng/dL}$); (2) antidiabetic
120 medications; (3) liver disease, as indicated by ALT or AST over two times the upper limit; (4)
121 urea $> 40 \text{ mg/dL}$; (5) glomerular filtration rate $\leq 60 \text{ mL/min/1.73m}^2$; (6) heart failure NYHA
122 stage $\geq \text{III}$; (7) HIV positive or (8) withdrawal of informed consent. Patients underwent clinical
123 examination, as well as biochemical analysis. Subclinical atherosclerosis was measured in all
124 patients up to a month after being included. The study was approved by the local institutional
125 ethical committee of the Dante Pazzanese Institute of Cardiology (registration number
126 3852/2009). It is also registered at ClinicalTrials.Gov by the identification NCT02487615. All
127 patients provided written and informed consent forms before taking part in the study. The study
128 protocol conforms to the ethical guidelines of the 1975 Declaration of Helsinki.

129 The second sample came from the 560 enrolled participants in the governmental
130 primary care centers of the city of Campinas. Initially, we evaluated lipid results from
131 individuals who sought governmental primary care centers (n=598,288). We excluded 544,797
132 under 40 years of age, LDL $> 130 \text{ mg / dL}$ and triglycerides $> 150 \text{ mg / dL}$. From the remaining

133 54,491, we pre-screened by phone 13,381 individuals we were able to contact. We excluded
134 11.845 subjects based on self-reported BMI >30 kg/m², medical treatments, smoking, alcohol
135 use (>14g/day) and individuals engaged in regular physical activity. From the remaining 1.536
136 individuals, 919 attended the clinical visit, we included 560 complete cases based on the
137 inclusion criteria above. Among these, we studied the HDL function of consecutive 101
138 individuals. The study was approved by the local institutional ethical committee of the Hospital
139 das Clínicas of the State University of Campinas (registration number 1260/2010). It is
140 registered at ClinicalTrials.Gov by the identification NCT02106013. All patients provided
141 written and informed consent forms before taking part in the study. The study protocol
142 conforms to the ethical guidelines of the 1975 Declaration of Helsinki.

143 **2.2. Biochemical analysis**

144 Blood samples were drawn after a 12-hour fasting period. The following biochemical
145 measurements were performed: triglycerides, total cholesterol, HDL-C, creatinine, c-reactive
146 protein (CRP), insulin, glucose, and insulin. LDL-C was calculated using Friedewald's
147 equation. Glomerular filtration rate was calculated by the CKD-EPI equation. HOMA2 % S,
148 HOMA2-IR and HOMA2%β were calculated using computer models [8].

149 **2.3. Carotid artery ultrasound**

150 Carotid Doppler ultrasound was performed using high-resolution Vivid 7 ultrasound
151 (GE, USA) and high-frequency linear transducer (9 MHz) with automatic border recognizer
152 detection as described previously in Bertolami et al [9]. Briefly, the cIMT was obtained by
153 means of image processing of B-mode ultrasonograms of the right and left automatic
154 measurement. High-resolution B-mode ultra-sonographic imaging was performed initially
155 evaluating the common carotid artery with antero-oblique insonation above the clavicle and
156 alongside the internal carotid artery, as standardized procedure[10]. Measurement of cIMT was
157 obtained 20 mm proximally from the carotid bifurcation as the distance between the lumen–
158 intima interface and the media–adventitia interface[11].

159 **2.4. Lipoprotein isolation**

160 LDL was isolated from a pool of normolipidemic sera from 20 volunteers, through
161 sequential ultracentrifugation using a Beckman L8-M ultracentrifuge (Beckman Coulter Inc.,
162 Palo Alto, USA), with a 75Ti fixed angle rotor (Havel, 1955 #11). HDL was isolated from each
163 study participant through density gradient ultracentrifugation[12] with the use of a SW41Ti
164 rotor. Isolated lipoproteins were extensively dialyzed against EDTA-free PBS for 24h, at 4°C, in
165 a dark room. All assays were performed in freshly isolated lipoproteins that were kept at 4°C for
166 a maximum period of 15 days.

167 **2.5. HDL chemical composition and molar concentration measurements**

168 HDL chemical composition was measured using commercially available enzymatic kits,
169 in the microplate reader Power Wave XS (BioTek®, Winooski, USA). Total proteins (Pierce™
170 BCA Protein Assay Kit, Thermo Scientific, Rockford, USA), TC (CHOD-PAP, total
171 cholesterol, Roche Diagnostics® reagents, Mannheim, Germany), FC (Free Cholesterol E,
172 Wako Chemicals, Richmond, USA), PL (Phospholipids C, Wako Chemicals, Richmond, USA),
173 TG (TG, GPO-PAP, Roche Diagnostics® reagents, Mannheim, Germany) and ApoA-I (TINA
174 QUANT APOA1 V2, Roche Diagnostics® reagents, Mannheim, Germany) were measured,
175 while CE was calculated according to the following formula: $(TC-FC) \times 1.67$ [12]. The relative
176 content of ApoA-I (HDL-ApoA-I) or lipids in HDL was calculated based on their proportion to
177 the total mass of HDL, calculated as the sum of FC, PL, TG, CE, and total proteins. HDL molar
178 concentration was estimated based on particle total mass and molecular weight[12].

179 **2.6. HDL physical-chemical characterization**

180 HDL particle size was determined using dynamic light scattering, in a Nanotracs Particle
181 Size Analyser 250 (Microtracs Inc., Montgomeryville, USA)[13]. Zeta potential was determined
182 in HDL diluted 1:10 in KCl 10mM, using laser Doppler micro-electrophoresis, in the Zetasizer
183 Nano ZS (Malvern Instruments, Malvern, UK).

184 **2.7. Determination of proteins involved in HDL metabolism**

185 CETP and PLTP activities were measured using exogenous radiometric assays, as
186 previously described[14, 15]. LPL and HL activities were measured in fasted post-heparin
187 plasma samples, collected 15min after the intravenous administration of heparin (100U/kg body

188 weight), in an assay based on fatty acid release from a radiolabeled triolein emulsion[16]. LCAT
189 activity was determined using recombinant HDL, according to standardized method [17]. PON
190 activity was measured using paraoxon (diethyl-p-nitrophenylphosphate, Sigma, St. Louis, MO,
191 USA) as substrate [18].

192 **2.8. HDL antioxidant activity**

193 HDL antioxidant activity was measured in a kinetic fluorimetric assay adapted from
194 Navab et al[19]. Oxidation was monitored as changes in the fluorescence intensity of 2',7'-
195 dichlorofluoresceine (DCFH). For the antioxidant activity assays, LDL (final concentration,
196 20mgTC/dL) and CuSO₄ (final concentration, 0.5μM) were added to DCFH-containing tubes
197 (final concentration, 2mg/mL), followed by the addition or not of HDL (final concentration,
198 15mg total mass/dL). The volume was adjusted to 100μL with Chelex treated-PBS and the
199 reaction mixture transferred onto a black 96-well microplate. The plate was covered with an
200 optical adhesive cover to avoid evaporation and incubated at 37°C. Fluorescence intensity was
201 measured over 24h with 15-minute intervals in a fluorescence microplate reader (Spectra Max
202 M5; Molecular Devices, Sunnyvale, USA) at an excitation wavelength of 485nm, emission
203 wavelength of 540 nm, and cut-off of 530nm. Results of antioxidant activity are presented as the
204 percentage of inhibition of LDL oxidation in the presence of each subject's HDL when
205 compared to control wells (LDL alone).

206 **2.9. HDL anti-inflammatory activity**

207 HDL's anti-inflammatory activity was measured in HUVEC in an assay adapted from
208 Besler et al[20]. Cells were cultured in RPMI 1640 medium containing 10% fetal calf serum,
209 penicillin, and streptomycin and maintained in a 5% CO₂ incubator at 37°C. After reaching
210 confluence, they were plated in 24-well culture plate (3 x 10⁵ cells/well, and incubated with
211 TNF-α (1ng/mL), with or without HDL (50μg ApoA-I/mL) for three hours. The culture media
212 were collected and stored at -80°C. Due to the low VCAM-1 concentrations, samples were
213 concentrated using the Amicon® Ultra Centrifugal Filters, 50K (Millipore, Massachusetts,
214 USA) and then VCAM-1 concentrations were measured using the Human VCAM-1 ELISA Kit
215 (Cat number ECM340, Millipore, Massachusetts, USA). Results are expressed as the percentage

216 of decrease in VCAM-1 concentrations in the wells incubated with HDL when compared to the
217 control wells without HDL.

218 **2.10. Cholesterol efflux capacity (CEC) assay**

219 Global cellular CEC was performed using J774 macrophages enriched with acetylated
220 LDL and ^{14}C -cholesterol and HDL as the cholesterol acceptor[21]. In summary, J774
221 macrophages were cultured in RPMI 1640 medium containing 10% fetal calf serum, penicillin,
222 and streptomycin and maintained in a 5% CO_2 incubator at 37°C . After reaching confluence,
223 cells were plated in a 96-well plate (1.25×10^5 cells/well, and enriched with acetylated LDL (50
224 $\mu\text{g}/\text{mL}$) and ^{14}C -free cholesterol ($0.3\mu\text{Ci} / \text{mL}$). After 48h, cells were washed with PBS
225 containing fatty acid-free albumin (FAFA) and equilibrated with DMEM containing FAFA for
226 24 hours. The cells were then washed twice and incubated with HDL ($50\mu\text{g ApoA-I}/\text{mL}$) for 8
227 hours. Media were collected and the radioactivity measured in a beta-scintillation counter. Cells
228 were rinsed twice with cold physiologic saline and the intracellular lipids extracted with hexane:
229 isopropanol (3:2, v/v). Solvent was evaporated and radioactivity measured. The percentage of
230 ^{14}C -CEC was calculated as (^{14}C -cholesterol in the medium/ ^{14}C -cholesterol in cells+medium)
231 $\times 100$.

232 **2.11. HDL-mediated platelet aggregation inhibition**

233 HDL ability to inhibit platelet aggregation was measured as described by Valiyaveetil
234 et al[22]. HDL (0.8mg protein/mL) was mildly oxidized by dialysis against PBS + $5\mu\text{M}$ CuSO_4 ,
235 for 24h at 37°C . Citrated blood was drawn from a healthy donor and platelet-rich plasma (PRP)
236 obtained by centrifugation at 800rpm for 15 minutes. PRP was then incubated with native or
237 oxidized HDL (0.5 mg/mL) for 30 minutes at 37°C . Platelet aggregation was monitored using a
238 Lumi-Aggregometer type 500 VS (Chrono-log, Havertown, USA) for 6 minutes after the
239 addition of ADP ($5\mu\text{M}$). Results are expressed as percentage of the inhibition of platelet
240 aggregation induced by oxidized compared to native HDL.

241 **2.12. Statistical analysis**

242 The distribution of all variables was tested with Kolmogorov-Smirnov test. Jonckheere-
243 Terpstra trend in one-tail test was used to evaluate demographic, clinical variables and HDL

244 characterization among three groups clustered using BMI and expressed as median and
245 interquartile range. ANCOVA was used to perform comparisons among groups involving
246 enzymatic components of HDL adjusted for age, sex, and HOMA2 %S. Correlations were
247 assessed with the use of nonparametric Spearman coefficients. Mediation analysis using linear
248 models (with a freely available macro for IBM SPSS[®] [23]) were used to assess interactions of
249 BMI within the association between HDL functions and cIMT, the only outcome, adjusted for
250 age, sex, HDL-C, BMI, and HOMA2 %S. HDL functions and HDL-C were modeled with
251 generalized linear regression. The BMI effect on the cIMT among six HDL functions (HDL-C,
252 HDL size, antioxidant activity, CEC, HDL-mediated platelet inhibition, and anti-inflammatory
253 activity) was evaluated with the use of interaction tests. We used complete case analysis to
254 handle missing data. To construct the 3D surface plot 1, we included only HDL-C values
255 between 40mg / dL and 100mg / dL; HDL size between 7.0 nm and 9.5 nm; CEC between 8%
256 and 20%; antioxidant activity between 0 and 100%. For the remainder of the regression
257 analyzes, we used the entire sample size of the sub-sample of 99 individuals. Two-sided $p \leq 0.05$
258 were considered to indicate statistical significance. Analyses were performed using IBM
259 SPSS[®] version 21.0 statistical software. Scatter plot was performed using GraphPad Prism
260 version 7.0 for Mac, GraphPad Software, La Jolla California USA, www.graphpad.com".
261 Polynomial splines were used to evaluate the relation between BMI or carotid IMT vs CEC or
262 Antioxidant activity or a compound variable, after transforming CEC and Antioxidant activity
263 into positive z-scores. The compound variable (Z-Efflux + Z-Antioxidant activity) was defined
264 by the sum of z-scores for CEC and antioxidant activity. In order to avoid overfitting, we
265 excluded extreme values at x-axis (<5th percentile and >95%percentile) and smoothing
266 parameters for polinomial derivation (degrees of freedom [df] and lambda) were defined after
267 cross validation. Spline curves were performed in R software, version 3.2.1 (R Foundation for
268 Statistical Computing). We used MATLAB[®] (version 4.10, The MathWorks, Inc, Apple Hill
269 Drive Natick, MA) to fit a 3D curve using second polynomial least square surface.

270

271 3. Results

272 3.1. Overall study participants characteristics

273 The baseline characteristics of participants are shown in Table 1 stratified by BMI status
274 (n=899). **Black race prevailed among the participants with increased BMI.** Also, the female
275 gender prevailed among the participants and BMI values were associated with waist
276 circumference, increased cIMT and impaired metabolic parameters; *i.e.* lower HDL-C, Apo-AI
277 and HOMA2%S, as well as higher triglycerides, insulin and fasting glucose. Similarly, a
278 stepped increase in LDL-C and total cholesterol was also noted with increasing BMI.

279 3.2. Association between BMI, HDL-C and cIMT

280 In the whole studied sample (n=899), multivariable linear regression analyses adjusted for
281 BMI, age, gender, and plasma insulin or HOMA2-IR, confirmed the association between cIMT
282 and HDL-C ($\beta=-0.11$; 95%CI=-0.020;0.000; $p=0.03$) and identified the existence of a
283 significant interaction of BMI upon this association ($\beta=-0.054$; CI 95% -0.0815, -0.0301). To
284 visualize the pattern for such BMI interaction, 3D surface plots were applied based on second
285 polynomial least square regression (Figure 1). As shown in the Figure 1A, in subjects with
286 increased BMI, reduced HDL-C levels were associated with increased cIMT. In contrast, in
287 those individuals with reduced BMI, no clear association was found between HDL-C and cIMT.
288 In order to provide a deeper assessment of this interaction, a broad spectrum of HDL functions
289 was investigated in a subgroup of these individuals. As it would be expected, an inverse
290 **correlation** was found between BMI and HDL-C (Spearman's rho -0.028, $p < 0.0001$) and HDL
291 size (Spearman's rho -0.175, $p < 0.0001$).

292 3.3. Association between BMI, HDL functions and cIMT in the subgroup analyses

293 The subgroup characteristics are shown on Table 2. In the subgroup, we found a stepped
294 decrease of total HDL mass, HDL size, and HDL content in triglyceride, free cholesterol,
295 cholesteryl ester, and phospholipid across BMI categories (Table 3). PON activity adjusted for
296 the total number of HDL particles, *i.e.* PON/HDL-C ratio, increased in parallel with BMI

297 categories. There was no relation between BMI categories and activities of PLTP, LCAT, HL or
298 LPL (Table 3).

299 In line with previous studies, as shown in Figure 2A (linear beta -0.38, polynomial
300 $R^2=0.19$, $p=0.001$; Spearman's rho -0.32, $p<0.001$), we reported a progressive decline in CEC
301 as BMI increases. In contrast, we found a progressive increase in HDL antioxidant activity
302 which was proportional and reciprocal as compared with CEC (linear beta +1.38, polynomial
303 $R^2=0.12$, $p=0.04$; Spearman's rho 0.194, $p=0.052$) (Figure 2B). Both changes were mainly
304 mediated by the HDL size which is inversely related to weight gain. Based on this assumption,
305 the compound variable of z-transformed efflux plus antioxidant activity should remain
306 approximately constant as HDL size changes due to excess weight (p value 0.75) (Figure 2C).
307 Increasing values of the compound variable were associated lower carotid IMT (linear beta -
308 0.10, polynomial $R^2=0.26$, $p<0.001$) (Figure 2D). Still, correlation analyses were made between
309 BMI and HDL functions. An inverse correlation was also found with HDL-mediated platelet
310 inhibition (Spearman's rho -0.157, $p < 0.03$). No correlation was found between BMI and HDL
311 anti-inflammatory activity (Spearman's rho -0.009, $p < 0.904$) nor between each of the HDL
312 functions.

313 Since both the abundance of substrate, *i.e.* triglyceride-rich lipoproteins, and the activities of
314 transport proteins are potentially involved in the effect of excess weight on HDL phenotype,
315 multivariate linear regression models were applied to estimate the influence of intravascular
316 HDL-remodeling proteins, *i.e.* CETP, LPL, HL, LCAT and PLTP on HDL size. CETP ($\beta=-0.12$;
317 95% CI=-0.30;-0.01; $p=0.030$), HL ($\beta=-0.28$; 95% CI=-0.35;-0.12; $p<0.0001$) and LCAT ($\beta=-$
318 0.36; 95% CI=-0.28;-0.13; $p<0.0001$) were drivers for HDL size. HDL size was also associated
319 with plasma triglycerides ($\beta=-0.17$; 95% CI=-0.06;-0.02; $p<0.001$), HOMA2-IR ($\beta=0.21$;
320 95% CI=0.01;0.42; $p=0.001$), PON activity/HDL-C ($\beta=-0.33$; 95% CI=-0.47;-0.13; $p<0.0001$)
321 and HDL-mediated antioxidant activity ($\beta=-0.70$; 95% CI=-0.81;-0.12; $p=0.009$). Association
322 was found between HOMA2-IR and HDL-mediated antioxidant activity ($\beta=-2.1$; 95% CI=-
323 3.66;-0.60; $p=0.007$).

324 In order to estimate the association between HDL functions and cIMT, we used multiple
325 linear regression with adjustments for the following confounders (BMI, age, sex, HDL-c and
326 insulin), which were selected based on univariate significance or clinical relevance (age, sex)
327 (Table 4). We observed a negative association between CEC and cIMT, which remained
328 significant after initial adjustment for the same confounders above, or even after adjustment for
329 HDL size ($\beta=-0.18$; 95%CI=-0.138; -0.011; $p=0.02$), antioxidant activity ($\beta=-0.19$; 95%CI=-
330 0.003;0.000; $p=0.04$) and PON activity/HDL-C ($\beta=-0.16$; 95%CI=-0.065;-0.002; $p=0.02$). The
331 antioxidant activity of HDL was also inversely related to cIMT. Anti-inflammatory activity,
332 PON activity/HDL-C and HDL-mediated platelet inhibition were not associated with cIMT in
333 an adjusted model.

334 Interactions of BMI were found within the linear associations between cIMT and CEC
335 ($p=0.03$), and cIMT and antioxidant activity ($p=0.04$). Although the 95% CI suggests a tendency
336 towards a moderating effect of the BMI on the association between HDL size and cIMT, this
337 interaction did not reach statistical significance ($p=0.13$) (Fig. 1B). As displayed in Fig 1C, the
338 inverse association between CEC and cIMT seems to increase in parallel with BMI values. In
339 contrast, as shown in Fig 1D, the inverse association between cIMT and antioxidant activity of
340 HDL increases with increasing BMI.

341

342 **4. Discussion**

343

344 Recent data have shown that the predictive value of HDL-C for estimating the risk of
345 cardiovascular events declines after CVD manifestation[24]; a failure that has been attributed to
346 the increased generation of dysfunctional HDL in a setting of chronic or acute disease. As
347 pointed out in subjects with CVD, we hypothesized that excess weight may influence the
348 relationship between HDL-C and the risk of atherosclerotic disease. After confirming this
349 hypothesis, we moved forward investigating whether more subtle changes in the HDL system
350 could occur in overweight individuals and whether this could justify a change in the association
351 pattern between HDL-C and atherosclerotic disease. Taken together, our findings revealed the
352 following evidence: (i) the pattern of association between HDL-C and cIMT differs according to

353 the presence or absence of excess weight; (ii) increased BMI is associated with the simultaneous
354 change of multiple anti-atherosclerotic functions of HDL, including CEC and antioxidant
355 activity; (iii) the existing association between excess weight and carotid atherosclerotic burden
356 is in part attributable to HDL dysfunction; and (iv) HDL-mediated inhibition of platelet
357 aggregation declines with excess weight.

358 To the best of our knowledge, only few studies have previously assessed the impact of
359 body weight on HDL function in non-obese individuals and none used a simultaneous
360 assessment of multiple functions. In this clinical setting, CEC has been the main HDL function
361 assessed, which was investigated in interventional [3], case-control[25] and cross-sectional [5]
362 studies. In a large nested case-control study from the EPIC-Norfolk cohort, for example,
363 discrete changes in BMI in the overweight range was inversely correlated with CEC [25]. On
364 this specific aspect, our results are in line with previous studies.

365 Weight gain promotes a wide range of metabolic changes, among which insulin
366 resistance is the cornerstone for CVD risk. As a result of insulin resistance, for example, there is
367 an increase in the fatty acid efflux and in the activities of CETP and HL leading to a reduction
368 in HDL size, an increase in the ApoA-I catabolic rate and a reduction in CEC[5, 26, 27]. In
369 contrast with the evidence in obese subjects [28-30], our study did not identify changes in CETP
370 and HL activities across BMI categories although their activities were associated with HDL size
371 change. Thus, at this stage of excess weight, the biochemical and size changes in HDL particles
372 is more likely a consequence of increased substrate for HDL particle remodeling, *i.e.* increased
373 plasma concentration of triglyceride-rich lipoproteins.

374 These changes in the HDL system have traditionally been reported as a component
375 cause for the increased risk of CVD in obese individuals[31]. In those who are overweight but
376 below the obesity threshold, we revealed a similar scenario, *i.e.* insulin resistance inversely
377 relates with overweight, HDL size and CEC. It is interesting to note that these changes occurred
378 even before the threshold for overweight as defined by World Health Organization (WHO).
379 Thus, according to previous translational studies[32] or actuarial analyzes based on

380 observational cohorts[33], our data support the concept that the threshold for pathogenic body
381 mass is probably below the WHO definition.

382 Although it is clear that there is functional impairment, it is plausible that the change in
383 the HDL phenotype would have an adaptive biological purpose motivated by the chronic
384 increase of oxidative stress and by low-grade systemic inflammation triggered by weight gain.
385 Such adaptive response has been reported in distinct scenarios related to excess weight, in
386 accumulation of brown adipose tissue (BAT). BAT from obese mice have increased reactive
387 oxygen species (ROS) generation that is followed by a concomitant increase in antioxidant
388 enzymes catalase (CAT), superoxide dismutase (SOD) and glutathione peroxidase [34, 35]. In
389 this way, we believe that in individuals with low burden of cardiovascular risk factors such as
390 those enrolled in this study, a decrease in HDL size may represent an adaptive strategy to
391 attenuate the increased oxidative stress. Whether the onset of obesity complications such as
392 diabetes or hypertension may or may not affect this adaptive response we do not currently
393 know. Further studies are needed to verify this hypothesis.

394 Evidence from clinical studies suggest that HDL antioxidant capacity is proportionally
395 increased in individuals with high blood levels of serum amyloid A (SAA) levels, which usually
396 occur in acute stress situations[36]. In addition, plasma proteome remodeling with weight loss is
397 accompanied by decrease in SAA[37], although it is not possible to extrapolate to the rational
398 weight gain/increased SAA/increased HDL antioxidant capacity. Conversely, a recent paper
399 [38] demonstrated that there is a non-significant trend towards an increase in total HDL
400 antioxidant activity (780 vs 620 nmol / ml sample) in obese white individuals (mean BMI 33.4
401 \pm 0.8) versus normal-weight individuals (mean BMI 22.6 \pm 0.7). This trend is inverse (640 vs
402 800 nmol / ml sample) in obese black individuals (mean BMI 38.5 \pm 0.7) versus normal-weight
403 black individuals (BMI 22.8 \pm 0.9), although there is a significant BMI difference between the
404 obese groups before we conclude that it is only an impact of ethnicity.

405 Similar reduction of HDL size has been reported in individuals who exhibit acute phase
406 response, such as in myocardial infarction[39] and sepsis[40]. In these conditions, the reduction
407 of HDL size is associated with a greater capacity to mitigate the oxidative stress. In agreement

408 with this and consistent with previous studies, HDL size was inversely associated with
409 increased antioxidant and PON activities[41]. Interestingly, the predominance of small HDL
410 (7.3-8.2 nm) in this cohort was associated with an attenuated impact of BMI on cIMT. Hence,
411 overweight-induced remodeling in HDL simultaneously promoted the reduction of an anti-
412 atherosclerotic mechanism, *i.e.* CEC, and the increase of another, *i.e.* antioxidant activity,
413 suggesting a biological response of the HDL system to the predominant pro-atherosclerotic
414 stimulus [42, 43]. Besides, the present study shows that estimates of the magnitude of HDL
415 participation in the CVD risk in excess weight are naturally imprecise unless a broad range of
416 anti-atherosclerotic functions is simultaneously investigated.

417 Obesity is clearly shown to be a prothrombotic state resulting from a combination of
418 increased thrombin generation, platelet hyperactivity and decreased fibrinolysis[44]. Increased
419 platelet reactivity is the result of the interaction between multiple characteristics grouped into
420 obesity, including inflammation, oxidative stress, insulin resistance and adiposopathy with
421 change in adipokine secretion pattern. Our study added to this state of knowledge, a new
422 mechanism of imbalance in platelet activity; inhibition of HDL-mediated platelet aggregation
423 was inversely associated with BMI. This finding should be considered in the set of
424 prothrombotic changes of excess weight and as one of the mechanisms by which there is an
425 increase in the incidence of cardiovascular events in these individuals.

426 Among the main limitations in the present study lies the fact that it is based on a cross-
427 sectional design. In addition, due to the intensive laborious methodology involved in studying a
428 full breadth of HDL function and metabolic pathways, we may have not been able to maintain
429 the desired statistical power obtained as when the entire studied population was analyzed. In
430 spite of this, it does provide us a unique opportunity to evaluate the association between excess
431 weight and the HDL system early in the spectrum of metabolic derangement and atherogenesis.
432 In fact, this may especially be true given the low rates of insulin resistance and more favorable
433 lipid profile and systemic inflammatory activity of our study's participants. We found small
434 differences in ethnicity, particularly for those with excess weight, that may potentially influence
435 the metabolic status. However, in the region where the study was conducted, individuals

436 characterized as black have an average of 50% African ancestry and 43% European ancestry,
437 which may limit of ability to interpret this finding[45]. To the best of our knowledge, the global
438 antioxidant activity evaluated in this study is best approach available. Nevertheless, this assay
439 does not provide information about HDL's specific antioxidant proteins activity such as
440 paraoxonase-1, glutathione peroxidase and phospholipase A2. This finding requires a further
441 assessment in greater detail.

442 In conclusion, our study indicates that higher BMI, even in non-obese, non-diabetic
443 individuals, is associated with decreased function of several protective activities of HDL. In
444 individuals with increased BMI, HDL dysfunction is directly associated with increased
445 atherosclerotic burden. Antioxidant activity is found to be an exception increasing with BMI
446 and it partially attenuated the atherosclerotic burden.

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641 **Figure 1. 3D surface plot showing the association between BMI, cIMT, and HDL**
642 **variables.** This surface plot displays an image based on the relationship between the BMI and
643 HDL variables as predictors on the x- and y-axes and a continuous surface that represents the
644 cIMT values on the z-axis. The peak on the plot corresponds with the yellow color and the
645 highest value obtained to cIMT using the combination of X and Y that produce the maxima
646 cIMT, which occurs at approximately 0.8mm. The valley corresponds with blue color and the
647 combination of X and Y that produce the minima cIMT. Figure 1A, HDL-C values. Figure 1B,
648 HDL size. Figure 1C, CEC values. Figure 1D, antioxidant activity of HDL. HDL-C, high-
649 density lipoprotein cholesterol in mg/dL. BMI, body mass index in kg/m². CEC is expressed as
650 a percentage of efflux in the sample, normalized to a reference sample. Antioxidant activity is
651 expressed in % as inhibition of LDL oxidation in the presence of each subject's HDL oxidation.
652 **Figure 2. Polynomial splines showing the associations between BMI, cIMT and HDL**
653 **variables.** 2A, CEC according to BMI values. 2B, Antioxidant activity according to BMI
654 values. 2C, compound variable (Z-Efflux + Z-Antioxidant activity) according to BMI values.
655 2D, cIMT values according to compound variable (Z-Efflux + Z-Antioxidant activity). Gray
656 shading indicates 95% confidence intervals.

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669 **Table 1.** Clinical characteristics according to BMI groups

	BMI categories (kg/m²)			<i>p-value</i>
	<22	22-24.99	>25	
Sample size	186	264	449	
Black race, %	4.0	6.7	15.2	0.029
BMI, kg/m²	20.6 (1.8)	23.6 (1.6) ^a	28 (4.6) ^{b,c}	<0.0001
Waist circumference, cm	68 (9)	75 (11) ^a	94 (19) ^{b,c}	<0.0001
Age, yr	38 (24)	45.5 (26) ^a	54 (16) ^b	0.003
Male sex, %	43.5	44.7	39.6	0.369
Hypertension, %	28.6	31.3	28.3	0.915
Glucose, mg/dL	81 (12)	86 (14) ^a	105 (62) ^{b,c}	<0.0001
Insulin, μU/mL	3.0 (2.5)	4.7 (4.9) ^a	10.2 (8.2) ^{b,c}	<0.0001
HOMA2 % β	61.9 (38.2)	61.3 (49.4)	64.9 (51.3) ^{b,c}	0.008
HOMA2 %S	241.7 (210.3)	161.2 (183.5)	68.8 (62.5) ^{b,c}	<0.0001
HOMA2-IR	0.46 (0.4)	0.51 (0.5)	0.66 (0.5) ^{b,c}	<0.0001
CRP, mg/dL	0.42 (0.9)	0.70 (1.1)	0.51 (1.0)	0.453
Triglycerides, mg/dL	66 (34)	81 (46) ^a	118 (87) ^{b,c}	<0.0001
LDL-C, mg/dL	95 (40)	104 (34) ^a	107 (42) ^b	<0.0001
Total cholesterol, mg/dL	175 (53)	181 (50)	187 (55) ^{b,c}	< 0.0001
ApoA-I, mg/dL	148 (53)	142 (46)	137 (38) ^{b,c}	<0.0001
ApoB, mg/dL	72 (23)	81 (27) ^a	90 (31) ^b	<0.0001

HDL-C, mg/dL	63 (29)	52 (30) ^a	45 (19) ^{b,c}	<0.0001
cIMT, mm	0.55 (0.20)	0.60 (0.20) ^a	0.70 (0.24) ^{b,c}	< 0.0001

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671 All continuous variables are expressed as medians and interquartile ranges, and categorical
672 variables as percentages. Comparisons between groups were analyzed with Jonckheere–
673 Terpstra’s for ordered alternatives with pairwise comparisons, or Chi-Square test for the
674 categorical variables. To convert the values for glucose to millimoles per liter, divide by 18.
675 To convert the values for insulin to picomoles per liter, multiply by 6.945. To convert the
676 values for cholesterol to millimoles per liter, multiply by 0.02586. To convert the values for
677 triglycerides to millimoles per liter, multiply by 0.01129. ^aBMI 22 – 24.9 vs BMI <22; ^bBMI
678 >25 vs 22 – 24.9; ^cBMI > 25 vs BMI < 22. Significant p-value < 0.05.

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696 **Table 2.** Subgroup clinical characteristics according to BMI groups

	BMI categories (kg/m ²)			<i>p</i> -value	
	<22	22-24.99	25-30		
Sample size	34	47	20		
BMI, kg/m²		20.0 (2.5)	23.5 (1.6)^a	26.7 (2.55)^{b,c}	<0.0001
Black race, %		6.0	12.0	5.0	0.77
Waist circumference, cm		65.0 (7.0)	75.5 (13.2) ^a	84.0 (10.5) ^{b,c}	<0.0001
Age, yr		42 (21)	39 (20.7)	47 (9.5)	0.24
Male sex, %		33.7	46.5	19.8	0.47
Glucose, mg/dL		80.0 (8.0)	83.0 (9.2)	86.0 (11.5) ^b	0.012
Insulin, μU/mL		2.5 (2.0)	4.3 (4.0) ^a	5.8 (6.0) ^{b,c}	<0.0001
HOMA2 % β		58.4 (37.8)	69.0 (45.9)	84.9 (38.7) ^b	<0.0001
HOMA2 %S		303.2 (261.6)	228.2 (221.9) ^a	148.2 (75.1) ^{b,c}	<0.0001
HOMA2-IR		0.3 (0.3)	0.4 (0.5) ^a	0.7 (0.5) ^{b,c}	<0.0001
CRP, mg/dL		0.9 (1.5)	0.71 (1.2)	0.6 (1.2)	0.51
Triglycerides, mg/dL		57.0 (27.2)	70.0 (29.0)	123.5 (72.7) ^{b,c}	<0.0001
LDL-C, mg/dL		98.0 (30.5)	100.0 (34.0)	101.0 (28.5)	0.57
Total cholesterol, mg/dL		181.0 (48.7)	169.0 (42.0)	173.0 (34.5)	0.13
ApoA-I, mg/dL		176.0 (61.2)	147.0 (53.0)	111.0 (57.2) ^{b,c}	<0.0001
ApoB, mg/dL		73.7 (24.3)	81.3 (26.1)	81.7 (22.3) ^b	0.04

HDL-C, mg/dL	72.5 (39.0)	49.0 (52.0) ^a	32.5 (15.0) ^{b,c}	<0.0001
cIMT, mm	0.55 (0.2)	0.58 (0.2)	0.67 (0.5)	0.05

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698 All continuous variables are expressed as medians and interquartile ranges, and categorical
699 variables as percentages. Comparisons between groups were analyzed with Jonckheere–
700 Terpstra’s for ordered alternatives with pairwise comparisons, or Chi-Square test for the
701 categorical variables. To convert the values for glucose to millimoles per liter, divide by 18.
702 To convert the values for insulin to picomoles per liter, multiply by 6.945. To convert the
703 values for cholesterol to millimoles per liter, multiply by 0.02586. To convert the values for
704 triglycerides to millimoles per liter, multiply by 0.01129. ^aBMI 22 – 24.9 vs BMI <22; ^bBMI
705 >25 vs 22 – 24.9; ^cBMI > 25 vs BMI < 22. Significant p-value < 0.05.

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723 **Table 3.** HDL characterization

	BMI categories (kg/m²)			<i>p-value</i>
	<22	22-24.99	25-30	
Sample size	34	47	20	
Total mass, mg/dL	198.8 (91)	182.3 (98)	155 (99) ^c	0.024
Triglycerides, mg/dL	9.8 (6.0)	7.8 (4.0)	8.3 (6)	0.047
Free cholesterol, mg/dL	8.0 (6.0)	6.3 (4.0) ^a	5.3 (3.0) ^c	0.001
Cholesteryl ester, mg/dL	60.1 (35)	52.9 (46)	39.7 (26) ^c	0.004
Phospholipids, mg/dL	35.1 (19)	29.2 (14) ^a	24.7 (13) ^c	<0.0001
ApoA-I, mg/dL	86.1 (40)	86.1 (48)	76.9 (39)	0.578
Total proteins, mg/dL	135.5 (71.5)	143.6 (74)	147.0 (54.0)	0.777
HDL size, nm	7.9 (0.9)	7.8 (0.9)	7.6 (0.8) ^{b,c}	<0.0001
Zeta potential, mV	-7.7 (5)	-6.4 (4)	-7.8 (9)	0.297
CETP, %	10.1 (6.4)	10.6 (7.3)	10.7 (6.3)	0.612
PLTP, μmol PC/mL/h	5.7 (2.9)	6.0 (3.2)	5.4 (3.5)	0.338
LCAT, nmol CE/mL/h	17.4 (15)	16.6 (12)	16.9 (19)	0.454
LPL, μmol FFA/mL/h	4.0 (3.4)	3.7 (3.7)	3.2 (2.9)	0.157
HL, μmol FFA/mL/h	4.9 (4.0)	5.8 (4.4)	5.8 (4.5)	0.440

PON/HDL-C, (mmol/min)/(mg/dL)	0.40 (0.5)	0.50 (0.7) ^a	0.54 (0.7) ^c	<0.001
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725 All continuous variables are expressed as medians and interquartile ranges. To convert values
 726 for cholesterol to millimoles per liter, multiply by 0.02586. To convert values for triglycerides
 727 to millimoles per liter, multiply by 0.01129. ^aBMI 22 – 24.9 vs BMI <22; ^bBMI >25 vs 22 –
 728 24.9; ^cBMI > 25 vs BMI < 22. Significant p-value < 0.05.

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750 Table 4. Linear regression analyses between HDL functions and cIMT

Independent variable	Standardized β	R square	Lower CI 95%	Upper CI 95%	p-value
Antioxidant activity	-0.196	0.298	-0.003	0.0000.	0.0380.
CEC	-0.188	0.249	-0.023	0.000	0.047
PON/HDL-C	0.096	0.387	-0.008	0.0760	0.114
Anti-inflammatory activity	-0.004	0.264	-0.002	0.0020.002	0.963
HDL-mediated platelet inhibition	0.109	0.283	-0.002	0.0070.	0.257

751 Adjusted for age, sex, BMI, insulin and HDL-C. In order to compare effects across different

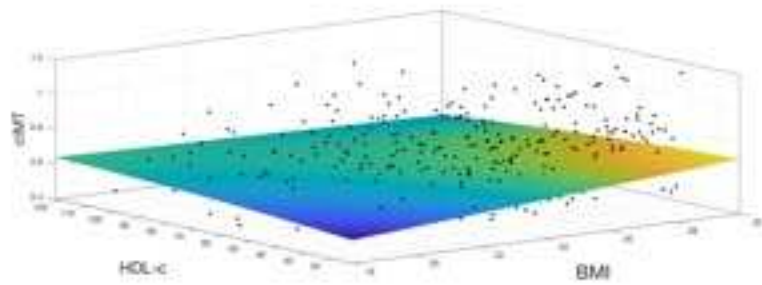
752 HDL functions we provided the standardized coefficients

Highlights

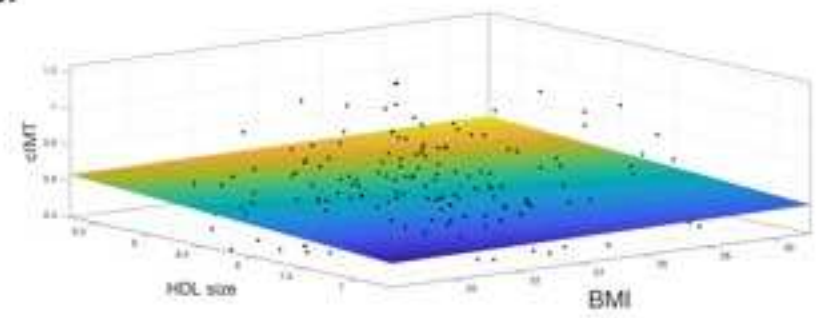
- Our study describes for the first time the multiple and complex relationship between HDL functions and excess weight in asymptomatic non-diabetic and non-obese individuals.
- BMI is inversely associated with HDL-mediated inhibition of platelet aggregation and CEC, but surprisingly it is directly associated with the antioxidant activity.
- Decreased HDL concentration, size or function are related to increased atherosclerotic burden and increased BMI.

Figure 1
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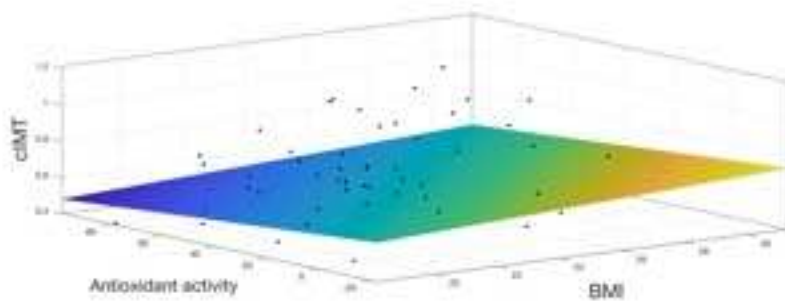
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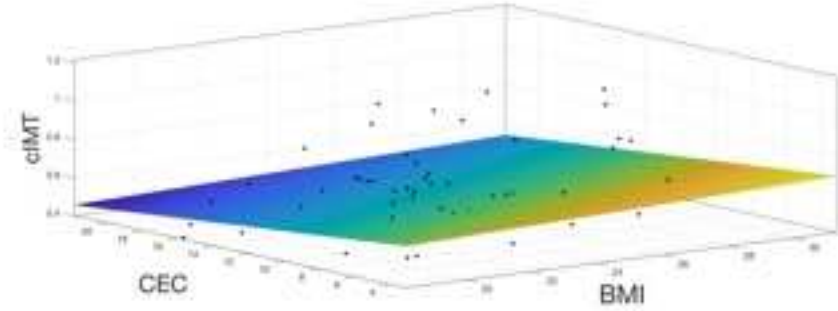
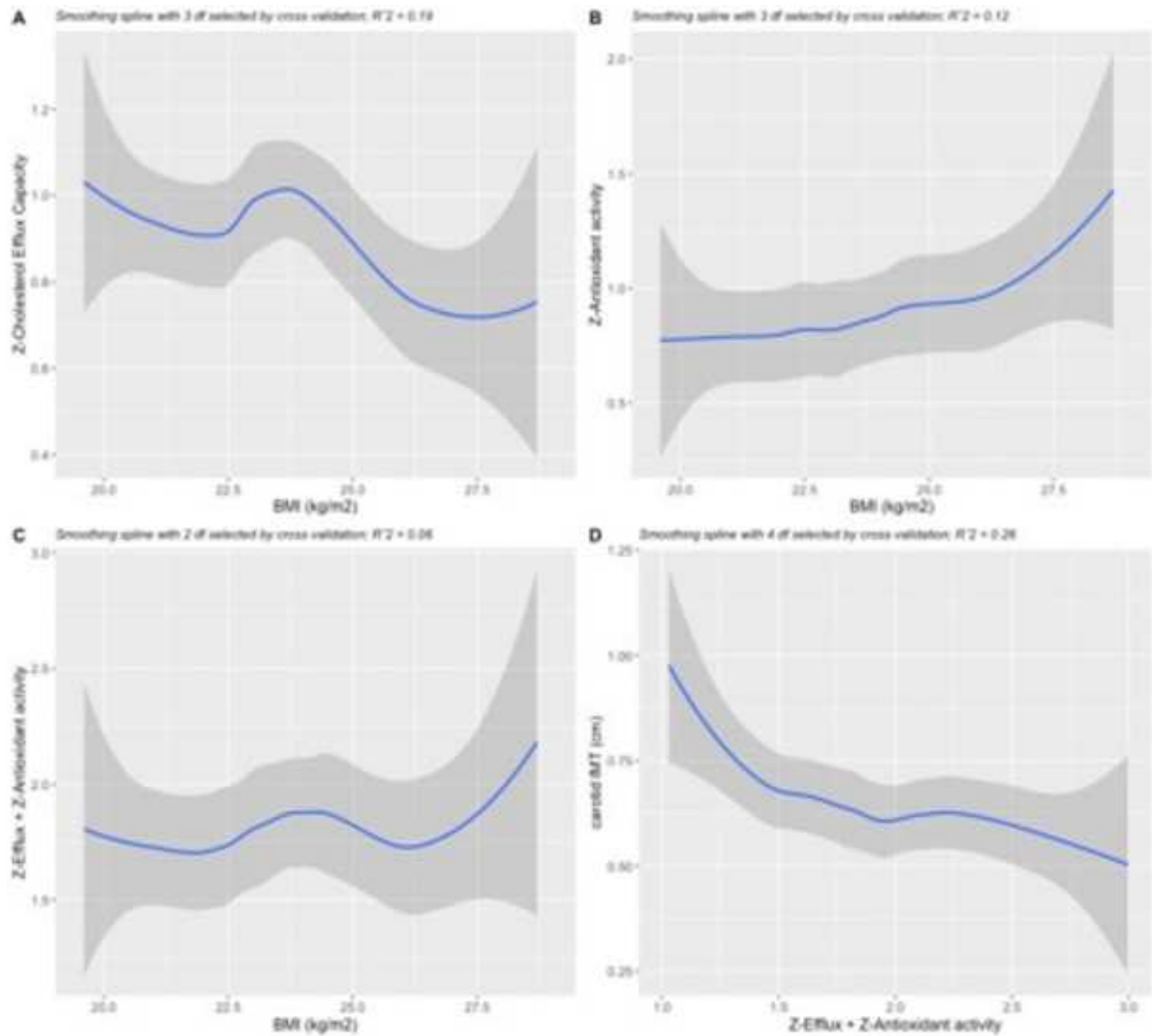


Figure 2
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