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A geographical origin assessment of Italian hazelnuts: Gas chromatography-ion mobility spectrometry coupled with multivariate statistical analysis and data fusion approach

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Food Research International

A Geographical Origin assessment of Italian Hazelnuts: Gas Chromatography-Ion mobility spectrometry coupled with Multivariate Statistical Analysis and Data Fusion approach

--Manuscript Draft--

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Abstract:	<p>Hazelnut is a commodity that has gained interest in the food science community concerning its authenticity. The quality of the Italian hazelnuts is guaranteed by Protected Designation of Origin and Protected Geographical Indication certificates. However, due to their modest availability and the high price, fraudulent producers/suppliers blend, or even substitute, Italian hazelnuts with others from different countries, having a lower price, and often a lower quality. To contrast or prevent these illegal activities, the present work investigated the application of the Gas Chromatography-Ion mobility spectrometry (GC-IMS) technique on the hazelnut chain (fresh, roasted, and paste of hazelnuts). The raw data obtained were handled and elaborated using two different ways, software for statistical analysis, and a programming language. In both cases, Principal Component Analysis and Partial Least Squares-Discriminant Analysis models were exploited, to study how the Volatile Organic Profiles of Italian, Turkish, Georgian, and Azerbaijani products differ. A prediction set was extrapolated from the training set, for a preliminary models' evaluation, then an external validation set, containing blended samples, was analysed. Both approaches highlighted an interesting class separation and good model parameters (accuracy, precision, sensitivity, specificity, F1-score). Moreover, a data fusion approach with a complementary methodology, sensory analysis, was achieved, to estimate the performance enhancement of the statistical models, considering more discriminant variables and integrating at the same time further information correlated to quality aspects. GC-IMS could be a key player as a rapid, direct, cost-effective strategy to face authenticity issues regarding the hazelnut chain.</p>
Suggested Reviewers:	Carlo Bicchi University of Torino carlo.bicchi@unito.it Lourdes Arce Universidad de Cordoba lourdes.arce@uco.es

JOURNAL SUBMISSION COVER LETTER

Title: *A Geographical Origin assessment of Italian Hazelnuts: Gas Chromatography-Ion mobility spectrometry coupled with Multivariate Statistical Analysis and Data Fusion approach*

Food Research International

Submission date: 15/02/2023

Dear Editor-In-Chief,

I am writing to submit our manuscript entitled “A Geographical Origin assessment of Italian Hazelnuts: Gas Chromatography-Ion mobility spectrometry coupled with Multivariate Statistical Analysis and Data Fusion approach” for consideration as a Food Research International research paper.

The study mainly focuses on how gas chromatography-ion mobility spectrometry (GC-IMS) technology could be a valuable tool for geographical origin discrimination, with particular attention to Italian hazelnuts. Fresh, roasted, and paste hazelnuts from Italy, Turkey, Georgia, and Azerbaijan were analysed, to gain information about their volatile organic compounds (VOCs), which could be related to geographical location. In all these matrices, chemical differences among Italian and not Italian samples were always confirmed, according to multivariate statistical analysis carried out with both software and coding. Thus, this approach results in a potential key analytical strategy for hazelnut traceability.

Furthermore, GC-IMS data were positively merged with data from sensory analysis as a complementary technique, aimed at improving the models' performance. Indeed, this data fusion approach allowed us to build multivariate statistical models, from unsupervised to supervised, underlining the separation between Italian and non-Italian clusters.

Considering the growing request for assessing the geographical location of hazelnuts, because of the high quality of the Italian “Tonda Gentile Trilobata”, “Tonda Gentile Romana”, and “Nocciola di Giffoni” (PGI and PDO certified), we assume that the outcomes presented in our paper will appeal to the food scientist community who subscribe to Food Research International. Our findings will allow your readers to get updated about a potential and innovative analytical solution for authenticity studies.

Each of the authors confirms that this manuscript has not been previously published and is not currently under consideration by any other journal. Additionally, all of the authors have approved the contents of this paper and have agreed to Food Research International's submission policies.

Each named author has substantially contributed to conducting the underlying research and drafting this manuscript. Additionally, to the best of our knowledge, the named authors have no conflict of interest, financial or otherwise.

Sincerely,

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Reviewer #1: This study investigated the application of the GC-IMS coupled with multivariate statistical analysis could effectively identify the authenticity of hazelnut chain origin. While the study is interesting, there were several shortcomings in this manuscript as the follows:

1. The introduction part is too long, with too much content, and the language is not concise enough.

Response: the chapter has been shortened, and the language is now more concise.

2. Line 123-124, "extensively" only cites 2 documents, which cannot explain the extensive research.

Response: the sentence has been corrected.

3. Line 125-127, please provide theoretical basis and reference.

Response: the reference about this work is reported later in the sentence, line 129 (Ciarmiello, et al., 2014).

4. Compared to fresh hazelnuts and hazelnut pastes, the accuracy of the model prediction for roasted hazelnuts is very high, even up to 100%. I am curious about the reasons for this difference. what was authors' explanation?

Response: the number of samples considered for the roasted hazelnut analyses was bigger than the other hazelnut-based matrices. Both peeled and unpeeled samples were included. This bigger number of samples lowered the data dispersion, enhancing the model's performance. Further, the roasted samples presented a richer chromatogram than the other matrices. This is probably due to the industrial process that favoured the presence of diverse compounds in the volatile fraction. A higher number of samples and variables could so explain excellent values of accuracy for the model prediction.

5. Raw data on sample GC-IMS and sensory analysis should be presented in the text, including sensory characteristics of hazelnuts from different producing regions.

Response: raw data obtained from GC-IMS analyses are intensities related to the spots of the chromatogram. They do not contain relevant/interpretable information as they are, for this reason we did not insert them into the paper. As regard the sensory data and characteristic, the table including all the data were placed into the supplementary material in order to not overload the text with three big tables.

6. Line 9-14 "permitted valuable discrimination among the groups (Manfredi, et al., 2018). polymerase chain reaction (PCR)". Is this one sentence or two?

Response: these are two sentences, a capital letter was used for the word 'Polymerase'.

7. Line 142, "0.2 µg/m³ to 2 mg/m³". Is the unit format correct?

Response: yes, according to the source considered: Ruszkiewicz, D., Myers, R., Henderson, B., Yusof, H., Meister, A., Moreno, S., . . . Thomas, C. (2022). Peppermint protocol: first results for gas chromatography-ion mobility spectrometry. Journal of Breath Research, 036004.

8. Line 224, "(Vera, Companioni, Meacham, & Gygax, 2016)". Which sentence does this reference belong to?

Response: the reference belongs to this part: "The output of a GC-IMS analysis was a 3D graph, with the y-axis as GC retention time, the x-axis as the IM drift time, and the z-axis showing the detector response or signal intensity. To favour the graphical visualisation, the heat map 2D fingerprint representation was ideal, the 3D-2D conversion was achieved by transforming the z-axis into a colour signature for each spot. Thus, the more intense the signal, the more coloured the spot" (lines 219-224). The position of the dot has been changed, after the reference.

9. The writing style is too verbose and needs to be streamlined.

Response: some less concise parts have been modified, in order to make the paper easier to read.

Reviewer #2: The manuscript FOODRES-D-23-01114 (Geographical Origin assessment of Italian Hazelnuts: Gas Chromatography-Ion mobility spectrometry coupled with Multivariate Statistical Analysis and Data Fusion approach) by G. Sammarco et al. deals with the application of a recent technique (GC-IMS) combined with statistical methods to the evaluation of the geographical origin of Italian hazelnuts. In my opinion the manuscript is interesting and can be accepted for publication in Food Research International after minor revision.

Two important integrations are required:

1) The first point concerns the application of the method to routine quality control. The authors correctly write in the Conclusions (lines 538-540 page 27) "Simultaneously, the speed, sensitivity, and cost effectiveness of the tool are also exploitable for routinary analysis in the companies quality control department." However, this statement must be confirmed by an experimental comparison with the technique normally available in the quality control laboratory, i.e. mostly gas chromatography-quadrupole mass spectrometry (GC-qMS). This consideration is even more useful in this case where sampling by static-HS does not involve a concentration of the analytes and the contribution of GC in terms of separation is less decisive because the analysis is performed isothermally. The interest in the proposed method would be increased by introducing a brief discussion of this aspect in the text.

Response: a brief discussion about the advantages of using GC-IMS in the quality control laboratory, instead of the standard technique GC-qMS, has been written in the Conclusions.

2) The second point is indirectly related to the previous one. The authors report an explained variance between 20 and 30%, which is objectively rather low or in some cases insufficient (Line 319 page 12). Why did the authors not optimise the PCA procedure by selecting significant loadings and eliminating those that are redundant or do not contribute to sample discrimination? A reduction in statistical noise would probably have been useful to increase the explained variance and "smooth out" some of the classification inconsistencies.

Response: a feature selection was carried out by selecting only the variables having a VIP score major than 1. This led to a higher explained variance (>40%) with a discrete separation being preserved. The score plots were added in the text, together with the selection criteria of the variables.

Minor points

Line 52, page 2: not "class" but "species" in agreement with the official botanical nomenclature

Response: corrected

Line 60, page 2: not "bigger" but "higher"

Response: corrected

Line 539 page 27: NOT "companies" but "company" or "companies' "

Response: corrected

1 ***A Geographical Origin assessment of Italian***
2 ***Hazelnuts: Gas Chromatography-Ion mobility***
3 ***spectrometry coupled with Multivariate Statistical***
4 ***Analysis and Data Fusion approach***

5
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13 Sciences, Mannheim, Germany

14

15 **Highlights**

- 16
- 17 • Geographical origin assessment is a growing requirement for both food quality and safety
 - 18 • GC-IMS is proposed as an effective technique for the geographical assessment of Italian
19 hazelnuts
 - 20 • VOCs analysed differ in samples, according to their provenience
 - 21 • GC-IMS data are successfully merged with sensory analysis ones, providing better statistical
22 models performance

A Geographical Origin assessment of Italian Hazelnuts: Gas Chromatography-Ion mobility spectrometry coupled with Multivariate Statistical Analysis and Data Fusion approach

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Abstract

Hazelnut is a commodity that has gained interest in the food science community concerning its authenticity. The quality of the Italian hazelnuts is guaranteed by Protected Designation of Origin and Protected Geographical Indication certificates. However, due to their modest availability and the high price, fraudulent producers/suppliers blend, or even substitute, Italian hazelnuts with others from different countries, having a lower price, and often a lower quality. To contrast or prevent these illegal activities, the present work investigated the application of the Gas Chromatography-Ion mobility spectrometry (GC-IMS) technique on the hazelnut chain (fresh, roasted, and paste of hazelnuts). The raw data obtained were handled and elaborated using two different ways, software for statistical analysis, and a programming language. In both cases, Principal Component Analysis and Partial Least Squares-Discriminant Analysis models were exploited, to study how the Volatile Organic Profiles of Italian, Turkish, Georgian, and Azerbaijani products differ. A prediction set was extrapolated from the training set, for a preliminary models' evaluation, then an external validation set, containing blended samples, was analysed. Both approaches highlighted an interesting class separation and good model parameters (accuracy, precision, sensitivity, specificity, F1-score). Moreover, a data fusion approach with a complementary methodology, sensory analysis, was achieved, to estimate the performance enhancement of the statistical models, considering more discriminant variables and integrating at the same time further information correlated to quality aspects. GC-IMS could be a key player as a rapid, direct, cost-effective strategy to face authenticity issues regarding the hazelnut chain.

36 **Keywords**

37 Hazelnut (*Corylus avellana*), Gas Chromatography-Ion Mobility System, Food Authenticity,
38 Multivariate Statistical Analysis, Sensory Analysis, Data Fusion.

39

40 **1. INTRODUCTION**

41 Authentic food can be defined as “a product where there is a match between its actual characteristics
42 and the corresponding food product claims” (Food Integrity Handbook, 2018). According to the Food
43 and Agriculture Organization of the United Nations (FAO) and the World Health Organization
44 (WHO), “food authenticity is the quality of a food to be genuine and undisputed in its nature, origin,
45 identity, and claims, and to meet expected properties” (Food and Agriculture Organization of United
46 Nations & World Health Organization, 2018). Italy, as well as other Southern Europe countries
47 (Greece, Spain, Portugal...), is increasingly interested in food authenticity research since it produces
48 a relevant number of foods with Protected Designation of Origin (PDO), Protected Geographical
49 Indication (PGI), and Traditional Speciality Guaranteed (TSG) certifications. In spite of that, nations
50 such as China and the United States are significantly increasing their commitment to the food
51 authenticity field in the last decade (Danezis, Tsagkaris, Camin, Brusic, & Georgiou, 2016).
52 Hazelnuts are nuts belonging to the ~~species~~ *Corylus avellana* and *C. maxima*, the main global
53 country producer is Turkey (ca. 70 % of the global production), followed by Italy, which is one of
54 the principal importers (Maestri, Imperiale, & Marmioli, 2020). Hazelnuts are commercialized with
55 or without shells and consumed fresh and roasted, or they can be employed as an ingredient since
56 hazelnut paste is a popular commodity in confectionery. The nation of origin and the indication of
57 the species could be one of the most important characteristics for tree nuts concerning food fraud, as
58 this information is typically required on the label, and frequently these features are the basement for
59 the development of protected denominations. In particular, Italian hazelnuts represent a high-quality
60 food, certified by the abovementioned PDO and PGI brands. This aspect could have determined a
61 ~~higher~~ ~~bigger~~ price than the other producing/importing countries. The vast availability of hazelnuts
62 from Turkey, and the lower selling price of other big producers, such as Azerbaijan and Georgia,
63 favoured illegal activities of false origin declaration by fraudulent suppliers, “contaminating” Italian
64 batches with cheaper and/or more abundant products or even entirely substituting them (Lang, et al.,
65 2021). According to the Food Integrity Handbook (Morin & Lees, 2018), several analytical
66 approaches were exploited to solve hazelnut-related food authenticity issues. Ruiz del Castillo *et al.*
67 evaluated the potential of enantiomeric analysis of (*E*)-5-methyl-hept-2-en-4-one (filbertone) for

68 authenticity control of hazelnut and hazelnut oil. ~~A pre-separation of the hazelnut extract with high-~~
69 ~~pressure liquid chromatography (HPLC) was followed by the enantioselective gas chromatography~~
70 ~~(GC) analysis of the resulting fraction~~ (Ruiz del Castillo, Gomez Caballero, Blanch, & Herraiz, 2002).
71 Filbertone was also analysed for the authenticity assessment of hazelnut-based products. The
72 compound was determined through headspace solid phase micro extraction-GC-mass spectrometry
73 (HS-SPME-GC-MS), and it was considered a proper marker to estimate the quality of commercial
74 hazelnut spreads. ~~According to these analyses, the spreads were classified into three groups, samples~~
75 ~~with minimal hazelnuts content (less than 1 %— filbertone concentration lower than 4 µg/kg), with~~
76 ~~middle hazelnuts content (1-10 %— filbertone 4-45 µg/kg), and with high hazelnuts content (> 10 %,~~
77 ~~filbertone > 45 µg/kg)~~ (Cizkova, Rajchl, Snerbergrova, & Voldrich, 2013). Fourier-transform infrared
78 (FTIR) spectroscopy was also employed for the discrimination of hazelnuts from different
79 origins/cultivars, according to their IR signal intensities. The multivariate statistical approach
80 comprehended the unsupervised principal component analysis (PCA), followed by linear
81 discriminant analysis (LDA) and partial least square-discriminant analysis (PLS-DA), with or without
82 variable selection, and it permitted valuable discrimination among the groups (Manfredi, et al., 2018).
83 ~~P~~polymerase chain reaction (PCR), and immunological methods, like enzyme-linked immunosorbent
84 assay (ELISA) are often performed for hazelnut species/cultivars/origins characterization (Rohman,
85 et al., 2021). ~~However, in the evaluation of the geographical origin, DNA-based markers are less~~
86 ~~effective than other chemical compounds (Esteki, et al., 2017).~~ Regarding other spectroscopic
87 techniques exploited for hazelnuts' authenticity issues, near infrared (NIR) and nuclear magnetic
88 resonance (NMR) were the most relevant in the scientific literature. NIR analyses were performed by
89 Biancolillo *et al.*, to authenticate the Italian PDO hazelnut "Nocciola Romana". Two different
90 classification approaches were taken into account, PLS-DA and soft independent modelling of class
91 analogies (SIMCA), and both of them showed a high predictive ability, being applied in external
92 validation on a test set (Biancolillo, et al., 2018). The same technology was tested also by Moscetti
93 *et al.*, for the assessment of the same PDO hazelnut. In this study, ~~an algorithm for the selection of~~
94 ~~the best pre-treatment was carried out, and, besides SIMCA and PLS-DA, also k-Nearest Neighbour~~
95 ~~(KNN) and Support Vector Machine (SVM) were considered as discriminant routines. support vector~~
96 ~~machine (SVM) has been revealed to be the optimal approach for the classification, with the best~~
97 ~~classification~~ performance rate (Moscetti, Radicetti, Monarca, Cecchini, & Massantini, 2014). ¹H-
98 NMR was used for geographical origin determination by Bachmann *et al.*, considering 262 authentic
99 samples from five different countries, ~~over four harvesting years Both non-polar and polar extraction~~
100 ~~protocols were achieved, but the polar fraction was the most suitable for the work. It was used for the~~
101 ~~data analysis, monitoring of the sample preparation, and measurement through PCA.~~ LDA was then

102 found to be the best machine learning algorithm for the model classification, providing a 91 % cross-
103 validation accuracy on the training set, and 96 % on the test set (Bachmann, Klockmann, Haerdter,
104 Fischer, & Hackl, 2018). Despite an interesting application of spectroscopic strategies for the
105 authentication of hazelnuts, the researchers' focus was mainly on spectrometric techniques, especially
106 for the geographical origin assessment. Rosso *et al.* focused on the volatile metabolome of high-
107 quality hazelnuts, "Ordu" from Turkey and "Tonda Romana" from Italy, to evaluate their evolution
108 along the production chain, from the harvest to the storage and the roasting phase, employing HS-
109 SPME-GCxGC-MS. Selected pattern recognition was used to mine the outputs, whereas PCA, Fisher
110 ratio, HCA, and analysis of variance (ANOVA) permitted to find 'decisional markers' among the
111 most important features (Rosso, et al., 2018). Comprehensive bi-dimensional GC hyphenated with
112 MS technology was applied for an advanced fingerprinting approach aimed at the comparative
113 analysis of the volatile fraction of roasted hazelnuts from different origins. A HS-SPME-GCxGC-
114 qMS solution was exploited, ~~gaining patterns processed with a 'chromatographic fingerprinting' and~~
115 ~~a 'comprehensive template matching',~~ and the resulting markers had a distribution that can be linked
116 to the sensory properties, the geographical origin, and the effect of thermal treatment on the
117 compound classes (Cordero, et al., 2010). Mono-dimensional GC, coupled with MS was approached
118 by Han *et al.* for an automatic metabolic profiling analysis with chemometrics (AuMPAC), for the
119 geographical origin estimation of hazelnuts from six Chinese regions. ~~AuMPAC consented to~~
120 ~~monitor the Total Ion Chromatographic (TIC) peaks showing evident differences among the~~
121 ~~abovementioned regions. Afterward, a chemometric peak resolution method was applied for the~~
122 ~~screened peaks, and the recovered features were then analysed by ANOVA.~~ The peaks with
123 significant differences were employed for an origin discrimination model, based on two-way
124 encoding PLS, obtaining an accuracy of up to 98 % (Han, et al., 2018). Hazelnut cultivars from
125 different areas were ~~extensively~~ studied as well, to differentiate according to their geographical
126 origins. Agronomical and pomological characterisations were initially achieved, then a polyphenolic
127 extract was analysed through HPLC/UV analysis, and the phenolic fraction from the HPLC separation
128 was also analysed by electrospray ionization-multistage ion trap MS (ESI-ITMS[®]). The protein
129 fraction was also extracted with a different protocol, and it was analysed via matrix assisted laser
130 desorption ionization-time of flight-MS (MALDI-ToF-MS) (Ciarmiello, et al., 2014). Klockmann, in
131 two diverse papers, perpetrated both food fingerprinting, by discerning hazelnuts from different
132 locations with an untargeted metabolomics approach, using ultra high performance LC-quadrupole
133 ToF (UPLC-QToF) and food targeting, determining the hazelnuts geographical origin through a
134 targeted metabolomics approach, exploiting LC-triple quadrupole-MS/MS (LC-QqQ-MS/MS) for the
135 quantitation of the proper 'origin markers', identified by the previous strategy (Klockmann, Reiner,

136 Bachmann, Hackl, & Fischer, 2016) (Klockmann, Reiner, Cain, & Fischer, 2017). An innovative
137 technique that could be potentially helpful in origin investigation is gas chromatography-Ion mobility
138 spectrometry (GC-IMS). This analytical solution interfaces the comprehensive separation by gas
139 chromatography and ion mobility systems allowing fingerprinting of the volatile fraction from solid
140 and liquid samples, with a limited or even inexistent sample preparation. In addition, this technology
141 enhances the analysis dimensionality, by combining the analytical power of the high-res
142 chromatographic separation with the analytical selectivity of IMS, which has a Limit of Detection
143 (LOD) range from 0.2 $\mu\text{g}/\text{m}^3$ to 2 mg/m^3 (Ruszkiewicz, et al., 2022). IMS is a technology for the
144 detection of separated gaseous compounds in a mixture of analytes. It includes an ionisation source
145 and a drift tube, which is then constituted of an ionisation zone and a migration zone (Yin, et al.,
146 2021). The beta-emitting tritium (^3H) source is very solid and does not require an additional power
147 supply. The Reactant Ion Peak (RIP), in the case of the radioactive source employed, represents
148 $\text{H}_3\text{O}^+(\text{H}_2\text{O})^n$ ions (hydronium ions), that are fundamental for the ionisation of the Volatile Organic
149 Compounds (VOCs), through charge-transfer reaction (Jurado-Campos, Martin-Gomez, Saavedra, &
150 Arce, 2021). Subsequently, the ions pass across the drift tube, a fixed distance tube, under an electric
151 field applied. They are divided passing into the drift tube, at a time that depends on their shape and
152 charge distribution. Therefore, the IMS tool permits the separation of isomeric compounds. When
153 hyphenated with a GC column, everything that is eluted is ionised and subsequently separated in the
154 IMS cell, the electrical signal generated is recorded at the end of the tube through a Faraday plate,
155 and the ions discrimination is favoured by a counter-flowing inert gas in the tube. A 3D graph is
156 obtained as result, each compound is characterised by a retention time, a drift time, and an intensity
157 value (Eiceman, Karpas, & Hill, 2016) (Garrido-Delgado, Dobao-Prieto, Arce L., & Valcarcel, 2015).
158 This technology was also performed for food authenticity issues, particularly on the geographical
159 origin assessment. Honey samples with different botanical origins were studied, merging HS-GC-
160 IMS with multivariate statistical models, PCA, LDA, and kNN. It was demonstrated, by comparing
161 the PCA-LDA models, the complementarity of the technique with the NMR-based profiling of honey
162 samples (Gerhardt, Birkenmeier, Schwolow, Rohn, & Weller, 2018). Another vulnerable commodity
163 whose geographical origin was assessed by GC-IMS is olive oil. Gerhardt *et al.* compared the
164 technology to the conventional isothermal capillary column (CC)-IMS system, in order to
165 differentiate between extra virgin olive oil (EVOO) from Italy and Spain. GC-IMS highlighted a
166 valuable resolving power for the untargeted profiling of VOCs in a complex matrix such as EVOO
167 (Gerhardt, Birkenmeier, Sanders, Rohn, & Weller, 2017). Afterwards, GC-IMS data were also
168 merged with fourier-transform mid-infrared (FT-MIR) data, for the authentication of olive oil and
169 honey samples. Datasets were combined with a low-level data fusion approach, and a multivariate

170 classification was performed, by PCA-LDA or PLS-DA. Data fusion is an effective tool for better
171 classification performance.

172 -The present study focuses on the geographical assessment of the Italian hazelnut chain, from the
173 fresh matrix to the roasted and the pasted one. This chain does not present robust scientific literature
174 concerning authenticity frauds, and GC-IMS could represent an innovative, functional, and cost-
175 effective strategy to deal with them. Furthermore, to evaluate how and whether the data fusion
176 approach could improve the statistical analysis, the GC-IMS data matrix was merged with a matrix
177 derived from sensory analysis, a complementary methodology that can provide more discriminant
178 variables to the model for the geographical origin assessment.

179

180 **2. MATERIALS AND METHODS**

181 **2.1 Sampling**

182 Fresh, roasted, and paste hazelnut batches were sampled from different Italian and non-Italian
183 regions, considering both the 2020 and the 2021 harvesting campaigns, and different storage shelf
184 life, short and long (roughly 6 months after harvest) for 2020. The Italian samples include PGI “Tonda
185 Gentile delle Langhe” from Piedmont, PDO “Nocciola Romana” from Lazio, and “Mortarella” from
186 Campania. For each matrix, these three varieties were equally blended to have ‘Italian samples’
187 (N=36, 9 raw, 9 roasted, 9 peeled roasted, and 9 paste of hazelnuts) The same number of lots,
188 considering the same sampling factors, were from Turkey, Azerbaijan, and Georgia, for a total of 108
189 non-Italian samples. All the samples were stored in a cold room, with a controlled temperature of 4-
190 6 °C. For the validation set, these samples were used to create mixed ones; for each product, the
191 Italian hazelnuts were mixed with one of the non-Italian, at different percentages of adulteration (10,
192 20, 50, 70, 90 %). Fresh samples were constituted of Italian and Georgian hazelnuts, the roasted ones
193 had Italian and Azerbaijani matrices inside, whereas the pastes were made by blending Italian and
194 Turkish samples. These mixes were employed as a test set and analysed as real samples. Tables S1
195 and S2 (Supplementary materials) show the training and the test sets, respectively.

196

197 **2.2 Sample preparation**

198 Ca. 10 g of both fresh and roasted hazelnuts were initially minced with the knife mill Grindomix GM
199 200 (Retsch, Haan-Gruiten, Germany). 0.5 g were weighed into a 20 mL headspace vial, incubated
200 then 60 °C for 5 mins, under agitation (500 rpm). Hazelnut pastes were directly weighed, incubated,

201 and analysed as they were, without any mincing step. To evaluate the method repeatability, each
202 sample was double-prepared and injected.

203

204 **2.3 Instrumental parameters**

205 The GC-IMS instrument (FlavourSpec®, G.A.S. Dortmund, Dortmund, Germany) was equipped with
206 a syringe and the autosampler PAL3-RSI Series II (CTC Analytics AG, Zwingen, Switzerland) for
207 the headspace injection mode. The injection volume was set to 0.5 mL, and both the syringe and the
208 injector port temperatures were at 80 °C. The chromatographic separation step was carried out with
209 an FS-SE-54-CB-0.5 GC column (30 m length, internal diameter 0.32 mm, film thickness 0.5 µm),
210 at the constant temperature of 40 °C. Nitrogen was the carrier gas. The separation was done without
211 a thermal ramp, only a flow ramp was exploited: the program started at 2 mL/min for 5 mins, then
212 the flow was brought to 31 mL/min in 4 mins, then to 100 mL/min in 20 sec, keeping it at this value
213 for the last 2 mins, for a total GC runtime of 11 mins. The elute was subjected to the drift tube, for
214 the ion mobility separation step. Both drift tube flow and temperature were kept constant, 150 mL/min
215 and 45 °C, respectively. The carrier gas was nitrogen, the tube length was 9.8 cm, and the drift voltage
216 and time were 5 kV and 30 ms, operated in positive ionisation mode.

217

218 **2.4 Data elaboration**

219 Fresh, roasted, and paste hazelnut samples were separately considered, so data analyses were
220 individually performed on each matrix sample set. This was due to the strong impact that the product
221 processing could have on the volatile profiles of the different hazelnut-based commodities. The output
222 of a GC-IMS analysis was a 3D graph, with the y-axis as GC retention time, the x-axis as the IM drift
223 time, and the z-axis showing the detector response or signal intensity. To favour the graphical
224 visualisation, the heat map 2D fingerprint representation was ideal, the 3D-2D conversion was
225 achieved by transforming the z-axis into a colour signature for each spot. Thus, the more intense the
226 signal, the more coloured the spot. (Vera, Companioni, Meacham, & Gygax, 2016). An example of a
227 fresh hazelnut sample GC-IMS 2D heat map is shown in Figure 1.

228

229 GC-IMS raw data were elaborated in two different ways: by manually selecting the most intense
230 spots, or by working on the whole spectrum. The former is achieved by creating a manual area set,
231 which was done through the VOCal software (version 0.1.3 – G.A.S. Dortmund, Dortmund,

232 Germany), selecting all the visible spots on the heat map of the entire samples set, considering both
233 training and test sets. The list of spots/areas can be better visualised using a VOCal software module,
234 called “Galerie”. The module permits observation of all the areas picked for the project, showing
235 them in all the samples analysed. From this plot, the signal intensities were exported in an Excel
236 spreadsheet, and this obtained matrix was processed by SIMCA software (Version 16.0.1, Umetrics,
237 Umea, Sweden). In this case, also to assess the method repeatability, both replicates were included in
238 the processing and modelling steps. For the statistical analysis and the model setup, the spectra were
239 normalised according to the reactant ion peak (RIP) position, the red line in Figure 1 at 1.0 ms drift
240 time. The data elaboration of the full spectrum was achieved using the programming language Python
241 (Python, version 3.8.12) via Visual Studio Code (Microsoft & Electron Framework, version 1.74.1),
242 through the *gc-ims-tools* package (version 0.1.2) for GC-IMS data handling and analysis (Christmann,
243 Rohn, & Weller, 2022). In this other case, the package allowed us to create a mean value of the two
244 replicates, returning score plots with a minor data dispersion. Starting from the initial spectra, all of
245 them were normalised according to RIP, set at 1.0 ms drift time. Subsequently, it was cut, in order to
246 better visualise the graph, and to define the area where all the spots were, that will be considered for
247 the elaboration. Data were auto-scaled, so the mean centering was combined with weighting by the
248 inverse standard deviation for each variable, prior to being processed with multivariate statistical
249 models (Christmann, Rohn, & Weller, 2022). These two different approaches were evaluated to
250 compare the data elaboration performed only on the spots of interest, using dedicated software, with
251 defined, or eventually limited, statistical models to be applied, and the elaboration was done via
252 coding with Python, which consents to work on the whole graph, including the risk of picking
253 background signals, or others due to instrumental variation. However, this last approach is more
254 flexible, as every statistical model can be applied with the proper code.

255

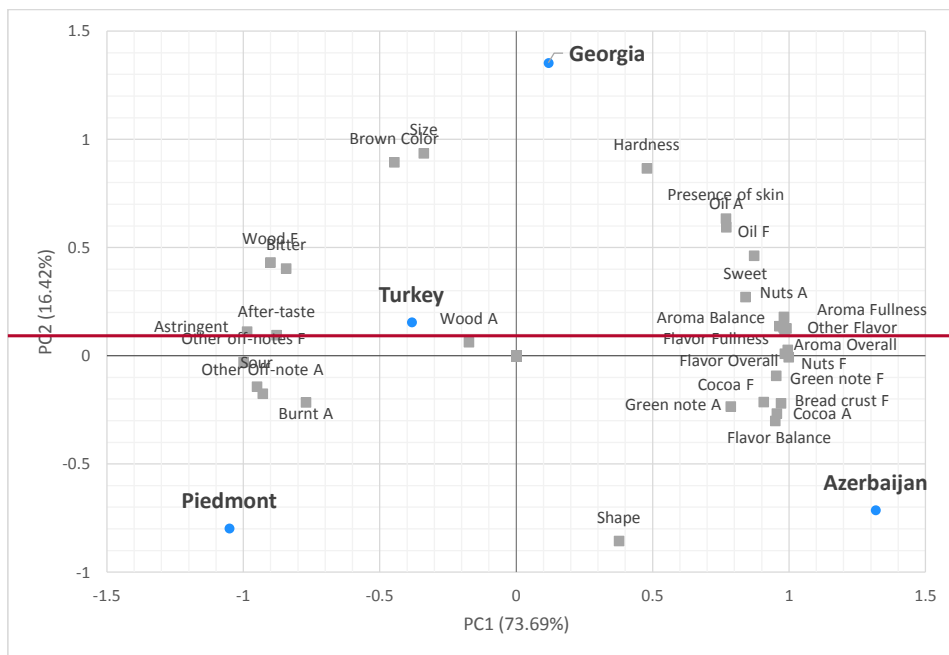
256 **2.5 Sensory analysis**

257 Sensory evaluation is defined as the discipline used to evoke, measure, analyze and interpret reactions
258 to those characteristics of foods and materials as they are perceived by the sense of sight, smell taste,
259 touch and hearing (Stone & Sidel, 1985).

260 The methodology used for this study is the profile attribute analysis (PAA) that is a sensory analysis
261 methodology carried by a panel composed by expert tasters to objectively describe and measure the
262 sensory characteristics of a product. Data obtained with the PAA can be entered efficiently in
263 automated processing systems and are perfectly suitable for statistical analysis and aggregations.

264 Tasting booths following ISO 8589:2007 (*General guidance for the design of test rooms Sensory*
 265 *evaluations*) were used to perform the sensory evaluation. The panel was composed of eight (8)
 266 experienced assessors. The sample set tasted by the assessors was composed by one batch for each
 267 sample, for a total of 48 samples (12 fresh hazelnut lots, 24 roasted ones, unpeeled and peeled, 12
 268 paste ones). A first tasting session was run to describe the sensory characteristics of all the samples
 269 to select the attributes to be used for the quantitative evaluation. In the subsequent session, the
 270 intensity of the sensory attributes was measured for each hazelnut sample, using a 12 points scale.

271 The results were then analysed by running the ANOVA test and the PCA. The main aim of ANOVA
 272 is to identify and quantify which factors are responsible for the variability of the response, while PCA
 273 maps out how products perform in terms of sensory characteristics and provide a visual snapshot of
 274 the sensory space that the samples sit in. Both ANOVA and PCA allow to analyse sensory data for
 275 detecting and quantifying differences between products. Figure 2 displays an example of PCA score
 276 plot of the fresh hazelnut sample set.



277
 278 *Fig. 2) Example of a PCA score plot of the fresh hazelnut sample set.*

279
 280 **2.6 Data Fusion**

281 Data from GC-IMS and sensory analyses were merged by low-level data fusion with the original data.
282 The GC-IMS data matrix obtained from the manual area set presented a suitable dimensionality for
283 the fusion with the sensory analysis matrix. Thus, the original data were merged without pre-treatment
284 with a SIMCA software functionality, and then the fused block was auto-scaled before the processing.
285 On the other hand, the entire spectrum handled with Python code, returned a huge data matrix. This
286 was due to the unfolding of three-way GC-IMS data, that generated a matrix with a lower number of
287 rows (number of samples) and a larger number of columns (retention x drift times). After this
288 unfolding, the matrix could be merged with the sensory one by concatenation (Schwolow, Rohn,
289 Gerhardt, & Weller, 2019). However, the enormous difference in features number between the two
290 datasets (ca. 3 million from GC-IMS vs 40 from sensory), was difficult to handle, even with a pre-
291 treatment, which included “within-” and “between-block” scaling. The dominance of the GC-IMS
292 dataset strongly impacted the model, and the data fusion did not significantly improve the models.
293 Therefore, this specific approach was employed only using the matrix from the manual area set,
294 concatenating it with the sensory analysis matrix by using SIMCA software, to evaluate how the
295 merging could potentially work on the models.

296

297 **3. RESULTS AND DISCUSSION**

298 **3.1 SIMCA software data elaboration**

299 The data matrix obtained from the GC-IMS analysis by manually selecting the visible spots had
300 between 70 and 100 variables, ~~a manageable dimension by SIMCA software,~~ and the multivariate
301 statistical analysis was fundamental to deal with all of these variables at the same time, finding a
302 correlation among them. A variables selection was carried out to lower the data dispersion and
303 increase the explained variance of the models. This was achieved by filtering the most important
304 variables considering the variable importance in projection (VIP) score. Only the features having a
305 VIP score > 1.0 were kept for the statistical analysis. The workflow adopted started with PCA for a
306 preliminary visualisation of the class clustering. Figures 3A)-B)-C) show the PCA score plots of
307 fresh, roasted, and hazelnut paste, respectively.

308

309 The plots highlight a discrete separation between Italian and non-Italian samples. ~~The overall~~
310 explained variance was higher than 40~~between 20 and 30-%. Despite this value is acceptable,~~ this
311 pointed out a data dispersion, mainly due to the relevant number of qualitative variables / DoE factors
312 (storage shelf-life, harvesting year, presence of peel) related to the number of samples. The main

313 target of the PCA is the data dimensionality reduction, ~~useful in order to be able~~ to visualise a 2D plot
 314 and extract the features. It is an unsupervised approach since it does not label the classes, hence the
 315 observations are positioned into the plot only depending on the variables that drive them (Ghojogh &
 316 Crowley, 2022). Supervised analysis, such as PLS-DA, by labelling the groups, permits to reach of a
 317 better clustering, decreasing the data dispersion. Figures 4A)-B)-C) show the PLS-DA score plots of
 318 fresh, roasted, and paste hazelnut sample sets, underlining a better class separation, with a clear
 319 division between Italian and non-Italian products, ~~even with a discrete grouping inside the non-Italian~~
 320 ~~cluster, in some cases.~~

322 A misclassification table was created ~~as well. It is a tabular way~~ to estimate the performance of the
 323 prediction model. Each item in the misclassification table designates the number of predictions by
 324 the model, where the classification is done correctly or incorrectly (Mohajon, 2020). In the present
 325 study, 26 samples for fresh hazelnuts, 47 for roasted hazelnuts, and 34 for hazelnut pastes were picked
 326 from the training set and used as a prediction set. An accuracy score of 100 % for all the supervised
 327 models was obtained, confirming their statistical robustness (Tables 1A-B-C).

328 A)

	Members	Correct	Georgia	Azerbaijan	Turkey	Italy	No-class (YPred <= 0)
Georgia	4	100%	4	0	0	0	0
Azerbaijan	4	100%	0	4	0	0	0
Turkey	6	100%	0	0	6	0	0
Italy	12	100%	0	0	0	12	0
No-class	0	=	0	0	0	0	0
Total	26	100%	4	4	6	12	0

329 B)

	Members	Correct	Georgia	Azerbaijan	Turkey	Italy	No-class (YPred <= 0)
Georgia	9	100%	9	0	0	0	0
Azerbaijan	11	100%	0	11	0	0	0
Turkey	11	100%	0	0	11	0	0
Italy	16	100%	0	0	0	16	0
No-class	0	=	0	0	0	0	0
Total	47	100%	9	11	11	16	0

330 C)

	Members	Correct	Georgia	Azerbaijan	Turkey	Italy	No-class (YPred <= 0)
Georgia	7	100%	7	0	0	0	0
Azerbaijan	8	100%	0	8	0	0	0

Turkey	7	100%	0	0	7	0	0
Italy	12	100%	0	0	0	12	0
No class	0	-	0	0	0	0	0
Total	34	100%	7	8	7	12	0

Table 1A) Misclassification table of the selected fresh hazelnut prediction set (n=26). 1B) Misclassification table of the selected roasted hazelnut prediction set (n=17). 1C) Misclassification table of the selected hazelnut paste prediction set (n=34). (Green cell: samples correctly classified, yellow cell: samples misclassified)

To further assess the robustness of the predictive models, and also their feasibility in a real environment, the test set samples were analysed. The selected workflow was the same one used for the training set, as well as the manual area set and the matrix export. Thus, the test set was used as a validation prediction set, considering only the Italian class, and the other one was used for the mixed samples. This allowed was suitable to simulate an industrial approach simulation, where a sample is analysed, and if the sample's sits volatile profile matches the Italian one samples, it is considered authentic, otherwise, it is discarded or, eventually, analysed with a confirmatory methodology.

-A classification list was performed on the test set: it displayed the observation (sample IDs), the original dummy variables as YVarPS, which can range from 1 to 0, and the predicted dummy variables as YPredPS. From this last value, it is possible to define the sample class:

- <0.35 the samples do not belong to the class
- Between 0.35 and 0.65 the samples are borderline
- >0.65 the samples belong to the class

(MKS Umetrics, 2015). In this work, only samples with a YPredPS bigger than 0.65 were considered Italian. Tables S3A)-B)-C) report the classification list outcomes from the fresh, roasted, paste of hazelnut test sets.

The Classification list related to the fresh hazelnut samples gave valuable outcomes, as since none of the mixes were classified as Italian. This confirmed the good sensitivity and the robustness of the technique on this matrix, as even the samples having only the 10 % of the non-Italian products were not wrongly well-classified. Concerning the roasted hazelnut samples, the performance was worse than the one of referred to the fresh ones. Indeed, all the samples, both peeled and unpeeled roasted hazelnuts, with 90 % Italian products were classified as Italian; on the other hand also, many samples having 80 and 50 % Italian hazelnuts were misclassified. Thus, with the roasted matrix, the analytical tool is less robust, with and only samples having more than 50 % of non-Italian hazelnuts were grouped as anomalous. Hazelnut paste samples were all classified as non-Italian, also with high values of YPredPS. This points out a good performance of the methodology to tackle the authenticity issues

360 of Italian materials. However, samples with a big percentage of Italian products presented relevant
361 YPredPS values for the Turkish class; this does not denote an ideal performance by the model. Likely,
362 a higher number of samples should be needed to better understand the robustness of the methodology
363 on the paste matrix.

364

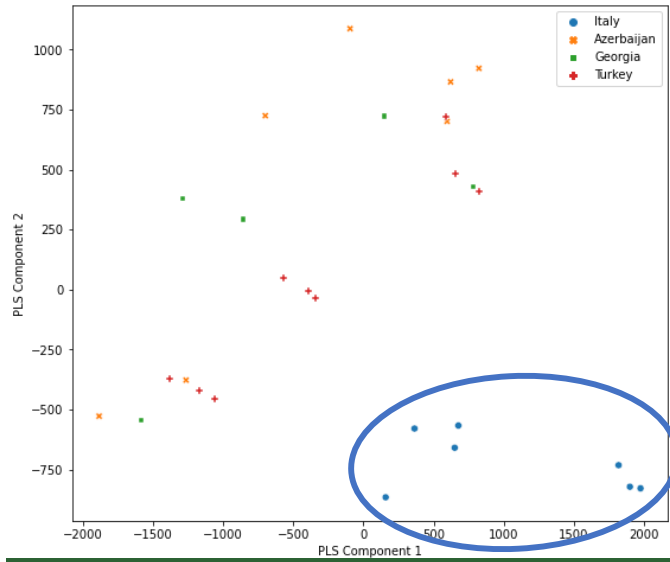
365 *3.2 Python data elaboration with gc-ims-tools package*

366 The workflow selected for the data elaboration with the “gc-ims-tools” package in Python coding was
367 analogue to the one adopted with the SIMCA software, ~~so PCA as an unsupervised model, then~~
368 ~~PLS-DA as supervised, and other tests, on the prediction set, taken from the training set, and on the~~
369 ~~test set, to assess the model’s robustness.~~ Figures 5A-B-C display the PCA score plots of fresh,
370 roasted, and paste hazelnut samples, respectively.

371

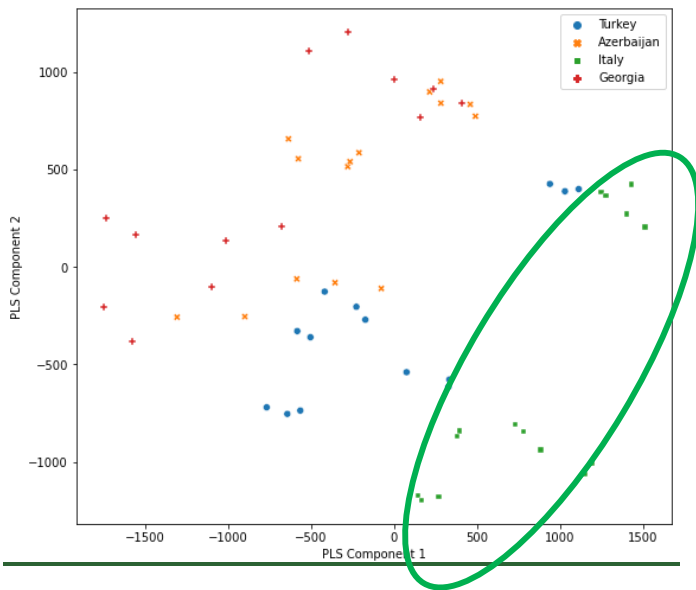
372 As expected, a preliminary geographical origin-based separation was achieved by considering PCs
373 different from the first one, since, on the whole spectrum, the harvesting year and the storage shelf-
374 life factors were more relevant than in the model made from the manual area set. It was evident in the
375 ~~score plot of the~~ roasted hazelnut sample set ~~PCA~~, where ~~six Italian samples were out of the Italian~~
376 ~~cluster, out of the Italian cluster, there were six Italian samples, from the 2020 harvesting campaign,~~
377 ~~with short storage shelf life.~~ Nevertheless, this trend was not so evident also in the models related to
378 the fresh and paste of hazelnuts, where the Italian clusters were quite well-defined, ~~considering the~~
379 ~~unsupervised method.~~ Moving to the supervised models, figures 6A-B-C show PLS-DA score plots
380 of fresh, roasted, and paste hazelnut sample sets, respectively.

381 ~~⇒~~



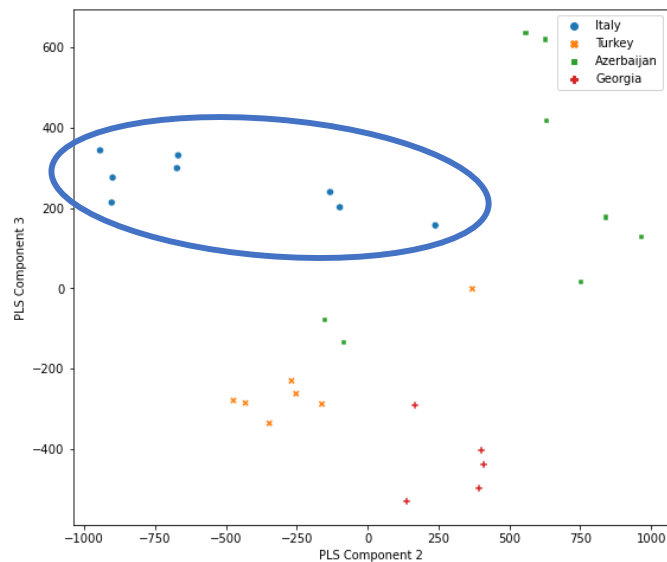
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385 ↻



386

387 Fig. 6A) PLS-DA score plot of the fresh hazelnut sample set. (Blue dots: Italy, orange crosses: Azerbaijan,
 388 green squares: Georgia, red crosses: Turkey, blue ellipse: Italian cluster) 6B) PLS-DA score plot of the
 389 roasted hazelnut sample set. (Blue dots: Turkey, orange crosses: Azerbaijan, green squares: Italy, red crosses:
 390 Georgia, green ellipse: Italian cluster) 6C) PLS-DA score plot of the hazelnut paste sample set. (Blue dots:
 391 Italy, orange crosses: Turkey, green squares: Azerbaijan, red crosses: Georgia, blue ellipse: Italian cluster)

392 Predictably, the clustering improved, and it was possible to define a precise Italian group. In the
 393 roasted hazelnut score plot, it is still evident a clear division between samples having a different
 394 harvesting year and storage shelf-life. It is interesting how the Italian samples were well-separated
 395 from the other classes. This could be explained by taking into account the areas of cultivation in the
 396 non-Italian countries in the present study. Turkey, Azerbaijan, and Georgia are all around the “Black
 397 Sea Region”, hence the climatic and soil conditions are similar. This could be reflected in products
 398 having analogue chemical characteristics, and so the VOCs. To initially estimate the robustness of
 399 the supervised model, the train-test split was done, extracting 20 % of samples from the training set
 400 to generate the prediction test set. On this latter set, the PLS-DA algorithm was applied, in order to
 401 obtain the model accuracy score. Fresh, roasted, and paste of hazelnut models reported an accuracy
 402 score of 86 %, 100 %, and 88 %, respectively. These values underlined a good model performance.
 403 However, to better assess it, and to simulate the application of the approach in a real situation, the
 404 validation set, that included both blended samples and others picked from the training set, was
 405 employed for a Random Forest Classification. It is a so-called “ensemble learning” machine learning

406 ~~method, used to create prediction models.~~ Random Forests are a collection of classification and
 407 regression trees, elementary models using binary split on the variable to define predictions. Decision
 408 trees provide a perceptive method for predicting output, that divides “high” vs. “low” values of a
 409 variable linked to it. Nonetheless, this methodology often returns low accuracy in the case of intricate
 410 datasets. Random Forest builds classification and regression trees exploiting random training sets and
 411 subsets variables for modelling outputs. Outcomes from each tree are cumulated, returning a
 412 prediction for each observation. This leads to higher accuracy than a single decision tree model
 413 (Breiman, Random Forests, 2001) (Breiman, Friedman, Stone, & Olshen, 1984) (Speiser, Durkalski,
 414 & Lee, Random forest classification of etiologies for an orphan disease, 2015) (Speiser, Miller,
 415 Tooze, & Ip, 2019). Random Forest Classifier was then applied on the validation set after the model
 416 was trained using the training set. Prediction variables were generated and compared with the ones
 417 from the validation set, and, from this comparison, the following parameters were evaluated:

- 418 - Accuracy: the ratio of the correctly classified samples to the whole pool of samples.
- 419 - Precision: the ratio of the correctly positive classified by the model to all positive samples
 420 (true and false positive).
- 421 - Sensitivity: the ratio of the correctly positive classified by the model to all that are positive
 422 (true positive and false negative).
- 423 - F1 score: the harmonic mean of the precision and sensitivity.
- 424 - Specificity: the correct negative classified by the model to all that are negative (true negative
 425 and false positive).

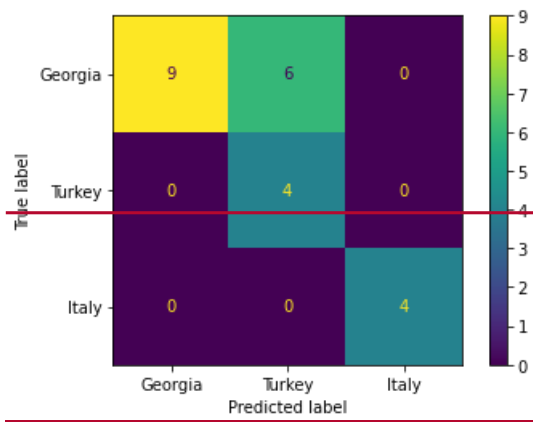
426 (Ghoneim, 2019). Table 2 reports the abovementioned parameters after the Random Forest
 427 Classification of fresh, roasted, and paste hazelnut validation sets.

MATRIX	ACCURACY	PRECISION	SENSITIVITY	F1- SCORE	SPECIFICITY
FRESH HAZELNUTS	74%	90%	74%	76%	74%
ROASTED HAZELNUTS	100%	100%	100%	100%	100%
HAZELNUT PASTES	92%	92%	92%	90%	92%

428 ~~Table 2 Accuracy, Precision, Sensitivity, F1 Score, and Specificity values of fresh, roasted, paste hazelnut~~
 429 ~~validation sets.~~

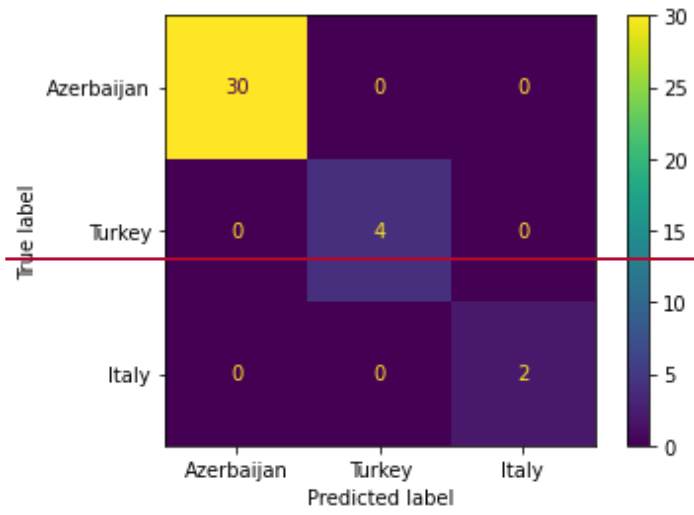
430 To conclude the assessment and to better visualise the model performance on the validation set, a
431 confusion matrix was built. It is a table where each row represents the number of samples in the actual
432 class, whereas each column is about the number of samples in the predicted class (Powers, 2011).
433 Figures 37A-B-C represent the confusion matrix related to, respectively, fresh, roasted, and paste
434 hazelnut validation sets.

435 A)



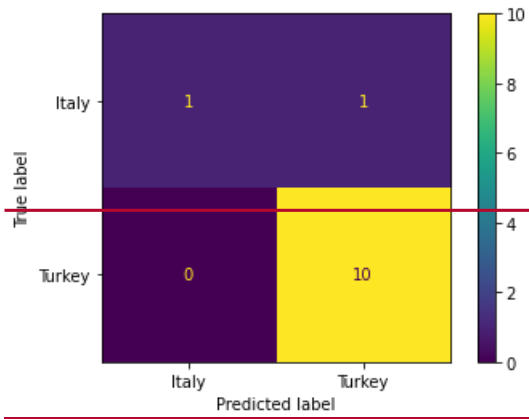
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437 B)



438

439 C)



440

441 *Table 7A) Confusion matrix of the fresh hazelnut validation set. 7B) Confusion matrix of the roasted*
 442 *hazelnut validation set. 7C) Confusion matrix of hazelnut paste validation set.*


443 All the mixed samples (Italian – non-Italian at different percentages) were placed into the non-
 444 Italian class before the statistical analysis, and they were correctly classified as non-Italian. ~~This~~
 445 ~~approach also explains the non-ideal parameter values for the fresh hazelnut model. Regarding the~~
 446 ~~fresh samples. Some of the mixed samples, initially-labelled as Georgian, were classified as~~
 447 ~~Turkish, the class related to the other samples picked from the training set, since the mix between~~
 448 ~~Italian and Georgian products was evaluated, in some cases, by the model as Turkish class by the~~
 449 ~~model. This led to lower accuracy, precision, sensitivity, specificity, and F1-score. However, the~~
 450 ~~model results were effective, because all the not 100 % authentic Italian samples were classified as~~
 451 ~~non-Italian, making the detection of anomalous lots possible, also on external sets, to detect~~
 452 ~~anomalous lots, even with a percentage of Italian products.~~

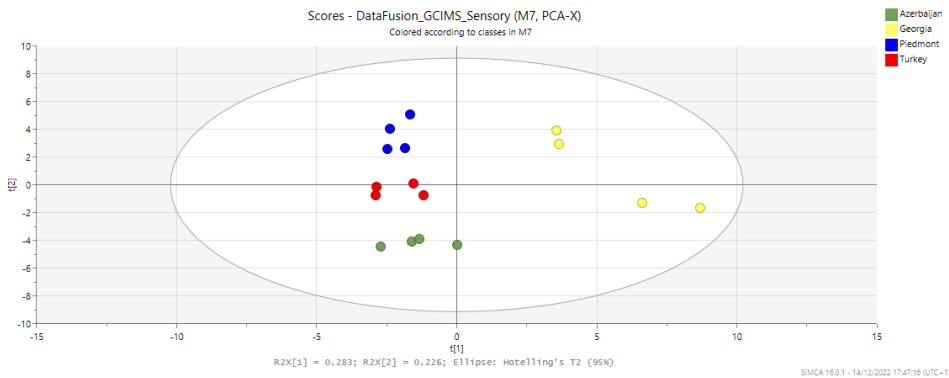
453

454 **3.3 Data fusion**


455 The sensory analysis encompasses several sciences to better comprehend the sensory properties of
 456 commodities and the consumer’s response to these properties. (Chambers & McGuire, 2003) Some
 457 parameters, according to the matrix analysed, are initially set. To assign values to these parameters,
 458 category scales are employed, for objective assessment and subjective response. (McEwan & Lyon,
 459 2003) Tables S4A-B-C display all the sensory parameters of the hazelnuts analysis, and their
 460 relative values, obtained by doing the mean of the assessors’ evaluation of each sample.

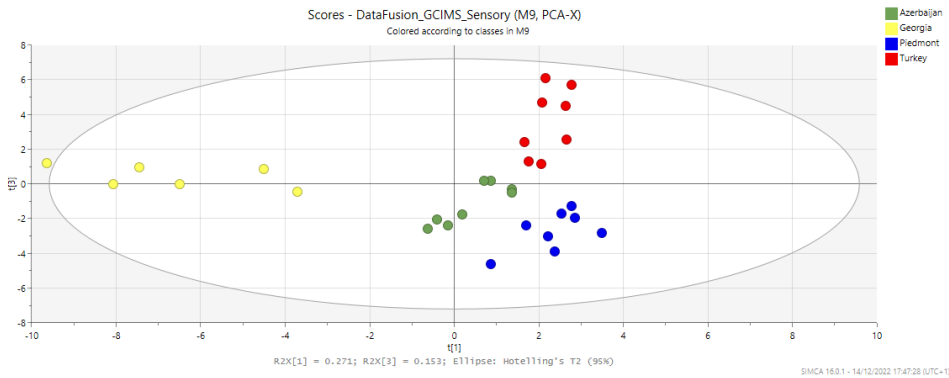
461 These features were concatenated with the ones from the GC-IMS analysis, ~~and extracted with the~~
462 ~~manual area set,~~ employing the SIMCA software functionality. Since the number of features from the
463 two data sets was similar, no pre-treatment was achieved prior to merging them. Low-level data fusion
464 was then performed, and, afterward, the entire data matrix obtained was auto-scaled, to make all the
465 variables comparable. One batch, in duplicate, per type of sample (considering origin, harvesting
466 year, and storage shelf-life) was used for the sensory analysis. ~~Thus, hence~~ only the matching data
467 from the GC-IMS analysis were merged, to better investigate the efficacy of the approach, avoiding
468 the dominance of one technique on the other. ~~Thus, considering~~ the limited number of samples
469 employed, this could be defined as a 'proof-of-concept' of the analytical-sensory data fusion
470 approach. The same protocol for the statistical analysis of the GC-IMS outputs was carried out,
471 starting from the unsupervised PCA to the supervised PLS-DA. Figures 7A-B-C show the PCA score
472 plots of the fresh, roasted, and paste hazelnut samples after the data fusion.

473 



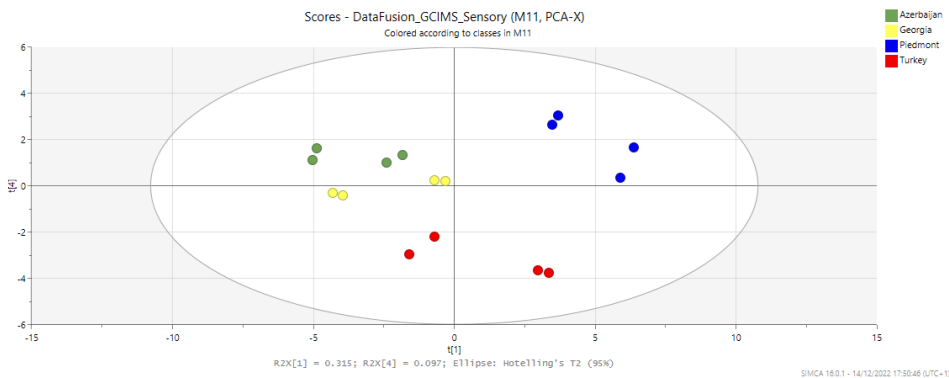
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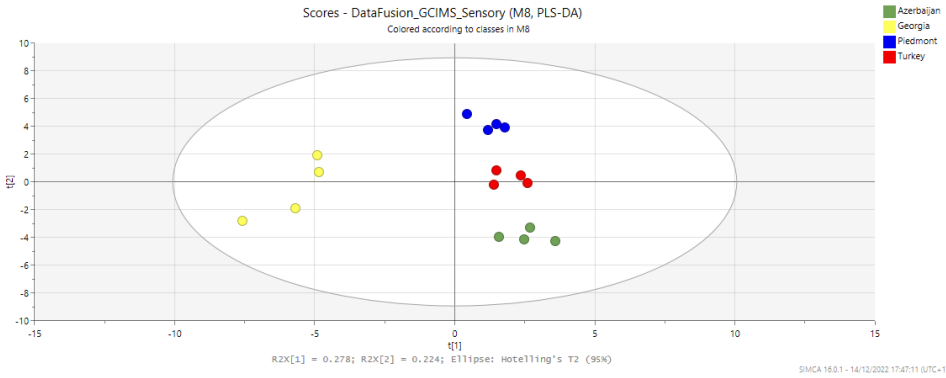


478

479 ~~Figure 7A) PCA score plot of fresh hazelnut samples after data fusion. 7B) PCA score plot of roasted hazelnut~~
 480 ~~samples after data fusion. 7C) PCA score plot of hazelnut paste samples after data fusion. (Green dots:~~
 481 ~~Azerbaijan, yellow dots: Georgia, blue dots: Piedmont, red dots: Turkey)~~

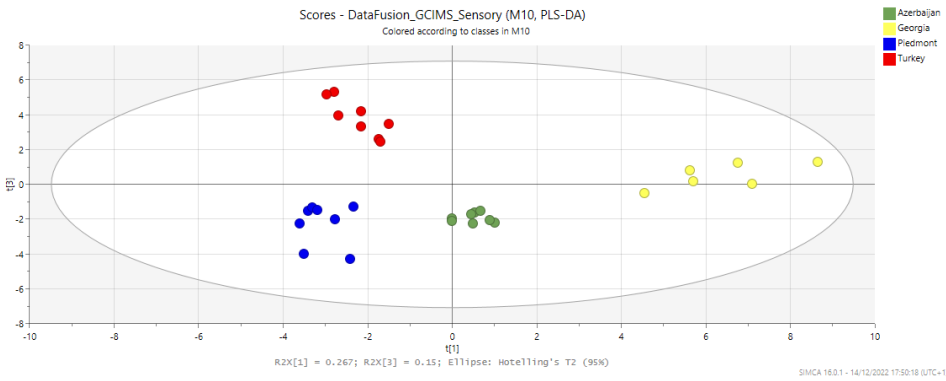
482 The Italian mix could not be reproduced for the sensory analysis, hence only samples from one Italian
 483 region, Piedmont, were considered (PDO “Tonda Gentile Trilobata”). As it is possible to see, the
 484 group clustering seems to be improved, the classes were clearly divided. In addition, the first PC
 485 already can efficiently separate the samples according to their geographical origin, so the explained
 486 variance was increased, with a lower data dispersion and harvesting year effect. It is curious to notice
 487 how the fusion of the data sets brought a distinct division of the Georgian cluster from the others in
 488 the fresh and roasted score plots, and this grouping is then inverted in the hazelnut paste score plot,
 489 where Piedmont is the class finely separated, similarly to the GC-IMS outcome. Figures 8A-B-C
 490 indicate the PLS-DA score plots of the fresh, roasted, and paste hazelnut samples after the data fusion.

491



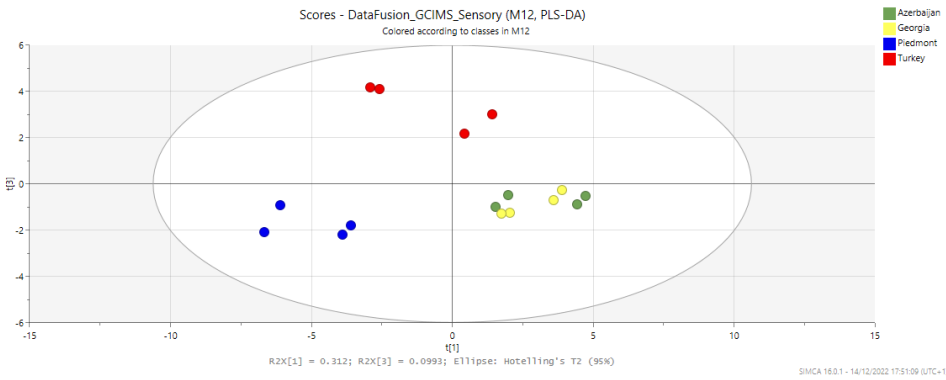
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496

497 ~~Figure 8A) PLS-DA score plot of fresh hazelnut samples after data fusion. 8B) PLS-DA score plot of roasted~~
498 ~~hazelnut samples after data fusion. 8C) PLS-DA score plot of hazelnut paste samples after data fusion. (Green~~
499 ~~dots: Azerbaijan, yellow dots: Georgia, blue dots: Piedmont, red dots: Turkey)~~

500 As expected, the supervised models improved the preliminary grouping, highlighting the inter-class
501 differences. Therefore, this ‘proof-of-concept’ study reported interesting outcomes about the data
502 fusion strategy, that revealed to be a tool for statistical model improvement when
503 complementary/orthogonal techniques were considered. However, considering the limited number of
504 samples per class, and the also the moderate number of variables included, further studies are
505 necessary to robustly define the effectiveness of the approach.

506 507 508 **4. CONCLUSIONS**

509 Hazelnuts and their processed products are very common in bakery companies, for their versatility in
510 different preparations. The growing demand for high-quality ingredients has led producers/suppliers
511 to search for the best varieties, that, in the case of the hazelnut commodity, are strictly related to the
512 geographical area of cultivation. Therefore, the authentication of this matrix is a relevant aspect from
513 both a quality and safety point of view. The GC-IMS technology could be a functional candidate for
514 facing authenticity issues linked to the hazelnut chain. The instrumental parameters can be set and
515 optimised for gaining the best sample fingerprinting, as well as the sample preparation for the ideal
516 extraction of the VOCs. This opens up the possibility for research on this technology, which can be
517 performed at both academic and industrial levels. Simultaneously, the speed, sensitivity, and cost-
518 effectiveness of the tool are also exploitable for routinary analysis in the companies’ quality control
519 department. In comparison with the GC-quadrupole MS (GC-qMS), a technique usually available in
520 the quality control laboratory for the VOCs analysis, GC-IMS present diverse advantages. In terms
521 of costs, the routine maintenance can be conducted by the end-user, the technology requires only
522 high-purity nitrogen as carrier and drift gas without any expensive vacuum pump to be maintained.
523 Moreover, it is as sensitive as the standard GC-MS system, and the two-dimensional separation
524 permits faster throughput of complex samples. Finally, no particular pre-treatment is required before
525 the analysis, that makes this approach more user-friendly and green. ~~TIn addition,~~ the coupling with
526 multivariate statistical analysis permits ~~to deal~~ing with the big number of features of the 3D data
527 matrix ~~obtained,~~ extrapolating useful chemical info. The statistical models created ~~employing a~~
528 relevant number of samples can be applied ~~to~~ real lots in an automatized way. Furthermore, this

529 [study](#) also demonstrated preliminary efficacy in merging data from this technique with a
530 complementary one, such as sensory analysis, concerning the statistical modelling.

531

532 **5. REFERENCES**

533

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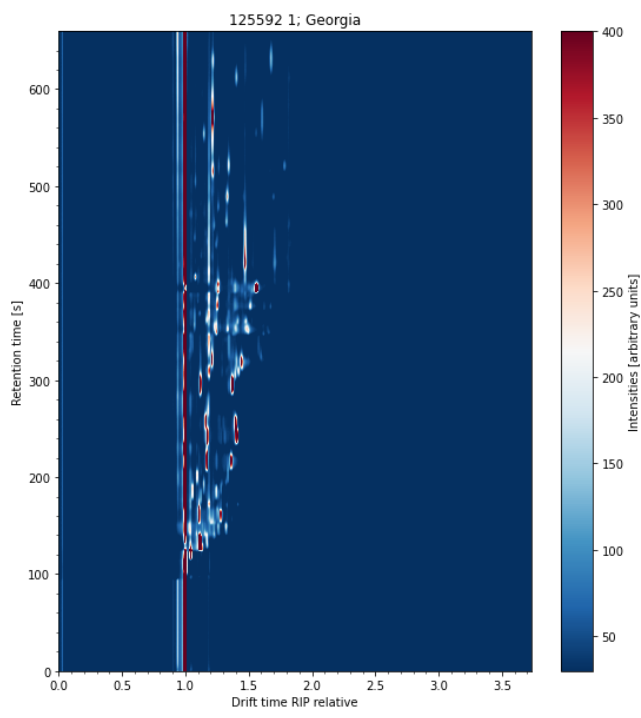
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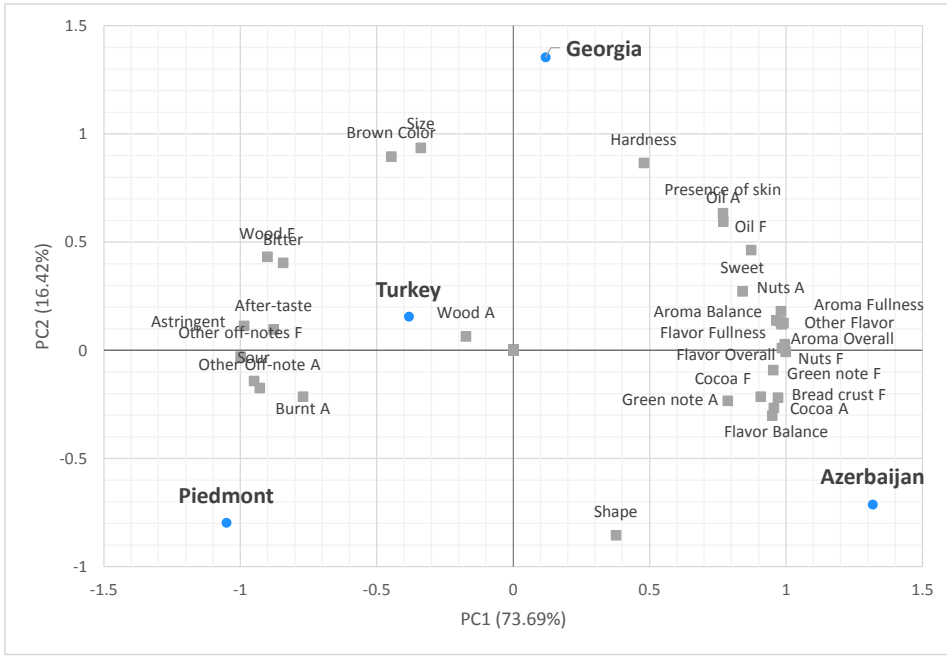
648 **FIGURES**



649

650 *Fig. 1 2D GC-IMS chromatogram of a fresh hazelnut sample*

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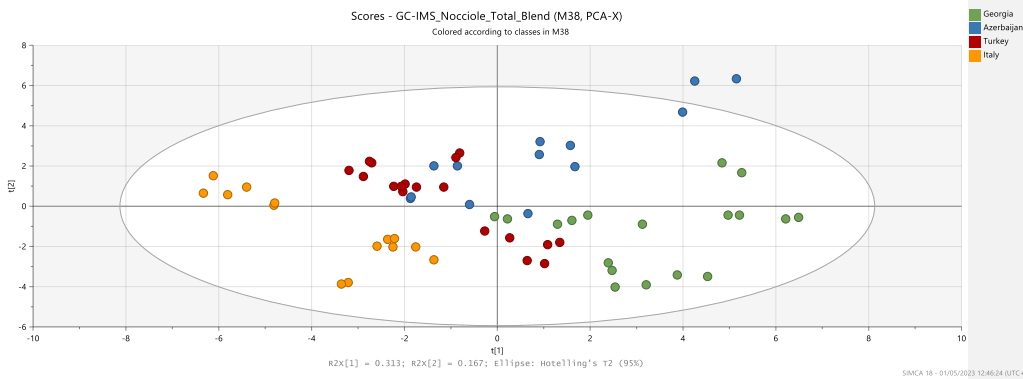


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653 *Fig. 2 Example of a PCA score plot of the fresh hazelnut sample set.*

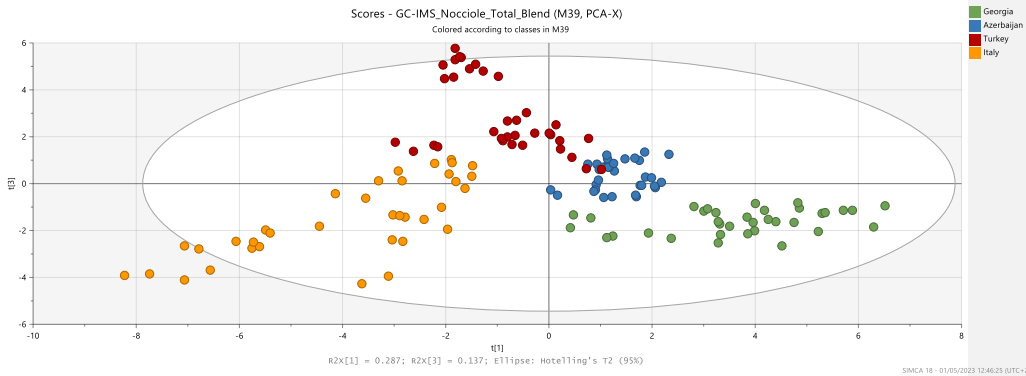
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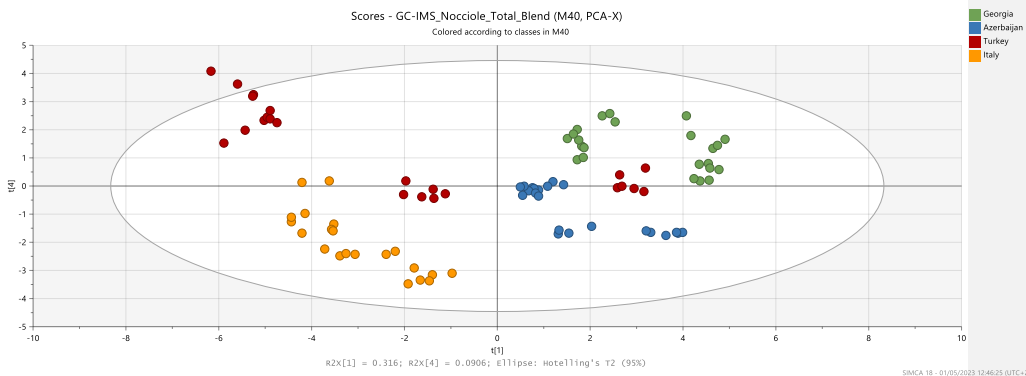
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657 B)



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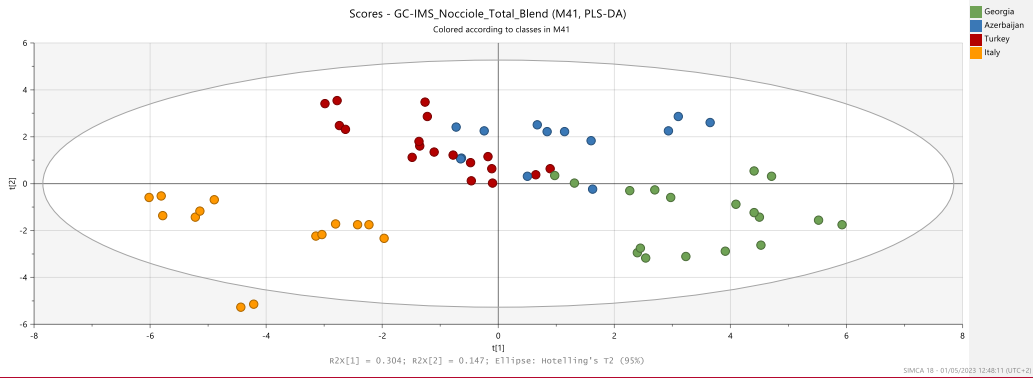
661 *Fig. 3A) PCA score plot of the fresh hazelnut sample set. 3B) PCA score plot of the roasted hazelnut sample*
 662 *set. 3C) PCA score plot of the hazelnut paste sample set. (Green dots: Azerbaijan, yellow dots: Georgia, blue*
 663 *dots: Italy, red dots: Turkey)*

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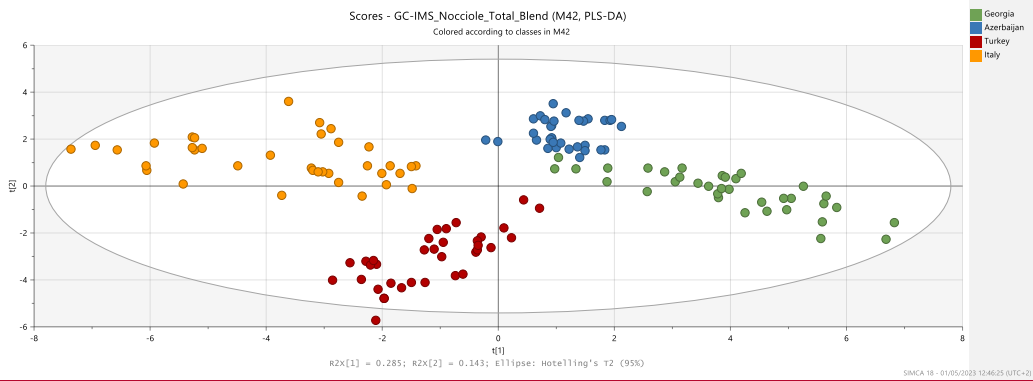
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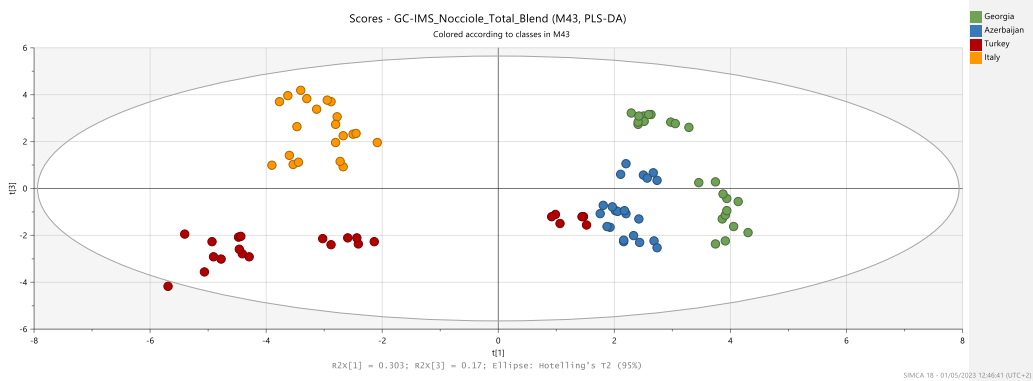


667 **B)**



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669 **C)**

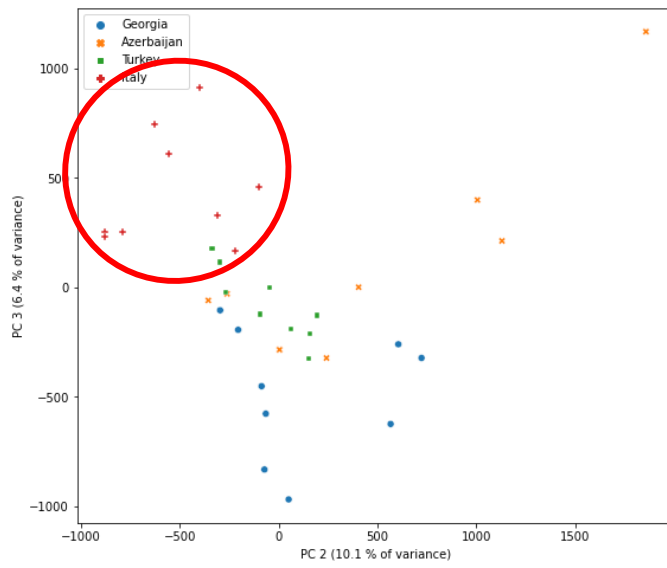


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671 Fig. 4A) PLS-DA score plot of the fresh hazelnut sample set. 4B) PLS-DA score plot of the roasted hazelnut
672 sample set. 4C) PLS-DA score plot of the hazelnut paste sample set. (Green dots: Azerbaijan, yellow dots:
673 Georgia, blue dots: Italy, red dots: Turkey)

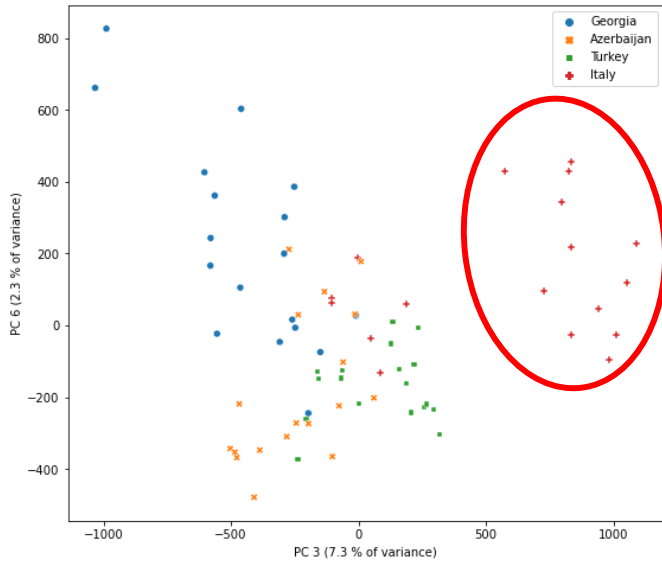
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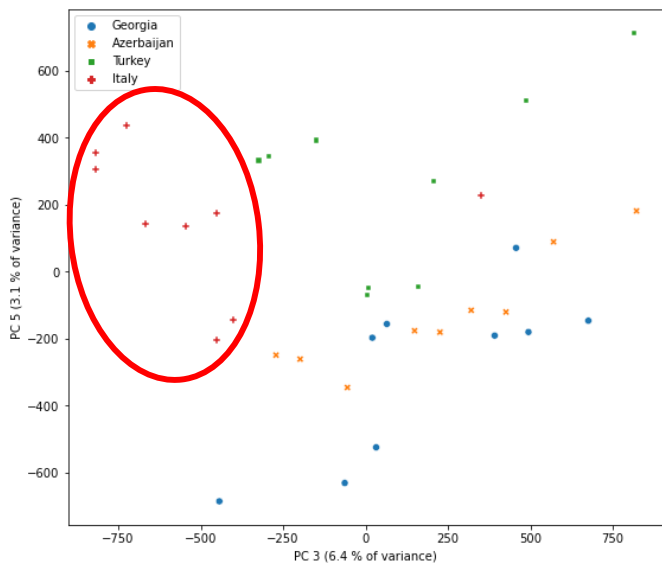
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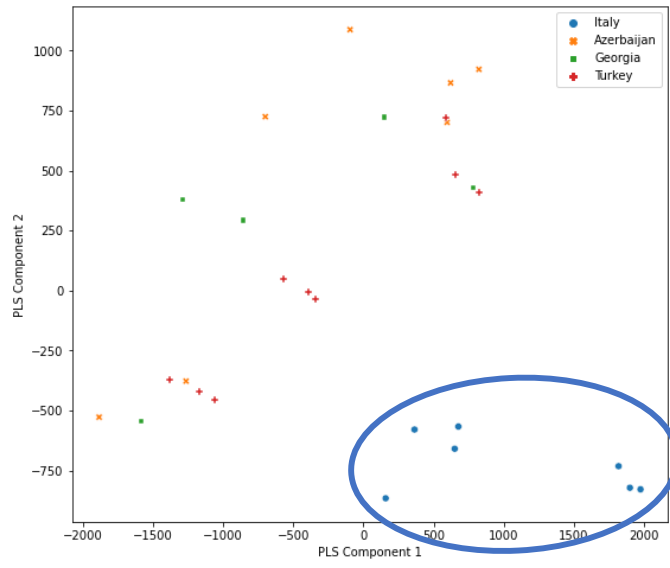


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681 Fig. 5A) PCA score plot of the fresh hazelnut sample set. 5B) PCA score plot of the roasted hazelnut sample
 682 set. 5C) PCA score plot of the hazelnut paste sample set. (Blue dots: Georgia, orange crosses: Azerbaijan,
 683 green squares: Turkey, red crosses: Italy, red ellipse: Italian cluster)

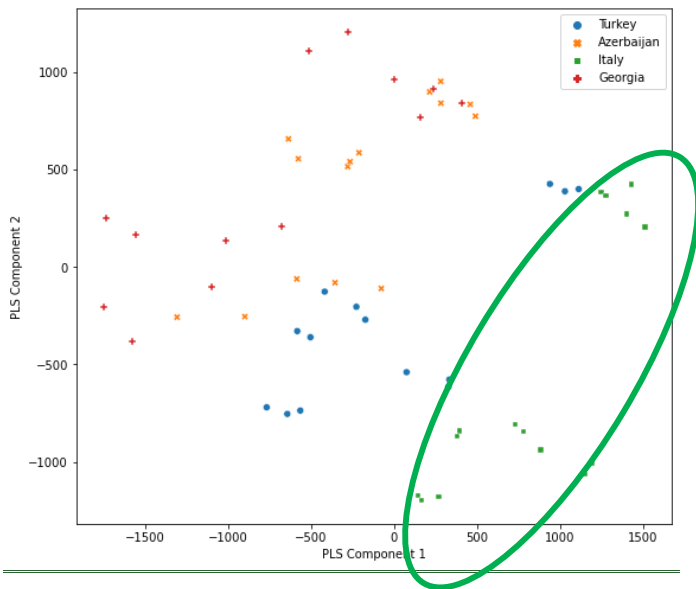
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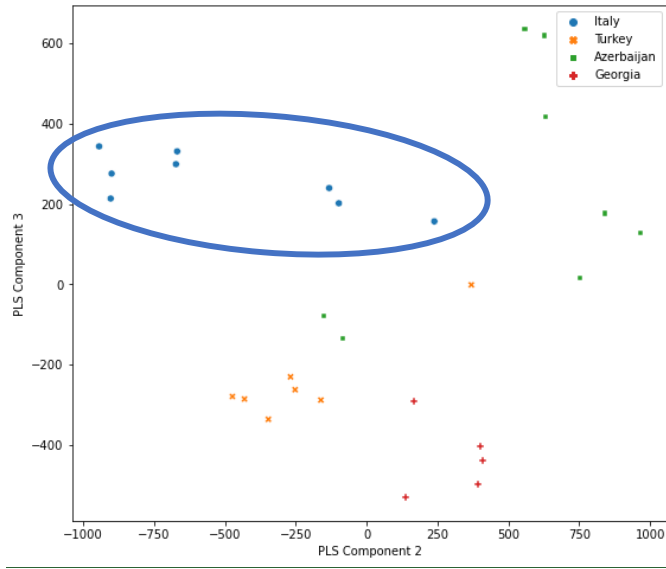
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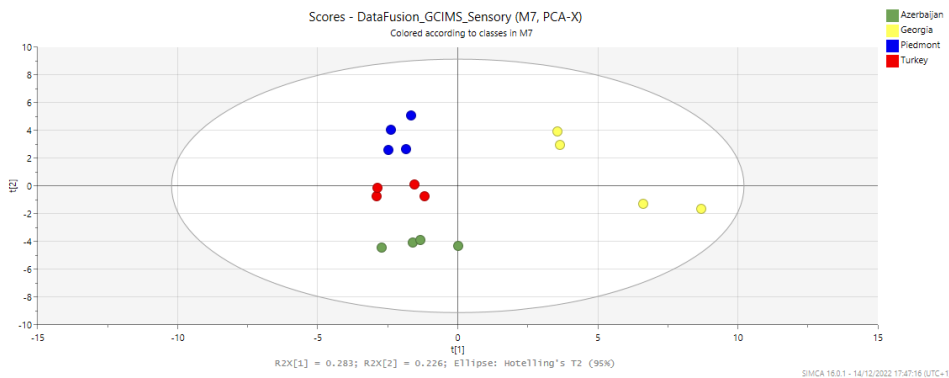


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691 Fig. 6A) PLS-DA score plot of the fresh hazelnut sample set. (Blue dots: Italy, orange crosses: Azerbaijan,
692 green squares: Georgia, red crosses: Turkey, blue ellipse: Italian cluster) 6B) PLS-DA score plot of the
693 roasted hazelnut sample set. (Blue dots: Turkey, orange crosses: Azerbaijan, green squares: Italy, red crosses:
694 Georgia, green ellipse: Italian cluster) 6C) PLS-DA score plot of the hazelnut paste sample set. (Blue dots:
695 Italy, orange crosses: Turkey, green squares: Azerbaijan, red crosses: Georgia, blue ellipse: Italian cluster)

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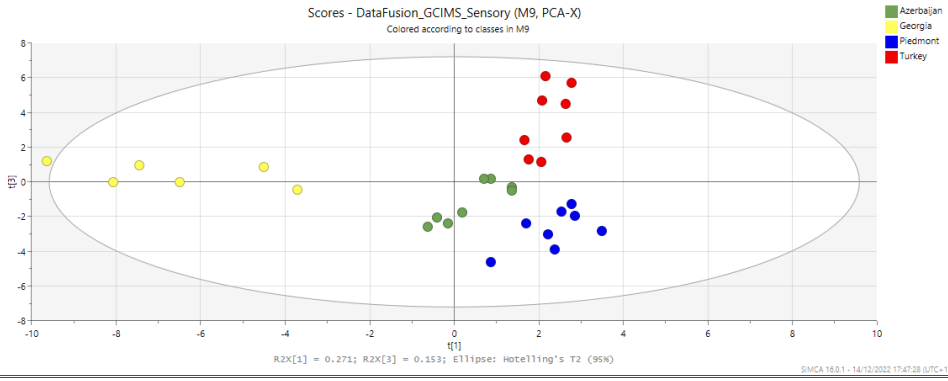
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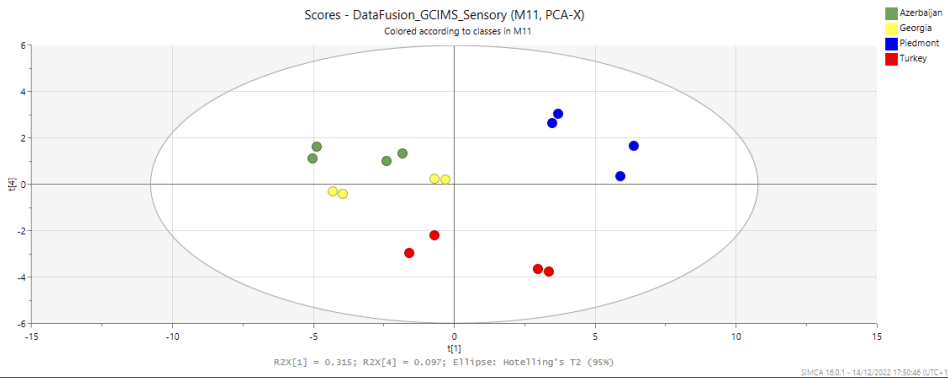
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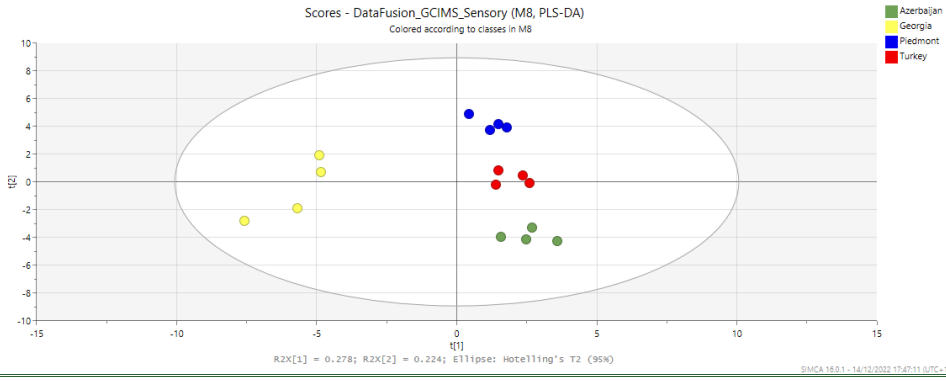
703 Figure 7A) PCA score plot of fresh hazelnut samples after data fusion. 7B) PCA score plot of roasted hazelnut
 704 samples after data fusion. 7C) PCA score plot of hazelnut paste samples after data fusion. (Green dots:
 705 Azerbaijan, yellow dots: Georgia, blue dots: Piedmont, red dots: Turkey)

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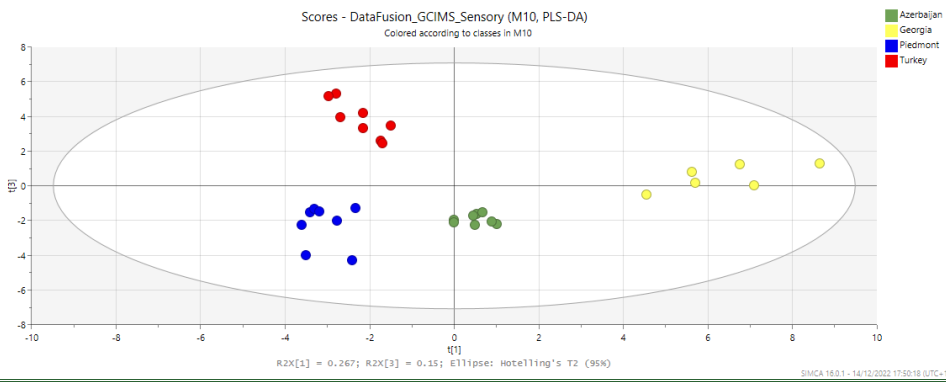
A)

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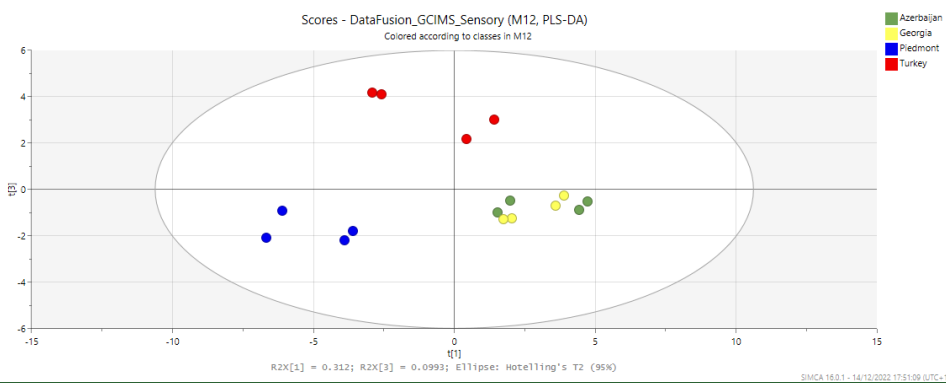
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713 Figure 8A) PLS-DA score plot of fresh hazelnut samples after data fusion. 8B) PLS-DA score plot of roasted
 714 hazelnut samples after data fusion. 8C) PLS-DA score plot of hazelnut paste samples after data fusion. (Green
 715 dots: Azerbaijan, yellow dots: Georgia, blue dots: Piedmont, red dots: Turkey)

717 **TABLES**

718 A)

	<u>Members</u>	<u>Correct</u>	<u>Georgia</u>	<u>Azerbaijan</u>	<u>Turkey</u>	<u>Italy</u>	<u>No class (YPred <= 0)</u>
<u>Georgia</u>	4	100%	4	0	0	0	0
<u>Azerbaijan</u>	4	100%	0	4	0	0	0
<u>Turkey</u>	6	100%	0	0	6	0	0
<u>Italy</u>	12	100%	0	0	0	12	0
<u>No class</u>	0		0	0	0	0	0
<u>Total</u>	26	100%	4	4	6	12	0

719 B)

	<u>Members</u>	<u>Correct</u>	<u>Georgia</u>	<u>Azerbaijan</u>	<u>Turkey</u>	<u>Italy</u>	<u>No class (YPred <= 0)</u>
<u>Georgia</u>	9	100%	9	0	0	0	0
<u>Azerbaijan</u>	11	100%	0	11	0	0	0
<u>Turkey</u>	11	100%	0	0	11	0	0
<u>Italy</u>	16	100%	0	0	0	16	0
<u>No class</u>	0		0	0	0	0	0
<u>Total</u>	47	100%	9	11	11	16	0

720 C)

	<u>Members</u>	<u>Correct</u>	<u>Georgia</u>	<u>Azerbaijan</u>	<u>Turkey</u>	<u>Italy</u>	<u>No class (YPred <= 0)</u>
<u>Georgia</u>	7	100%	7	0	0	0	0
<u>Azerbaijan</u>	8	100%	0	8	0	0	0
<u>Turkey</u>	7	100%	0	0	7	0	0
<u>Italy</u>	12	100%	0	0	0	12	0
<u>No class</u>	0		0	0	0	0	0
<u>Total</u>	34	100%	7	8	7	12	0

721 Table 1A) Misclassification table of the selected fresh hazelnut prediction set (n=26). 1B) Misclassification
 722 table of the selected roasted hazelnut prediction set (n=47). 1C) Misclassification table of the selected hazelnut
 723 paste prediction set (n=34). (Green cell: samples correctly classified, yellow cell: samples misclassified)

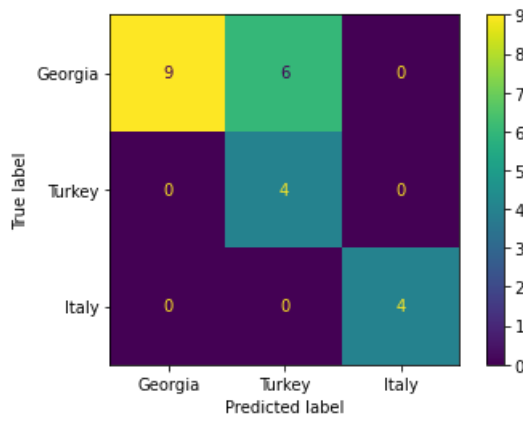
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<u>MATRIX</u>	<u>ACCURACY</u>	<u>PRECISION</u>	<u>SENSITIVITY</u>	<u>F1- SCORE</u>	<u>SPECIFICITY</u>
<u>FRESH HAZELNUTS</u>	<u>74 %</u>	<u>90 %</u>	<u>74 %</u>	<u>76 %</u>	<u>74 %</u>
<u>ROASTED HAZELNUTS</u>	<u>100 %</u>	<u>100 %</u>	<u>100 %</u>	<u>100 %</u>	<u>100 %</u>
<u>HAZELNUT PASTES</u>	<u>92 %</u>	<u>92 %</u>	<u>92 %</u>	<u>90 %</u>	<u>92 %</u>

725 Table 2 Accuracy, Precision, Sensitivity, F1-Score, and Specificity values of fresh, roasted, paste hazelnut
726 validation sets.

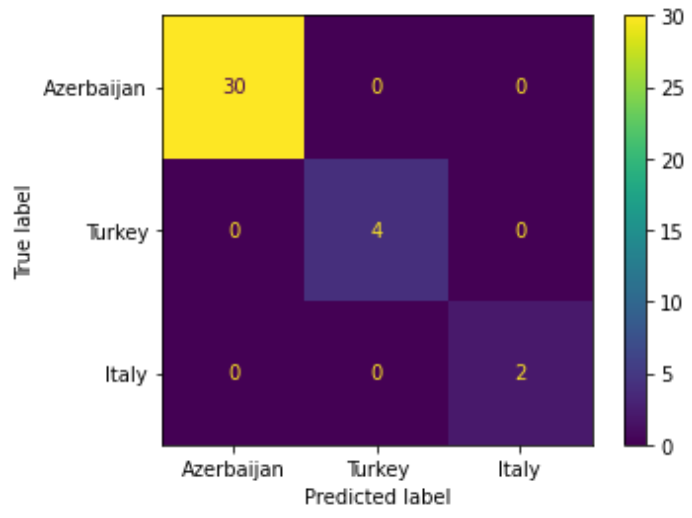
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728 A)



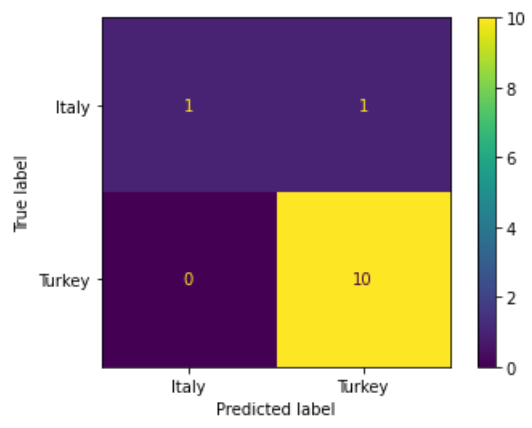
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730 B)



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732 C)

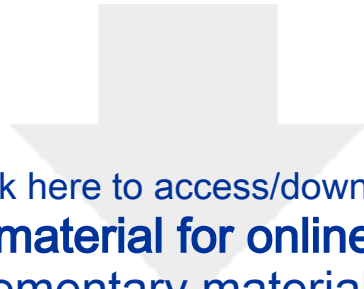


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734 Table 3A) Confusion matrix of the fresh hazelnut validation set. 3B) Confusion matrix of the roasted
 735 hazelnut validation set. 3C) Confusion matrix of hazelnut paste validation set.

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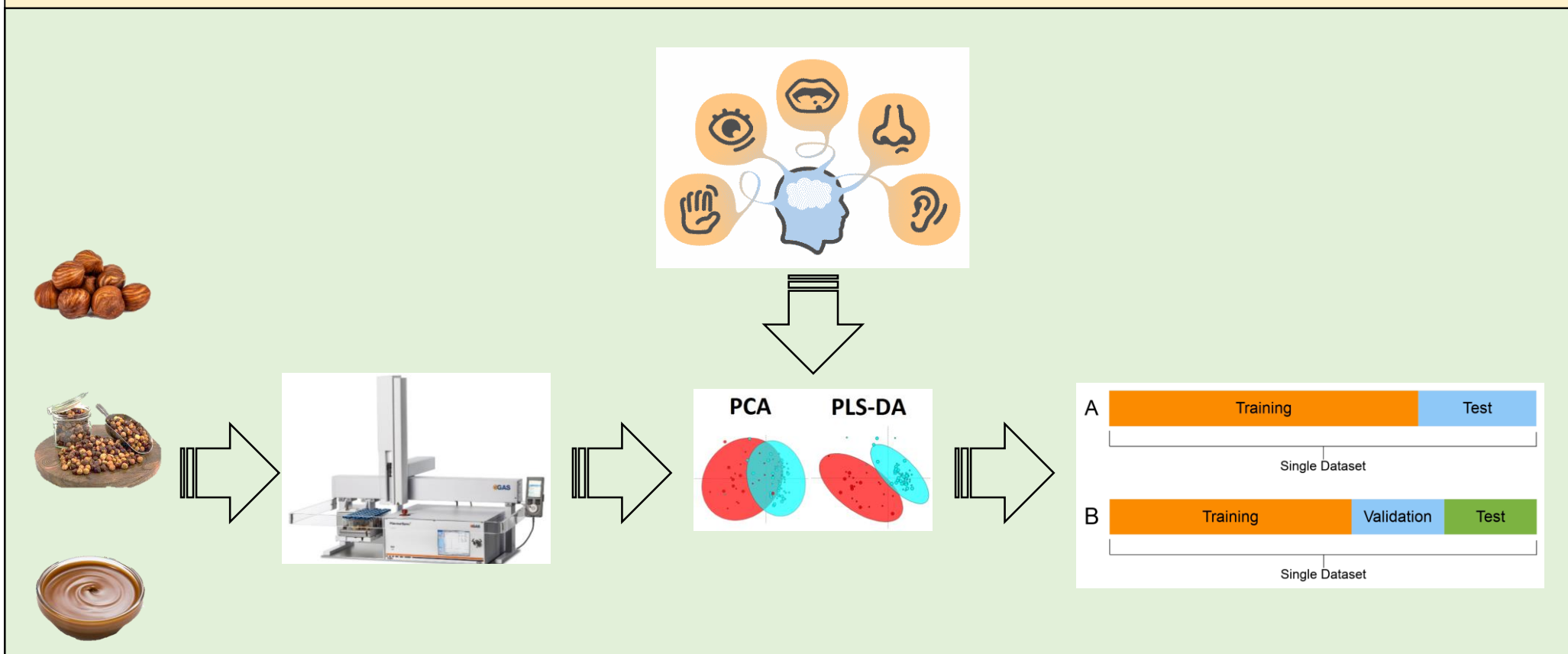


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A Geographical Origin assessment of Italian Hazelnuts: Gas Chromatography-Ion mobility spectrometry coupled with Multivariate Statistical Analysis and Data Fusion approach



1 ***A Geographical Origin assessment of Italian***
2 ***Hazelnuts: Gas Chromatography-Ion mobility***
3 ***spectrometry coupled with Multivariate Statistical***
4 ***Analysis and Data Fusion approach***

5
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14
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16 Giuseppe Sammarco: methodology, data curation, formal analysis, investigation, validation,
17 visualization, writing – original draft, writing – review & editing; Daniele Bardin: data curation,
18 formal analysis, investigation, visualization, writing – original draft; Federica Quaini: data curation,
19 investigation, methodology, supervision, visualization, writing – review & editing; Chiara Dall'Asta:
20 conceptualization, methodology, supervision, visualization, writing – original draft, writing – review
21 & editing; Joscha Christmann: data curation, investigation, validation, visualization, writing –
22 original draft; Philipp Weller: methodology, data curation, supervision, visualization, writing –
23 original draft; Michele Suman: project administration, conceptualization, methodology, supervision,
24 visualization, writing – original draft, writing – review & editing.

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: