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SMA-based adaptive tuned mass dampers: analysis and comparison

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Abstract

Different types of adaptive tuned mass dampers have been recently proposed in the literature. One of the most promising approaches to make tuned mass dampers adaptive is the use of shape memory alloys. In this class of tuned mass dampers, different layouts have been proposed. This paper aims at comparing the two main layouts (wire-based and beam-based) in terms of adaptation capability, exerted force and electrical power consumption. To this purpose, the models of the two layouts are developed. These models minimise the number of required inputs, which basically are only related to device geometry, shape memory alloy characteristics, and vibration input. After an experimental validation, the models are employed for the mentioned comparisons between the two considered layouts.

Key words: tuned mass damper, adaptive tuned mass damper, shape memory alloy, vibration, damping

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9 **1. Introduction**

10 The use of tuned mass dampers (TMDs) is widely accepted for attenuating
11 vibrations of a primary structure (PS). The basic principle that allows for a
12 proper functioning of the TMD is that its eigenfrequency must be tuned close
13 to the eigenfrequency of the PS to be attenuated, e.g., [1, 2].

14 The main issue related to these devices is that, when a mistuning between
15 the TMD and the PS eigenfrequencies occurs (e.g., due to thermal shifts causing
16 changes of the eigenfrequencies), the effectiveness of the control action worsens.
17 To solve such a problem, adaptive tuned mass dampers (ATMDs) can be built.
18 These devices are able to change their dynamic features and, particularly, they
19 can change their eigenfrequency (e.g., [3]) to follow the change of the PS eigen-
20 frequency. Different methods and physical principles can be employed to build
21 ATMDs. As examples, the use of servo-actuators (e.g., [4]), piezoelectric (e.g.,
22 [5–7]) and magnetorheological (e.g., [8, 9]) elements, tensioning systems [10]
23 and pneumatic springs (e.g., [11]) can be mentioned. A very promising ap-
24 proach for attenuating vibrations, and more specifically for developing ATMDs,
25 is the use of shape memory alloys (SMAs) (e.g., [12–14]), whose features are
26 suitable for easily changing the TMD eigenfrequency. This change can be ob-
27 tained exploiting different properties of the SMAs and this allows for different
28 layouts of the ATMD. Nevertheless, in all the possible layouts, the change of
29 the dynamic features of the ATMD is obtained by heating/cooling the SMA
30 element by increasing/decreasing the current flowing through the SMA element
31 itself.

32 The two main layouts discussed in the literature are SMA cantilever beams
33 [15–17] and SMA wires [18–20], even if other layouts are possible (e.g., [21–
34 23]). Even if both cantilever beams and wires showed to provide good vibration
35 attenuation capabilities, no detailed comparisons are available between the two
36 of them. This paper aims at filling this gap, comparing the two layouts under
37 different points of views. Particularly, the following aspects will be addressed
38 in this work:

- 31 • adaptation capability which indicates how much the ATMD eigenfrequency
32 can be changed. It is noticed that, for damping adaptation, both the
33 ATMD layouts can be easily coupled to similar additional devices such as,
34 e.g., eddy current devices [18];
- 35 • force exerted by the ATMD on the PS, which is related to the attenuation
36 performance that can be achieved;
- 37 • power consumption related to the need of having electrical current flowing
38 through the SMA elements.

39 The mentioned comparisons are made possible by developing detailed models
40 of the two types of ATMDs. Compared to models already available in the liter-
41 ature, those proposed here minimise the number of inputs, which will result in
42 the need of knowing only geometrical and material characteristics, as well as the
43 vibration input. Particularly, it will be shown how to estimate, through models,
44 quantities involved in the thermal exchange with the environment, which are
45 usually characterised by significant uncertainty when directly estimated exper-
46 imentally. The developed global models will allow for directly linking the input
47 quantity (i.e., electrical current flowing through the SMA element, as explained
48 further in the manuscript) to the dynamic behaviour of the ATMD.

49 The structure of the paper is as follows: Section 2 recalls the main features
50 of the SMA elements and presents the two ATMD layouts considered here,
51 Section 3 presents the models of the two ATMD types and Section 4 discusses
52 the experimental validation of the proposed models. Then, Section 5 addresses
53 the comparisons between the two types of ATMDs using these models.

54 **2. SMA features and ATMD layouts**

55 This section aims at explaining which SMA features are exploited to de-
56 velop the two different ATMD layouts. Figure 1 presents the typical stress-
57 temperature plot of SMA materials [24], where the three possible phases of

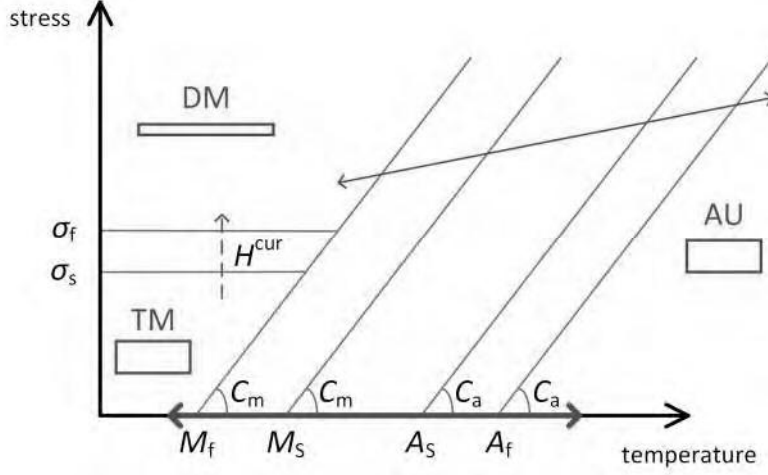


Figure 1: Principles of SMA phase transformations used in this paper.

the material are evidenced: austenite (AU), twinned martensite (TM) and de-twinned martensite (DM). The symbols in the figure represent the following quantities: σ_s and σ_f are the stress values at which the transformation from TM to DM starts and ends, respectively, at the environmental temperature T_0 , while A_s and A_f are the temperature values at which the transformation from TM to AU starts and ends, respectively, at null stress. M_s and M_f have the same meaning of A_s and A_f , but for the transformation from AU to TM. Finally, C_a and C_m are the angular coefficients of the transformation lines and H^{cur} is the strain due to the change of shape during the phase transition between TM and DM (see the vertical red dashed arrow in Figure 1), named the current maximum transformation strain. More details about this plot can be found in, e.g., [18, 19, 24].

It is possible to pass from TM and AU and vice versa by changing the temperature at null stress (see the thick red solid double arrow in Figure 1). Since the SMA material has the same shape in AU and TM, the main change in the SMA element during the mentioned transformation is related to the Young's modulus. This principle is used to build SMA cantilever beams, whose eigenfrequency is changed by changing the temperature of the beam. The change

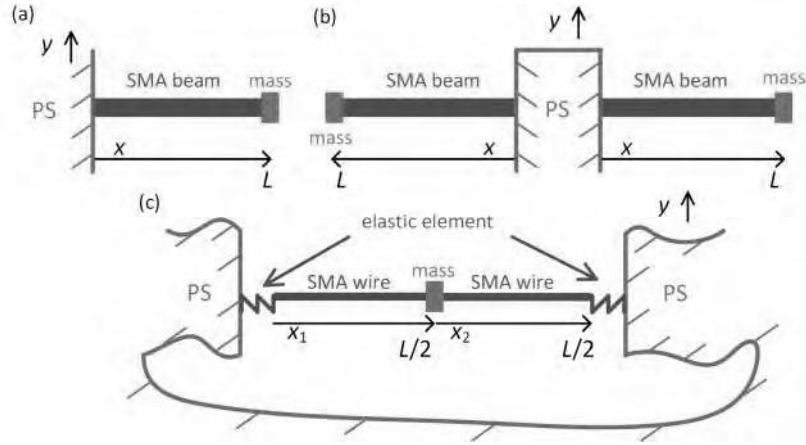


Figure 2: Layouts of ATMDs: single cantilever beam (a), double cantilever beam (b) and wire with central mass (c).

of temperature is achieved by changing the value of the electrical current flowing through the beam. A single cantilever beam exerts a force and a torque on the PS (see Figure 2a). Therefore, in this paper, a configuration with a double cantilever beam is considered in order to have only a linear force, avoiding the torque (see Figure 2b).

Another way to have a phase transformation in the SMA material is to apply a stress over σ_f and then change temperature to pass from DM to AU and vice versa, as evidenced by the thin red solid double arrow in Figure 1. This double arrow does not mean that the temperature-stress states experienced by the SMA element are the same in both the directions of transformation, but only that, changing temperature, also a change of stress occurs. In this case, the eigenfrequency change is mainly related to the change of shape (between AU and DM), even if also a change of the material parameters occurs [18]. Relying on this principle, it is possible to build an ATMD by means of an oscillating SMA wire with a central mass. The SMA wire is pre-stressed over σ_f by employing elastic elements which also connect the SMA wire to the PS (see Figure 2c). Also in this case, the change of temperature is achieved by changing the value of the electrical current that is made flow through the SMA wire.

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94 Finally, it is noticed that the treatment used here always considers small am-
95 plitude vibrations (e.g., those obtained when random excitation is considered)
96 and, thus, stress-induced phase transformations (e.g., [25]) are neglected here.
97 Nevertheless, the models described in this paper can be considered as a starting
98 point for more complicated ones accounting also for the case of large amplitude
99 vibrations.

100 **3. Analytical models of the ATMDs**

101 The model of the ATMD must describe the relationship between the input
102 parameter, which is the current made flow through the SMA component, and the
103 dynamics of the ATMD, with special focus on the value of its first eigenfrequency
104 and the frequency response function (FRF) between the imposed motion $y(t)$
105 (see Figure 2, t is time) and the force exerted on the PS by the ATMD.

106 To obtain this global model, different aspects must be addressed, from the
107 thermal effects to the dynamics of the vibrating structure. For this reason, the
108 global model is split as the sequence of three different submodels: a thermal
109 model, a material model and a dynamic model. Subsections 3.1 to 3.3 will treat
110 in detail the three submodels, while subsection 3.4 will explain how to link them
111 to obtain the final global model. In each of these subsections, both the types
112 of ATMDs discussed previously will be considered. It is noticed that parts
113 of the models discussed here were already developed in previous works (e.g.,
114 [18, 24]). Nevertheless, some parts of the models presented in the following
115 subsections either are new or have been further developed in order to minimise
116 the number of inputs required to estimate the ATMD dynamics. As an example,
117 the convective coefficient (see Section 3.1) is now directly derived through the
118 model by only measuring $y(t)$, avoiding to experimentally estimate quantities
119 whose measurements are affected by significant uncertainty.

120 *3.1. Thermal model*

121 This submodel aims at describing how to link the input current i flowing
122 through the SMA element to the achieved temperature. Considering at first to

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123 have a cylindrical shape for the SMA element, the power balance in steady state
124 can be written as:

$$A_{\text{ext}}h(T - T_0) = Ri^2 \quad (1)$$

125 where T , R and A_{ext} are the temperature, the resistance and the outer area
126 of the SMA element, respectively. Finally, h is the convective heat transfer
127 coefficient. It is noticed that the left-hand term of Eq. (1) is related to the
128 energy output, while the right-hand term to the energy input (i.e., Joule's effect).
129 Furthermore, being D the external diameter of the SMA element and L_{tot} its
130 global length (i.e., $L_{\text{tot}} = 2L$ for the beam-based ATMD, see Fig. 2b, and
131 $L_{\text{tot}} = L$ for the wire-based ATMD, see Fig. 2c, considering the wires/beams
132 electrically connected in series), A_{ext} can be expressed as πDL_{tot} . Obviously, in
133 case the SMA element is a hollow cylinder, A_{ext} would be equal to $\pi(D+d)L_{\text{tot}}$
134 (being d the internal diameter). Nevertheless, since D is higher than d and
135 the internal outer surface is expected to have less heat exchange with the air
136 compared to the outer external surface of the cylinder (due to, e.g., less relevant
137 air flux inside than outside), here A_{ext} will be always considered equal to πDL_{tot}
138 even in case of hollow cylinder.

139 To calculate T by means of Eq. (1) once i has been fixed, h and R must be
140 determined. Concerning R , it can be calculated as:

$$R = \rho L_{\text{tot}}/A \quad (2)$$

141 where A is the cross-section of the SMA element and ρ is its resistivity. There-
142 fore, to obtain the value of R , the value of ρ must be estimated. The trend
143 of the resistivity depends on the entire history of the specific SMA element,
144 from the processing technology to the heat treatments. Therefore, to simplify
145 the model in order to make it easy enough to be applied in practice, a linear
146 variation of ρ between austenite and martensite is used here. This assumption
147 is reasonable for the sake of the paper aim and it is often employed also for
148 other material parameters (e.g., for the Young's modulus [24], see also Section

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9 3.2) for mono-dimensional elements like beams and wires. Thus, the expression
10 of ρ becomes:
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$$12 \quad \rho = \rho_a + \xi(\rho_m - \rho_a) \quad (3)$$

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15 where the subscripts 'a' and 'm' indicate austenite and martensite, respectively.
16 Moreover, ξ is the martensite volume fraction (i.e., $\xi=0$ when the material is
17 fully austenitic, and $\xi=1$ when it is fully martensitic).
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20 Therefore, to estimate the value of ρ while heating/cooling the SMA element,
21 it is only necessary to know ρ_a and ρ_m , whose values can be estimated through
22 experiments, and ξ which can be deduced from the material model (see Section
23 3.2).
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26 The other parameter that must be estimated in order to find T using Eq. (1)
27 is h . To derive the h value, the following procedure is employed. Considering
28 the SMA element as a cylinder (see previously) vibrating in air, the Nusselt
29 number N_u can be defined as:
30
31

$$32 \quad N_u = \frac{hD}{\psi_A} \quad (4)$$

33 where ψ_A is the thermal conductivity of air. Therefore, h can be estimated by
34 means of Eq. (4) and knowing in advance the value of the Nusselt number.
35 Considering a forced external cross flow over a cylinder, N_u can be estimated
36 as [26]:
37
38

$$39 \quad N_u = 0.3 + \frac{0.62R_e^{(1/2)}P_r^{(1/3)}}{[1 + (\frac{0.4}{P_r})^{(2/3)}]^{(1/4)}} [1 + (R_e/282000)^{(5/8)}]^{(4/5)} \quad (5)$$

40 where R_e and P_r are the Reynolds and Prandtl numbers, respectively. Their
41 expressions are:
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$$44 \quad R_e = \frac{r_A v_c D}{b_A} \quad (6)$$

$$45 \quad P_r = \frac{\eta_A b_A}{\psi_A} \quad (7)$$

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168 where r_A is the density of the air, b_A is its dynamic viscosity and η_A is its specific
169 heat. Finally, v_c is an index expressing the velocity of the cylinder (in vertical
170 direction that is the velocity related to vibration, see Fig. 2). Equations (4) to
171 (7) allow calculating h once v_c is known. One must consider that the velocity
172 of the beam/wire is a function of time t and space (for beams and wires, it
173 means that the velocity is a function of the coordinates x , x_1 and x_2 along the
174 elements, refer to Fig. 2). Thus, a synthetic expression must be found for v_c .
175 The velocity v of each point of the beam/wire can be expressed as:

$$\begin{aligned} v(x, t, T) &= \frac{\partial w(x, t, T)}{\partial t} = \frac{\partial [\mathbb{F}^{-1}\{G_{WY}(x, j\Omega, T)\} \otimes y(t)]}{\partial t} \\ &= \mathbb{F}^{-1}\{j\Omega G_{WY}(x, j\Omega, T) Y(j\Omega)\} \end{aligned} \quad (8)$$

176 where $w(x, t, T)$ is the vertical displacement of the beam/wire as function of x
177 (see Figs. 2b and c), t , and T , \otimes indicates the convolution operation, $\mathbb{F}^{-1}\{\cdot\}$ is
178 the inverse Fourier transform of a complex quantity, y is the displacement of the
179 PS (see Fig. 2), Ω is the angular frequency, j is the imaginary unit and G_{WY} is
180 the following FRF:

$$G_{WY}(x, j\Omega, T) = \frac{W(x, j\Omega, T)}{Y(j\Omega)} \quad (9)$$

181 where Y and W are the Fourier transform of y and w , respectively. The way to
182 derive $G_{WY}(x, j\Omega, T)$ is discussed in Section 3.3. At first, the average-rectified
183 value of v , named v_r , is calculated on the considered time interval $0-t_f$. For the
184 case of the two beams, for each of them v_r is:

$$v_r(x, T) = \frac{1}{t_f} \int_0^{t_f} |v(x, t, T)| dt \quad (10)$$

For the case of the wire, v_r is:

$$v_r(x_1, T) = \frac{1}{t_f} \int_0^{t_f} |v(x_1, t, T)| dt, \quad v_r(x_2, T) = \frac{1}{t_f} \int_0^{t_f} |v(x_2, t, T)| dt \quad (11)$$

185 Then, v_c is obtained averaging v_r over the length of the SMA element. For
186 the double beam and thanks to the system symmetry (i.e., assuming the same
187 motion for the two beams, see Section 3.3.1), it is:

$$v_c(T) = \frac{1}{L} \int_0^L v_r(x, T) dx \quad (12)$$

For the case of the wire, it is:

$$v_c(T) = \frac{1}{L} \left[\int_0^{L/2} v_r(x_1, T) dx_1 + \int_0^{L/2} v_r(x_2, T) dx_2 \right] \quad (13)$$

It is noticed that $y(t)$ must be estimated/measured to derive v_c (see Eq. (8)).

3.2. Model of the material

The material model is here based on the Experimentally-Based 1-D Material Model [24], where the following assumptions are considered:

- the Young's modulus E of the SMA material is linearly dependent on ξ :

$$E = E_a + \xi(E_m - E_a) \quad (14)$$

- the thermal expansion coefficient α is constant
- the 1-D transformation strain ε^t is linearly dependent on ξ :

$$\varepsilon^t = \xi H^{\text{cur}} \quad (15)$$

It is noticed that Eq. (15) is related to cases in which the SMA element is stressed and the phase transformation between TM and DM is triggered.

When this does not occur and only transformations between TM and AU and vice versa are considered, ε^t is null.

- the starting and ending transformation temperatures (i.e., M_s^σ , M_f^σ , A_s^σ , A_f^σ) are linearly dependent on the stress σ into the SMA element (see Fig. 1):

$$M_s^\sigma = M_s + \frac{\sigma}{C_m}, \quad M_f^\sigma = M_f + \frac{\sigma}{C_m}, \quad A_s^\sigma = A_s + \frac{\sigma}{C_a}, \quad A_f^\sigma = A_f + \frac{\sigma}{C_a} \quad (16)$$

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203 • the value of ξ during transformation from martensite to AU (i.e., either
10 increase of temperature T or decrease of stress σ , see Fig. 1) is described
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12 as:
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$$14 \left\{ \begin{array}{l} 1, \quad T \leq A_s^\sigma \\ \frac{A_f^\sigma - T}{A_f^\sigma - A_s^\sigma}, \quad A_s^\sigma < T < A_f^\sigma \\ 0, \quad T \geq A_f^\sigma \end{array} \right. \quad (17)$$

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21 while during transformation from AU to martensite (i.e., either decrease
22 of temperature T or increase of stress σ) it is assumed as:
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$$25 \left\{ \begin{array}{l} 0, \quad T \geq M_s^\sigma \\ \frac{M_s^\sigma - T}{M_s^\sigma - M_f^\sigma}, \quad M_f^\sigma < T < M_s^\sigma \\ 1, \quad T \leq M_f^\sigma \end{array} \right. \quad (18)$$

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208 It is noticed that Eqs. (17) and (18) are valid for transformations starting
32 from homogeneous material (i.e., either $\xi=1$ or $\xi=0$). When transfor-
33 mations starting from a non-homogeneous material (i.e., $0 < \xi < 1$) are
34 considered, these equations can be slightly complicated in order to ad-
35 dress also these additional cases, making the equations general. These
36 general expressions can be found in the literature (see, e.g., [18, 19] for
37 more details), but they are neglected here for the sake of conciseness and
38 because they are not the focus of this paper.
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216 When an ATMD based on an SMA beam is considered, σ is assumed to be
217 null, because no external stress is applied and that caused by vibrations is as-
218 sumed to be small enough for being neglected, and ε^t is null as well. Therefore,
219 using the previous equations, one can estimate the value of E once the temper-
220 ature T is known thanks to the thermal model of Section 3.1. Moreover, the
221 influence of T on the length L is assumed negligible here (i.e., L is constant).

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222 When an ATMD based on an SMA wire is considered, the analytical treat-
223 ment becomes more complicated because σ is not null due to the elastic elements

224 (see Fig. 2c). In this case, the global strain ε of the wire is:

$$\varepsilon = \varepsilon^t + \varepsilon^e + \varepsilon^{\text{th}} = \frac{\Delta L}{L_{\text{unst}}} \quad (19)$$

225 where ε^e and ε^{th} are the elastic strain and the thermal strain (i.e., due to thermal
226 expansion), respectively. Finally, ΔL indicates a change of L and L_{unst} is the
227 length of the non-strained SMA wire.

228 When the value of T passes from T_{ini} to T_{fin} , the following equation can be
229 written (noticing that an increase of temperature generates a shortening of the
230 wire, which passes from DM to AU, and vice versa):

$$\begin{aligned} \Delta\varepsilon = \varepsilon_{\text{fin}} - \varepsilon_{\text{ini}} = \frac{L_{\text{fin}}/2 - L_{\text{ini}}/2}{L_{\text{unst}}/2} = \frac{-(F_{\text{fin}} - F_{\text{ini}})}{KL_{\text{unst}}/2} = \\ \left(\frac{\sigma_{\text{fin}}}{E_{\text{fin}}} - \frac{\sigma_{\text{ini}}}{E_{\text{ini}}} \right) + [\alpha(T_{\text{fin}} - T_{\text{ini}})] + (\xi_{\text{fin}} - \xi_{\text{ini}})H^{\text{cur}} \end{aligned} \quad (20)$$

231 where K indicates the elastic constant of each spring in Fig. 2c, while F is the
232 axial force in the wire (F is positive when tensioning the wire, see also [18]).

233 All the variables at the new state "fin" in Eq. (20) are dependent on T_{fin}
234 and σ_{fin} through the equations presented in this subsection. Therefore, knowing
235 the previous situation of the ATMD at state "ini", and estimating T_{fin} with the
236 thermal model of Section 3.1 (see Section 3.4.2 for more details), it is possible
237 to calculate σ_{fin} . Then, also F_{fin} (i.e., $F_{\text{fin}} = \sigma_{\text{fin}}/A$) and L_{fin} (see Eq. (20)) can
238 be calculated.

239 3.3. Dynamic model

240 This section presents the dynamic model for the beam (Section 3.3.1) and
241 the wire (Section 3.3.2).

242 3.3.1. Vibrating beam

243 At first, a single cantilever beam is considered (see Fig. 2a) and then the
244 results are extended to the double cantilever beam (see Fig. 2b). The dynamic
245 model of the vibrating beam is developed under the following hypotheses:

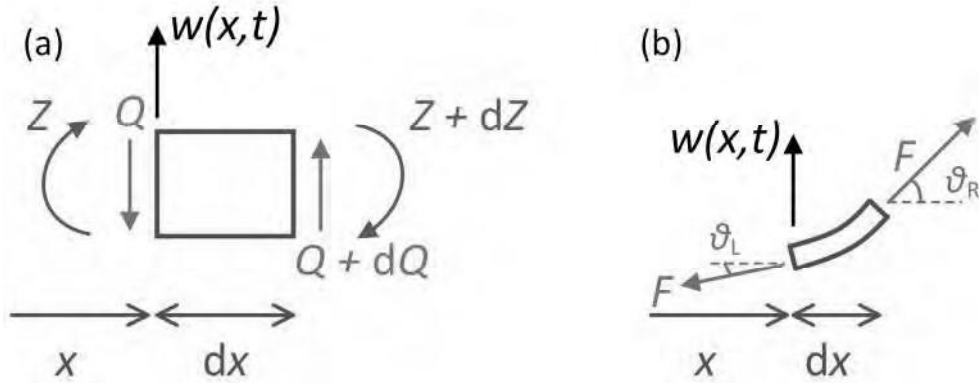


Figure 3: Forces and torques acting on the infinitesimal portion of beam (a) and wire (b).

- 246 • the beam is homogeneous, with constant bending stiffness EJ (where J
- 247 is the cross-section moment of inertia), cross-section A and mass per unit
- 248 length μ (with $\mu = \theta_m A$, being θ_m the mass density);
- 249 • the beam is slender (i.e., its length is much greater than the dimensions
- 250 of the cross-section);
- 251 • the sections perpendicular to the axis remain plane;
- 252 • even under dynamic conditions, the beam undergoes always to bending in
- 253 a plane of symmetry;
- 254 • the amplitude of vibration is small enough to assume non-linearity as
- 255 negligible;
- 256 • axial load is absent;
- 257 • damping is neglected.

258 Under these hypotheses, the equation of motion of the beam is [27, 28]:

$$EJ \frac{\partial^4 w(x, t)}{\partial x^4} + \theta_m A \frac{\partial^2 w(x, t)}{\partial t^2} = 0 \quad (21)$$

Equation (21), that results from the equilibria of force and momentum on an infinitesimal beam element of length dx (see Fig. 3a for the convention of sign, where Z and Q are the internal actions), can be written as a function of the relative displacement $w_{\text{rel}}(x, t) = w(x, t) - y(t)$ (thus, in a frame moving with the PS):

$$EJ \frac{\partial^4 w_{\text{rel}}(x, t)}{\partial x^4} + \theta_m A \frac{\partial^2 w_{\text{rel}}(x, t)}{\partial t^2} = -\theta_m A \frac{d^2 y(t)}{dt^2} \quad (22)$$

It is noticed that the term in the right-hand side of Eq. (22), due to the inertia of the beam, is related to an external distributed force (constant along the beam) acting on the beam. In order to derive the eigenfrequencies and mode shapes, the free vibrations of the beam have to be studied and, thus, this forcing term is neglected. The solution of this problem is provided in Appendix A and the FRF between Y and W results equal to:

$$G_{\text{WY}}(x, j\Omega) = \frac{W(x, j\Omega)}{Y(j\Omega)} = 1 + \sum_{i=1}^n \frac{\Omega^2 \phi_i(x) [\theta_m A \int_0^L \phi_i(x) dx + M_a \phi_i(L)]}{m_i (-\Omega^2 + 2j\zeta_i \omega_i \Omega + \omega_i^2)} \quad (23)$$

where ζ_i is the non-dimensional damping ratio associated to the i -th eigenfrequency ω_i (proportional damping is added in the mathematical treatment in Appendix A); moreover, $\phi_i(x)$ and m_i are the i -th mode shape and modal mass, respectively. Finally, M_a is the value of the additional mass at the beam tip (see Fig. 2a) and n is the number of modes. Furthermore, the FRF between $Y(j\Omega)$ and the vertical force exerted on the PS $S(j\Omega)$ ($S(j\Omega)$ is the Fourier transform of the force $s(t)$ exerted by the ATMD on the PS, see Appendix A) can also be found and results equal to:

$$G_{\text{SY}}(j\Omega) = \frac{S(j\Omega)}{Y(j\Omega)} = -2EJ \sum_{i=1}^n \frac{\Omega^2 \phi_i'''(0) [\theta_m A \int_0^L \phi_i(x) dx + M_a \phi_i(L)]}{m_i (-\Omega^2 + 2j\zeta_i \omega_i \Omega + \omega_i^2)} \quad (24)$$

with

$$\phi_i'''(0) = \left. \frac{d^3 \phi_i(x)}{dx^3} \right|_{x=0} \quad (25)$$

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279 It is noticed that Eq. (24) accounts for the presence of two SMA beams as in
10 Fig. 2b.
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12 13 281 3.3.2. Vibrating wire

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15 The dynamic model of the vibrating wire is developed under the following
16 hypotheses:
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- 18
19 • the mass density θ_m and the cross-section A of the strings are constant
20 along the length of the strings;
21
- 22
23 • the shear force and bending moment are neglected;
24
- 25
26 • the amplitude of vibration is small enough to assume non-linearity as
27 negligible;
28
- 29
30 • the axial force F into the wire is high compared to the static load of
31 the central mass, so that the wire configuration in equilibrium can be
32 approximated as rectilinear;
33
- 34
35 • the central mass is a concentrated mass;
36
- 37
38 • damping is neglected.
39

294 Under these hypotheses, the equation of motion of the wire is [19, 27–29]:

$$40
41
42 F \frac{\partial^2 w(x, t)}{\partial x^2} = \theta_m A \frac{\partial^2 w(x, t)}{\partial t^2} \quad (26)$$

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44 Equation (26), that results from the equilibrium of vertical force on an infinitesimal
45 element of length dx (see Fig. 3b for the convention of sign), can be written
46 as a function of the relative displacement $w_{\text{rel}}(x, t) = w(x, t) - y(t)$ (thus, in a
47 frame moving with the PS):
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$$50
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52 F \frac{\partial^2 w_{\text{rel}}(x, t)}{\partial x^2} - \theta_m A \frac{d^2 y(t)}{dt^2} = \theta_m A \frac{\partial^2 w_{\text{rel}}(x, t)}{\partial t^2} \quad (27)$$

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54 Equation (27) is valid for both the wires, i.e. that between the left constraint
55 and the central mass, and that between the central mass and the right constraint
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10 (see Fig. 2c). The solution of the dynamic problem for each of the wires can be
11 found (e.g. [27, 28]) and it is provided in Appendix B.

12 The FRF between Y and W for the first wire is (introducing proportional
13 damping in the mathematical treatment, see Appendix B):
14

$$15 \quad G_{WY}(x_1, j\Omega) = \frac{W(x_1, j\Omega)}{Y(j\Omega)} = \quad (28)$$

$$16 \quad 1 + \sum_{i=1}^n \frac{\Omega^2 \phi_{i,1}(x_1) \left[\theta_m A \left(\int_0^{L/2} \phi_{i,1}(x_1) dx_1 + \int_0^{L/2} \phi_{i,2}(x_2) dx_2 \right) + M_a \phi_{i,1}(L/2) \right]}{m_i (-\Omega^2 + 2j\zeta_i \omega_i \Omega + \omega_i^2)}$$

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20 and for the second wire is:

$$21 \quad G_{WY}(x_2, j\Omega) = \frac{W(x_2, j\Omega)}{Y(j\Omega)} = \quad (29)$$

$$22 \quad 1 + \sum_{i=1}^n \frac{\Omega^2 \phi_{i,2}(x_2) \left[\theta_m A \left(\int_0^{L/2} \phi_{i,1}(x_1) dx_1 + \int_0^{L/2} \phi_{i,2}(x_2) dx_2 \right) + M_a \phi_{i,2}(0) \right]}{m_i (-\Omega^2 + 2j\zeta_i \omega_i \Omega + \omega_i^2)}$$

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29 The FRF between $Y(j\Omega)$ and the vertical force exerted on the PS $S(j\Omega)$
30 ($S(j\Omega)$ is the Fourier transform of $s(t)$, see Appendix B) is
31

$$32 \quad F\Omega^2 \sum_{i=1}^n \left\{ \left[\phi'_{i,1}(0) - \phi'_{i,2}(L/2) \right] \frac{\left[\theta_m A \left(\int_0^{L/2} \phi_{i,1}(x_1) dx_1 + \int_0^{L/2} \phi_{i,2}(x_2) dx_2 \right) + M_a \phi_{i,1}(L/2) \right]}{m_i (-\Omega^2 + 2j\zeta_i \omega_i \Omega + \omega_i^2)} \right\} \quad (30)$$

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38 with:

$$39 \quad \phi'_{i,1}(0) = \left. \frac{d\phi_{i,1}(x_1)}{dx_1} \right|_{x_1=0}, \quad \phi'_{i,2}(L/2) = \left. \frac{d\phi_{i,2}(x_2)}{dx_2} \right|_{x_2=L/2} \quad (31)$$

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43 It is noticed that, for the first eigenmode, that is the interesting one in this case,
44
45 $\phi'_{i,1}(0) - \phi'_{i,2}(L/2)$ reduces to $2\phi'_{i,1}(0)$ because of the symmetry of the mode and
46
47 the system.
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49 3.4. Global model

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51 The three submodels described so far are now assembled in order to derive a
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53 general model which enables estimating the ATMD FRFs G_{WY} and G_{SY} once
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55 i is set by the user. Subsections 3.4.1 and 3.4.2 describe the global model for
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57 the case of the beam and the wire, respectively.
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Table 1: Input data for the global model.

Beam	Wire
$M_s, M_f, A_s, A_f, \zeta_{i,a}, \zeta_{i,m}, \rho_a, \rho_m,$	$M_s, M_f, A_s, A_f, C_m, C_a, H^{\text{cur}}, K, E_{\text{ini}}, T_{\text{ini}},$
$E_a, E_m, J, M_a, L, \theta_m, A, D, d,$	$\sigma_{\text{ini}}, \xi_{\text{ini}}, \zeta_{i,a}, \zeta_{i,m}, \rho_a, \rho_m, E_a, E_m, J, M_a,$
$A_{\text{ext}}, T_0, \psi_A, r_A, b_A, \eta_A, y(t)$	$L_{\text{unst}}, \theta_m, A, D, A_{\text{ext}}, T_0, \psi_A, r_A, b_A, \eta_A, y(t)$

315 *3.4.1. Global model for the beam*

316 The input parameters of the global model, which have to be either esti-
317 mated/known in advance or measured are gathered in Table 1. At first, Eqs.
318 (17) and (18) are used to find the link between T and ξ for the two types of
319 transformation and the considered T values (when using these equations, L is
320 considered as constant and the stress into the beam as null; see Section 3.2).
321 Then, Eqs. (3) and (14) are employed to estimate ρ and E , respectively, for
322 each considered T value and type of transformation. Then the FRFs of Eqs.
323 (23) and (24) can be estimated. This procedure allows to find two FRFs for
324 each T value in each transformation. These FRFs are then used to estimate
325 h through Eqs. (4) to (12). The knowledge of h then allows for finding the
326 relationship between i and T by means of Eq. (1) for the two transformations.
327 The knowledge of these relationships enables estimating the beam temperature
328 and its FRFs when a given value of i is set by the user.

One further notation is worth being mentioned. In Eqs. (23) and (24), ζ_i must be calculated for all the possible values of i and T . This is a complicated task and, according to previous considerations, in this work the following approximation is adopted:

$$\zeta_i = \zeta_{i,a} + \xi(\zeta_{i,m} - \zeta_{i,a}) \quad (32)$$

329 *3.4.2. Global model for the wire*

330 The input parameters of the global model, which have to be either esti-
331 mated/known in advance or measured are presented in Table 1. At first, Eqs.
332 (17) and (18) are used in Eq. (20) to find the link between T and σ for the two

333 types of transformation and the considered T values. Once the link between T
 334 and σ is known, also the links of T with ξ (using again Eqs. (17) and (18)), F
 335 and L can be derived. Moreover, the link between T and ρ , E and ζ_i can be
 336 found with Eqs. (3), (14) and (32), respectively. Then the FRFs of Eqs. (28),
 337 (29) and (30) can be estimated. This procedure allows finding the FRFs for
 338 each T value and each transformation. These FRFs are then used to estimate
 339 h through Eqs. (4) to (12). The knowledge of h then allows for finding the
 340 relationship between i and T by means of Eq. (1) for the two transformations.
 341 The knowledge of these relationships enables estimating the wire temperature
 342 and its FRFs when a given value of i is set by the user.

343 4. Model validation by means of experiments

344 This section addresses the validation of the previous models by means of
 345 experiments on tailored set-ups. Section 4.1 presents the tests for the beam-
 346 based ATMD, while Section 4.2 those related to the wire-based ATMD.

347 4.1. Tests with a beam-based ATMD

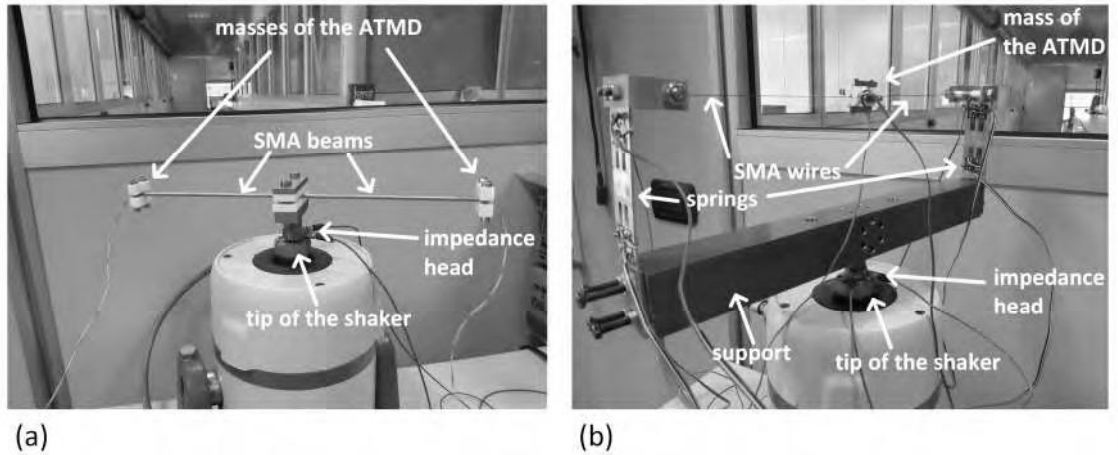


Figure 4: Experimental set-ups: beam-based ATMD (a) and wire-based ATMD (b).

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Table 2: Identified/nominal parameter values for the SMA elements.

	Beam	Wire
A_s [$^{\circ}\text{C}$]	55.0	68.6
A_f [$^{\circ}\text{C}$]	65.0	78.9
M_s [$^{\circ}\text{C}$]	40.0	55.2
M_f [$^{\circ}\text{C}$]	28.5	42.7
C_A [MPa/ $^{\circ}\text{C}$]	-	9.90
C_M [MPa/ $^{\circ}\text{C}$]	-	6.83
H^{cur} [-]	-	$4.39 \cdot 10^{-2}$
α [$^{\circ}\text{C}^{-1}$]	-	10^{-6}
E_m [GPa]	32.3	32.1
E_a [GPa]	52.7	39.5
ρ_m [Ωm]	$90 \cdot 10^{-8}$	$110 \cdot 10^{-8}$
ρ_a [Ωm]	$100 \cdot 10^{-8}$	$100 \cdot 10^{-8}$
$\zeta_{1,m}$ [-]	$1.22 \cdot 10^{-2}$	$0.60 \cdot 10^{-2}$
$\zeta_{1,a}$ [-]	$0.90 \cdot 10^{-2}$	$0.40 \cdot 10^{-2}$

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Table 3: Nominal values of the imposed electrical current i during tests presented in Figs. 5 and 9 and consequent electrical power P values calculated as $P = iV$, where V is the voltage provided by the power supply and measured across the electrical circuit made from the electrical series of either the two beams or the two wires.

Beam				Wire			
Heating		Cooling		Heating		Cooling	
i [A]	P [W]	i [A]	P [W]	i [A]	P [W]	i [A]	P [W]
0.00	0.00	7.50	12.00	0.00	0.00	1.85	7.95
1.00	0.10	7.00	10.50	0.25	0.42	1.50	5.55
2.00	0.60	4.00	3.20	0.50	2.20	1.00	2.85
3.00	1.65	3.00	1.50	0.75	2.95	0.90	2.43
4.00	3.20			0.90	2.70		
5.00	5.00			1.00	2.90		
6.00	7.50			1.10	3.63		
6.50	8.77			1.25	4.00		
7.00	10.50			1.50	5.55		
7.50	12.00			1.85	7.95		

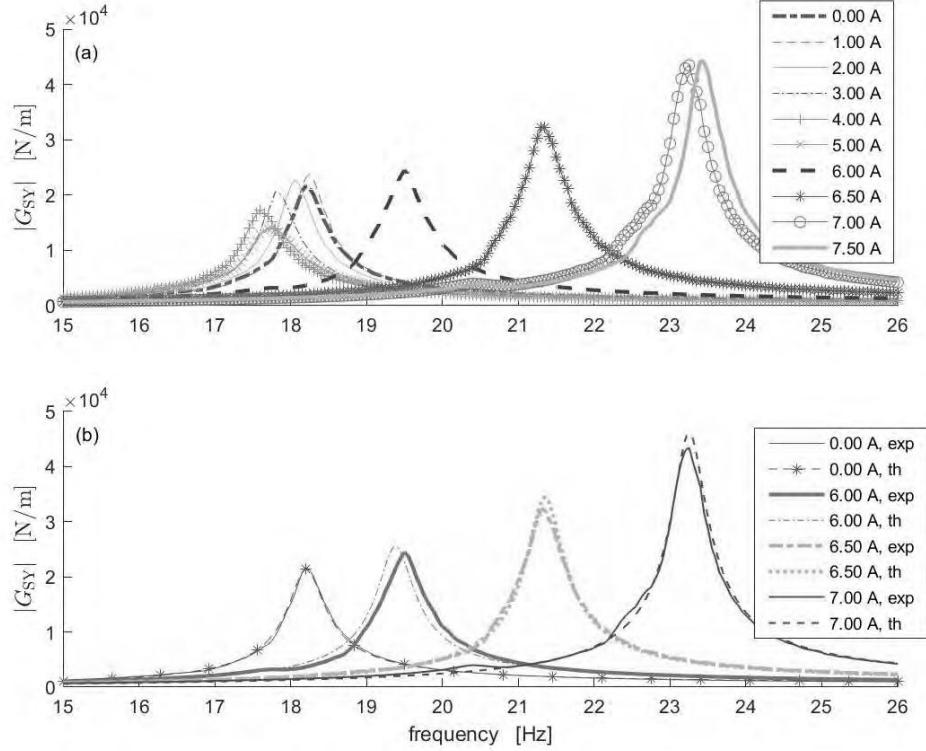


Figure 5: Experimental amplitudes of FRFs G_{SY} while heating the beams (see Table 3) (a) and comparison between experimental (exp) and theoretical (th) FRF amplitudes (b).

348 The set-up is made from two NiTiNOL beams, both characterised by a length
 349 equal to 140 mm and a circular hollow cross-section with an outside diameter of
 350 4 mm and a thickness of 0.5 mm. At the two ends, there are two masses (0.176
 351 kg each) made from plastic. The two beams are mounted on an electro-dynamic
 352 shaker which provides the input in the form of random signal between 4 and 100
 353 Hz with a root mean square (RMS) value usually close to 5 m/s^2 . The h values
 354 for both martensite and austenite were estimated as approximately equal to 15
 355 $\text{W}/(\text{m}^2\text{K})$ with the approach described in Section 3.1. An impedance head was
 356 placed between the shaker and the clamping system, measuring the vibration
 357 in input to the ATMD and the consequent force exerted by the beams on the

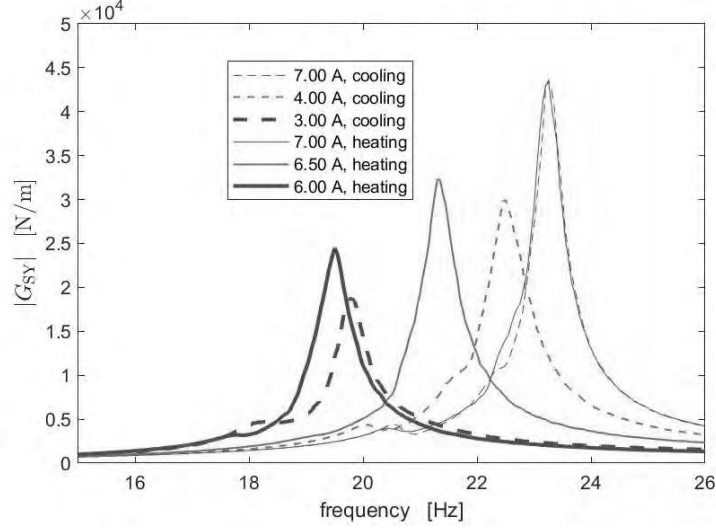


Figure 6: Experimental amplitudes of FRFs G_{SY} while either heating or cooling the beams (see Table 3).

shaker. The two beams were electrically connected in series to a power supply which made electrical current flow in the circuit. The whole set-up is presented in Fig. 4a. The main parameters of the NiTiNOL beams are reported in Table 2.

Figure 5a shows the experimental FRFs G_{SY} (after having removed the contribution of the clamping system and obtained using H_1 estimator [30]) for increasing values of current i applied to the beams, passing from martensite to austenite. The corresponding electrical power consumption P values are reported in Table 3. The figure evidences that no phase transition occurs for values of current up to 5 A (i.e., FRF peaks almost at the same frequency value). Over this threshold, the phase starts changing. It is thus possible to conclude that the phase transformation starts for values of i between 5 and 6 A. The model predicts the start of the phase transformation for i values not far from 5.5 A. Therefore, there is a good agreement with the experiments. During phase transformation, the peak height increases and the eigenfrequency moves towards higher frequency values, as expected from the previous models.

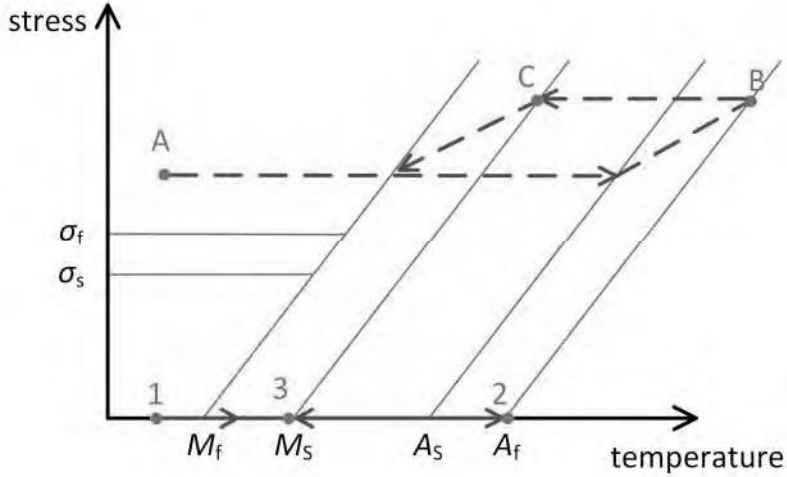


Figure 7: Heating/cooling paths followed by the SMA elements (solid line for beam and dashed line for wire) for going from ambient temperature to a temperature where the transformation in AU is completed and, then, back to ambient temperature. The arrows indicate the paths followed increasing/decreasing the current i and, thus, the temperature T .

374 The austenite phase is reached for i approximately between 7 and 7.5 A and
 375 the model expectation is at 7 A, still with a good match. Figure 5b shows the
 376 comparison between some experimental and numerical FRFs, evidencing a good
 377 match.

378 Another interesting plot is that reported in Fig. 6, which shows that higher
 379 eigenfrequency values are obtained with lower electrical current i and power P
 380 values when cooling (i.e. passing from austenite to martensite), compared to
 381 heating (i.e. passing from martensite to austenite); see Table 3. This evidences
 382 the advantage of reaching a desired eigenfrequency value while cooling, that
 383 is mainly because M_s is lower than A_s (see Fig. 1; see also Section 5 for more
 384 details). Basically, this effect is mainly related to the material model (see Section
 385 3.2). If one heats the SMA beam (increasing i) from ambient temperature until
 386 AU phase is reached (from point 1 to point 2 in Fig. 7), the eigenfrequency of
 387 the first mode (but the same applies to the eigenfrequencies of higher modes)
 388 gradually increases from a given value (generically defined as ω_1^{p1}) to a higher

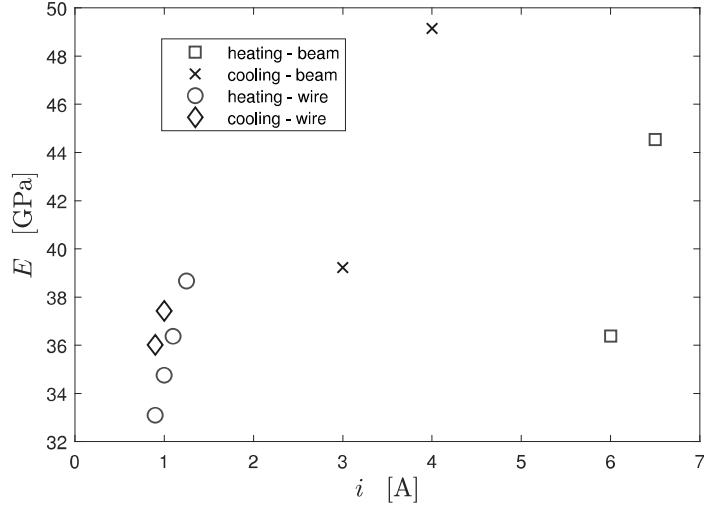


Figure 8: E values estimated through models for some tests in which the SMA beams/wires were in phase transition (see Table 3).

389 value ω_1^{p2} during phase transformation (i.e., for $A_s < T < A_f$) because of the
390 higher E value in AU than in martensite (see Table 2). If, then, i is decreased,
391 also the temperature of the SMA element decreases. During the first part of the
392 decrease (from point 2 to point 3 in Fig. 7), there is no change of phase and,
393 thus, the change of eigenfrequency value is null (or, actually, slight). In point 3
394 of Fig. 7, the corresponding eigenfrequency value ω_1^{p3} is equal to ω_1^{p2} . Hence,
395 the same eigenfrequency value is obtained with a lower current value. Then,
396 a further decrease of i (and, thus, of temperature T) generates a shift of the
397 eigenfrequency towards ω_1^{p1} . Therefore, it is possible to mention that the same
398 i value is able to give rise to different eigenfrequency values according to the
399 sign of the change of i (and T) and also that cooling can provide eigenfrequency
400 values as those obtained by heating, but with smaller values of i .

401 The FRFs in Fig. 6 related to cooling shows slightly lower peaks because of
402 poorer capability of power supply to keep the nominal current value, generating
403 broader peaks (this could be easily improved by implementing a feedback control
404 on the action of the power supply, which instead worked in open loop in the
405 presented tests).

406 Finally, Fig. 8 presents the values of E estimated through the model for
 407 some tests in which the SMA beams were in phase transition. Furthermore, the
 408 value of the non-dimensional damping ratio ζ_1 was estimated for the tests in
 409 which the beam was heated and in phase transition for different values of the
 410 electrical current i : at 6 A the value of ζ_1 was approximately equal to $1.19 \cdot 10^{-2}$,
 411 at 6.5 A approximately equal to $1.12 \cdot 10^{-2}$, and, finally, at 7 A about $0.92 \cdot 10^{-2}$.

412 *4.2. Tests with a wire-based ATMD*

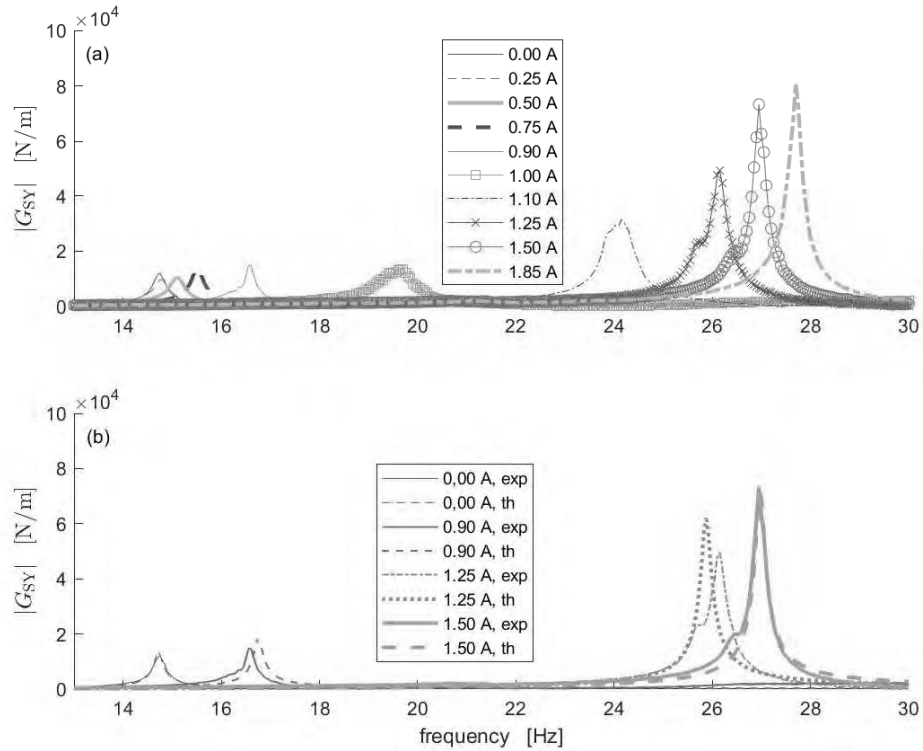


Figure 9: Experimental amplitudes of FRFs G_{SY} while heating the wires (see Table 3) (a) and comparison between experimental (exp) and theoretical (th) FRF amplitudes (b).

413 The set-up was made from NiTiNOL wires with a length of 143 mm each
 414 and a diameter of 0.5 mm. The central mass (0.018 kg) was made from plastic
 415 and steel. The springs were built using steel thin cantilever beams [18]. The

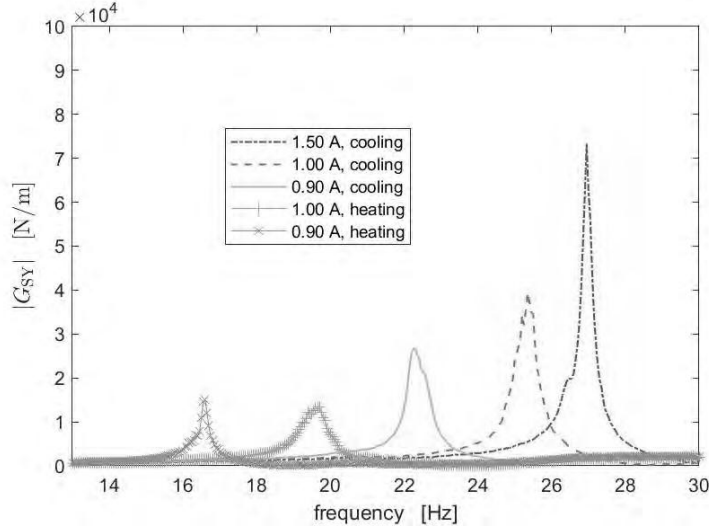


Figure 10: Experimental amplitudes of FRFs G_{SY} while either heating or cooling the wires (see Table 3).

whole set-up was mounted on a thick steel bar used only as a support. The random input was provided again with a shaker between 4 and 100 Hz, with an RMS value usually close to 0.5 m/s^2 . In this case, the RMS was decreased compared to the case of the beam in order to have h values not too far between the two cases. In the wire case, the h value for martensite was estimated close to $50 \text{ W}/(\text{m}^2\text{K})$, and close to $75 \text{ W}/(\text{m}^2\text{K})$ for austenite. The whole set-up is presented in Fig. 4b and the main parameters of the NiTiNOL wires are reported in Table 2. It is also noticed that the beam-like springs were built such that the stress in the wire in martensite phase was approximately equal to 58.6 MPa and about 190.5 MPa in austenite phase.

Figure 9a shows the experimental FRFs G_{SY} (after having removed the contribution of the bar used for the connection to the shaker) for increasing values of current i applied to the beams. The corresponding P values are reported in Table 3. The figure evidences that no transition of material phase occurs for values of current up to approximately 0.5 A (i.e., FRF peaks almost at the same frequency value) and, over this threshold, the phase starts changing. According

432 to Fig. 9, the start of the phase transformation occurs between 0.5 and 0.9
 433 A. The model predicts the start at about 0.8 A, showing a satisfactory match.
 434 During phase transformation, the peak height increases and the eigenfrequency
 435 moves towards higher frequency values, as expected. The austenite phase is
 436 reached for i not far from 1.85 A, and the model predicts the transformation
 437 stop for i approximately equal to 1.3 A, with an acceptable approximation. Fig-
 438 ure 9b shows the comparison between experimental and numerical FRFs while
 439 heating. The match during phase transformation is not as satisfactory as in the
 440 case of the beam ATMD. This is again mainly related to the power supplier
 441 used, which could not maintain a constant current value with enough accuracy.
 442 Indeed, in this case, even slight changes of the current (e.g., 0.05 A) were able
 443 to generate non-negligible FRF changes. These changes are evident in Fig. 9b,
 444 where some resonance peaks are broad because of a non-constant current value.
 445 This evidences the need of a feedback control on i for a fine tuning of the ATMD
 446 eigenfrequency in real applications. Even if the match between theoretical and
 447 experimental FRFs is not as good as in the case of the beam-based ATMD, the
 448 model proves to correctly describe the trend of the eigenfrequency values and
 449 of the corresponding peak height.

450 Figure 10 confirms a fact already noticed for the beam-based ATMD (see
 451 Section 4.1): cooling allows obtaining higher eigenfrequency values with a lower
 452 i value (and, thus, electric power P ; see Table 3), compared to heating, as
 453 expected because of the hysteretic behaviour in the temperature-stress plane
 454 (see also Section 5 for more details). Indeed, heating from ambient temperature
 455 to austenite (i.e., from point A to point B in Fig. 7), the first eigenfrequency
 456 (the same occurs for higher eigenfrequencies) gradually increases from ω_1^{pA} to
 457 ω_1^{pB} during phase transformation because of the stress increase in the SMA wire
 458 generated by the phase transition from DM to AU. Then, lowering i , T decreases
 459 and point C in Fig. 7 is reached, where ω_1^{pC} is almost equal (actually, slightly
 460 different, see Section 3.2) to ω_1^{pA} because the stress does not change significantly
 461 due to the fact that the material phase is not changing. Then, a further decrease
 462 of i allows lowering the stress value and, therefore, the eigenfrequency value

Table 4: Values of RMS of $y(t)$ and frequency band of the same signal.

	RMS of $y(t)$ [m]	lower frequency bound [Hz]	higher frequency bound [Hz]
ATMDs tuned at 15 Hz	0.30×10^{-3}	5	38
ATMDs tuned at 35 Hz	0.25×10^{-3}	10	45

Table 5: Parameter values for both SMA beams and wires used for the comparisons.

Parameter	Value
A_s [$^{\circ}\text{C}$]	68.6
A_f [$^{\circ}\text{C}$]	78.9
M_s [$^{\circ}\text{C}$]	55.2
M_f [$^{\circ}\text{C}$]	42.7
C_A [MPa/ $^{\circ}\text{C}$]	9.90
C_M [MPa/ $^{\circ}\text{C}$]	6.83
H^{cur} [-]	$4.39 \cdot 10^{-2}$
α [$^{\circ}\text{C}^{-1}$]	10^{-6}
E_m [GPa]	32.1
E_a [GPa]	39.5
ρ_m [Ωm]	$90 \cdot 10^{-8}$
ρ_a [Ωm]	$100 \cdot 10^{-8}$

463 towards ω_1^{pA} because of the phase transition from AU to DM.

464 Finally, as already done for the beam, Fig. 8 shows the values of E estimated
465 through the model for some tests in which the SMA wire was in phase transition.

466 5. Comparison of beam- and wire-based ATMDs

467 The models validated in the previous section are now employed to the aim of
468 comparing the two types of ATMD. As mentioned in Section 1, the comparison
469 is carried out in terms of:

- 470 • adaptation capability which indicates how much the ATMD eigenfrequency
471 can be changed;
- 472 • force exerted by the ATMD on the PS, which is related to the attenuation
473 performance which can be achieved;
- 474 • power consumption related to the need of having current flowing through
475 the SMA elements.

476 To perform a comparison, the two types of ATMD are initially tuned at the
477 same frequency value (i.e., first eigenfrequency of the ATMD tuned to a given
478 predefined value). The cross-section of the beam- and wire-based ATMDs are
479 the same as those considered in the previous experiments: diameter of 0.5 mm
480 for the wire and circular hollow section with an outside diameter of 4 mm and
481 a thickness of 0.5 mm for the beam. These values have been used because they
482 can be easily found in commercial products. However, the previous models can
483 be employed for simulations with different cross-sections (i.e., different diameter
484 values but also different cross-section shapes for the beam).

485 Moreover, the comparison is performed with the following two constraints:

- 486 • the two ATMDs have the same mass (global mass given by the sum of
487 concentrated masses and the mass of the wires/beams, referred to as M_{tot}).
488 The two constraints on both the eigenfrequency value and the total mass
489 involve that the length of the ATMD is adjusted in order to meet the two of
490 them. It is noticed that the concentrated mass value ranges approximately
491 between 0.02 and 0.30 kg for the wire ATMD and between about 0 and
492 0.15 kg for the beam ATMD in the simulations;
- 493 • the RMS value of the input random signal $y(t)$ is the same for the wire-
494 and beam-based ATMDs. Furthermore, also the frequency band of the
495 disturbance is the same (see Table 4).

496 In all the simulations, the value of ζ_1 is set to 10^{-2} for both the ATMDs and
497 the value of the spring constant K for the wire-based ATMD is set in order to

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498 satisfy the constraint of having a stress value equal to 50 MPa at environmental
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499 temperature in DM and 200 MPa in AU. Finally, the same material parameter
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500 values were used for the two ATMDs and they are reported in Table 5.

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14 At first, the two ATMDs are compared in terms of adaptation capability
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502 (Section 5.1) and, then, in terms of force exerted (Section 5.2) and power con-
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503 sumption (Section 5.3).

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504 *5.1. Comparison in terms of adaptation capability*

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505 The two ATMDs are initially tuned at either 15 or 35 Hz at environmental
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506 temperature. Then, current is increased until complete transformation in AU
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507 occurs. To quantify the adaptation capability comparison, the ratio R_{eig} is
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26 defined as:
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$$28 \quad R_{\text{eig}} = \frac{\omega_1^{\text{A,wire}} - \omega_1^{\text{M,wire}}}{\omega_1^{\text{A,beam}} - \omega_1^{\text{M,beam}}} \quad (33)$$

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509 which is the ratio between the adaptation span of the first eigenfrequency (eigen-
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510 frequency in AU minus eigenfrequency in martensite at environmental temper-
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511 ature) for the wire ATMD and that for the beam ATMD. It is noticed that
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512 in these simulations both $\omega_1^{\text{M,beam}}$ and $\omega_1^{\text{M,wire}}$ are equal to either $2\pi \times 15$ or
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513 $2\pi \times 35$ rad/s.

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514 For the two initial eigenfrequency values (i.e., 15 and 35 Hz) and all the con-
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515 sidered M_{tot} values (i.e., approximately between 0.02 and 0.3 kg), the value of
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516 R_{eig} results approximately equal to 9.5, evidencing that the wire-based ATMD
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517 provides a much larger adaptation capability, as already evidenced by the ex-
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518 periments (compare Figs. 5a and 9a).

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519 *5.2. Comparison in terms of exerted force*

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520 To the purpose of exerted force comparison (and also for the comparison in
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521 terms of power consumption, see Section 5.3), it is important to evidence that
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522 different tuning strategies are possible. The starting point is the eigenfrequency
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523 range covered by the beam-based ATMD. Indeed, according to Section 5.1, it
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524 is the layout with the narrower range of frequency adaptation, varying from
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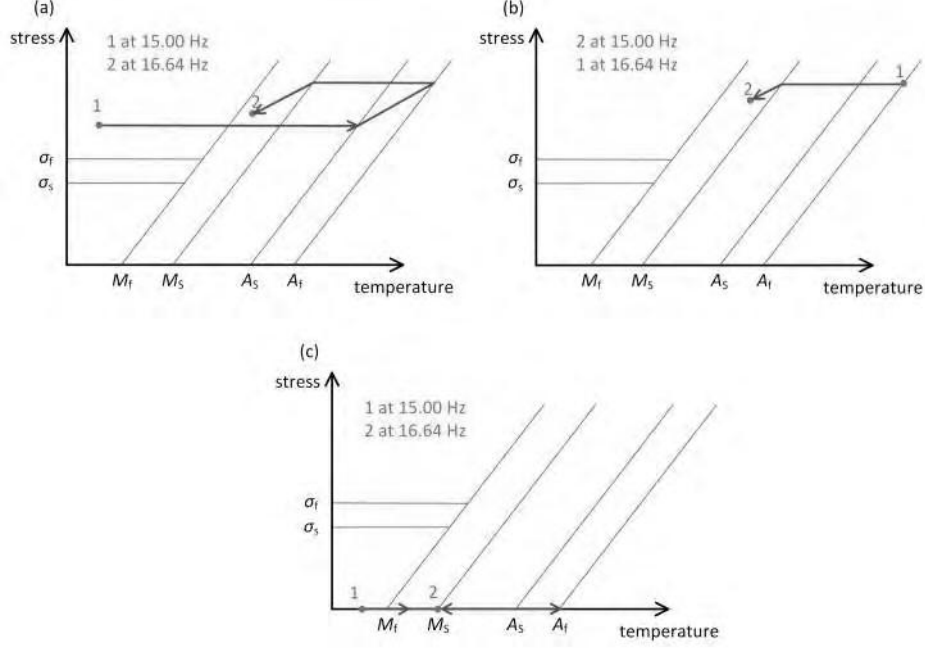


Figure 11: Paths followed by the SMA elements for reaching eigenfrequency at 15.00 Hz (or 35.00 Hz) and 16.64 Hz (or 38.82 Hz). Wire-based ATMD with tuning approach 1 (a), wire-based ATMD with tuning approach 2 (b) and beam-based ATMD (c). The arrows indicate the paths followed increasing/decreasing the temperature.

525 $\omega_1^{M,beam}$ to $\omega_1^{A,beam}$. The wire-based ATMD can be tuned correspondingly in
 526 the two following ways:

- 527 • it is initially tuned to $\omega_1^{M,beam}$ at environmental temperature (i.e. $\omega_1^{M,wire} = \omega_1^{M,beam}$)
 528 (point 1 in Fig. 11a). For the considered cases, the corresponding numerical
 529 values are 15 and 35 Hz (see Sections 5 and 5.1). Then, the tempera-
 530 ture of the wire is increased until complete AU transformation is obtained.
 531 Being $\omega_1^{A,wire} \gg \omega_1^{A,beam}$, the temperature of the wire is then decreased.
 532 When transformation to DM starts, the value of ω_1^{wire} significantly de-
 533 creases, where ω_1^{wire} without superscripts A and M refers to a generic
 534 phase situation in which AU and DM are both present. The temperature
 535 decrease is stopped when $\omega_1^{wire} = \omega_1^{A,beam}$ (point 2 in Fig. 11a). For the

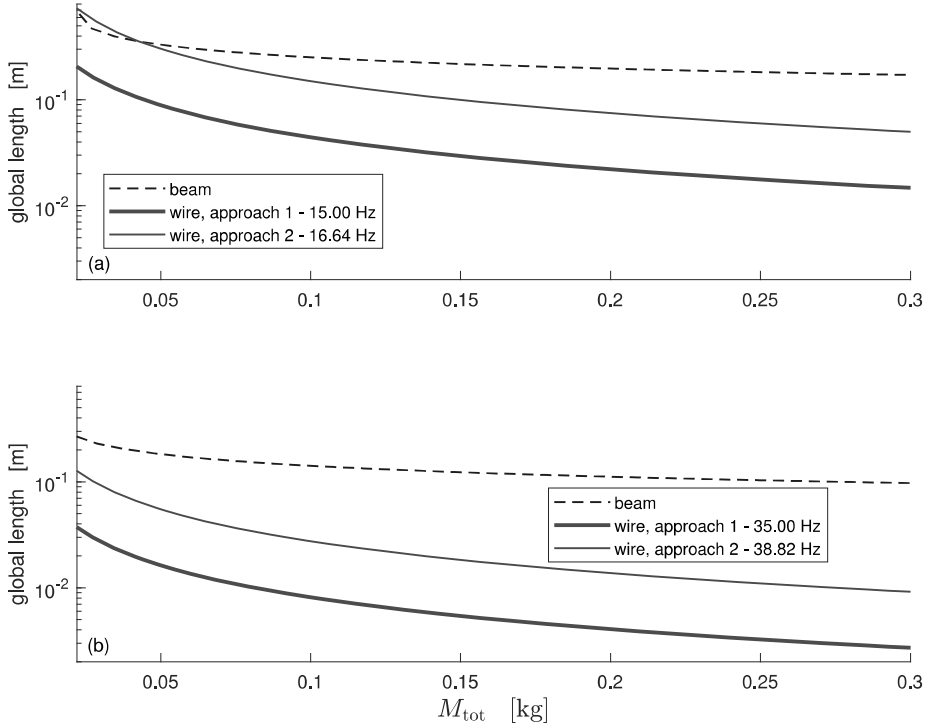


Figure 12: Relationship between M_{tot} value and ATMD global length L_{tot} for the ATMDs working between 15.00 and 16.64 Hz (a) and those working between 35.00 and 38.82 Hz (b).

considered cases, the frequency values result equal to approximately 16.64 and 38.82 Hz. Therefore, the comparison of the two ATMD layouts is performed at 15 and 16.64 Hz in one case and at 35 and 38.82 Hz in the other.

- it is initially tuned to $\omega_1^{A,beam}$ when in AU (i.e. $\omega_1^{A,wire} = \omega_1^{A,beam}$, point 1 in Fig. 11b). For the considered cases, the corresponding numerical values are 16.64 and 38.82 Hz. Then, the temperature of the wire is decreased. When transformation to DM starts, the value of ω_1^{wire} significantly decreases. The temperature decrease is stopped when $\omega_1^{wire} = \omega_1^{M,beam}$ (point 2 in Fig. 11b). For the considered cases, the frequency values result equal to 15 and 35 Hz. Therefore, the comparison of the two ATMD layouts is performed again at 15 and 16.64 Hz in one case and at 35 and 38.82 Hz

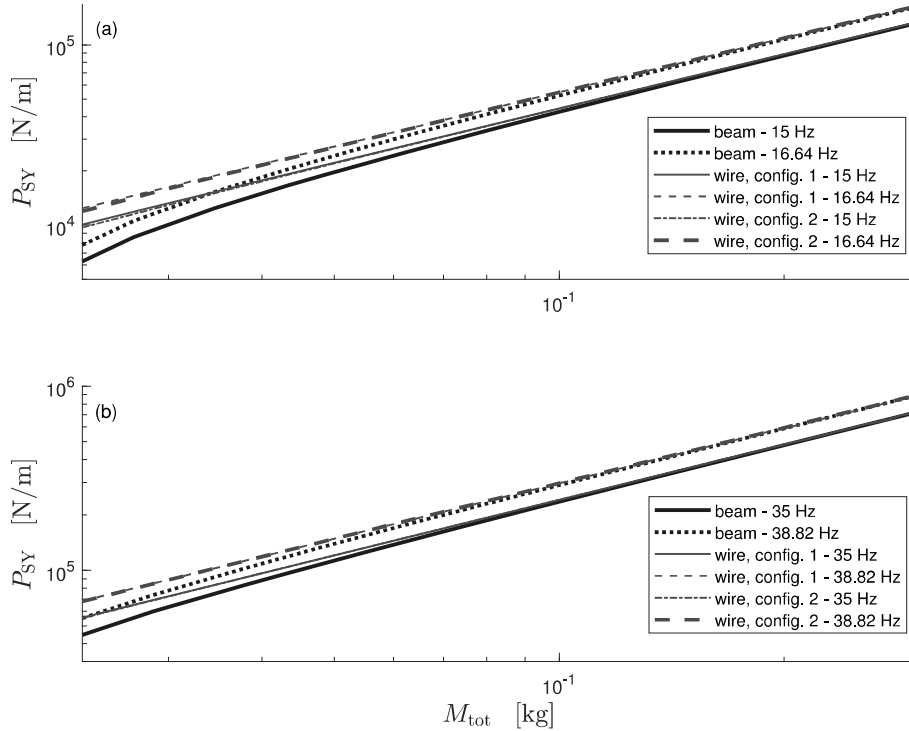


Figure 13: Trend of the peak values of $|G_{SY}|$, named P_{SY} , for all the considered cases for the ATMDs working between 15.00 and 16.64 Hz (a) and those working between 35.00 and 38.82 Hz (b).

in the other.

The two above-mentioned tuning approaches for the wire-ATMD will be referred to as approach 1 and 2, respectively.

First of all, the relationship between M_{tot} and the corresponding global length L_{tot} of the ATMD is presented in Fig. 12 for all the different cases (it is remembered that the global length is $2L$ for the beam, see Section 3.3.1, and L for the wire, see Section 3.3.2; see also Fig. 2). It emerges that approach 1 provides shorter ATMD configurations compared to approach 2 for the wire-based device. Furthermore, the wire-based layout results shorter compared to the beam-like ATMD. Even if the advantage provided by the wire is evident in terms of bulk, it is worth noticing that for larger and larger M_{tot} values and

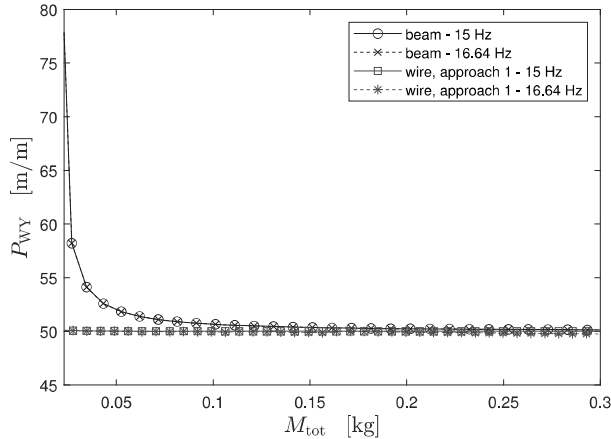


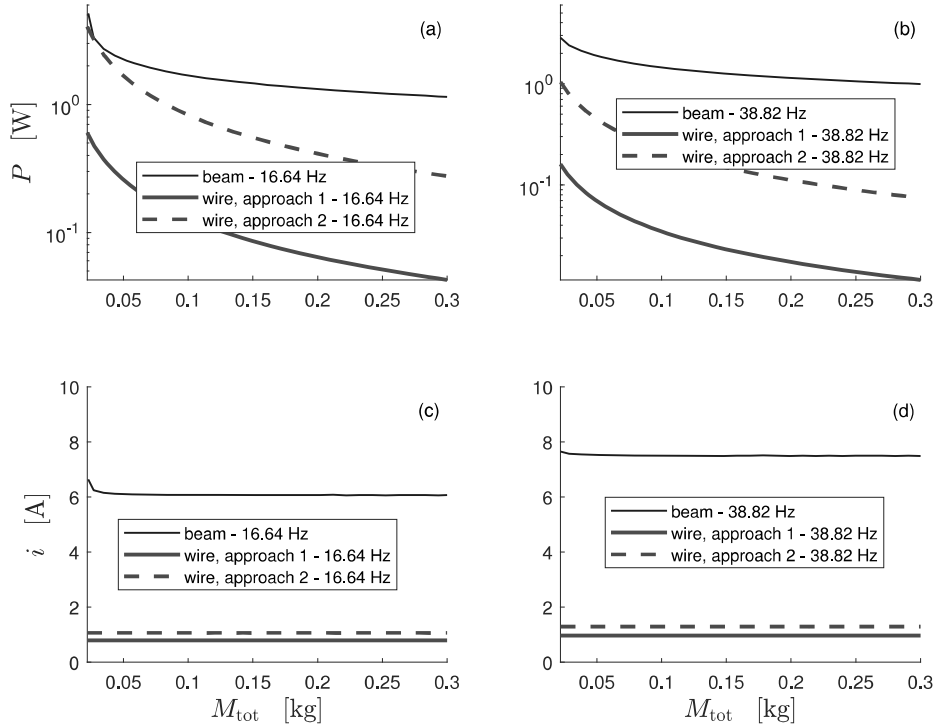
Figure 14: Trend of the peak values of $|G_{WY}|$, named P_{WY} , for the ATMDs working between 15.00 and 16.64 Hz. The results related to approach 2 for tuning the wire-based ATMD are not presented here because they are almost equal to those of approach 1. It is noticed that the two curves related to the beam-ATMD are almost superimposed. The same occurs for the curves of the wire-ATMD.

559 higher and higher eigenfrequency values, the length of the wire-ATMD becomes
 560 too short and, thus, not feasible. Therefore, when the needed eigenfrequency
 561 value increases and a large M_{tot} value is required in order to increase the exerted
 562 force (see further in this sub-section), the wire-based ATMD could become not
 563 feasible and the only usable layout is that based on the beams. Furthermore, in
 564 a situation like this, tuning approach 2 could become advantageous compared
 565 to approach 1. Furthermore, it is noticed that additional possibilities for still
 566 using the wire-based ATMD with the tuning approach 1 are to either employ a
 567 wire with a larger diameter or add wires in parallel.

568 Figure 13 shows the peak values of $|G_{SY}|$ (i.e., the values at resonance),
 569 named P_{SY} , for all the considered cases. As expected from the experimental
 570 results, the mentioned peaks are higher at higher frequency (compare plots a
 571 and b in Fig. 13 and also the curves related to different frequency values in the
 572 same plot). Furthermore, the differences for the wire-ATMD between approach
 573 1 and 2 are not significant. Wire- and beam-based ATMD provide similar forces,
 574 when the M_{tot} value increases, while higher forces are produced by the wire-

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 9 based ATMD for low values of M_{tot} . Another interesting result is provided in
 10 Fig. 14, where the peak of $|G_{\text{WY}}|$ (considering the displacement of the central
 11 mass for the wire-based ATMD and of mass at the beam tip for the beam-based
 12 ATMD), named P_{WY} , is shown for the case of the ATMD working between 15
 13 and 16.64 Hz, chosen as an example. At high M_{tot} value, the displacements
 14 tend to be equal, as in the case of the exerted force, but, at low values of M_{tot} ,
 15 the wire-based ATMD tends to move less, even if it provides higher forces. This
 16 is also related to the different global lengths of the ATMDs (see Fig. 12).
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583 *5.3. Comparison in terms of power consumption*



51 Figure 15: Trend of P as a function of M_{tot} for the ATMD working between 15.00 and 16.64
 52 Hz (a) and for that working between 35.00 and 38.82 Hz (b). Corresponding trend of i as a
 53 function of M_{tot} for the ATMD working between 15.00 and 16.64 Hz (c) and for that working
 54 between 35.00 and 38.82 Hz (d).
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584 This subsection aims at comparing the two ATMD layouts in terms of power

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585 consumption, also considering the electric current value involved.

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586 The comparison is carried out in terms of power and current needed to bring
587 the ATMD at the maximum eigenfrequency value (i.e., 16.64 and 38.82 Hz for
588 the two considered cases). Regarding the wire-based ATMD, these eigenfre-
589 quency values correspond to point 2 in Fig. 11a and point 1 in Fig. 11b for
590 tuning approach 1 and 2, respectively. Regarding the beam-based ATMD, the
591 considered point in the temperature-stress plot is point 2 in Fig. 11c. Point
592 2 is reached after increasing the temperature from environmental temperature
593 (point 1 in the figure) until transformation in AU is completed and, then, de-
594 creasing temperature and stopping the decrease just before transformation to
595 TM starts (point 2). On the whole horizontal part of path leading to point 2
596 from AU phase, temperature changes while exerted force and eigenfrequency do
597 not because the model related to the beam does not consider thermal expansion
598 (its influence is assumed as negligible); see Section 3.

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599 No comparison is performed at 15 and 35 Hz because there is no power
600 consumption for the beam- and the wire-ATMD with tuning approach 1 in
601 these cases.

602 Figures 15a and b show the power consumption P as a function of M_{tot} for
603 all the considered cases, while Figs. 15c and d show the trend of i . Tuning
604 approaches 1 and 2 for the wire-based ATMD show significantly different values
605 of both P and i , especially at low values of M_{tot} , evidencing the benefit provided
606 by tuning approach 1.

607 Addressing the comparison between wire- and beam-ATMD, the former re-
608 sults much less expensive in terms of electrical consumption. Often, the power
609 consumption required by the wire-ATMD (with tuning approach 1) is almost
610 ten times lower than that of the beam-ATMD.

611 Finally, it is noticed that another tuning strategy was considered for the wire-
612 ATMD. This is equal to tuning strategy 1, with the exception that the value
613 of the spring elements K was lowered so that the ATMD only worked between
614 either 15.00 and 16.64 Hz or 35.00 and 38.82 Hz. The results associated to
615 this further case are not shown in the paper because they lead to slightly worse

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616 results in terms of power consumption, compared to tuning strategy 1, and to
617 similar results in terms of exerted peak force P_{SY} .

618 **6. Conclusion**

619 The paper addressed the comparison between the two main layouts (wire-
620 based and beam-based) for developing adaptive tuned mass dampers based on
621 shape memory alloys. To perform such a comparison, the models of the two
622 types of adaptive tuned mass dampers were developed. These models allow for
623 reconstructing the device dynamics as function of the provided electrical current.
624 The models require in input the parameters of the shape memory alloy used,
625 the geometrical features and the input vibration. An experimental validation
626 was carried out for the models of both the devices, with satisfactory results.

627 The wire-based layout shows much greater adaptation capability and much
628 smaller electrical power consumption compared to the beam-layout. The de-
629 veloped models allowed quantifying these differences, which were shown to be
630 able to reach even one order of magnitude. Regarding the force exerted on the
631 primary system by the tuned mass damper, the two layouts are not so differ-
632 ent, even if the wire-based layout is able to provide a larger force compared
633 to the beam-based configuration when the mass of the tuned mass damper is
634 small. Furthermore, in such a case, the global size of the wire-based device
635 is consistently lower than that of the beam-based layout and it shows smaller
636 oscillations.

637 Nevertheless, some disadvantages related to the use of the wire-based layout
638 must be evidenced as well. The first one is that it has a higher construction
639 complexity, e.g., due to the presence of additional elastic elements, the need of
640 either two connection points or an additional frame. Furthermore, when the
641 value of the required eigenfrequency increases, the size of the wire-based tuned
642 mass damper can become so small that it is not feasible in practice. Finally, the
643 wire layout is more sensitive to electrical current changes and, therefore, more
644 stringently requires the use of feedback control on the value of the supplied

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645 electrical current.

646 **Appendix A. FRFs for the beam-based ATMD**

647 The solution of the problem in Eq. (22) can be found in different references
648 (e.g., [27, 28]) and is in the following form:

$$w_{\text{rel}}(x, t) = [B_1 \sin(\gamma x) + B_2 \cos(\gamma x) + B_3 \sinh(\gamma x) + B_4 \cosh(\gamma x)] [B_5 \cos(\Omega t) + B_6 \sin(\Omega t)] \quad (\text{A.1})$$

649 where $\gamma = \Omega^{(1/2)}[(\theta_m A)/(EJ)]^{(1/4)}$, while B_1 to B_6 are constants to be deter-
650 mined. The boundary conditions of the problem are the following:

$$w_{\text{rel}}(x, t) = 0, \quad x = 0 \quad (\text{A.2})$$

$$\frac{\partial w_{\text{rel}}(x, t)}{\partial x} = 0, \quad x = 0 \quad (\text{A.3})$$

$$Z(x, t) = 0, \quad x = L \quad (\text{A.4})$$

$$Q(x, t) + M_a \frac{\partial^2 w_{\text{rel}}(x, t)}{\partial t^2} = 0, \quad x = L \quad (\text{A.5})$$

651 where M_a is the value of the additional mass (see Fig. 2a), that is considered
652 as a concentrated mass for the sake of simplicity.

653 Considering that:

$$Q(x, t) = -EJ \frac{\partial^3 w(x, t)}{\partial x^3} \quad (\text{A.6})$$

654 the use of these boundary conditions leads to the following matrix system:

$$\mathbf{A}_b \mathbf{U}_b = [0 \ 0 \ 0 \ 0]^T \quad (\text{A.7})$$

655 where T indicates the transposed matrix and:

$$\mathbf{A}_b = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ -\sin(\gamma L) & -\cos(\gamma L) & \sinh(\gamma L) & \cosh(\gamma L) \\ -\tau \cos(\gamma L) + \lambda \sin(\gamma L) & \tau \sin(\gamma L) + \lambda \cos(\gamma L) & \tau \cosh(\gamma L) + \lambda \sinh(\gamma L) & \tau \sinh(\gamma L) + \lambda \cosh(\gamma L) \end{bmatrix} \quad (\text{A.8})$$

655

$$\mathbf{U}_b = [B_1 \ B_2 \ B_3 \ B_4]^T \quad (\text{A.9})$$

$$\tau = EJ\gamma^3, \quad \lambda = \Omega^2 M_a \quad (\text{A.10})$$

656 The eigenfrequencies ω_i (and the corresponding γ_i values) of the beam can
 657 be found solving $\det(\mathbf{A}_b)=0$ for Ω . Then, using the obtained ω_i values in Eq.
 658 (A.7), setting one of the unknowns to a given value (e.g., $B_1=1$), and discarding
 659 one of the four scalar equations in Eq. (A.7) because of the additional constraint
 660 $\det(\mathbf{A}_b)=0$, it is possible to derive the other three unknowns and thus the mode
 661 shapes ϕ_i associated to ω_i :

$$\phi_i(x) = [B_{1,i}\sin(\gamma_i x) + B_{2,i}\cos(\gamma_i x) + B_{3,i}\sinh(\gamma_i x) + B_{4,i}\cosh(\gamma_i x)] \quad (\text{A.11})$$

662 It is now possible to use the modal coordinates q_i to express $w_{\text{rel}}(x, t)$:

$$w_{\text{rel}}(x, t) = \sum_{i=1}^n \phi_i(x) q_i(t) \quad (\text{A.12})$$

where n is the number of modes (that is infinite in theory). Defining the velocity
 of the mass as:

$$V_{\text{mass}} = \left. \frac{\partial w_{\text{rel}}(x, t)}{\partial t} \right|_{x=L} \quad (\text{A.13})$$

$$\Phi(x) = [\phi_1(x), \dots, \phi_i(x), \dots, \phi_n(x)]^T \quad (\text{A.14})$$

$$\mathbf{q}(t) = [q_1(t), \dots, q_i(t), \dots, q_n(t)]^T \quad (\text{A.15})$$

and relying on the modal approach, the kinetic energy E_k can be derived:

$$\begin{aligned}
E_k &= \frac{1}{2} \left[\int_0^L \theta_m A \left(\frac{\partial w_{\text{rel}}(x,t)}{\partial t} \right)^2 dx + M_a V_{\text{mass}}^2 \right] = \\
\frac{1}{2} \dot{\mathbf{q}}^T(t) &\left[\int_0^L \theta_m A \Phi(x) \Phi^T(x) dx + M_a \Phi(L) \Phi^T(L) \right] \dot{\mathbf{q}}(t) \\
&= \frac{1}{2} \dot{\mathbf{q}}^T(t) \mathbf{M} \dot{\mathbf{q}}(t)
\end{aligned} \tag{A.16}$$

Given the orthogonality of the vibration modes, \mathbf{M} results being a diagonal matrix, having the modal masses m_i on the diagonal. Similarly, the modal stiffness values k_i can be obtained writing the potential energy relying on the modal approach. Finally, it is possible to derive the Lagrangian components \mathbf{L} of the external forces (see Eq. (22)). Assuming a mono-harmonic law for $y(t)$ (at Ω , with amplitude y_0), the following expression is derived:

$$\mathbf{L}(t) = \left[\theta_m A \int_0^L \Phi(x) dx + M_a \Phi(L) \right] \Omega^2 y_0 e^{(j\Omega t)} \tag{A.17}$$

Applying the Lagrange's equation, it is then possible to express the system dynamics with n single-degree-of-freedom equations:

$$m_i \ddot{q}_i(t) + k_i q_i(t) = \left[\theta_m A \int_0^L \phi_i(x) dx + M_a \phi_i(L) \right] \Omega^2 y_0 e^{(j\Omega t)} \tag{A.18}$$

If proportional damping is now introduced in the mathematical treatment, the modal damping values c_i can be obtained writing the dissipation function relying on the modal approach and Eq. (A.18) can be modified as follows (see, e.g., [27, 28]):

$$m_i \ddot{q}_i(t) + c_i \dot{q}_i(t) + k_i q_i(t) = \left[\theta_m A \int_0^L \phi_i(x) dx + M_a \phi_i(L) \right] \Omega^2 y_0 e^{(j\Omega t)} \tag{A.19}$$

Rearranging Eq. (A.19), moving to the frequency domain and considering again the absolute displacement $w(x, t)$ as a function of $y(t)$ and $w_{\text{rel}}(x, t)$, the FRF between Y and W is obtained as in Eq. (23), with:

$$\omega_i = \sqrt{\frac{k_i}{m_i}}, \quad \zeta_i = \frac{c_i}{2m_i} \sqrt{\frac{m_i}{k_i}} \tag{A.20}$$

679 being ζ_i the non-dimensional damping ratio associated to the i -th eigenfrequency
 680 ω_i . Once the $G_{WY}(x, j\Omega)$ FRF is known, the FRF between Y and the action
 681 exerted on the PS can be derived, noticing that the two cantilever beams of
 682 Fig. 2b generate the same vertical action and two moments that cancel out
 683 each other. Being $s(t) = Q(x = 0, t)$ and considering Eq. (A.6), then:

$$s(t) = -2EJ \sum_{i=1}^n \frac{d^3 \phi_i(x)}{dx^3} \Big|_{x=0} q_i(t) \quad (\text{A.21})$$

684 Therefore, the FRF between $Y(j\Omega)$ and the vertical force exerted on the PS
 685 $S(j\Omega)$ ($S(j\Omega)$ is the Fourier transform of $s(t)$) is obtained as in Eq. (24).

686 Appendix B. FRFs for the wire-based ATMD

687 Analysing the free vibrations, the solution of the problem in Eq. (27) for
 688 each of the wires is in the following form (e.g. [27, 28]):

$$w_{\text{rel}}(x, t) = [B_7 \sin(\chi x) + B_8 \cos(\chi x)][B_9 \cos(\Omega t) + B_{10} \sin(\Omega t)] \quad (\text{B.1})$$

689 where $\chi = \Omega \sqrt{\theta_m A / F}$, while B_7 to B_{10} are constants to be determined. Four
 690 boundary conditions are needed to find the eigenfrequencies and eigenvectors
 691 with the same approach used for the vibrating beam (see Section 3.3.1). In-
 692 deed, two unknown constants must be found for the left wire, and two for the
 693 right wire. These boundary conditions are that w_{rel} must be null at the two
 694 constraints, that w_{rel} must be the same for the two wires at the concentrated
 695 central mass, and finally the vertical dynamic equilibrium of the central mass.
 696 More details can be found in [19]. These boundary conditions lead to a matrix
 697 equation like that of Eq. (A.7), where:

$$\mathbf{A}_b = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & \sin(\chi L/2) & \cos(\chi L/2) \\ \sin(\chi L/2) & \cos(\chi L/2) & 0 & -1 \\ -\chi F \cos(\chi L/2) & \chi F \sin(\chi L/2) & \chi F & \Omega^2 M_a \end{bmatrix} \quad (\text{B.2})$$

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$$\mathbf{U}_b = [B_7 \ B_8 \ B_{11} \ B_{12}]^T \quad (\text{B.3})$$

699 where B_{11} and B_{12} are the constants related to the mode shape of the second
700 wire to be determined.

Once eigenfrequencies and eigenvectors have been deduced, modal coordinates can be introduced:

$$w_{\text{rel},1}(x_1, t) = \sum_{i=1}^n \phi_{i,1}(x_1)q_i(t), \quad w_{\text{rel},2}(x_2, t) = \sum_{i=1}^n \phi_{i,2}(x_2)q_i(t) \quad (\text{B.4})$$

701 where the subscripts 1 and 2 refers to wire 1 (that between the left constraint
702 and the central mass, see Fig. 2c) and 2 (that between the central mass and
703 the right constraint, see Fig. 2c), respectively.

704 According to Eq. (B.4), kinetic energy can be written as follows:

$$\begin{aligned} E_k &= \frac{1}{2} \left[\int_0^{L/2} \theta_m A \left(\frac{\partial w_{\text{rel},1}(x_1, t)}{\partial t} \right)^2 dx_1 + M_a V_{\text{mass}}^2 + \int_0^{L/2} \theta_m A \left(\frac{\partial w_{\text{rel},2}(x_2, t)}{\partial t} \right)^2 dx_2 \right] = \\ & \frac{1}{2} \dot{\mathbf{q}}^T(t) \left[\int_0^{L/2} \theta_m A \Phi_1(x_1) \Phi_1^T(x_1) dx_1 + M_a \Phi_1(L/2) \Phi_1^T(L/2) + \int_0^{L/2} \theta_m A \Phi_2(x_2) \Phi_2^T(x_2) dx_2 \right] \dot{\mathbf{q}}(t) \\ & = \frac{1}{2} \dot{\mathbf{q}}^T(t) \mathbf{M} \dot{\mathbf{q}}(t) \end{aligned} \quad (\text{B.5})$$

where Φ_1 and Φ_2 are related to the first and second wire, respectively, and:

$$\Phi_1(x_1) = [\phi_{1,1}(x_1), \dots, \phi_{i,1}(x_1), \dots, \phi_{n,1}(x_1)]^T \quad (\text{B.6})$$

$$\Phi_2(x_2) = [\phi_{1,2}(x_2), \dots, \phi_{i,2}(x_2), \dots, \phi_{n,2}(x_2)]^T \quad (\text{B.7})$$

705 Again \mathbf{M} is a diagonal matrix with the modal mass values m_i on the diagonal.
706 Finally, considering the Lagrangian components of the external forces (see Eq.
707 (27)), n single-degree-of-freedom equations can be derived (also introducing
708 proportional damping in the mathematical treatment):

$$\begin{aligned} m_i \ddot{q}_i(t) + c_i \dot{q}_i(t) + k_i q_i(t) = \\ \left[\theta_m A \left(\int_0^{L/2} \phi_{i,1}(x_1) dx_1 + \int_0^{L/2} \phi_{i,2}(x_2) dx_2 \right) + M_a \phi_{i,1}(L/2) \right] \Omega^2 y_0 e^{(j\Omega t)} \end{aligned} \quad (\text{B.8})$$

Using the same approach already adopted in Section 3.3.1, the FRF between Y and W is obtained for the first and the second wire are in form of Eqs. (28) and (29), respectively.

Being the amplitude of the vibration small by hypothesis, the following simplification can be taken into consideration for the force exerted by the ATMD on the PS $s(t)$:

$$s(t) \simeq F \frac{\partial w_{rel,1}(x_1,t)}{\partial x_1} \Big|_{x_1=0} - F \frac{\partial w_{rel,2}(x_2,t)}{\partial x_2} \Big|_{x_2=L/2} = F \sum_{i=1}^n \left\{ \left[\frac{d\phi_{i,1}(x_1)}{dx_1} \Big|_{x_1=0} - \frac{d\phi_{i,2}(x_2)}{dx_2} \Big|_{x_2=L/2} \right] q_i(t) \right\} \quad (\text{B.9})$$

According to Eqs. (B.8), (B.9) and (A.20), the FRF between $Y(j\Omega)$ and the vertical force exerted on the PS $S(j\Omega)$ ($S(j\Omega)$ is the Fourier transform of $s(t)$) is as in Eq. (30).

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