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Valorization of agro-industrial byproducts: Extraction and analytical characterization of valuable compounds for potential edible active packaging formulation

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(Article begins on next page)

# Food Packaging and Shelf Life

## Valorization of agro-industrial byproducts: extraction and analytical characterization of valuable compounds for potential edible active packaging formulation

--Manuscript Draft--

<b>Manuscript Number:</b>	FPSL-D-21-00863R1
<b>Article Type:</b>	Research Paper
<b>Keywords:</b>	active packaging; agro-industrial byproducts; Edible film; Biopolymer; phenolics; prebiotics
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<b>Order of Authors:</b>	Maria Grimaldi Olimpia Pitirolo Paola Ornaghi Claudio Corradini Antonella Cavazza
<b>Abstract:</b>	Large amounts of byproducts having high environmental impact are produced in the agro-industrial field. However, they are often rich of bioactive molecules and can represent a good source of new products. In a sustainability context, supporting Circular Economy project, this work aimed at extracting active compounds from onion, artichoke and thistle byproducts, and exploited new applications in the field of food packaging. Compound characterization achieved by chromatographic techniques demonstrated the presence of valuable ingredients such as quercetin and chlorogenic acid, and prebiotic carbohydrates. A high content of total phenolic compounds was evaluated mainly in artichoke and onion byproducts, and the addition of the extracts to oil samples showed a significant increase of oxidative stability, measured by Oxitest, even higher than 100% respect to blank oil. An active film based on the use of edible substances has been realized, and preliminary data about its application on food products showed promising developments.
<b>Suggested Reviewers:</b>	Jeannine Bonilla jeanninebonilla@usp.br  Aurigena Antunes de Araújo Universidade Federal do Rio Grande do Norte aurigena@ufrnet.br  Gabiella Santagata gabiella.santagata@ipcb.cnr
<b>Opposed Reviewers:</b>	
<b>Response to Reviewers:</b>	



**UNIVERSITÀ  
DI PARMA**

**DIPARTIMENTO DI SCIENZE  
CHIMICHE, DELLA VITA E DELLA  
SOSTENIBILITÀ AMBIENTALE**

Parma, 2022-04-14

Dear Editor,

we attach the files of our new revision of the manuscript entitled “Valorization of agro-industrial byproducts: extraction and analytical characterization of valuable compounds for potential edible active packaging formulation” by Maria Grimaldi, Antonella Cavazza, Olimpia Pitirollo, Paola Ornaghi, Claudio Corradini.

We have responded point by point to all the requests received from the reviewers, and modified the text following their suggestion. We think that the quality of the present version of the manuscript is improved, and hope that this text will be considered suitable for publication on your Journal.

Sincerely,

Antonella Cavazza

## Response to reviewers

Please find enclosed the list of comments followed by our response in red character.

The manuscript has been modified accordingly to the suggestions, using red colour.

**Reviewer #1:** Comments on manuscript FPSL-D-21-00863, entitled "Valorization of agro-industrial byproducts: extraction and analytical characterization of valuable compounds for active packaging formulation" by Grimaldi et al.

The paper is interesting with the both approaches on active compound extract and evaluation of their activity and applications in edible films, but not so original. The paper is well written, but need some improvements to be accepted as described hereafter. Therefore, I suggest major revision.

Detailed comments :

Title ; the title should be more explicite aiming at the edibility, thus should specify "edible active packaging formulation"

R. We modified the title as suggested, taking also into account the suggestion of reviewer n. 2 (adding the word "potential")

Line 69=L69, please, refer to the regulation related to natural compound and food contact material ability.

R. References to regulation have been added to the revised text, as also requested by reviewer 2.

L95 : please specify if coatings or self-standing films are concerned, and if active coatings have been applied on support films to activate the surface.

R. Articles cited in line 95 are about self-standing films based on edible ingredients. Other studies such as those cited in line 68 (Lantano, Corradini) are about coatings applied on support films, but are not edible. The text has been modified to convey these informations.

L96 as edibility is concerned, the paper should be focused only toward coatings and not self-standing films that are not aimed to be edible.

R. The sentence regards the article from Atares and Chiralt, dealing with both edible films and coatings. Self-standing films are proposed as biodegradable material that can be removed before food consumption, but can be even eaten with the product. The text has been slightly modified to underline the importance of biodegradability.

L115 what did you meant by "secondary packaging"? like cardboard, or over "primary packaging" ?

R. We were referring to cardboard, and modified the text accordingly, to specify it.

L135 why 75°C, it is not conventional procedure for dry mass determination of food samples. How long was the drying, or was the duration adapted until constant dry weight ?

R. The drying conditions were adapted with the aim of limiting the thermal stress and protect thermolabile compounds. For this reason temperature was set at lower value than conventional. The duration was prolonged until constant weight. Those informations have been added to the text.

L144 is it refers to dry sample, I think it is not the dry weight, but dried vegetable at 75°C. It would be more exact to say dried vegetable than dry weight which should follows the standardized procedure for dry mass or water content determination

R. The sentence has been modified as suggested

L144 "falcon" ?? it is a bird ! Did you meant vial or flask ?

R. We replaced the term with "vial"

L159-212 as the chromatography techniques have been set up in previous paper, please in parts 2.5 and 2.6 and 2.7, refer to the published papers.

R. we cited previous papers in section 2.5 and 2.6, as similar chromatographic conditions were reported in previous works, although the methods were slightly modified. Conditions of section 2.7 were not previously published.

L245 what was the role of  $\sigma$ D-(+)-gluconic acid-lactone in the medium acidification. Was the pH controlled or measured?

R. gluconic acid-lactone was used according to the cited article. We did not investigate the effect on pH, although this molecule dissociates producing a proton and it is used as acidifier. The pH value was measured, and the recorded value was about 5. This information has been added to the revised text.

L253 how was chosen the ratio extracts/solution ? What will be the dry matter ratio reported to the film ?

R: The extracts/solution ratio was chosen based on preliminary results from the analysis of oxidative capacity performed by Oxitest. The revised text was implemented with this information.

L256 please explain why these RH-T°C conditions selected for film conditioning prior measurements? Std refers either to 38°C-90% or 25°C-50%.

R. We selected those conditions according to previous experiments performed in our lab in the late years. We didn't perform a real optimisation, but have found, also in accord with the article by Barbut and Harper (2019) that room temperature and high humidity values improved film transparency. The text has been revised to convey this information and the reference was added.

L260-269 were the RH and T° controlled during mechanical tests ? Were samples kept contact at 80%RH ?

R. Temperature and RH were not controlled during the test.

L273-276 how the food samples packed in films ? Are they wrapped, in sealed paoches, or coated with wet solution of the film forming coatings ? Please, add a picture of packed/or coated samples as supplémentary data.

R. We specified in the text that food samples were wrapped in the films (not coated with wet solution). A picture of meat samples has been added to supplementary data (Figure 3S).

L308-339 part 3.2 : only a qualitative analysis of carbohydrates has been done. Is it possible to quantify the amount in each extract ?

R. We performed quantitative analysis for analytes whose standards are commercially available. Table 1 reports data of the examined extracts.

L398-402 the antioxidant activity (induction time) should be normalized or related to the estimated content in polyphenols.

Please discussed results in that way more in detail or give the curve discussed in lines 408-409.

R. We added a figure to Supplementary materials (Figure 2S) reporting the curve showing correlation between polyphenols amount and IP values. Text was revised accordingly.

L414 I wonder where is the coherence between biodegradability approach and edibility approach of the packaging. In my mind, the objectives are very different, between edibility which requirements are very more struct and biodegradability. Of course, if it is edible, it is biodegradable, but the application and end of life are not same. I believe you should focus only on edible property!

R. We modified the sentence according to reviewer's suggestion, pointing out that the packaging can be eaten with the product. Of course it depends on the product: alginate films covering hamburger can be easily eaten, and the enrichment with aromatic substances can even improve organoleptic properties of the meat. For other products such as strawberries the impact on the organoleptic properties would be probably too strong.

L424-427 I don't understand why plasticization was discussed, as all films contained same amount of glycerol. However, the TS and EB changes should be discussed according the phenolic compounds. A review focuses on the effect of polyphenols incorporation on the mechanical and barrier properties of edible films (cf Benbettaieb N. et al. Bioactive edible films for food applications: influence of the bioactive compounds on film structure and properties. Critical Reviews in Food Science and Nutrition, 2017, 597, 1137-1153 doi.org/10.1080/10408398.2017.1393384)

Same comment for discussion lines 428-433, the change should be discussed according the extract incorporation, and possible interactions with plasticizer of interactions between alginate strings..

R: The text has been modified avoiding to discuss about plasticizers, but only referring to the parameters affecting film features. The text has been enlarged pointing out the effect of incorporation of polyphenols on mechanical properties. A reference to the suggested review has been inserted. The discussion about calcium ions has also been implemented referring to the extract incorporation and possible interactions.

L446 where is it shown? picture 4 is not so clear. Was a piece of film apply on the surface of the beef hamburger? How long time the test conducted?

R. The revised text has been modified underlining that Figure 4 reports some different films, and also shows an example of beef hamburger covered by an edible film. We also added information about test conditions to section 2.10.

L451-459, please quantify the assumptions/statements! How was the oxidation reduced (test used and magnitude of decrease), how long the shelf-life prolonged, etc.. please give picture of mould growth on uncoated beef hamburger compared to wrapped ones etc..

This part should state clearly it was preliminary tests, to be confirmed by quantitative analysis and measurement of beef quality.

R. the text has been rewritten according to the suggestions. It has been specified that only a limited and preliminary evaluation based on visual exam was conducted, and that a punctual quantitative analysis should be performed to prove such data. Some more information about samples aspect have been provided.

Mould growth was observed on fruits controls, and a related picture has been reported in Supplementary files (Figure 4S).

Figure 3 : please improve quality, give legend between upper and lower graph, translate Italian (pressione) into English...

R. The figure has been revised as requested, and legend has been implemented.

Figure 4 , please add legend to better identify the differences between the films on the upper part of the picture, same comments for beef hamburger and film deposit on its top.

R. Letters have been inserted on the figure to better identify the films shown. Figure caption was accordingly enriched to help the reader.

Reviewer #2: The work is focused on the extraction and chromatographic characterization of bioactive molecules from agro-food waste and byproducts in a circular economy perspective, and their potential application as antioxidants in biodegradable films for food packaging.

Considering the main topics of the journal, the authors should have studied more in depth the part

relating to the characterizations on packaging materials, for example by conducting migration tests of the extracts loaded into the polymer, through the use of regulated food simulants to study the release kinetics rather than to report a food contact test without specific characterizations other than visual changes. For now, apart from the mechanical tests, the results of which have not been reported in detail, no other analyzes have been conducted to assess the effectiveness of these materials for use as packaging. However, the authors have specified that this is preliminary work. Therefore, considering the current scientific interest towards the recovery of substances with high added value from agro-food waste, and considering the substantial work carried out by the authors in terms of characterization of different types of extracts from plant byproducts, this paper can certainly contribute to the advancement of scientific research in the field of food packaging.

It is suggested to change the title of the work as follows: "Valorization of agro-industrial byproducts: extraction and analytical characterization of valuable compounds for potential active packaging formulation".

**R. We modified the title as suggested, taking also into account the suggestion of reviewer n. 1 (adding the word "edible")**

It would be useful for the authors to provide a graphical abstract highlighting the experimental steps of the work.

**R. A graphical abstract has been provided**

English could be improved.

**R. We have rewritten some sentences trying to improve the language form.**

In the introduction, authors should specify the chosen extraction technique and justify the reason by reporting a comparison with other extraction techniques, including bibliographic references.

**R: a brief paragraph has been added to the introduction, discussing the choice of extraction techniques. A reference about comparison of different extraction methods has been inserted.**

Line 69: Authors have to add the reference to the European Regulation referred to (European Commission Regulation No. 10/2011 of 14 January 2011 on plastic materials and articles intended to come into contact with food, Official Journal of the European Union, 12, 75-80).

**R: reference has been added**

Globally, the bibliography should be updated by citing more recent articles. Here are some suggestions:

line 45: [doi.org/10.1016/j.biortech.2020.122755](https://doi.org/10.1016/j.biortech.2020.122755);

line 58: [doi.org/10.1016/j.biortech.2021.124684](https://doi.org/10.1016/j.biortech.2021.124684);

line 61: [doi.org/10.1016/j.fpsl.2019.100396](https://doi.org/10.1016/j.fpsl.2019.100396), [doi.org/10.1016/j.porgcoat.2019.105487](https://doi.org/10.1016/j.porgcoat.2019.105487);

line 67: doi:10.3390/foods9111628;

line 82-84: 10.1002/ceat.202100044, 10.3303/CET2187098;

line 97: doi.org/10.1016/j.fpsl.2021.100756, doi.org/10.1016/j.lwt.2018.05.049;

**R: more recent references have been inserted, including some of those suggested.**

Here are some writing errors to correct:

Line 163: add space between 8 and  $\mu\text{m}$  and add a comma after  $\mu\text{m}$ ;

Line 164: remove spaces between mL and min as in the rest of the text;

Line 167: in KDa, the K goes in lowercase;

Line 174: add space after 25;

Line 178: add spaces between 2 x 250;

Line 180: add space after 125;

Line 192: X in lowercase. Remove spaces between mL and min;

Line 210: "e" in quercetin is in red, please adjust;

Line 222: remove space in ° C;

Line 244: adjust spaces between 0.300 and g/g;

Line 245: adjust spaces in the brackets;

Line 374: add a dot after leaves.

**R. Thank you, we corrected the writing errors**

Line 437: the authors could add SEM images to confirm this sentence.

**R. Unfortunately SEM is not available in our department. We only performed some image acquisitions using a microscope, but the picture did not show interesting information**

Is the aluminum disc on which the solutions were cast fixed or moving? What size is it?

**R. The disc was fixed, and information on size (30 cm<sup>2</sup>) has been added.**

Did the authors check the thickness uniformity of the resulting films? If so, how? Add this information in section 2.10.

**R. Thickness was measured by means of a micrometer on seven different small regions of 3 cm<sup>2</sup> each. The information has been added to section 2.10.**

In addition, mechanical test results should be added, either in tabular or graphical form (stress-strain curves) and compared with other biopolymers. Although it was not the main objective of the work, the mechanical tests conducted were the only form of characterization of the packaging films, therefore the results obtained with statistical analysis should be reported.

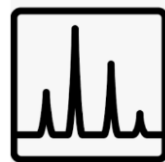
**R. A Table reporting data related to mechanical test (Table 3) has been added. Statistical analysis was also provided. The text has been enriched with a brief discussion and comparison with literature data.**

## Highlights

- Bioactive compounds were extracted by onion, artichoke and thistle byproducts
- Chromatographic analyses provided identification of antioxidants and prebiotics
- Extracts were incorporated in edible active films proposed as packaging
- Application of films showed promising results in reducing food deterioration



**Byproducts**



**Analytical  
characterization**



**Active  
Packaging**

1 **Valorization of agro-industrial byproducts: extraction and analytical**  
2 **characterization of valuable compounds for potential edible active packaging**  
3 **formulation**

4 Maria Grimaldi<sup>a</sup>, Olimpia Pitirolo<sup>a</sup>, Paola Ornaghi<sup>c</sup>, Claudio Corradini<sup>a,b</sup>, Antonella Cavazza<sup>a,b\*</sup>

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23 **Abstract**

24 Large amounts of byproducts having high environmental impact are produced in the agro-industrial  
25 field. However, they are often rich of bioactive molecules and can represent a good source of new  
26 products. In a sustainability context, supporting Circular Economy project, this work aimed at  
27 extracting active compounds from onion, artichoke and thistle byproducts, and exploited new  
28 applications in the field of food packaging. Compound characterization achieved by chromatographic  
29 techniques demonstrated the presence of valuable ingredients such as quercetin and chlorogenic acid,  
30 and prebiotic carbohydrates. A high content of total phenolic compounds was evaluated mainly in  
31 artichoke and onion byproducts, and the addition of the extracts to oil samples showed a significant  
32 increase of oxidative stability, measured by Oxitest, even higher than 100% respect to blank oil. An  
33 active film based on the use of edible substances has been realized, and preliminary data about its  
34 application on food products showed promising developments.

35

36

37 **Keywords:** active packaging; agro-industrial byproducts; edible film; biopolymer; phenolics;  
38 prebiotics.

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## 1. Introduction

In the late years, world politics is moving towards a more sustainable economy, implementing the ambitious Circular Economy plan with the aim of reducing waste and reusing byproducts. The main goal would be to introduce wastes in the productive cycle again, to obtain new resources that can be used as new raw materials, providing an additional economic value (Scarlet, 2013).

In details, a great amount of agricultural products is not considered suitable for commercial large scale distribution because of not adequate dimension, shape, maturation stage; besides abundant materials such as stems and leaves are discarded along industrial processes and during technological transformation, thus requiring the need of waste disposal at very high cost.

Artichokes, onions, and thistles are examples of plants largely cultivated in many districts of Italy.

Many factories process them in order to produce canned and frozen food; therefore huge amounts of byproducts are obtained during technological treatments, and can be considered a source of interesting compounds such as polyphenols and prebiotics (Ameer, Shahbaz, & Kwon, 2017).

Such products are still rich in bioactive compounds, and non-edible parts rather often contain even a higher amount of such substances than the edible portions, therefore, they can represent a good source of new useful products, in the context of the sustainability theme, and accordingly to the concept of circular economy (Sherwood, 2020). In fact, growing attention is paid to reach the goal of a Zero Waste Economy, where wastes are inserted again into the productive cycle, becoming a new resource (Sharma et al., 2021).

The potential of natural extracts is well known since long time (K. Wang, Lim, Tong, & Thian, 2019).

Traditionally, spices and herbs were widely used for their antioxidant and antibacterial properties to protect food from spoilage (Cavazza, Corti, Mancinelli, Bignardi, & Corradini, 2015), and also in many other fields of application such as food technology, cosmetics, nutrition and biotechnology, and lately in the field of active packaging (Bhardwaj, Alam, & Talwar, 2019; Wrona et al., 2021).

66 Active packaging adds a new role to the traditional functions attributed to packaging, **as it is** aimed  
67 not only at containing and protecting the product from mechanical and physical stress, **but has also**  
68 the purpose of interacting with it by transferring active molecules, **and has** the effect of prolonging  
69 its stability. **Many examples of active coatings applied on support films can be found in literature**  
70 (Claudio Corradini, Alfieri, et al., 2013; Lantano et al., 2014).

71 The use of natural ingredients is a very important strategy to gain customer acceptance, and to  
72 accomplish the strict regulation concerning food contact materials (Commission Regulation (EU) No  
73 10/2011, 2004, 2011; Commission Regulation (EU) No 450/2009, 2009). In the late years, a great  
74 attention has been focused to the use of plastic, and to control the possible migrations of additives to  
75 the product. **Indeed**, the presence of non-intentionally added substances (NIAS) and emerging  
76 contaminants not included in the regulation has been reported by many researchers (Bignardi,  
77 Cavazza, Laganà, Salvadeo, & Corradini, 2017; Gómez Ramos, Lozano, & Fernández-Alba, 2019;  
78 Ubeda, Aznar, Rosenmai, Vinggaard, & Nerín, 2020), and new analytical methods have been  
79 implemented for their characterization (Bignardi, Cavazza, Laganà, Salvadeo, & Corradini, 2018).  
80 Besides, waste management of packaging materials poses a serious concern from the ecological point  
81 of view, and a great attention to sustainability has been raised over the past years.

82 In order to reduce risks of contamination from plastic materials and following the recent European  
83 regulation underlining the necessity to limit plastic use, the choice of natural substances to obtain  
84 innovative materials for food packaging **represents** a good solution. Many examples of biodegradable  
85 packaging obtained avoiding the use of petroleum derivatives, and based for example on starch and  
86 natural extracts, are reported in recent literature studies (Khalid et al., 2018; Medina-Jaramillo,  
87 Ochoa-Yepes, Bernal, & Famá, 2017; Seligra, Medina Jaramillo, Famá, & Goyanes, 2016).

88 Some authors proposed biodegradable packaging solutions realized from waste material such as raw  
89 material from crops (Davis & Song, 2006), seafood waste (de la Caba et al., 2019), mango peels  
90 (Adilah, Jamilah, Noranizan, & Hanani, 2018), or carrot wastes (Otoni et al., 2018). An important  
91 feature is the functionalization of packaging with active ingredients that confer added properties such

92 as antioxidant activity, as in the case of a film based on blueberry fibers (de Moraes Crizel, Haas  
93 Costa, de Oliveira Rios, & Hickmann Flôres, 2016), or the durian leaf extract in gelatin-based films  
94 (Joanne Kam et al., 2018). The effect of the addition of natural extracts such as essential oils for  
95 antibacterial and antioxidant properties to improve the oxidative stability has been also demonstrated  
96 (Atarés & Chiralt, 2016; Cavazza et al., 2015). Some previous studies related to the development of  
97 self-standing films reported use of ginger oil (Maria et al., 2016) and plant extracts (Bonilla & Sobral,  
98 2016) such as green tea (Li, Miao, Wu, Chen, & Zhang, 2014). An important prerogative of some of  
99 these bio-films is their biodegradability, but also their edibility, since they are realized starting from  
100 natural and edible ingredients such chitosan, gelatin, alginate (Atarés & Chiralt, 2016).

101 On these basis, the hypothesis of the present work was to demonstrate if a usually discarded material,  
102 that is generally considered and treated as a waste, can become, after being submitted to extraction  
103 and characterized in terms of chemical composition, a source of valuable products. Its use could be  
104 proposed in the field of packaging, and exploited to obtain active edible films, with the goal to protect  
105 food from deterioration. This objective would perfectly fit in the Circular Economy and sustainability  
106 context.

107 The work was organized in separate steps, starting from the set-up of an extraction procedure  
108 involving agroindustrial artichoke, onions, and thistle byproducts, followed by the analytical  
109 characterization of the substances by HPLC, and the measurement of the phenolic compounds  
110 content. Different extraction methods comparing conventional and innovative techniques for  
111 maximizing bioactive compounds recovery from vegetable matrices have been explored (Catena et  
112 al., 2020). In particular, in the late years, much attention is paid to green methods having limited  
113 effect on the environment, thus conventional extraction techniques are being often replaced with new  
114 technological processes such as the assisted ultrasound extraction (AUE) that limits the amount of  
115 needed solvent, and allows time reduction.

116 The addition of extracts to vegetable oil was tested to evaluate the effect exerted on its oxidative  
117 stability, measured by means an Oxitest reactor, used to accelerate oxidation process with high

118 temperature and oxygen pressure. A potential use of the extract was the realization of an active and  
119 edible packaging enriched with functional ingredients, choosing alginate as substrate for its ability to  
120 form a biopolymer when reacting with calcium ions (Gholamian, Nourani, & Bakhshi, 2021). The  
121 obtained packaging could be even classified as edible film, and its enrichment with onions, rich of  
122 active molecules such as prebiotics (Otoni et al., 2018), represents a potential innovative strategy to  
123 enrich a food product, also providing functional features. Finally, to reach the zero-waste goal, the  
124 residue after extraction can be proposed as a bulk material for secondary packaging, **for example**  
125 **cardboard** production.

126

## 127 **2. Materials and methods**

### 128 **2.1 Chemicals**

129 PTFE (Polytetrafluoroethylene) 0.2  $\mu\text{m}$  x 25 mm filters, Nylon 0.2  $\mu\text{m}$  x 25 mm filters (Agilent  
130 Technologies, Milan, Italy), water (MilliQ), ethanol 96% , methanol 99%, acetone, sodium carbonate,  
131 Folin-Ciocalteu reagent, gallic acid, sodium alginate, calcium carbonate, sodium acetate, sodium  
132 hydroxide 50% w/w, glycerol, D-(+)-gluconic acid- $\sigma$ -lactone (GDL) theobromine, quercetin,  
133 quercitrin, chlorogenic acid, glucose, fructose, sucrose, 1-kestose, nystose, fructofuranosyl-nystose,  
134 dextrans analytical standards were purchased from Sigma Aldrich (Steinheim, Germany).

135

### 136 **2.2 Samples and treatment**

137 Byproducts from artichokes (*Cynara Scolymus*), red onions (*Allium Cepa* var. Tropeana), and thistles  
138 (*Cirsium vulgare*) were obtained from Italian agro-food industries. Aliquots of each vegetable were  
139 processed by mixing together all vegetable parts (leaves, stems, parts of flowers etc.). Moreover, in  
140 order to explore the possible differences in total phenolic content, and oxidative stability of the  
141 different parts of the vegetables, other sample aliquots were obtained by separating leaves of  
142 artichokes, onions and thistles from their respective stems. The different vegetable parts were

143 processed separately, as described in the following sections. Dry weight was determined on each  
144 sample by drying aliquots of 10 grams in an oven set at 75 °C, to protect thermolabile compounds,  
145 until constant weight was reached.

146

### 147 **2.3 Extraction procedure for Folin-Ciocalteu assay and HPLC-UV-DAD-MS analysis (phenolic** 148 **extracts)**

149 The material was submitted to extraction after a preliminary quick homogenization using liquid  
150 nitrogen. The conditions selected for the experiments were based on a previous work (Rinaldi et al.,  
151 2020), with some modifications, consisting in particular, in the use of ultrasound assisted extraction  
152 (UAE) (Dai & Mumper, 2010). Different solvents such as water, ethanol and acetone were tested.  
153 The final procedure selected to perform the experiments was as follows: sample amounts  
154 corresponding to 0.5 g of dried vegetable were placed in a 50 mL vial; then, 20 mL of acetone were  
155 added, and submitted to UAE in a beaker covered with aluminum foil. Subsequently, the samples  
156 were placed in a centrifuge at 4000 g for 10 minutes, and supernatant was recovered and placed in a  
157 100 mL flask. To achieve an exhaustive extraction, the procedure was repeated for three consecutive  
158 times, and aliquots of solvent were collected and brought to dryness. The extracts were then recovered  
159 with 15 mL of ethanol and filtered through a PTFE filter before analysis.

160

### 161 **2.4 Extraction procedure for Steric Exclusion Chromatography and HPAEC-PAD analysis**

162 Aliquots of byproducts samples were dried in an oven at 65 ° C for 72 h and then minced. Aqueous  
163 extracts were obtained by suspending 0.25 g of sample in 25 mL of (MilliQ) water, and treated at 80  
164 °C for 60 minutes under stirring, whereas alcoholic extracts were prepared using 25 mL ethanol at 50  
165 °C for 60 minutes. Then, samples were centrifuged at 4000 g for 30 minutes at 4 °C and the  
166 supernatant was taken up and diluted with (MilliQ) water 1: 5 and filtered through a Nylon 0.2 µm x  
167 25 mm filter (Claudio Corradini, Lantano, & Cavazza, 2013).

168

## 169 **2.5 Characterisation of extracted compounds by Steric Exclusion Chromatography**

170 The analysis of the composition of the extracts, in terms of high molecular weight fractions  
171 corresponding to the polysaccharide fraction, was assessed through the use of an Agilent 1200 series  
172 HPLC (Milan, Italy) equipped with an Agilent 1260 Infinity refractive index detector. The column  
173 employed was a steric exclusion column PL aquagel-OH40 (8  $\mu\text{m}$ , 300 x 7.5 mm) thermostated at 30  
174 °C. The elution was performed using water containing 0.2% sodium azide, at a flow of 0.5 mL/min  
175 in isocratic mode. The injection volume was 100 microliters (N. González-Ballesteros et al., 2019).  
176 All samples were injected in triplicate after 1:35 dilution. To obtain references of the molecular  
177 weights, standards of dextrans having variable molecular weight from 150 to 8 kDa, were previously  
178 injected. The choice of these molecules over other available standards, such as polyethylene oxides,  
179 is linked to the fact that the structure of the dextrans can be considered similar to that of the natural  
180 polysaccharides occurring in the byproducts.

181

## 182 **2.6 Analysis of carbohydrates by HPAEC-PAD**

183 Carbohydrates separations were performed using a DX500 series Dionex liquid chromatograph  
184 (Sunnyvale, CA, USA), equipped with an AS50 Dionex autosampler with a 25  $\mu\text{L}$  loop, and an ED50  
185 model pulsed electrochemical detector (PAD) with Ag / AgCl reference electrode and gold working  
186 electrode. A degassing module allowed to keep eluents under constant flow of helium. The software  
187 CHROMELEON was used for recording chromatographic data.

188 Fructans analyses were conducted by using a CarboPac column PA 100 (2 x 250 mm), at room  
189 temperature, and a flow rate of 1 mL/min. A linear gradient was performed with an increasing  
190 concentration of sodium acetate from 0 to 125 mM in 90 minutes, followed by column washing and  
191 initial conditioning (C. Corradini et al., 2004). Glucose, fructose, sucrose, 1-kestose, nystose,  
192 fructofuranosyl-nystose were the commercially available standards used to identify peaks by  
193 comparison of retention times. A calibration curve was constructed for the above mentioned

194 standards, using five concentration levels from 5 µg/mL to 100 µg/mL. All measurements were  
195 performed in triplicate.

196

## 197 **2.7 Analysis of phenolic compounds by HPLC-DAD-MS**

198 Phenolic compounds were characterized by reversed phase chromatography on an Agilent 1200 liquid  
199 chromatograph equipped with binary pump, degasser, autosampler, column thermostat and diode  
200 series detector (DAD). The system was coupled by an electrospray (ESI) interface to linear ion trap  
201 analyzer mass spectrometer (MSD Trap XCT Ultra, Agilent Technologies). The chromatographic  
202 separation of the analytes was carried out by means of a Phenomenex, Luna C18 (2) 100Å 5 µm, (250  
203 x 2 mm). Column flow was set at 0.500 mL/min. The elution was performed following a programmed  
204 gradient with two different mobile phases, A (H<sub>2</sub>O / HCOOH 95:5) and B (acetonitrile / HCOOH  
205 95:5). The elution program was the following: from 0 to 4 minutes, % B increased from 0.1 to 12.5  
206 and held until 7 minutes; from 7 to 8 minutes % B was raised up to 20 and held until 13 minutes; at  
207 14 minutes % B was set at 60 until 19 minutes; at 20 minutes % B increased to 100, holding for 7  
208 minutes. Finally, the column was reconditioned for 5 minutes.

209 UV-DAD detection was carried out at a wavelength of 280 nm. For mass spectrometry detection,  
210 electrospray ionization was set in positive mode (ESI+). The nebulizer gas (nitrogen, 99.9% purity)  
211 and the dry gas (nitrogen, 99.9% purity) were delivered at pressure of 60.0 psi and 10.0 L/min,  
212 respectively. Other conditions of the interface were: capillary voltage 4.5 kV, skimmer voltage 40.0  
213 V, drying gas temperature 365 °C. Masses were recorded in SIM (Selected Ion Monitoring) mode,  
214 selecting signals of molecular ions [M+H]<sup>+</sup> at m/z 303 for quercetin, m/z 449 for quercitrin, m/z 355  
215 for chlorogenic acid and m/z 181 for theobromine used as internal standard. Confirmation of  
216 compounds identity was performed by a comparison of migration time and mass spectrum obtained  
217 from sample and standard solutions. Agilent ChemStation B.01.03 and LC-MSD Trap 6.0 Build  
218 458.0 softwares were used for instrument control and data processing.

219 Quantitative analysis was performed by means of mass spectrometry. Since no white matrix was  
220 available to build a blank calibration curve, the quantitative analysis was performed using the method  
221 of standard additions. Four concentration levels were built for chlorogenic acid, quercetin and  
222 quercitrin from 12.5 to 100 µg/mL. Theobromine was used as internal standard at fixed concentration  
223 of 5 µg/mL.

224

## 225 **2.8 Total Phenolic Content (TPC)**

226 UV-vis spectrophotometric analyses (by a Thermo Scientific™ Evolution™ 201/220, Milan, Italy)  
227 were performed to determine the total polyphenolic content (TPC) following the Folin-Ciocalteu (FC)  
228 method, based on the addition of an oxidizing reagent that, in the presence of phenolic residues,  
229 causes a change in the absorbance of the solution. The reaction is based on phosphotungstate and  
230 phosphomolybdate that, in a basic environment, oxidizes the -OH group to a carbonyl group reducing  
231 itself and giving a blue color to the solution (Ammor & Jennan, 2019).

232 50 µl of sample were mixed with 1160 µl of water (MilliQ), 300 µl of sodium carbonate 20% w/w,  
233 and 100 µl of the Folin-Ciocalteu reagent; the solution was then introduced into an oven at 40 °C for  
234 30 minutes. An identical preparation was performed on blank solvent. Absorbance was measured at  
235 760 nm. The TPC value was expressed as µg of GAE (gallic acid equivalent)/ g of dry sample by  
236 means of a calibration curve built in the range between 1 and 30 µg/mL of gallic acid. All measures  
237 were performed in triplicate.

238

## 239 **2.9 Analysis by Oxitest**

240 Oxitest reactor (VELP Scientifica, Usmate, Italy) allowed the evaluation of the oxidative stability of  
241 a model matrix, sunflower oil, enriched with the phenolics extracts of thistle, onion and artichoke by-  
242 products. The stability was determined by accelerating the oxidation process of 10 g of sample using  
243 high temperatures (90 °C) and oxygen pressure at 6 bar. A sample of sunflower oil was chosen as  
244 reference. The induction value of the same sample enriched with plant extract derived from 0.5 g (dry

245 weight) was evaluated. In order to minimize the volume differences between blank and enriched  
246 samples, the extracts were preventively dried in a rotary evaporator and resuspended using the model  
247 oil. Measures were performed in duplicate employing two chambers of the reactor. The software  
248 allows to follow the oxidative process inside two reaction chambers, and graphically displays the  
249 recorded oxidation curve, calculating the induction period (IP).

250

## 251 **2.10 Active and edible films**

252 Active edible films were formulated on the basis of a previous research (Benavides, Villalobos-  
253 carvajal, & Reyes, 2012) according to the following procedure. A 1% w/v solution of sodium alginate  
254 was prepared adding the salt in distilled water at 70 °C under vigorous stirring until complete  
255 solubilization. Glycerol was added in an amount of 0.300 g/g of sodium alginate. In a different beaker,  
256 a suspension of CaCO<sub>3</sub> (0.04 g/g of alginate) and GDL (5.4 g/g of CaCO<sub>3</sub>) was prepared in 100 mL  
257 of distilled water. **The pH value of the solution was monitored, and recorded value was about 5.0.**

258 The suspension was then added to the alginate solution under vigorous stirring (100 g), kept at 55 °C  
259 for 30 minutes to allow the removal of CO<sub>2</sub> from the reaction environment, and placed in an ultrasonic  
260 bath for 40 minutes in order to eliminate bubbles. Bubbles were formed as a result of both the  
261 homogenization and the release of Ca<sup>++</sup> ions promoted by GDL with the related development CO<sub>2</sub>;  
262 this last point is very important since CO<sub>2</sub> may be responsible for cavities formation in the gel during  
263 the cross-linking phase, thus compromising the final result (Benavides et al., 2012).

264 To obtain the film, 15 mL of byproduct phenolic extracts (from artichoke, or onion, or thistle) were  
265 added to 150 mL of solution under moderate stirring (20 g) for 70 minutes. **The amount of extract to**  
266 **add to the solution was selected based on preliminary results evaluating the antioxidant effect by**  
267 **Oxitest, in order to obtain a significant effect. 55 mL of the** final mixture was then poured into  
268 aluminum disks **of 30 cm<sup>2</sup>**, and placed for 18 hours at 50 °C. Blank films, obtained without extract  
269 addition were also formulated. The films were left in a climatic chamber at 22 °C and 80% humidity  
270 for 12 hours, to allow the conformational rearrangement of the polymer chains before use. **The high**

271 level of humidity was selected since it was found to improve film transparency, in accord to  
272 preliminary experiments and to Barbut and Harper (Barbut & Harper, 2019).

273 Thickness uniformity of the resulting film was checked by measuring seven different regions of 3  
274 cm<sup>2</sup> each by means of a micrometer.

275 Characterization of film properties, such as tensile strength (TS), and percentage elongation at break  
276 (EB%) were performed.

277 TS and EB% were evaluated using a Micro Stable System texture analyzer (Spinea, Venezia, Italy).

278 TS was performed according to ASTM Method D882 ([ASTM, 2012a](#)). The film was cut into 10 cm  
279 × 1.5 cm rectangles, the initial grip separation was set to 50 mm, and the cross-head speed was set to  
280 0.2 mm/min. Data have been processed by software EXPONENT. At least five replicates of each film  
281 were tested. Tensile strength (TS, MPa) was calculated using the following equation:

$$282 \quad TS = \frac{F_{max}}{Area}$$

283 where  $F_{max}$  is the maximum force needed to break the sample (N), and  $A$  is the cross-sectional area

284 The elongation at break was calculated as the percentage increase in sample length. Deformed length  
285 ( $L$ ) and original length ( $L_0$ ) were calculated the EB% as following equation:

$$286 \quad EB \% = \frac{L - L_0}{L_0} * 100$$

287

288 Where  $L$  is the elongation at the moment of rupture (m), and  $L_0$  is the initial length or grip length of  
289 the sample (m).

290 Some preliminary experiments on food storage were conducted by using the active film for package  
291 of meat (see Supplementary data), cheese, and fruits such as strawberries and cranberries. Three equal  
292 aliquots of each food products were wrapped in films, and then stored at refrigerating conditions (4-  
293 6 °C), or at room temperature: one was packaged with the active film; another one was used as control  
294 and packaged with a blank film (with no extract); a third one was covered with an aluminum foil. The  
295 products were examined at time intervals of 12 hours for four consecutive days, by checking the main

296 organoleptic properties, such as color, smell, presence of mould, and surface roughness in terms of  
297 freshness appearance.

298

### 299 **2.11 Statistical analysis**

300 SPSS statistical software (Version 19.0, SPSS Inc., Chicago, Illinois, USA) was used to calculate  
301 means and standard deviations (SD), and to verify significant differences between samples by one-  
302 way analysis of variance (ANOVA) followed by Tukey's honest significant difference (HSD) test at  
303  $p \leq 0.05$  to identify differences among groups.

304

## 305 **3. Results and discussion**

306

### 307 **3.1 Analysis by steric exclusion chromatography**

308 Aqueous and alcoholic extracts of byproducts (constituted by a mixture of different vegetable parts)  
309 were submitted to analysis by steric exclusion chromatography. The presence of molecules, probably  
310 belonging to polysaccharides family have been evidenced in all samples. The chromatograms  
311 obtained (see supplementary materials) from respectively a thistle aqueous, and alcoholic extracts  
312 showed the presence of a main band eluting at 23 minutes, that in the aqueous medium is accompanied  
313 by a smaller one eluting at about 20 minutes. The comparison with retention time of dextran standards  
314 permitted the attribution of a molecular weight to these fractions. In details, the main signal at 23  
315 minutes represents molecules smaller than 8000 Da, whereas the band at 20 minutes can be ascribed  
316 to compounds having a mass of approximately 8000 Da. Some traces of compounds of higher  
317 dimension could also be noticed in the aqueous extract by the presence of three signals located at  
318 lower retention time (around 11.0, 12.5 and 17.3 minutes).

319 The analysis of artichoke and onion byproducts showed similar profiles, with small differences from  
320 the quantitative point of view.

321 About a possible attribution of the identity of the substances, previous studies on bioactive  
322 components of artichoke, onion and thistle byproducts have revealed that they are rich in  
323 phytochemicals. Many of these compounds are characterised by molecular weights compatible with  
324 the reported results, such as phenols, and carbohydrates as fructans (Cavazza et al., 2010).

325

### 326 **3.2 Analysis of carbohydrates**

327 Conventional techniques for the determination of sugars are not useful for separating mixtures of all  
328 carbohydrates. The use of the strong anion exchange chromatography system (HPAEC) and its  
329 combination with pulsed amperometric detection (PAD), characterized by high sensitive and selective  
330 detection, provides a really efficient separation tool, and has been successfully used in many fields  
331 (Claudio Corradini, Lantano, et al., 2013; Lee, 1996). It allows to separate multicomponent mixtures  
332 containing monosaccharides, disaccharides, oligosaccharides, and even sugar alcohols in the same  
333 run, also giving the possibility of separating anomeric and positional isomers (Borromei et al., 2010).  
334 Extracts from residues of thistle, onions and artichokes (containing a mixture of the different  
335 vegetable parts) were found to contain simple sugars and also presence of fructooligosaccharides.  
336 Those molecules are characterized by prebiotic activity and possess important technological features  
337 for their properties to form gel when mixed with water. This phenomenon can be exploited to obtain  
338 bulk effect and to improve texture of food preparations such as ice-creams, yogurt, sweets etc.  
339 (Claudio Corradini, Lantano, et al., 2013).

340 From the chromatogram shown in Figure 1, related to an onion extract, it can be seen that many peaks  
341 are eluted, showing the presence of sugars of different degree of polymerization.

342 Available standards were used to identify, on the basis of their retention times, peaks corresponding  
343 to the monosaccharides glucose and fructose, the disaccharide sucrose, and molecules with higher  
344 degree of polymerization such as 1-kestose, 1-nystose, and 1F-1- $\beta$ -D-fructofuranosyl-nystose. Other  
345 peaks eluting at longer retention times were tentatively attributed to oligosaccharides 1F-(1- $\beta$ -D-  
346 fructofuranosyl)-2-nystose, 1F-(1- $\beta$ -D-fructofuranosyl)-3-nystose, and the inulooligosaccharides

347 (IOS) inulobiose, inulotriose, inulotetraose, inulopentaose, inulohexaose, and inuloheptaose. The  
348 assignment was performed referring to the generally accepted assumption that the retention time of a  
349 homologous series of carbohydrates increased as the degree of polymerization (DP) increases  
350 (Borromei et al., 2010).

351 Quantitative analysis was performed to determine the amount of glucose, fructose, sucrose, 1-kestose,  
352 nystose and fructosylnystose, by referring to calibration curves built with standard solutions. Table 1  
353 shows the amount of each carbohydrate, and it can be seen that glucose was the most abundant  
354 compound, followed by sucrose and fructose. As for oligosaccharides, a consistent amount of kestose  
355 was recorded, accompanied by traces of nystose and fructofuranosylnystose.

356 Analysis of artichoke and thistles byproducts showed a less rich fractions of oligosaccharides, limited  
357 to few peaks of low intensity.

358

### 359 **3.3 Analysis of phenolic compounds**

360 Extracts were prepared by UAE, an efficient extraction technique able to form bubbles in solvent,  
361 collapsing near the surface of a solid matrix, and penetrating cell walls, thus enhancing the diffusion  
362 of phenolics (Dai & Mumper, 2010; J. Wang, Sun, Cao, Tian, & Li, 2008). Different solvents such  
363 as ethanol, acetone and a mixture of water/ethanol 1:1 were tested to evaluate the yield. Previous  
364 works reported that each solvent can be considered effective to extract a different class of compounds:  
365 for example, anthocyanins that are polar molecules were mainly extracted by methanol, whereas  
366 acetone was the most effective for the other compounds (A. A. De Araújo et al., 2014; Lourenço,  
367 Moldão-Martins, & Alves, 2019; Pradal, Vauchel, Decossin, Dhulster, & Dimitrov, 2016).  
368 Accordingly, our results, based on TPC spectrophotometric analyses, showed higher values when  
369 acetone was used.

370 Artichoke, thistle and onion leaves and stems were found to be rich in polyphenolic compounds. The  
371 main molecules occurring were chlorogenic acid in artichoke, eluting at about four minutes, and  
372 quercetin in onion, eluting at 6.5 minutes; its glycosidic form, quercitrin, eluting at 3 minutes, was

373 also recorded. The identity of all compounds was confirmed by comparison of retention time and  
374 mass spectra with those obtained by standard analysis.

375 Since no white matrix was available to build a blank calibration curve, the quantitative analysis was  
376 performed using the method of standard additions. The recorded data (see Table 1) showed that in  
377 artichoke leaves and thistle the most abundant compound occurring was chlorogenic acid, followed  
378 by quercitrin, and small quantities of quercetin. In onion, quercitrin has been identified as the most  
379 abundant, followed by quercetin, while chlorogenic acid was not detected. These results are in  
380 accordance with literature data (Alarcón-Flores, Romero-González, Martínez Vidal, & Garrido  
381 Frenich, 2014).

382 Presence of caffeic acid was also evaluated, but it was found only in stems. This molecule is a  
383 precursor of chlorogenic acid, and the reason for its presence in stems and not in leaves can be linked  
384 to the distinct accumulation of metabolites in the different vegetable tissues (Fratianni, Tucci, Palma,  
385 Pepe, & Nazzaro, 2007; Sánchez-Rabaneda et al., 2003).

386 The amount of total phenolic compounds was measured by the Folin Ciocalteu assay. Results are  
387 showed in Figure 2, where the comparison between samples, demonstrated by statistical evaluation,  
388 points the attention to the different content of phenolics found in the examined vegetables. Definitely  
389 lower contents were found in both thistle stems and leaves comparing to artichoke and onions.  
390 Furthermore, a significant difference is observed also in the different parts of the plant, as can be seen  
391 for onion and artichoke stems and leaves. The value recorded for artichokes leaves was approximately  
392 four times higher than that of artichoke stems, and comparable to onion stems, whereas the latter  
393 shows a value about 1.5 times higher than onion leaves. These results are in accord with Lombardo  
394 et al., and Ben Rejeb et al. (Lombardo, Pandino, Ierna, & Mauromicale, 2012; Rejeb, Dhen, Gargouri,  
395 & Boulila, 2020). The great difference observed between onion and artichoke or thistle stems can be  
396 ascribed to the completely different structure related to the type of the stem tissue, that in onion is  
397 soft and similar to its bulb, whereas in artichoke and thistle is more ligneous.

398 Considering the opportunity of extracting bioactive molecules from byproducts, besides the yield, it  
399 has to be also taken into account the very large amount of available raw material: around 95 million  
400 tons of onions and more than one million tons of artichokes are grown all over the world. For the  
401 latter, only a portion corresponding to 40% is edible, whereas the 60% is considered waste.

402

### 403 **3.4 Evaluation of oxidative stability of oil enriched with extracts**

404 The chemical reactions that occur between oxygen and some sensitive components of food represent  
405 one of the most important causes of qualitative alteration of the products. In order to test the  
406 antioxidant power of extracts, the residues were dissolved in a model product such as sunflower oil,  
407 and the oxidative stability conferred to it was evaluated by Oxitest. This instrument has been  
408 successfully employed to measure the induction period, which is directly linked to the resistance to  
409 oxidation of a product (Cavazza et al., 2015).

410 Data obtained measuring the induction periods evidenced an increase of the stability of all enriched  
411 samples, respect to sunflower oil alone. Figure 3 reports an example of the graphs recorded for a  
412 blank oil (A), respect to oil enriched with onion byproducts (B). In the graphs, the oxygen pressure  
413 trend during time is reported, and the vertical line **indicates** the flex point in correspondence to the  
414 oxygen consumption linked to the oxidation starting point.

415 Table 2 shows all values of induction period recorded. A definite higher oxidative stability can be  
416 observed for all samples respect to the control. These results demonstrate the positive effect of extract  
417 addition in preventing the oil degradation. In details, induction time of sunflower oil was about 500  
418 minutes, considerably lower than that of all examined extracts, whereas enriched samples values  
419 ranged from a minimum of  $616 \pm 22$  minutes (corresponding to an increase of about 20%), recorded  
420 in the experiment with thistle samples, to a maximum of  $1037 \pm 49$  minutes recorded for the artichoke  
421 sample (increase of 107%). These data demonstrated that a strong effect **on vegetable oil protection**  
422 is exerted by the extracts, and suggest a potential use of those materials for preventing **oils** degradation

423 during storage, such it is done when preparing aromatized oils with onion, rosemary or chilli pepper  
424 (Cavazza et al., 2015).

425 To evaluate **whether the effect on oil stability** was linked to the amount of phenolic compounds  
426 occurring in the extract, the correlation between gallic acid equivalents measured by Folin-Ciocolteu  
427 assay, and IP **values** obtained by Oxitest measures was considered. A linear trend **was observed (see**  
428 **Figure 2S, Supplementary Data), and suggested** that phenolic compounds can be considered  
429 responsible for the activity of vegetable oil stabilization.

430

### 431 **3.5 Alginate films enriched with extracts**

432 As shown by previous literature studies (Lourenço et al., 2019), different kind of edible films with  
433 naturally antioxidant compounds have been developed to extend the shelf-life of fresh foods, offering  
434 a material **able** to replace plastic packaging, **and that can be even eaten with the product. In some**  
435 **foods, such as hamburger, an aromatic film could improve the organoleptic properties, unless the**  
436 **impact on the taste and palatability is too strong.**

437 To this aim, the extracts object of this study, in particular those from artichoke and onions, for the  
438 abundant presence of polyphenols and prebiotics, respectively, were incorporated in alginate-based  
439 films, and a preliminary study on the effects of the composition and the ratio between ingredients on  
440 the film properties was conducted.

441 The tensile strength of the films obtained ranged from  $83.2 \pm 1.2$  to  $100.9 \pm 0.7$  MPa **(see Table 3),**  
442 **and significant differences can be noted between blank alginate films and all materials enriched with**  
443 **the different vegetable extracts. In detail, onion films showed the highest value.** The %EB also  
444 increased from 5 % to 12 % compared to a film based on only alginate. **These results are in agreement**  
445 **with literature data (Di Donato et al., 2020a) showing higher values of tensile strength for films**  
446 **embedded of waste vegetable extracts containing oligosaccharides, probably due to the introduction**  
447 **of polar groups that physically interact with alginate, and able to decrease the chain-chain hydrogen**  
448 **bonding. It is known that mechanical and structural properties of a film are strongly dependent by**

449 many parameters such as plasticizer amount, film crosslinking degree, but also by the addition of  
450 byproducts extracts (A. Araújo et al., 2018; Di Donato et al., 2020b; Luchese, Spada, & Tessaro,  
451 2017; Mahcene et al., 2020; Piermaria et al., 2011; Straccia, Romano, Oliva, Santagata, & Laurienzo,  
452 2014). Indeed, natural compounds can act as plasticizers or anti-plasticizers, as underlined in the  
453 review by Benbettaieb et al. (2019), (Benbettaieb, Karbowiak, & Debeaufort, 2019). In particular,  
454 simple phenolic compounds can be involved in interactions or chemical linkages with groups of the  
455 polymer chains, leading to an improvement of the functional properties of the films. The resulting  
456 effect can be a reduction of intermolecular forces that increases the mobility of polymer chains, with  
457 enhancement of flexibility and extensibility, although an opposite behavior was recorded for  
458 polyphenols such as tannins.

459 Moreover, the effects of metal ions on crosslinking, and consequently on the physical properties of  
460 alginate films has to be taken onto account, as natural extracts can interact with cations. For instance,  
461 flavonoids can form complexes with metal cations, with possible effects on the mobility of chains.  
462 Liling et al. (Liling et al., 2016) investigated the different behavior of cations finding out effects on  
463 tensile strength and light transmission, underlining the important role of the media composition on  
464 film properties.

465 Our experiments showed that addition of onion byproducts, containing fructooligosaccharides, in  
466 alginate film, gave added value in terms of resistance, and more cohesive structure with respect to  
467 films made using artichoke or thistle byproducts. This result can be attributed to higher viscosity and  
468 formation of smaller pores, as previously reported by authors performing studies on biopolymer gels  
469 containing fructooligosaccharides (Silva & Sato, 2017). As for addition of artichoke byproducts,  
470 containing mainly bioactive compounds belonging to polyphenols, an increase of the flexibility was  
471 observed. This can be explained by the action of phenolic compounds affecting the morphology and  
472 the strength of film matrix. The hydrophilic groups of phenolic compounds increase the interaction  
473 among alginate molecules thus contributing to an increased mobility, similarly to that reported by  
474 Iskender & Yemenicioğlu for zein films (Arcan & Yemenicioğlu, 2011).

475 Some active and edible films obtained are reported in Figure 4, showing the possibility to modulate  
476 some properties such as the color, depending on the ingredient used. Moreover, an example of the  
477 application of a film **applied on a beef hamburger surface is shown**. It is remarkable that film features  
478 can be customised in terms of transparency, colour, thickness, texture, flavour, tailoring the aromatic  
479 profile according to the type of product to be packaged. This represents a potential innovative strategy  
480 to enrich a food product in terms of flavour and palatability, also providing functional properties that  
481 can represent an added value to a product.

482 The main application performed, still **at a limited preliminary** level, regarded experiments on food  
483 products storage evaluation. Some investigations were carried out, and showed higher durability and  
484 prolonged shelf-life of the samples treated with the active packaging in terms of oxidative stability  
485 (Bignardi C.; Carà D.; Cavazza A.; Corradini C.; Grimaldi M.; Salvadeo P., 2016). **Preliminary**  
486 **evaluation by a visual exam suggested an increment of shelf-life for meat (See Figure 3S,**  
487 **Supplementary materials) and vegetable products. The main organoleptic properties were considered:**  
488 **colour of treated meat samples was found to be stable for three days, and fruits exhibited a fresh**  
489 **aspect until the fourth day, with significant differences compared to controls. Of course, these data**  
490 **should be confirmed by quantitative analysis, and by more punctual determinations.** The enhancement  
491 of oxidative stability could be ascribed to the activity of antioxidant compounds such polyphenols  
492 occurring in the active film. Moreover, a visual exam of vegetable surfaces permitted to observe  
493 mould development only on untreated samples (**see Fig 4S, Supplementary Data**), although further  
494 selective experiments should be performed to evaluate the punctual effect on microorganisms growth.  
495 However, even though data recorded about the use of active packaging based on byproducts extract  
496 are still limited, they show that it can be a promising tool to reduce food deterioration and act against  
497 food waste.

498 Since these films could be proposed as edible material, to be consumed together with the food product,  
499 besides their action of preservation, they can improve the flavor and the aroma of products, conferring  
500 additional properties to promote the consumption thanks to their positive effects on the palatability.

501 Moreover, they can be considered a potential ingredient to enhance the nutritional value of a product  
502 since, for example, artichoke extract can be considered a source of antioxidant, and onion extract a  
503 source of prebiotics.

504

#### 505 **4 Conclusion**

506 This work was aimed at evaluate if a material that is generally discarded by agroindustrial plants  
507 could be valorized and become useful to be re-inserted in a productive cycle. The proposed  
508 application regarded the field of packaging, with a main objective of protecting food products from  
509 degradation.

510 The experiments performed on extraction, and on analytical characterization, demonstrated the  
511 presence of valuable bioactive substances in all material considered. In particular, artichoke and onion  
512 were found to be rich in polyphenols such as chlorogenic acid and quercitrin, and prebiotic  
513 oligosaccharides, respectively. Therefore, such material can be considered as a valuable source of  
514 molecules having promising features, able to improve oxidative stability of food products, as  
515 demonstrated with experiments on sunflower oil, and as evaluated by spectrophotometric assay. Their  
516 use has been proposed for active biobased packaging production, or may be used as nutraceutical  
517 ingredient or in functional foods, cosmetic, or herbal fields. Besides, residues after extraction, mainly  
518 constituted by cellulose and lignin, could be used further, as raw material for obtaining paper to be  
519 adopted as secondary packaging, accomplishing the zero-waste goal. Another prospect could be the  
520 production of nanocellulose, rather than metallic nanoparticles that can be obtained in presence of  
521 residues of polysaccharides and polyphenols (Noelia González-Ballesteros et al., 2019), and have  
522 been successfully used to realize effective packaging (Kumar, Shukla, Baul, Mitra, & Halder, 2018).

523

524

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## Figure captions

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741 Fig. 1. A- Chromatographic profile of A)- standard mixture (1-Glucose; 2-Fructose; 3-Sucrose; 4-  
742 Melezitose; 5-1-kestose; 6-nystose; 7-fructofuranosyl-nystose); B)- Chromatographic profile of a  
743 red onion byproducts sample.

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745 Fig. 2. Total polyphenolic content expressed as gallic acid equivalents (mg/ g of dry weight) evaluated  
746 in the byproducts extracts tested. Letters indicate significant difference in ANOVA.

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748 Fig. 3. Induction period (hours), measured by Oxitest, of A-(**upper graph**) sunflower oil; B-(**lower**  
749 **graph**) sunflower oil enriched with artichoke byproducts extract.

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751 Fig. 4. Active and edible films obtained: blank film (A), artichoke (B), red onion (C) and thistle (D)  
752 based films. **Lower section (E): example of an edible film applied on a beef hamburger surface.**

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757 **Table 1.** Amounts of carbohydrates and phenolic compounds recorded (g/100g) in vegetable  
758 byproducts\*

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<b>Carbohydrates</b>	<b>Onion</b>	<b>Artichoke</b>	<b>Thistle</b>
Glucose	27.0±1.5	2.90 ± 0.05	0.74 ± 0.04
Fructose	17.3±0.3	2.52 ± 0.04	0.59 ± 0.07
Sucrose	17.4±1.3	nd	0.72 ± 0.06
1-kestose	13.2±0.7	nd	nd
Nystose	2.7±0.1	nd	nd
Fructosylnystose	1.5±0.1	nd	nd
<b>Phenolic compounds</b>			
Chlorogenic acid	nd	4.30 ± 0.22	4.04 ± 0.08
Quercitrin	0.64 ± 0.03	0.74 ± 0.07	0.30 ± 0.01
Quercetin	0.27 ± 0.02	0.40 ± 0.01	0.17 ± 0.02

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\*Mean composition of three replicates ± SD (standard deviation).

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765 **Table 2.** Induction periods (minutes) recorded by Oxitest for the different byproducts examined

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<b>Sample</b>	<b>Oxidation induction period (minutes)*</b>
Sunflower oil	500 ± 13 <sup>d</sup>
Thistle Stems	616 ± 22 <sup>c</sup>
Artichoke Stems	731 ± 16 <sup>b</sup>
Thistle Leaves	735 ± 5 <sup>b</sup>
Onion Stems	1009 ± 14 <sup>a</sup>
Onion Leaves	1013 ± 8 <sup>a</sup>
Artichoke Leaves	1037 ± 49 <sup>a</sup>

767 \*Mean of two replicates ± SD (standard deviation).

768 Superscript letters indicate comparison groups in ANOVA (p<0.05)

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**Table 3.** Data from mechanical test of tensile strength of different films.

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<b>Material</b>	<b>MPa *</b>
Blank film	83.2±1.2 <sup>a</sup>
Film thistle	87.3± 1.4 <sup>b</sup>
Film artichoke	96.5± 0.8 <sup>c</sup>
Film onion	100.9± 0.7 <sup>d</sup>

779 \*Mean of two replicates ± SD (standard deviation).

780 Superscript letters indicate comparison groups in ANOVA (p<0.05)

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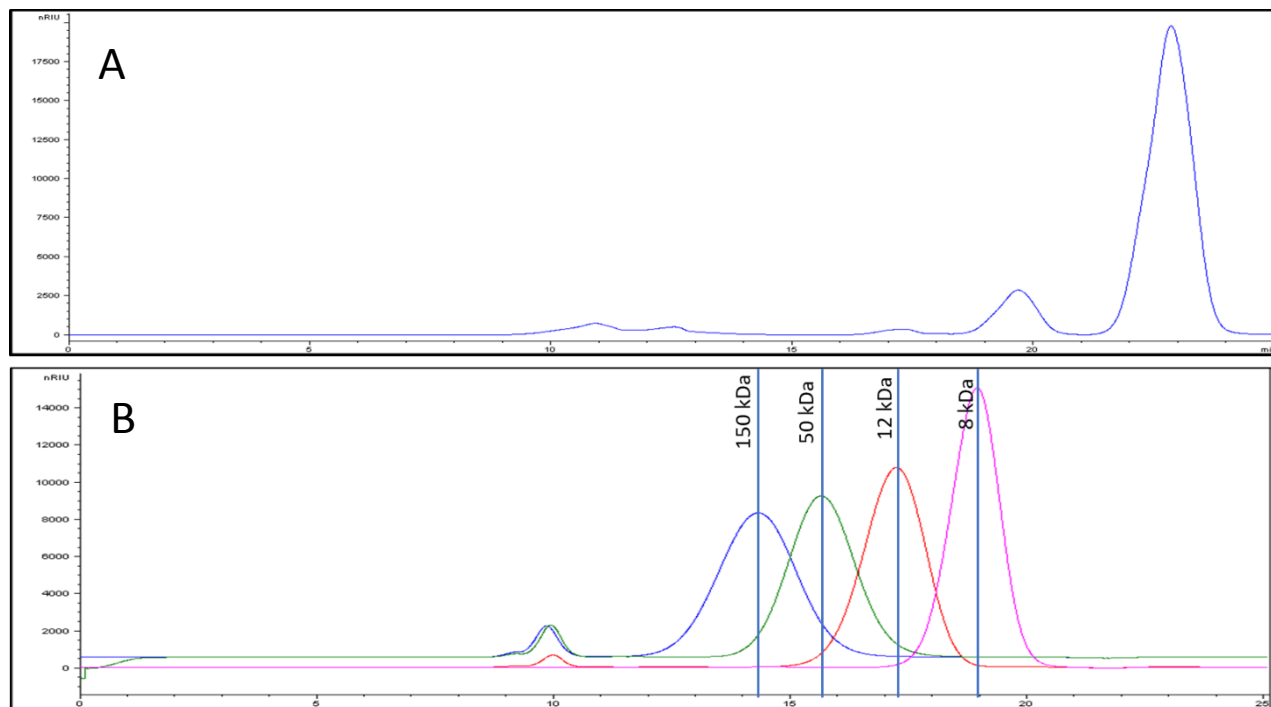
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**Supplementary materials**

**Fig. 1S.** Chromatographic profiles recorded for (A) aqueous extracts of thistle; (B) mixture of standard dextrans.



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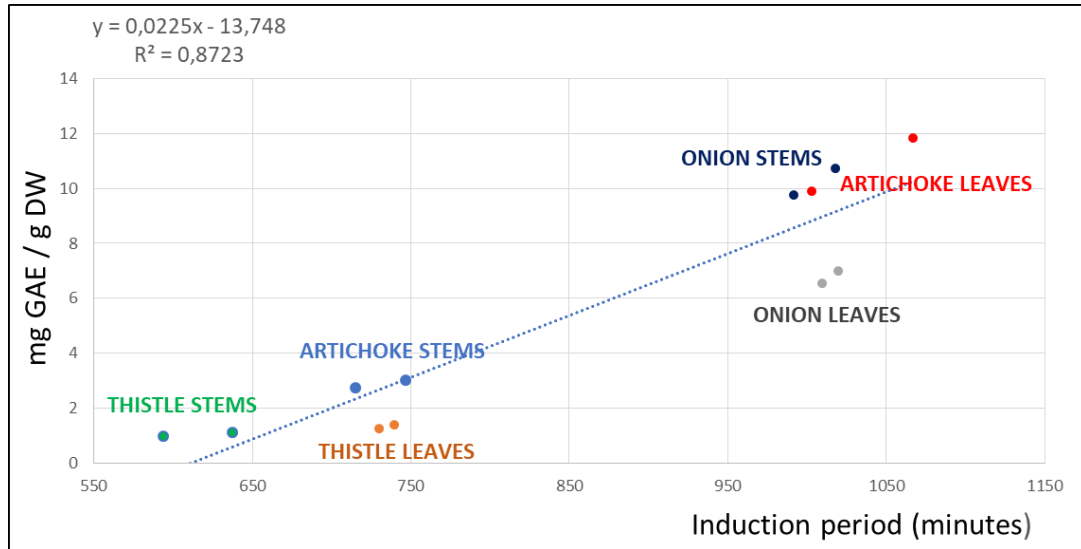
823 **Fig. 2S.** Curve showing correlation between polyphenols amount and IP values

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845 **Fig. 3S.** Pictures of meat samples: (A-T0) control at time 0; (A-T48h) control after 48 hours of storage  
846 at refrigerating conditions; (B-T0) sample treated with active packaging at time 0; (B-T48h) sample  
847 treated with active packaging after 48 hours of storage at refrigerating conditions

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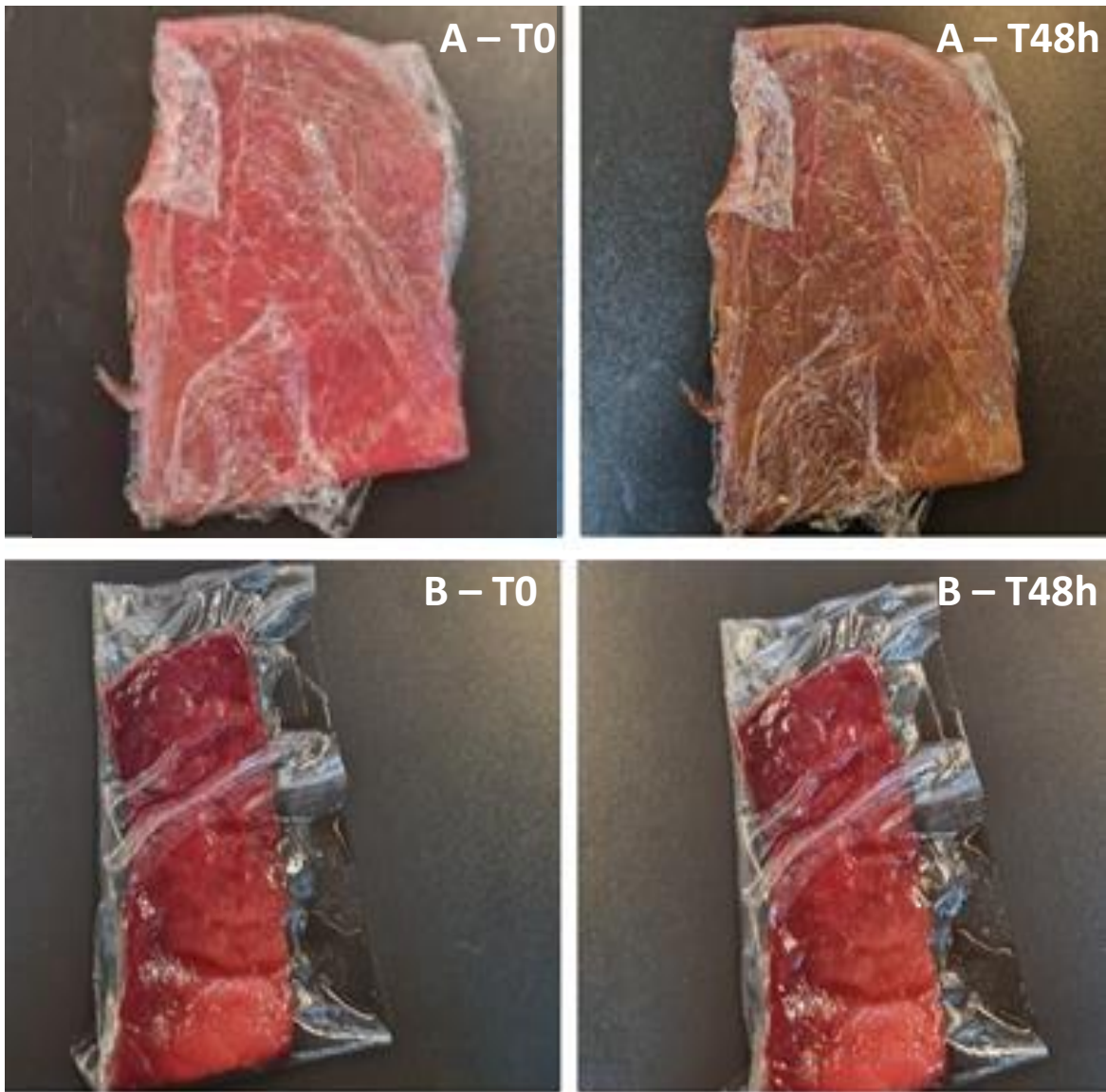
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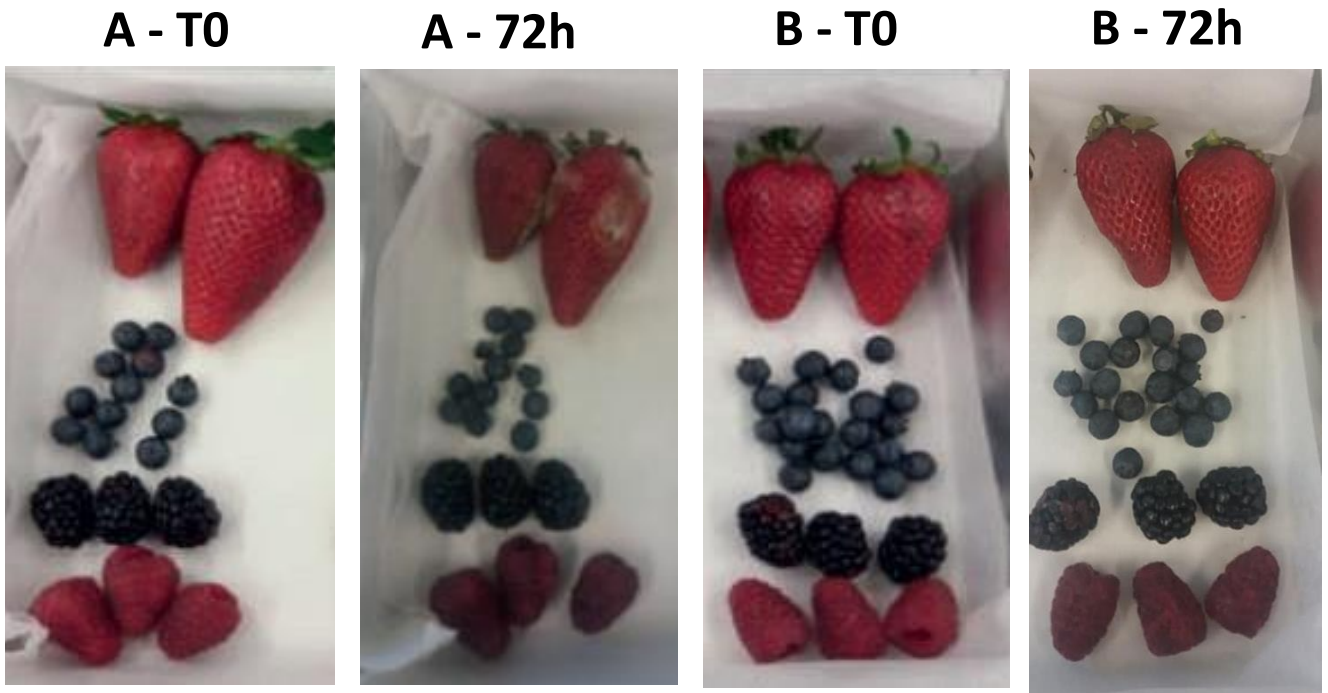
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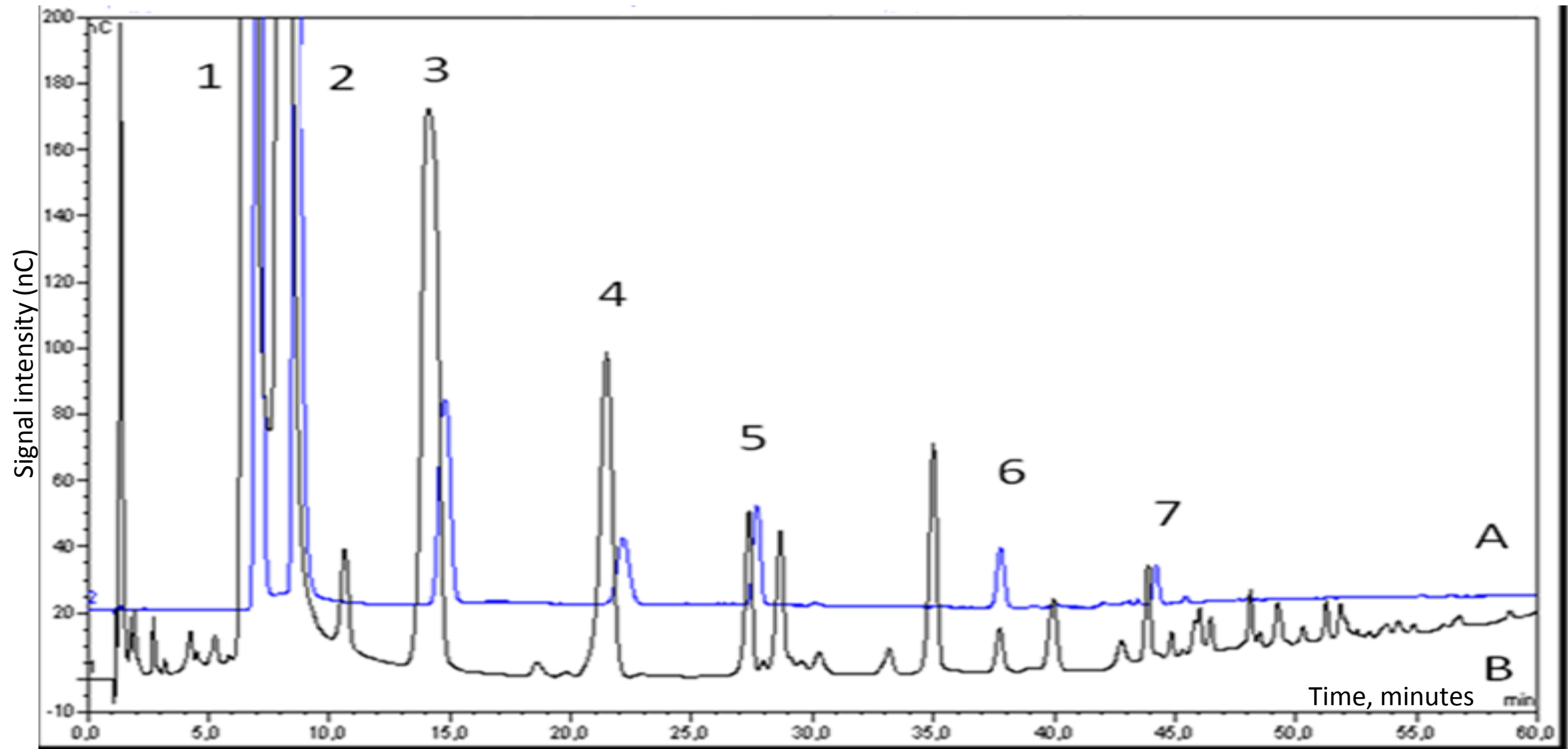
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883 **Fig. 4S.** Picture of (A-T0) control fruits at T0; (A-72h) control after 72 hours of storage at room  
884 temperature; (B-T0) treated samples at T0; (B-72h) treated samples after 72 hours of storage at room  
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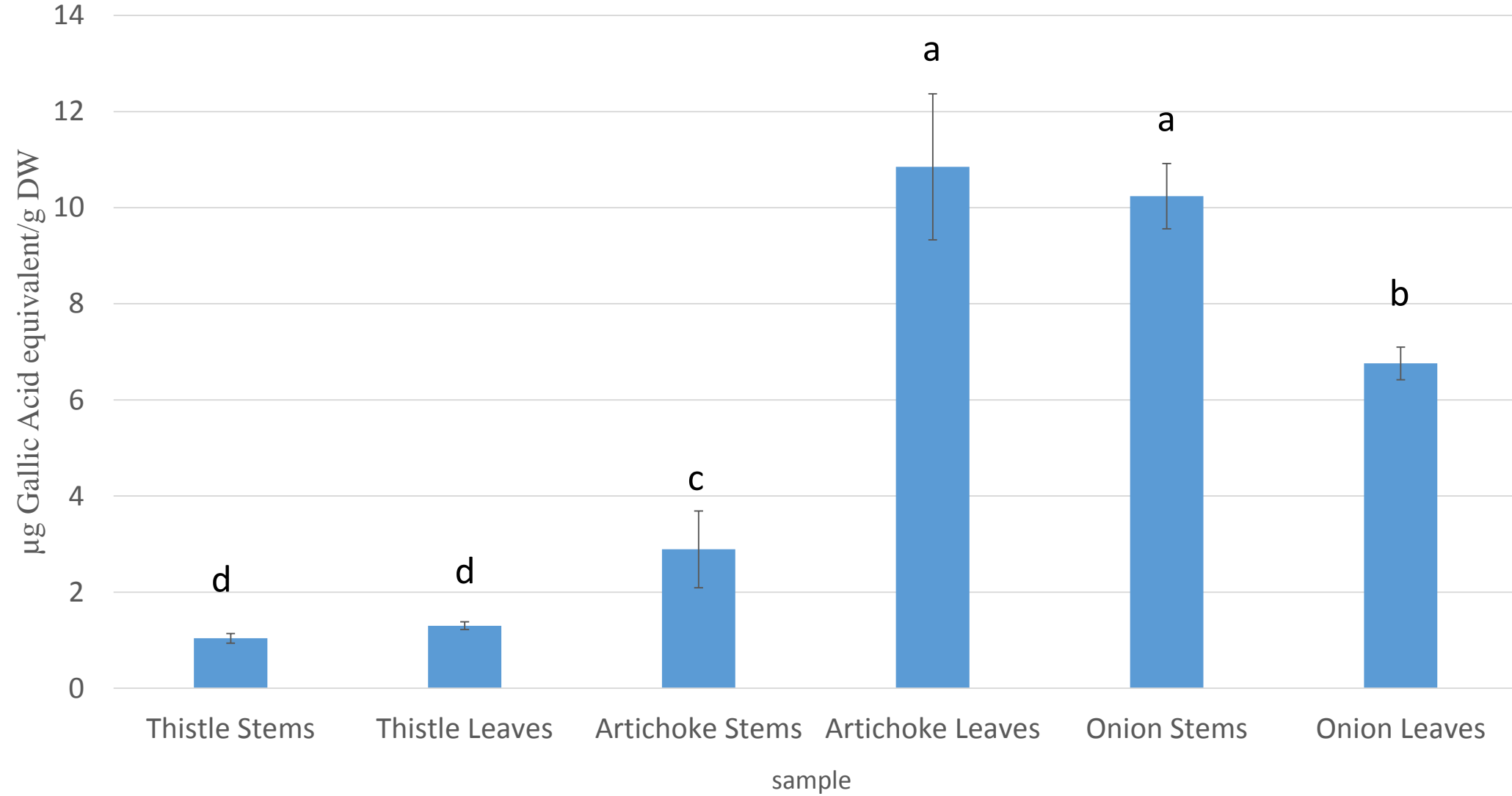
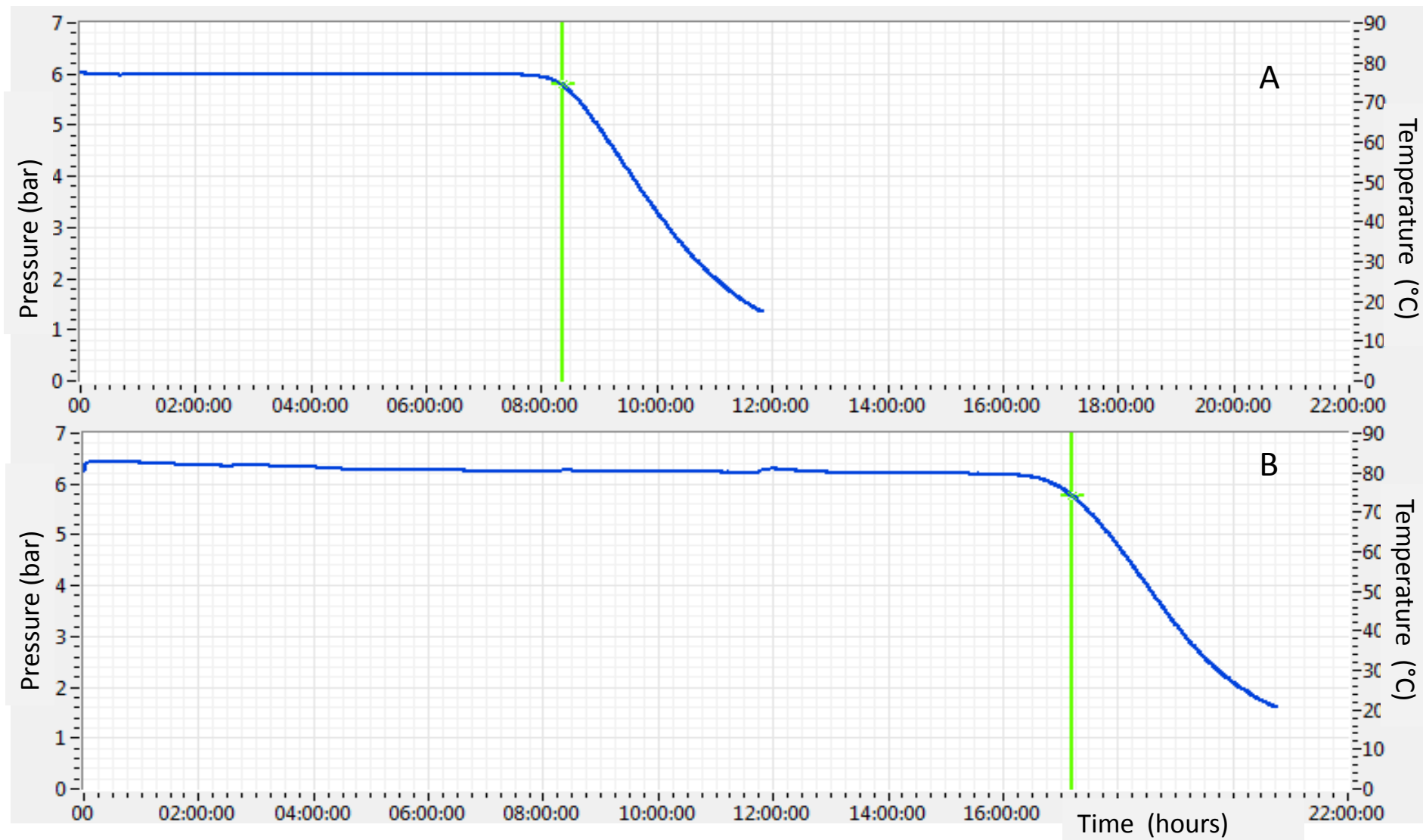
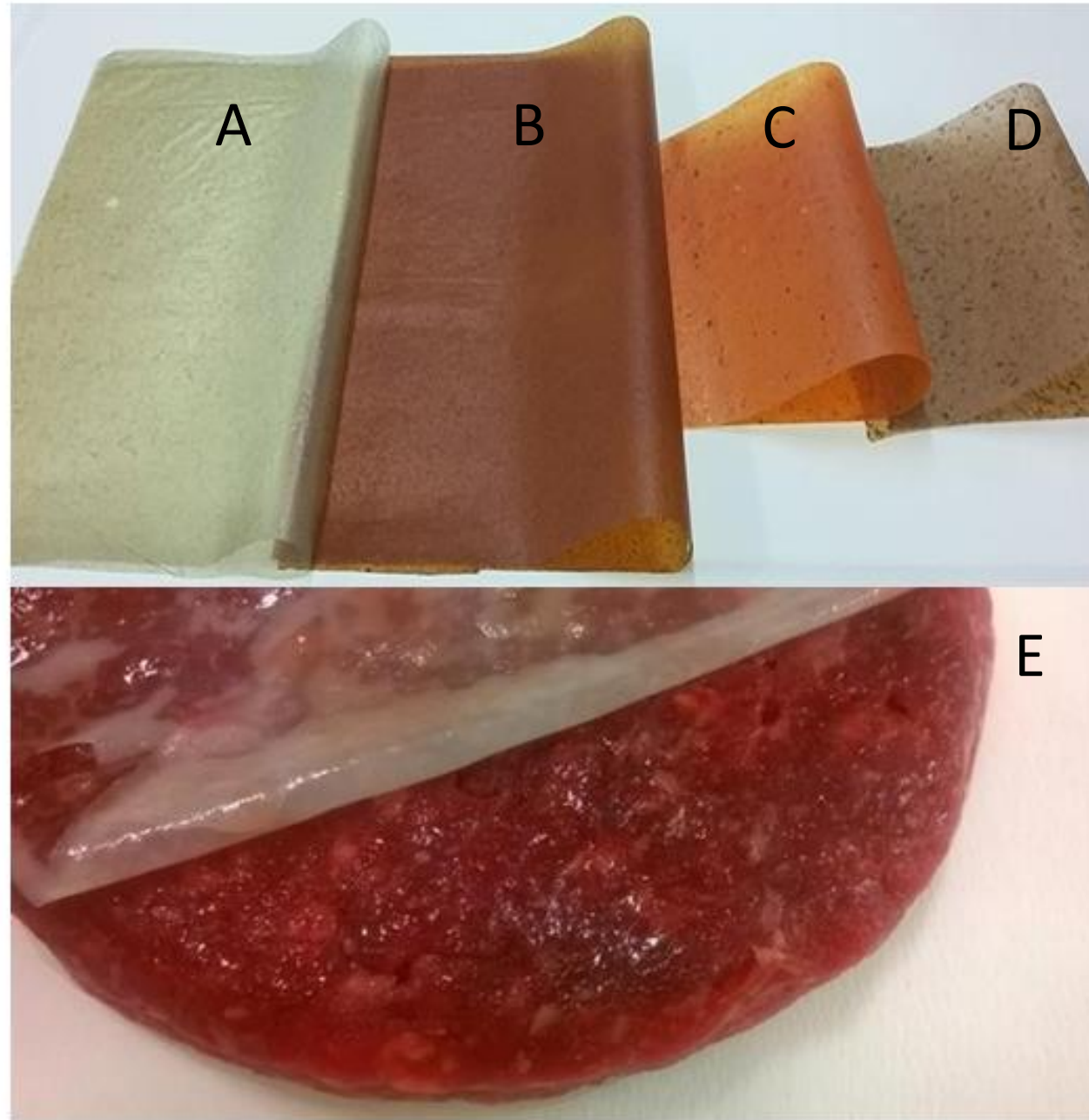


Figure 3





Manuscript title:

Valorization of agro-industrial byproducts: extraction and analytical characterization of valuable compounds for potential edible active packaging formulation

Authorship contribution:

Antonella Cavazza - Conception and design of the work; Data interpretation; Writing, Reviewing

Maria Grimaldi - Development of methodology; data analysis

Olimpia Pitirollo - Editing, Development of methodology; data collection

Paola Ornaghi - resources

Claudio Corradini - Critical revision of the article

All authors declare no conflict of interest

All authors approve the submission of this manuscript