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# Dam-break wave propagation in an alpine valley with HEC-RAS 2D: the experimental Cancano test case

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## Abstract

Flood modeling by the numerical solution of the 2D shallow water equations is ordinary practice. In this direction, HEC-RAS 2D has recently been released along with a suite of test cases showing the very good performance of the code in many practical situations. However, validation test cases aimed at demonstrating the capability of the software to deal with dam-break floods on very steep and irregular bathymetries are very limited. In this paper, HEC-RAS 2D is tested against the hydrographs measured in a historical physical model built in Froude similitude to analyze the consequences of the hypothetical collapse of the Cancano I dam (northern Italy) and the propagation of the resulting dam-break wave along the 15 km reach of the downstream alpine valley. The experimental hydrographs and the measured extent of the flooded areas are well reproduced by the numerical simulations. Moreover, the results obtained with HEC-RAS 2D are in very good agreement with those obtained using TELEMAC 2D, which confirms the suitability of the former software for dam-break flood studies in steep alpine valleys. The data of the test case are made available to the scientific community for validation purposes.

## Introduction

Despite the benefits provided by dams for different purposes (e.g., water supply, floods control, hydropower generation, and irrigation), floods generated by the potential collapse of these structures may have catastrophic

29 consequences on downstream areas. In addition, the flooding risk due to the potential dam-break of many of  
30 the older dams is growing because of downstream urban development, structural deterioration, or inadequate  
31 spillway capacity. On the other hand, the failures of important dams, such as Gleno (Italy), Malpasset (France),  
32 and Teton (Idaho, U.S.), induced several governmental agencies to enact specific prescriptions requiring dam  
33 owners to perform dam-break hazard analyses for risk assessment and mitigation (e.g. ICOLD, 1998). To this  
34 end, flooding hazard assessment provides the fundamental information to be coupled with proper vulnerability  
35 and exposure metrics for the potential targets (e.g., people, buildings, and vehicles; see Milanesi et al., 2015,  
36 2016, 2018; Milanesi and Pilotti, 2019).

37 Although the flow following a dam-break is inherently three-dimensional (3D), especially in the near field,  
38 fully 3D simulations are still computationally unfeasible in most practical situations. Accordingly, the widely  
39 accepted approach for dam-break hazard analysis is based on the classic Shallow Water Equations (SWE),  
40 since they combine reasonable computational effort and adequate description of the physical phenomenon (e.g.  
41 Molinaro and Natale, 1994; ICOLD, 1998; Chaudhry, 2008). The interest of this particular field of application  
42 of SWE is demonstrated by the wide literature dealing with the peculiar numerical aspects of dam-break flow  
43 simulation, like wet/dry boundaries, transcritical flow, and shocks (e.g. Bermudez and Vazquez, 1994; Bates  
44 and Hervouet, 1999; Defina, 2000; Toro, 2001; Bradford and Sanders, 2002; Caleffi et al. 2003; Toro and  
45 Garcia Navarro, 2007; Aureli et al., 2008; Hou et al., 2013; Touma and Kanbar, 2018) and with the definition  
46 of suitable test cases for validation purposes (e.g. Fraccarollo and Toro, 1995; Soares-Frazão and Zech, 2007;  
47 Aureli et al., 2008; Pilotti et al., 2011; Martínez-Aranda et al., 2018). Many research activities on these topics  
48 have also been carried out in the framework of the European projects *CADAM* and *IMPACT* (e.g. Soares Frazão  
49 et al., 2000; 2003; Morris, 2000; 2005).

50 The application of 2D SWE models is currently made easier by the increasing availability of specific user-  
51 oriented computational codes. A comprehensive review of many 2D solvers capable of modeling flood  
52 inundations has been recently performed by Teng et al. (2017), and the performance of some of the most used  
53 2D software are compared by Néelz and Pender (2013). HEC-RAS 2D (Brunner, 2016) and TELEMAC 2D  
54 (TELEMAC Modelling System, 2011) are freely distributed software used in this paper due to their wide  
55 diffusion in research and practice for river system modeling. While the use of TELEMAC 2D in dam-break  
56 analyses in the presence of real topography is well documented in the literature (e.g. Hervouet and Petitjean,

57 1999; TELEMAC Modelling System, 2011; Paquier and Goutal, 2016), the use of the recently released HEC-  
58 RAS 2D for these applications is still rare and is documented for only few dam-break test cases (Brunner,  
59 2018a; 2018b), including the well-known Malpasset dam-break event, already widely employed for the  
60 validation of SWE numerical models (e.g., Valiani et al., 2002; Erpicum et al., 2004; Pianese and Barbiero,  
61 2004; Savant et al., 2011; Robb and Vasquez, 2015). Even if the use of HEC-RAS 2D is still sporadic (e.g.,  
62 Quirogaa et al., 2016; Afshari et al., 2018; D’Oria et al., 2019; Shustikova et al., 2019), this software is very  
63 likely going to become a standard in free surface flow modeling, as for its well-known 1D counterpart.

64 In the presence of real bathymetries characterized by steep slopes, the shallow water assumptions of small bed  
65 slopes and negligible vertical accelerations (e.g. Cunge et al. 1980; Chaudhry, 2008) can be violated in several  
66 parts of the computational domain. The effectiveness of SWE under these conditions is still an interesting  
67 issue, especially in the context of dam-break analyses, since reservoirs are often placed in mountain  
68 environments (e.g. Pilotti et al., 2010, 2011).

69 The validation of numerical codes is typically based on analytical solutions, laboratory experiments, and  
70 available real-field data concerning historical events. Analytical solutions, usually characterized by simple  
71 geometries and mostly by frictionless bottom (e.g. Ritter, 1892; Stoker, 1948; Su and Barnes, 1970; Thacker,  
72 1981; MacDonald et al., 1997; Delestre et al., 2013), are valuable tools to verify the performance of the  
73 numerical schemes in dealing with specific issues, but do not provide any information about the validity of the  
74 underlying mathematical model in describing the actual physical phenomenon. Such information can be  
75 obtained from laboratory experiments, even if possible scale effects must be carefully considered in  
76 transferring the results from the model to the real scale. Among the very few physical models based on real  
77 topographies, the best known is the one built in 1964 by Electricité de France in order to reproduce the  
78 Malpasset dam-break event (e.g., Goutal, 1999; Hervouet and Petitjean, 1999; Valiani et al., 2002). In the  
79 model maximum water depths were measured at 14 gauges. Another test case widely used in the literature is  
80 the physical model built by ENEL–HYDRO to study the propagation of a flood wave in a stretch of the Toce  
81 River (northern Italy). During the experimental investigation, water depth hydrographs were measured at 33  
82 selected points (e.g. Soares Frazão and Testa, 1999; Brufau et al., 2002; Caleffi et al., 2003). Finally, historical  
83 real-field test cases include all the possible complexity aspects that can challenge physical and numerical  
84 models, but the available data are often incomplete and affected by higher uncertainties. However, in the

85 presence of an adequate level of documented detail, they can be used to assess the capability of the models to  
86 reproduce the most important aspects needed in flood hazard analysis (i.e., maximum water depths and  
87 velocities, flood extent, arrival time of the flood wave at specific locations). Examples of case studies analyzed  
88 in the literature are the well-known catastrophic dam-break events of Lawn Lake (Jarrett and Costa, 1986),  
89 Malpasset (Goutal, 1999), Tous (Alcrudo and Mulet, 2007), St. Francis (Begnudelli and Sanders, 2007), Gleno  
90 (Pilotti et al. 2011), and Sella Zerbino (Petaccia et al., 2016).

91 In a seminal work published in the Italian journal “L’Energia Elettrica”, De Marchi (1945) coupled  
92 experimental (on a physical model) and simplified numerical analyses to study the propagation of the flood  
93 wave caused by the hypothetical collapse of the Cancano I dam along the Adda River (northern Italy). Physical  
94 modeling was used to overcome the difficulties related to the mathematical modeling in the upper portion of  
95 the valley characterized by very steep and irregular topography, and the experimental results were used as  
96 upstream boundary condition for the mathematical model in the downstream part of the valley. More recently,  
97 the same strategy was used, for instance, by Chaudhry et al. (1983) to analyze the generation and propagation  
98 of waves created by a hypothetical landslide in a reservoir.

99 The Cancano test case is very interesting for the validation of numerical models of dam-break flows because  
100 experimental discharge hydrographs are available at three different cross-sections of the model, namely  
101 immediately downstream of the dam, at the entrance to the floodplain (central portion of the physical model),  
102 and at the end of the model. This kind of data, not usually available in similar test cases, can be used as  
103 upstream boundary conditions or as benchmarks for the validation of mathematical models. Moreover, the  
104 upper stretch of the physical model is characterized by very steep slopes, both in longitudinal and transverse  
105 directions of the valley. Conversely, the downstream stretch has milder slopes, which are compatible with the  
106 classic shallow water hypothesis of small bottom slopes.

107 A preliminary reconstruction of the Cancano test case was presented by the authors in a previous paper (Pilotti  
108 et al., 2014), focused on the comparison between the experimental data and the numerical results obtained with  
109 a 1D SWE numerical model and a 2D SWE commercial code. The current paper upgrades the previous research  
110 by providing to the scientific community a critically revised complete data set of the Cancano dam-break test  
111 case, including a careful reconstruction of the bathymetry of the physical model. In addition, this paper  
112 provides first detailed analysis of the capability of HEC-RAS 2D to predict dam-break flow propagations in

113 mountain areas characterized by very steep and irregular bathymetries. Although the validation report of HEC-  
114 RAS 2D presents the application of the software to the Malpasset dam-break test case (Brunner, 2018b), where  
115 only few data on the arrival time of the flood wave and on the maximum water depths at selected locations are  
116 available, the Cancano test case allows to widen the analysis due to the unique availability of experimental  
117 discharge hydrographs at three different cross-sections. Finally, with respect to the previously published  
118 research, this paper makes a comparative use of freeware flood propagation models (HEC-RAS 2D and  
119 TELEMAC 2D) for a more comprehensive analysis of the dam-break test case.

120

### 121 **The Cancano test case**

122 The reinforced concrete arched gravity Cancano I dam (Fig. 1a) was built in 1924 in the upper reach of the  
123 Adda valley (Valtellina, northern Italy) for hydropower purposes. The dam had a maximum height of 60 m  
124 (with the crest located at 1859.5 m a.s.l.), a length at the top of approximately 265 m, and a storage of  
125 approximately  $24 \cdot 10^6$  m<sup>3</sup>. In 1953, the new higher Cancano II dam (yellow line in Fig. 1b) was built just  
126 downstream of the Cancano I dam (blue line in Fig. 1b) in order to increase the reservoir storage capacity to  
127  $123 \cdot 10^6$  m<sup>3</sup>. Accordingly, the Cancano I dam is now totally submerged in the reservoir created by the Cancano  
128 II dam.

129 In 1943, after the attacks to the Möhne and Edersee dams during World War II, which caused catastrophic floodings  
130 in Germany, also the Cancano I dam was regarded as a potential military target. Therefore, De Marchi was asked to  
131 study the effects of a possible collapse of this dam. The analysis was limited to the upper portion of the valley, from  
132 the dam up to the village of Tirano (Fig. 2a), and the results were summarized in a paper (De Marchi, 1945; see  
133 supplementary file S2) that is an early and exceptional example of coupling of experimental and numerical techniques  
134 to investigate dam-break flood hazard in mountain areas.

135 The 8 km Adda River reach from the Cancano I dam to Section 23 (Fig. 2a) is very irregular and steep (the mean bed  
136 slope is 7.5%), with long stretches characterized by bed slopes greater than 20%. The valley is more regular and less  
137 steep from Section 23 to Cepina, where the mean bed slope is approximately 1% (Fig. 2b). The virtual flight above the  
138 valley provided in the supplementary materials of this paper (File S3) gives an idea of the characteristics of this stretch.  
139 Due to these peculiar geometric features and the computational resources available in 1945, De Marchi studied the  
140 upper part of the valley (from the dam to Cepina) with a 1:500 scaled physical model in Froude similitude (Fig. 3),

141 and the downstream portion of the valley (from Cepina to Tirano; Fig. 2a) with a simplified numerical model. He  
142 considered two different scenarios of total and partial collapse (reproduced by the sudden removal of a plate  
143 representing the dam) starting from a dry bed condition.

144 The bathymetry of the valley, including the portion of the reservoir close to the dam, was reproduced in the physical  
145 model through a sequence of cross-sections connected by a cement surface (Fig. 3b). The volume of the reservoir was  
146 reproduced exactly. The cross-sections were probably obtained by available maps and field surveys, and presumably  
147 were not characterized by a high level of geometric detail because the lateral steepness of the valley between the dam  
148 and Section 23 did not allow a good cartographic representation in the historical maps. Unfortunately, no information  
149 is remained about these cross-sections and only the location of the cross-sections between Section 23 and Cepina,  
150 spaced 300 m apart on average (Fig. 4), was shown in a figure of the original paper. Nowadays, an accurate  
151 representation of the valley topography can be obtained by using a digital terrain model (DTM) with a 5 m resolution.  
152 The discharge hydrographs for both the partial and total collapse scenarios were measured in the physical model at the  
153 three cross-sections shown with red dots in Fig. 3a, namely just downstream of the dam, at Section 23, and at Cepina.  
154 To this end, a slot was alternately opened on the bottom of the model at these cross-sections, and the falling water was  
155 collected in a calibrated tank. The water level rise in this tank was carefully measured by using a floating recording  
156 device, visible on the left of Fig. 3b. In this regard, De Marchi (1945) found that a vertical excursion of the floating  
157 device of  $0.002 \text{ m s}^{-1}$  corresponded to a discharge of  $5600 \text{ m}^3 \text{ s}^{-1}$ . Then, graphic operations on the recorded filling time  
158 series provided the discharge hydrographs (Fig. 5). Several runs were performed in the same test conditions to verify  
159 the repeatability of the physical process and the consistency of the measuring procedure. The final hydrographs of each  
160 scenario were obtained by averaging the corresponding set of measures. Table 1 summarizes the peak discharges  $Q_p$   
161 ( $\text{m}^3 \text{ s}^{-1}$ ) and the arrival times  $t_a$  (s) of the dam-break waves measured at the three control sections for both the scenarios  
162 of total and partial collapse. It is worth noting that, as a term of comparison, the peak discharge of the 100 year return  
163 period natural flood at the section of Cepina is in the order of  $500 \text{ m}^3 \text{ s}^{-1}$ .

164 De Marchi used the hydrographs measured at Section 23 and at Cepina to calibrate a simplified numerical model based  
165 on the kinematic wave equation, which was solved using a classic level pool routing procedure by a finite difference  
166 method. The discharge hydrograph measured at Cepina was propagated along the downstream 32 km river reach as  
167 far as Tirano (Fig. 2a) using this numerical model. This stretch of the valley was described by means of 58 cross-  
168 sections.

169 All the relevant data recovered from the original report by De Marchi (i.e., measured hydrographs, locations of the  
170 cross sections, and flooded areas), along with the bathymetry reconstructed for the present study, are provided as  
171 supplementary material of this paper.

172 As mentioned in the previous section, the information regarding the original bathymetry of the physical model  
173 is not available. On the other hand, a faithful reproduction of the valley topography between the dam and  
174 Cepina is provided by the current DTM, from which a DTM with 60 m grid size (in the following mentioned  
175 as DTM\_60) was easily computed. This spatial resolution was selected because it is deemed comparable to the  
176 one of the De Marchi's cross-sections, which were described by a limited number of points, as shown in the  
177 original paper (see Fig. 14 at page 336 of De Marchi's paper in the supplementary material).

178 Actually, the only information available regarding the bathymetry of the physical model is the position of the  
179 cross-sections used to reproduce the valley between Section 23 and Cepina, which is shown in the original  
180 paper (Fig. 4). Therefore, a bathymetry closely reproducing the physical model can be reconstructed only for  
181 this stretch of the valley. To this purpose, cross-sections matching the position of those shown in the original  
182 paper were extracted from DTM\_60 and were linearly interpolated in order to reproduce the connecting  
183 surface, mimicking the construction process of the physical model. In the following, the related DTM is named  
184 as DTM\_Sect23\_Cepina. On the other hand, the location of the cross-sections used to build the physical model  
185 between the dam and Section 23 is unknown. Hence, it is not certain that a bathymetry based on DTM\_60  
186 reproduces the topography originally used by De Marchi, which was probably extracted from 1910-1930  
187 historical maps, very poor of details in the steepest part of the valley. Accordingly, on the basis of these  
188 considerations, we believe that a reliable reconstruction of the physical model topography is possible only in  
189 the stretch between Section 23 and Cepina .

190 A preliminary analysis was done to assess the potential influence of scale effects on the measures performed  
191 on the experimental model. Chanson (2004) states that in river models built according to the Froude similitude,  
192 viscous effects are negligible if  $R > 5000$ , where  $R$  is the Reynolds number. A possibly sounder condition commonly  
193 accepted in literature as a practical criterion for fully rough turbulent flow in open channels is based on the friction  
194 Reynolds number  $R^* > 70$  (e.g., Yalin, 1977) or  $R^* > 100$  (e.g., Henderson, 1966), where  $R^* = \varepsilon u^*/\nu$ ,  $u^*$  is the shear  
195 velocity,  $\varepsilon$  is the scale length of the roughness, and  $\nu$  is the kinematic viscosity. In order to check the fulfillment of  
196 these requirements in the physical model, the following procedure was applied. Using the method proposed by Pilotti

197 (2016), the geometric properties and the 1D normal depth stage-discharge relationships were computed for  
198 approximately 600 cross-sections extracted from DTM\_Sect23\_Cepina. On the basis of these relations,  $R$  and  $R^*$   
199 were computed for each selected cross-section for all the discharge values in the range  $0 \div 18500 \text{ m}^3 \text{ s}^{-1}$ . In particular,  
200 considering the characteristic values of  $\varepsilon$  for the surface roughness of cement and concrete canals (e.g., Chow, 1959;  
201 Henderson, 1966),  $R^*$  was computed assuming  $\varepsilon = 0.0025 \text{ m}$ , that is probably representative of the size of sand grains  
202 used to line the model surface and make it artificially rough, as described in De Marchi (1945). Then, the average  
203 values of both  $R$  and  $R^*$  over the entire set of cross-sections were computed at the model scale for each discharge at  
204 the prototype scale (Fig. 6).

205 Figure 6 shows that the average Reynolds number is usually greater than 5000 in the physical model, whereas  $R^* \approx$   
206 100 only for  $Q > 10000 \text{ m}^3 \text{ s}^{-1}$  on average. Since the peak discharges between Section 23 and Cepina range from 10200  
207 to  $18300 \text{ m}^3 \text{ s}^{-1}$  for the total collapse scenario (Tab. 1) and from 4300 to  $5700 \text{ m}^3 \text{ s}^{-1}$  for the partial collapse scenario, it  
208 can be concluded that scale effects were probably significant in the partial dam-break scenario. For this reason, the  
209 presentation of the test case is only limited to the total collapse scenario between Section 23 and Cepina.

210 The propagation of the dam-break wave was modeled by HEC-RAS 2D, and a sensitivity analysis on the mesh  
211 size and on the roughness coefficient was performed. As detailed in the following section, the measured  
212 hydrograph at Section 23 was used as upstream boundary condition for the numerical model. The computed  
213 hydrograph at Cepina and the predicted flooded areas were compared with the experimental data by De Marchi  
214 (1945) and with the results of numerical simulations performed with TELEMAC 2D.

215 Although the bathymetry of the physical model between the dam and Section 23 is unknown, an elevation  
216 model of this portion of the valley (DTM\_dam\_Sect23) was constructed using DTM\_60 in order to compare  
217 the capabilities of HEC-RAS 2D and TELEMAC 2D to deal with a dam-break over a crooked and steep  
218 domain. In this case, the discharge hydrograph measured at the dam was imposed at the upstream boundary of  
219 the computational domain. The numerical hydrographs computed at Section 23 were also compared with the  
220 experimental data.

221

## 222 **HEC-RAS 2D model setup**

223 The computational mesh for HEC-RAS 2D was built on the surface of the topography provided by  
224 DTM\_Sect23\_Cepina, creating an unstructured mesh composed of cells with up to eight sides, that far from

225 boundaries and internal singularities, was formed by squared elements in order to improve computational  
226 efficiency. Moreover, the mesh was built using the so-called “high resolution subgrid model” (Casulli and  
227 Stelling, 2011), which allows coarse computational meshes to be used without losing the detail of the  
228 underlying terrain (Brunner, 2016).

229 The physical model had a concrete lining whose Manning coefficient  $n$  reasonably lay in the range  $0.014\div 0.018$   
230  $\text{s m}^{-1/3}$  at the model scale (Chow, 1959), that corresponds to  $0.04\div 0.055 \text{ s m}^{-1/3}$  at the prototype scale. It can be  
231 noted that Testa et al. (2007) suggested  $n = 0.0162 \text{ s m}^{-1/3}$  for a similar laboratory concrete lined physical model  
232 at a scale of 1:100 of a reach of the Toce River valley (western Alps, Italy). Since the concrete lining of the  
233 physical model was uniform, the Manning coefficient was assumed to be homogeneous along the entire valley  
234 stretch, even if in the real valley a spatial variation depending on topography and on land use would be  
235 expected. In order to assess the effect of bottom roughness on the results, a sensitivity analysis on this  
236 parameter was performed considering three values: 0.04, 0.045, and  $0.05 \text{ s m}^{-1/3}$ . These values are coherent  
237 with the parameter  $n = 0.05 \text{ s m}^{-1/3}$  used by De Marchi (1945) for the numerical propagation of the dam-break  
238 wave in the valley stretch from Cepina to Tirano.

239 A discharge hydrograph can be used as inflow boundary condition in HEC-RAS 2D . An energy slope value,  
240 often assumed equal to the bed slope, is required to determine the normal depth for each discharge value. Based  
241 on the normal depth and on the conveyance of the inflow cross-section, the flow is distributed to the cells along  
242 the boundary. The experimental hydrograph measured at Section 23 for the total collapse scenario, coupled  
243 with a slope of 0.03 m/m, was imposed at the upstream inflow boundary, while a normal depth outflow  
244 boundary condition was set at Cepina. The numerical results presented in a following section, concerning the  
245 upper reach of the valley, show that the flow is mainly supercritical at Section 23, which confirms the suitability  
246 of the normal depth condition at the inflow section. In the numerical simulations, a dry initial condition was  
247 assumed in the overall domain, as in the physical model.

248 HEC-RAS 2D solves the 2D SWE (in the complete form or with the diffusion wave approximation) with an  
249 implicit finite volume algorithm. In this study, the full 2D SWE were adopted and a variable time step was  
250 preferred to a fixed-time step to advance the numerical solution in time. Moreover, it was verified that the  
251 model results do not change significantly when turbulence effects are included, as can be done in HEC-RAS

252 2D by means of a classic second-order viscous term in which an eddy viscosity coefficient appears (Brunner,  
253 2016).

254

## 255 **Results**

256 In this section, the numerical predictions of HEC-RAS 2D are compared with the experimental data of the  
257 Cancano test case to assess the capabilities of HEC-RAS 2D to deal with the propagation of a dam-break wave  
258 along a mountain valley.

259 Using DTM\_Sect23\_Cepina, a sensitivity analysis on the size of the computational grid was initially  
260 performed using meshes with average cell size  $\Delta = 60$  m, 30 m, 20 m, and 15 m and a constant value of the  
261 roughness coefficient throughout the computational domain ( $n = 0.045$  s m<sup>-1/3</sup>) as discussed in the following.

262 Figure 7a shows the comparison between the experimental hydrograph at Cepina and the ones computed with  
263 the different meshes. The wave arrival time and the peak discharge are almost insensitive to the average mesh  
264 size when  $\Delta < 30$  m ( $t_a \cong 1160$  s and  $9910$  m<sup>3</sup> s<sup>-1</sup>  $< Q_p < 10110$  m<sup>3</sup> s<sup>-1</sup>), whereas  $\Delta = 60$  m provides the fastest  
265 wave ( $t_a = 1100$  s) and the highest peak discharge ( $Q_p = 10657$  m<sup>3</sup> s<sup>-1</sup>). In general, the numerical discharge  
266 hydrographs obtained with  $\Delta < 30$  m are in good agreement with the experimental one and the simulations  
267 performed with  $\Delta = 20$  m and  $\Delta = 15$  m predict almost identical peak discharges at Cepina. In general, the  
268 shape of the numerical hydrographs is not significantly affected by the grid spacing. Finally, the extent of the  
269 flooded domain does not show relevant variations due to the different average cell spacing, that is largely a  
270 consequence of the application of the meshing algorithm proposed by Casulli and Stelling (2011). On the other  
271 hand, the computational time decreases as  $\Delta$  increases (Fig. 7b), and the time  $T$  (s) required to complete the  
272 simulation with  $\Delta = 20$  m is about 2.5 times lower than with  $\Delta = 15$  m. Accordingly, the 20 m grid has been  
273 selected as a reference for the following simulations.

274 Using the reference mesh  $\Delta = 20$ , the sensitivity analysis on the roughness coefficient (in the range from 0.04  
275 to 0.05 s m<sup>-1/3</sup>) shows that the lower is the roughness coefficient, the higher is the peak discharge and the faster  
276 is the flood wave propagation, although the differences are rather small (Fig. 8). In general, the shape of the  
277 hydrographs is not significantly affected by the roughness coefficient and their rising limb is slightly steeper  
278 than the experimental one. The roughness coefficient has also limited effects on the flood wave arrival time,  
279 since the difference between the arrival times predicted with  $n = 0.04$  s m<sup>-1/3</sup> and  $n = 0.05$  s m<sup>-1/3</sup> is 80 s, that

280 corresponds to 11% of the measured wave travel time from Section 23 to Cepina. Focusing on the peak  
281 discharge, the maximum difference between the simulations with different roughness is about  $245 \text{ m}^3 \text{ s}^{-1}$  (i.e.,  
282 2.5% of the measured value). Finally, it has been verified that the roughness coefficient does not significantly  
283 affect the extent of the flooded area.

284

285

286

287 The match between the observed and numerical hydrographs computed using different values of the Manning's  
288 coefficient can be quantified by global performance indexes, as the Nash-Sutcliffe efficiency index (*NSE*; Nash  
289 and Sutcliffe, 1970) and the root mean square error (*RMSE*). Table 2 shows that the simulation performed with  
290  $n = 0.05 \text{ s m}^{-1/3}$  provides the best overall performance. On the other hand, if the analysis is focused on the peak  
291 discharge and on the dam-break wave arrival time, the best agreement is obtained with  $n = 0.045 \text{ s m}^{-1/3}$ . This  
292 simulation perfectly matches the arrival time and slightly underestimates (difference of  $190 \text{ m}^3 \text{ s}^{-1}$ ) the peak  
293 discharge at Cepina. Accordingly, in the following the coefficient  $n = 0.045 \text{ s m}^{-1/3}$  is used as reference value.  
294 Finally, the flood inundation map reported in Fig. 9 compares experimental and numerical flood extents for  
295 the valley stretch from Section 23 to Cepina. The flooded area computed with HEC-RAS 2D ( $\Delta = 20 \text{ m}$  and  $n$   
296  $= 0.045 \text{ s m}^{-1/3}$ ) is in very good agreement with the observed one as confirmed also by the dimensionless  
297 performance index  $P$  (Horritt and Bates, 2002):

$$298 \quad P = \frac{A_{sim} \cap A_{obs}}{A_{sim} \cup A_{obs}}$$

299 which theoretically ranges between 0 (no overlap) and 1 (complete overlap), and is equal to 0.75.

300

### 301 **Comparison with the results of TELEMAC 2D and discussion**

302 The capability of HEC-RAS 2D to cope with the propagation of dam-break waves is compared in this section  
303 with the freeware solver TELEMAC 2D which is widely used in engineering practice for dam-break modeling  
304 and was already tested with the Malpasset dam-break accident (Hervouet and Petitjean, 1999). TELEMAC 2D  
305 is commonly used in dam-break studies, even in the presence of sediment transport (e.g., El Kadi Abderrezzak  
306 et al., 2014), and in studies concerning the sudden waves generation and propagation of rapidly varied unsteady  
307 flows (Alho and Aaltonen, 2008).

308 The computational mesh used in the TELEMAC 2D model was built by interpolating the underlying  
309 DTM\_Sect23\_Cepina on unstructured triangular elements with sizes varying from 10 m (along the channel)  
310 up to 100 m (in the areas more distant from the stream) through a classic inverse distance method. A  
311 computational efficiency analysis showed that reducing the mesh size up to a factor 4 increases significantly  
312 the computational time without influencing the peak discharge and the flood wave arrival time at Cepina. Only  
313 the results obtained using  $n = 0.045 \text{ s m}^{-1/3}$  are compared in the following. The same upstream boundary  
314 condition used in HEC-RAS 2D was prescribed in TELEMAC 2D. A far-field condition was imposed along  
315 the downstream end of the computational domain as customary done in similar situations (e.g., Wang et al.,  
316 2011). A finite element approximation coupled with the conservative N-scheme (Roe, 1987; Hervouet et al.,  
317 2011), suitable for initially dry domains, was adopted. The parameters set suggested in the TELEMAC 2D  
318 validation manual (Mattic, 2018) for the Malpasset dam-break test case was adopted. Finally, a fixed time step  
319 equal to 0.1 s was chosen to guarantee a good compromise between numerical stability and computational  
320 efficiency.

321 The discharge hydrograph predicted by TELEMAC 2D at Cepina closely matches both the numerical  
322 hydrograph obtained with HEC-RAS 2D and the experimental one in terms of shape, peak discharge, and  
323 flood wave arrival time (see Tab. 3 and Fig. 10). The comparison between numerical results and experimental  
324 data shows that TELEMAC 2D overestimates the arrival time of the flood wave of approximately 20 s and  
325 underestimates the peak discharge of about  $525 \text{ m}^3 \text{ s}^{-1}$ . HEC-RAS 2D better predicts the arrival time and the  
326 peak discharge; on the other hand, TELEMAC 2D better matches the recession limb (Fig. 10) of the  
327 hydrograph, slightly improving the values of *NSE* and *RMSE* (see Tabs. 2 and 3).

328 Compared to the measured flood extent, the performance of TELEMAC 2D is very similar to the one provided  
329 by HEC-RAS 2D ( $P = 0.75$ ). The comparison between the flooding extent predicted by the two numerical  
330 models leads to  $P=0.82$ , which could suggest that the small discrepancies between numerical results and  
331 experimental data are due to the non-perfect reconstruction of the original topography of the laboratory model.

332  
333 The comparisons presented so far confirm the capability of HEC-RAS 2D to deal with dam-break flood  
334 propagation in moderately steep alpine valleys, but they do not provide evidence about the capability of  
335 modeling dam-break flows in steep valleys with very irregular bathymetry. Accordingly, a comparative

336 simulation with HEC-RAS 2D and TELEMAC 2D was performed on the upper part of the valley using the  
337 bathymetry provided by DTM\_dam\_Sect23. Considering the natural irregularity of the crooked and steep valley  
338 upstream of Section 23, the coefficient  $n = 0.05 \text{ s m}^{-1/3}$  was used (e.g., Chow, 1959). The measured hydrograph  
339 at the dam (dark blue line in Fig. 5) coupled with a bed slope of 0.078 m/m, was used as upstream boundary  
340 condition. A normal depth downstream boundary condition and a dry bed initial condition were assumed.

341 The discharge hydrographs predicted by HEC-RAS 2D (on a computational grid with  $\Delta = 20 \text{ m}$ ) and  
342 TELEMAC 2D at Section 23 (Fig. 11) are very similar and show an overall good agreement also with the  
343 experimental one, as confirmed by the values of *NSE* and *RMSE* reported in Tab. 4. Both models accurately  
344 reproduce the measured peak discharge, even if they have a slightly steeper rising limb and a milder recession  
345 limb than the observed one. The most noticeable difference between the experimental and numerical  
346 hydrographs is the overestimation of approximately 140 s of the arrival time at Section 23.

347 A sensitivity analysis was performed for this additional test case in order to assess the influence of the  
348 roughness coefficient (ranging in the interval previously mentioned) on flood wave arrival time and peak  
349 discharge. It was observed that the peak discharge is slightly influenced (approximately 4% of the measured  
350 peak discharge) and the arrival time is practically not affected by the roughness coefficient.

351 In the authors' opinion, the violation of the SWE hypothesis of small bed slopes in the upper reach of the  
352 valley cannot justify the observed discrepancy between the arrival time of the measured and computed  
353 hydrographs. On the other hand, this stretch of the valley did not suffer relevant changes in the last century  
354 since the steepness of the slopes did not allow urban development, and the construction of transversal structures  
355 as well as the effects of scour and deposition did not change the thalweg of the valley to the point of being  
356 effective on the propagation of a dam break wave that is order of magnitudes larger than natural extreme floods.

357 The differences can't be explained by the neglected role of vegetation, floating debris, and sediment transport  
358 either, considering that the term of comparison is a hydrograph measured in a physical model where all these  
359 components were totally disregarded. We eventually believe that the observed differences between measured  
360 and simulated hydrographs can be more probably explained by differences between DTM\_dam\_Sect23 and  
361 the actual geometry of the physical model which, as mentioned above, was probably based on poorly detailed  
362 topographic maps. However, the observed dissimilarities seem negligible if the modeling purpose is the  
363 assessment of flood hazard due to a dam-break event. In the authors' opinion, the good overall agreement

364 between the measured and simulated hydrographs demonstrates the practical validity of the SWE  
365 approximation even in situations where their theoretical limits are exceeded.

366

## 367 **Conclusions**

368 The paper by De Marchi (1945) concerning the hypothetical collapse of the Cancano I dam provides an  
369 extraordinary example of coupling between physical and numerical models to study the propagation of a dam-  
370 break wave along a very steep and irregular alpine valley and in the following floodplain. The knowledge of  
371 this valuable work was so far almost restricted to Italian scholars only. In the present paper, some of the data  
372 underlying the historical analysis were reconstructed, and a reliable test case (including topography and  
373 measured hydrographs) is presented concerning the dam-break wave propagation along the 7 km stretch  
374 between Section 23 and Cepina, for the total collapse scenario. An additional test case, more interesting in  
375 view of the significant valley steepness and ruggedness but less accurate because of the partial uncertainties  
376 on the original bathymetry, was also provided for the 8 km long stretch between the dam and Section 23.  
377 Despite this limitation, the original measured discharge hydrographs, complemented with a suitably  
378 reconstructed bathymetry, constitute an almost unique data set for the validation of the numerical models. This  
379 data set is now made available to the scientific community as supplementary material to this paper.

380 Both the test cases were used to successfully test the capability of the recently released software HEC-RAS  
381 2D to model the propagation of a dam-break wave in alpine area. In addition, the results obtained with HEC-  
382 RAS 2D were compared with the numerical predictions provided by the freeware software TELEMAC 2D,  
383 which is widely used for this kind of applications. The good match between the results obtained with the two  
384 software suggests that the small differences between the experimental data and the numerical results might be  
385 related to some deficiencies in the reproduction of the original bathymetry, especially in the upper part of the  
386 valley. In conclusion, HEC-RAS 2D proved to be able to accurately model the propagation of impulsive floods,  
387 even on very steep and rugged domains. The comparison between numerical and measured hydrographs  
388 confirmed that, in spite of their theoretical limitations, the 2D SWE provide invaluable information for flood  
389 hazard mapping, even in the presence of steep bathymetries.

390

## 391 **Data Availability Statement**

- 392 The data of the Cancano test case used during the study are available in an online repository of the Hydraulic Engineering  
393 Group Brescia website at the address <http://hydraulics.unibs.it/hydraulics/4558-2/>. The following data are made available:
- 394 - S1 – Readme file describing the structure of the uploaded files
  - 395 - S2 – Copy of the Italian version of the original paper by De Marchi (1945)
  - 396 - S3 – A virtual flight of the valley from the Cancano dam to Tirano
  - 397 - S4 – DTM\_dam\_Sect23 – (Modified from an official DTM of Regione Lombardia)
  - 398 - S5 – DTM\_Sect23\_Cepina – (Built by the Authors)
  - 399 - S6 – Measured discharge hydrographs at the dam, at Section 23, and at Cepina for the total collapse scenario  
400 (Digitized from De Marchi, 1945)
  - 401 - S7 – Shapefile with the location of the cross section of the dam, Section 23, and Cepina (Digitized from De Marchi,  
402 1945)
  - 403 - S8 – Shapefile with the measured flooded areas from Section 23 to Cepina for the total collapse scenario (Digitized  
404 from De Marchi, 1945)

405

#### 406 **Notation list**

407 The following symbols are used in this paper:

408  $A_{sim}$  = computed flooded area;

409  $A_{obs}$  = measured flooded area

410  $n$  = Manning's coefficient;

411  $NSE$  = Nash-Sutcliffe efficiency index;

412  $P$  = performance index;

413  $Q$  = flow discharge;

414  $Q_p$  = peak flow discharge;

415  $RMSE$  = root mean square error;

416  $R$  = Reynolds number

417  $R^*$  = friction Reynolds number  $t$  = time variable;

418  $T$  = computational time;

419  $t_a$  = flood wave arrival time;

420  $u^*$  = shear velocity;

421  $\Delta$  = average computational cell size;

422  $\varepsilon$  = roughness scale length;

423  $\nu$  = kinematic viscosity.

424

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**Table 1.** Peak discharges ( $Q_p$ ) and wave arrival times ( $t_a$ ) of the discharge hydrographs measured by De Marchi (1945) at three control cross-sections for the total and partial dam-break scenarios.

Control section	Total collapse		Partial collapse	
	$Q_p$ ( $\text{m}^3 \text{s}^{-1}$ )	$t_a$ (s)	$Q_p$ ( $\text{m}^3 \text{s}^{-1}$ )	$t_a$ (s)
Dam	50000	0	9314	0
Section23	18262	440	5745	580
Cepina	10161	1160	4299	1440

**Table 2.** Results of the sensitivity analysis on the roughness coefficient (reference mesh with 20 m average grid spacing).

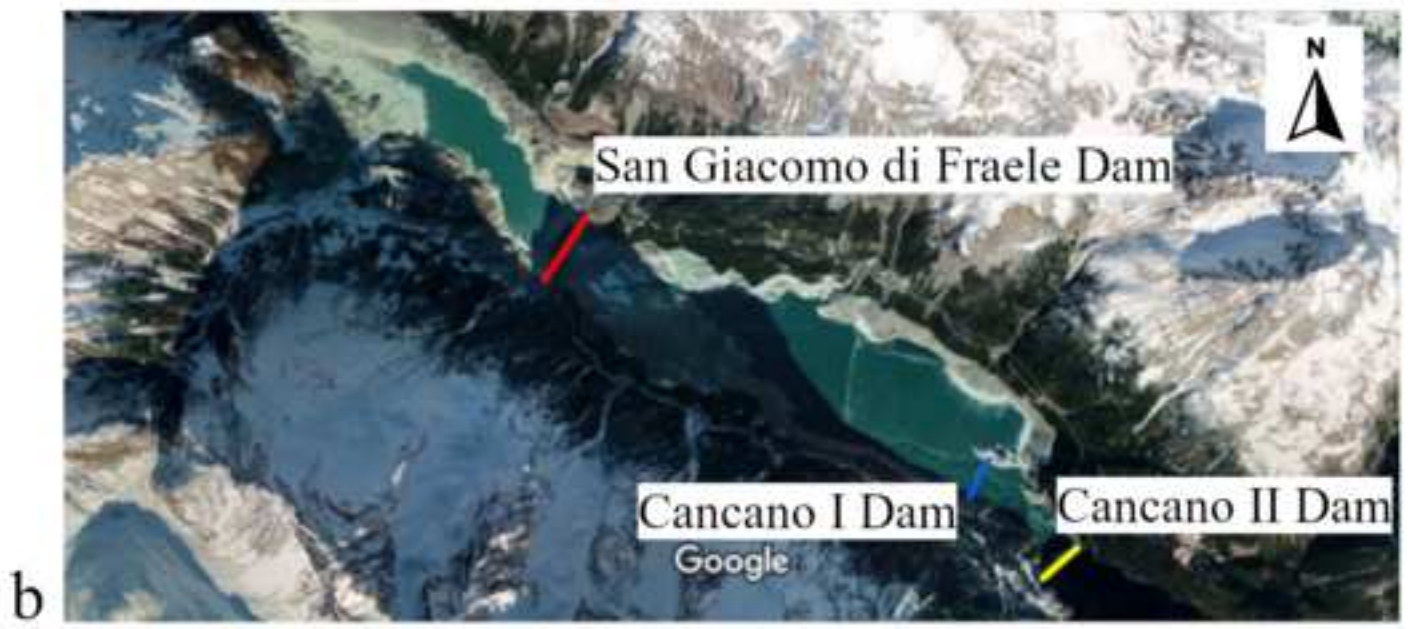
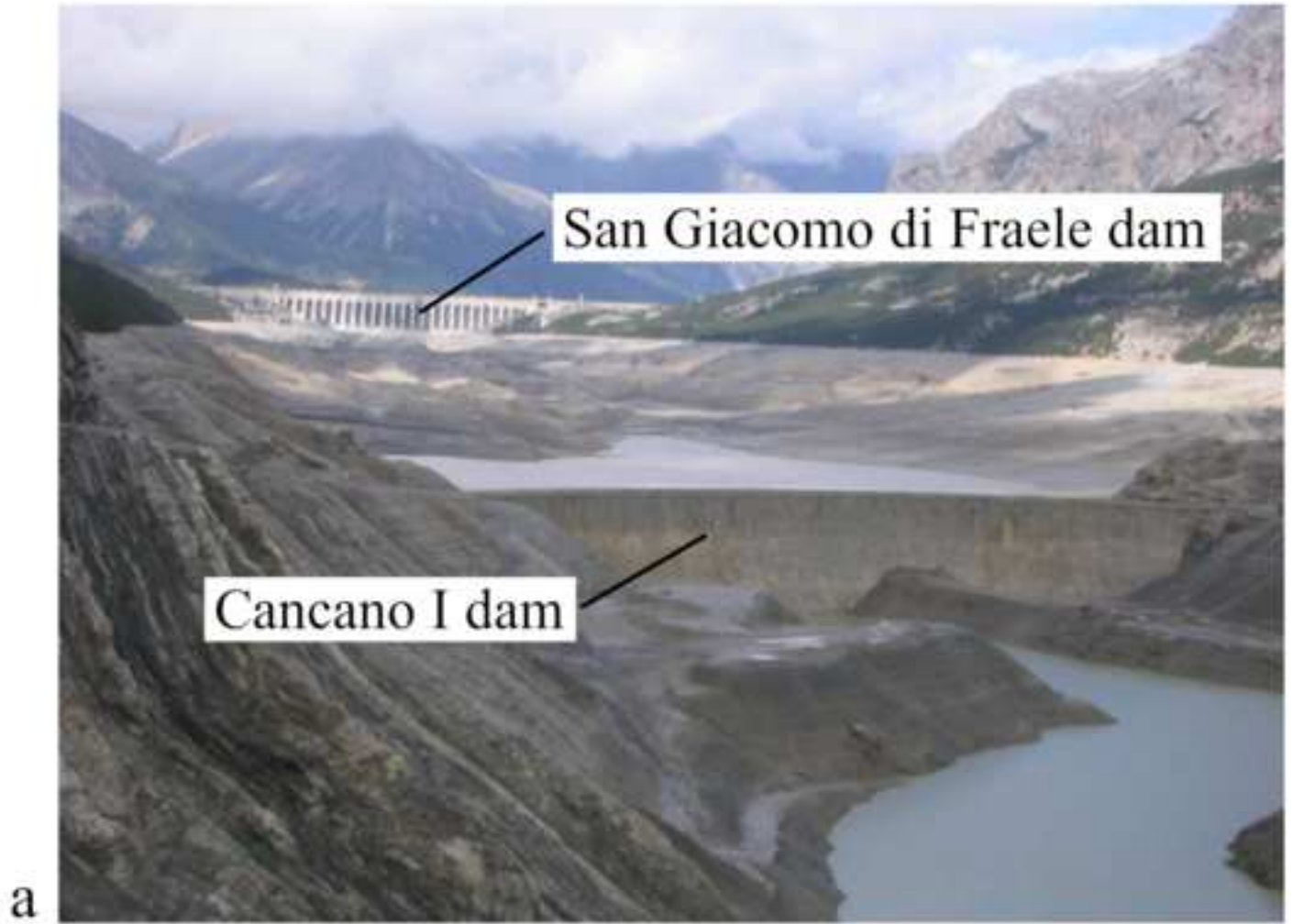
Manning's $n$ ( $\text{s m}^{-1/3}$ )	$Q_p$ ( $\text{m}^3 \text{s}^{-1}$ )	$t_a$ (s)	$NSE$ (-)	$RMSE$ ( $\text{m}^3 \text{s}^{-1}$ )
$n = 0.040 \text{ s m}^{-1/3}$	10116	1120	0.82	1065
$n = 0.045 \text{ s m}^{-1/3}$	9969	1160	0.92	703
$n = 0.050 \text{ s m}^{-1/3}$	9871	1200	0.97	434

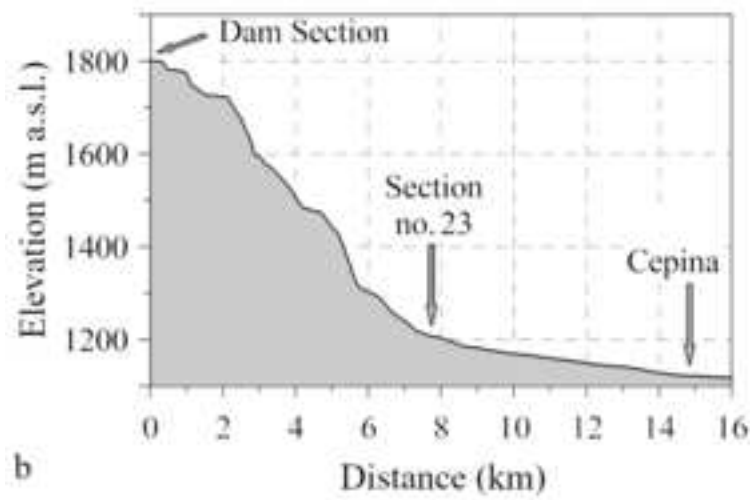
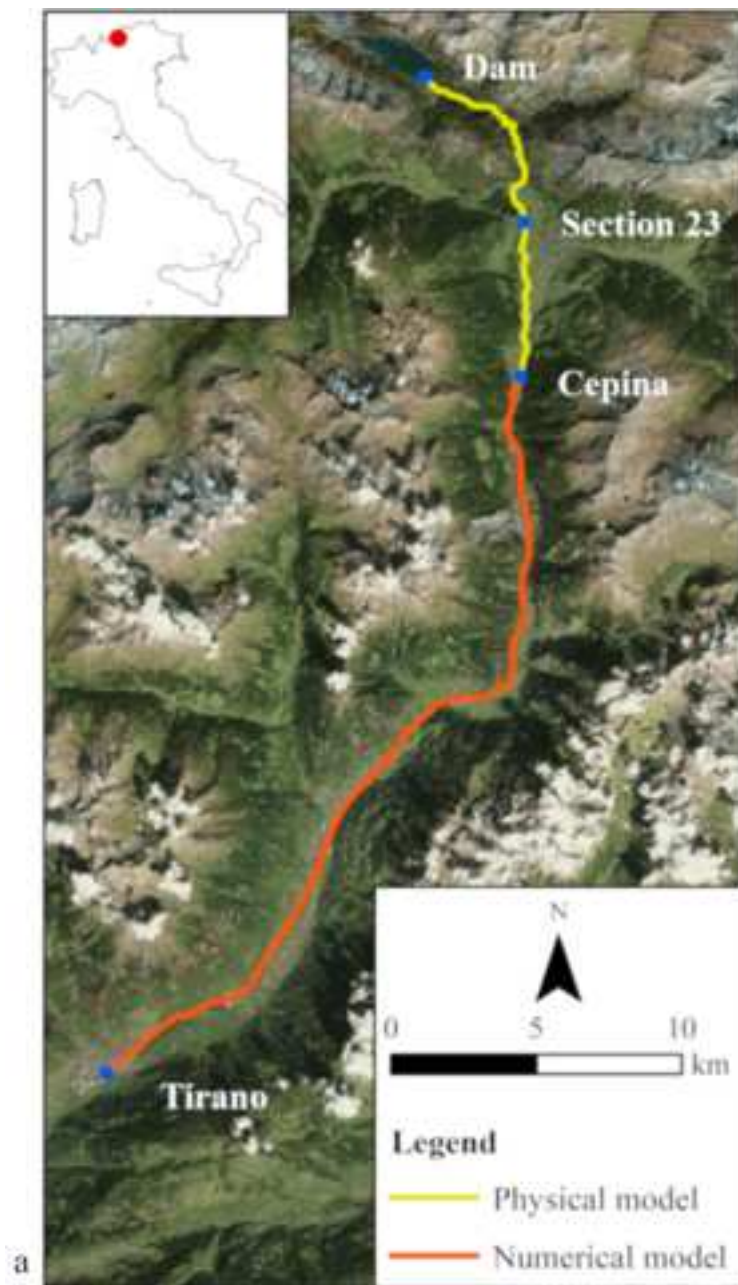
**Table 3.** Numerical results obtained with TELEMAC 2D ( $n = 0.045 \text{ s m}^{-1/3}$ ) for the discharge hydrograph at Cepina.

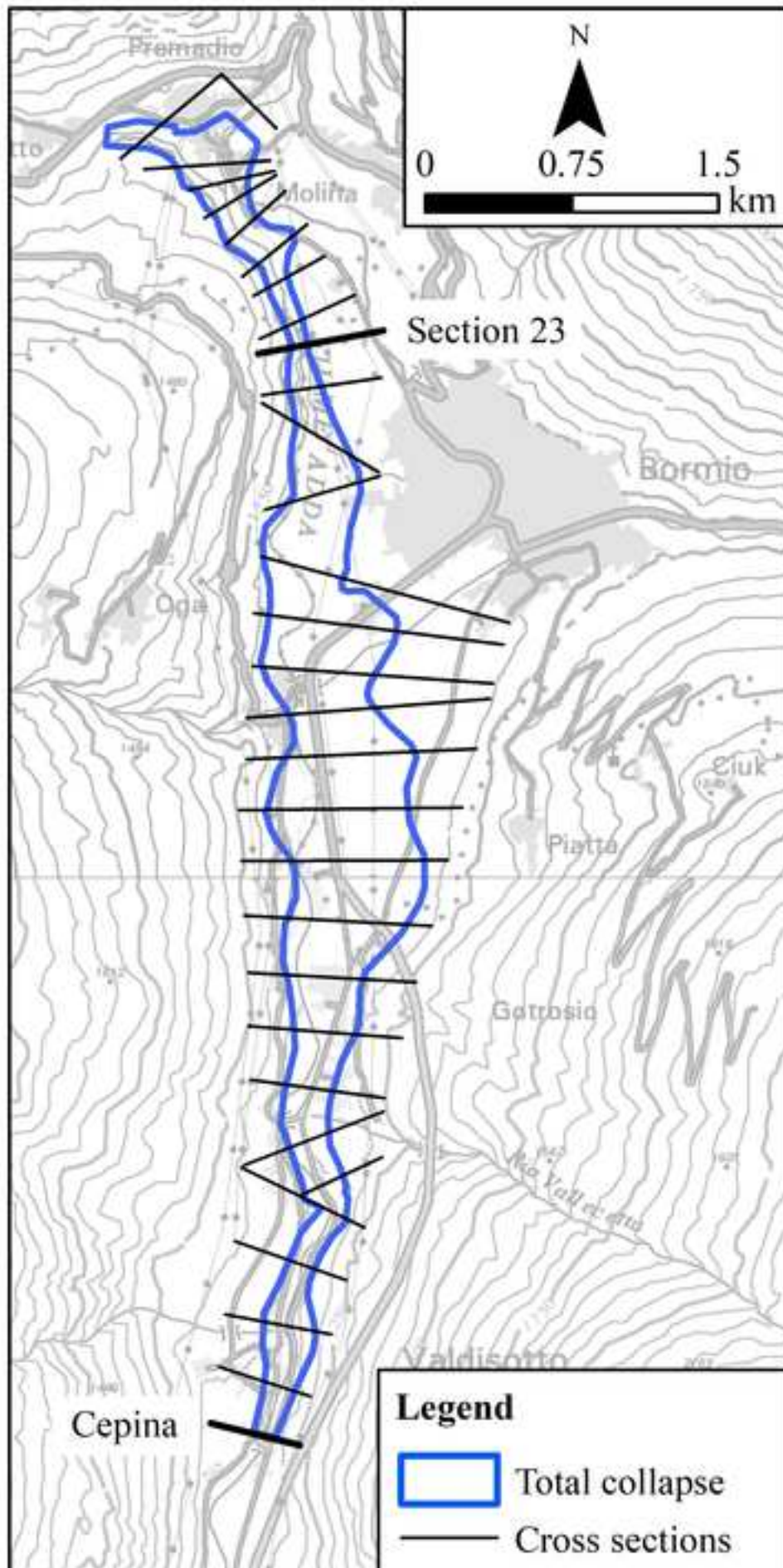
$Q_p$ ( $\text{m}^3 \text{ s}^{-1}$ )	$t_a$ (s)	$NSE$ (-)	$RMSE$ ( $\text{m}^3 \text{ s}^{-1}$ )
9637	1180	0.96	492

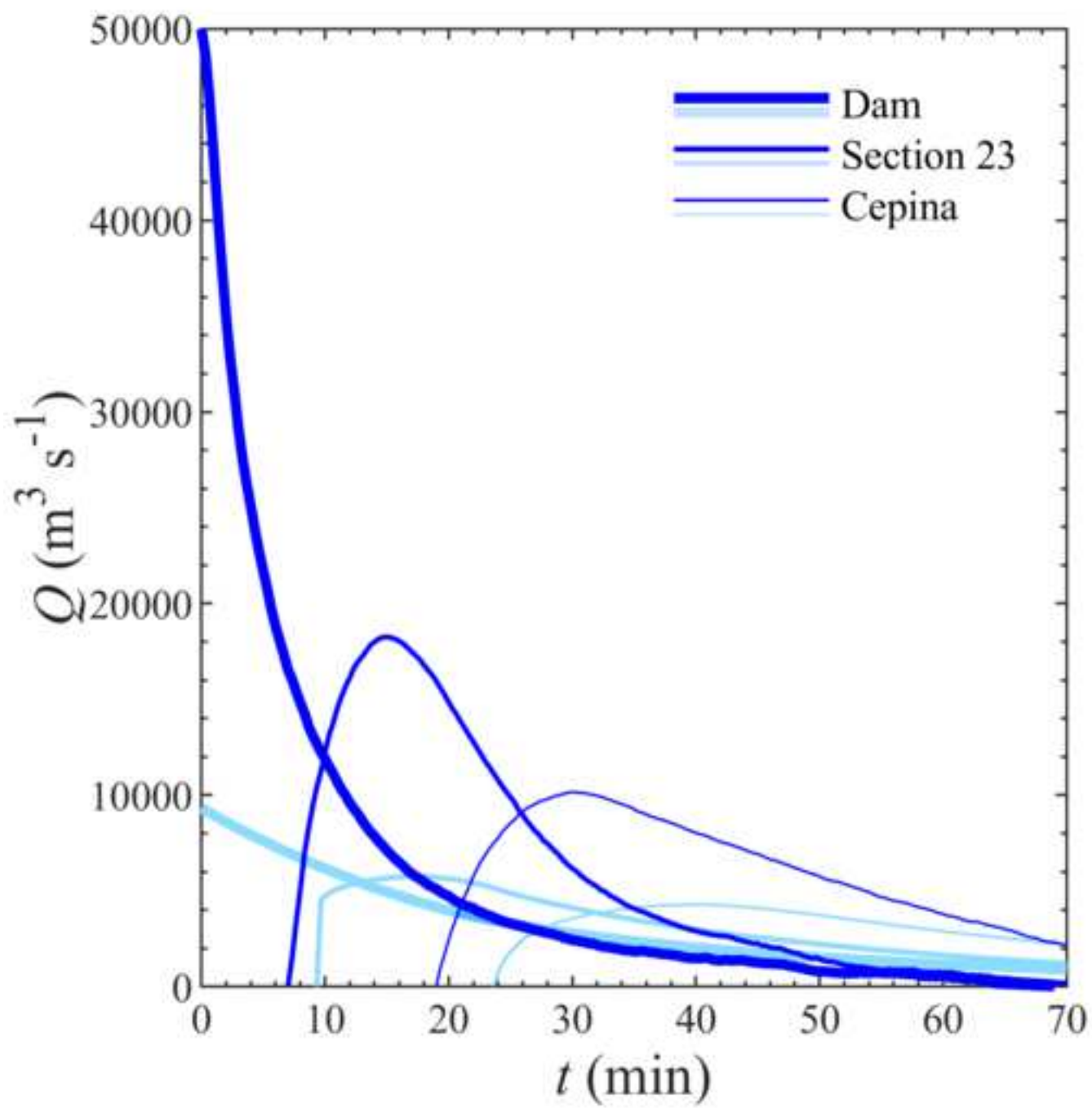
**Table 4.** Results of the numerical simulation of the flood wave propagation between the dam and Section 23 for the total collapse scenario.

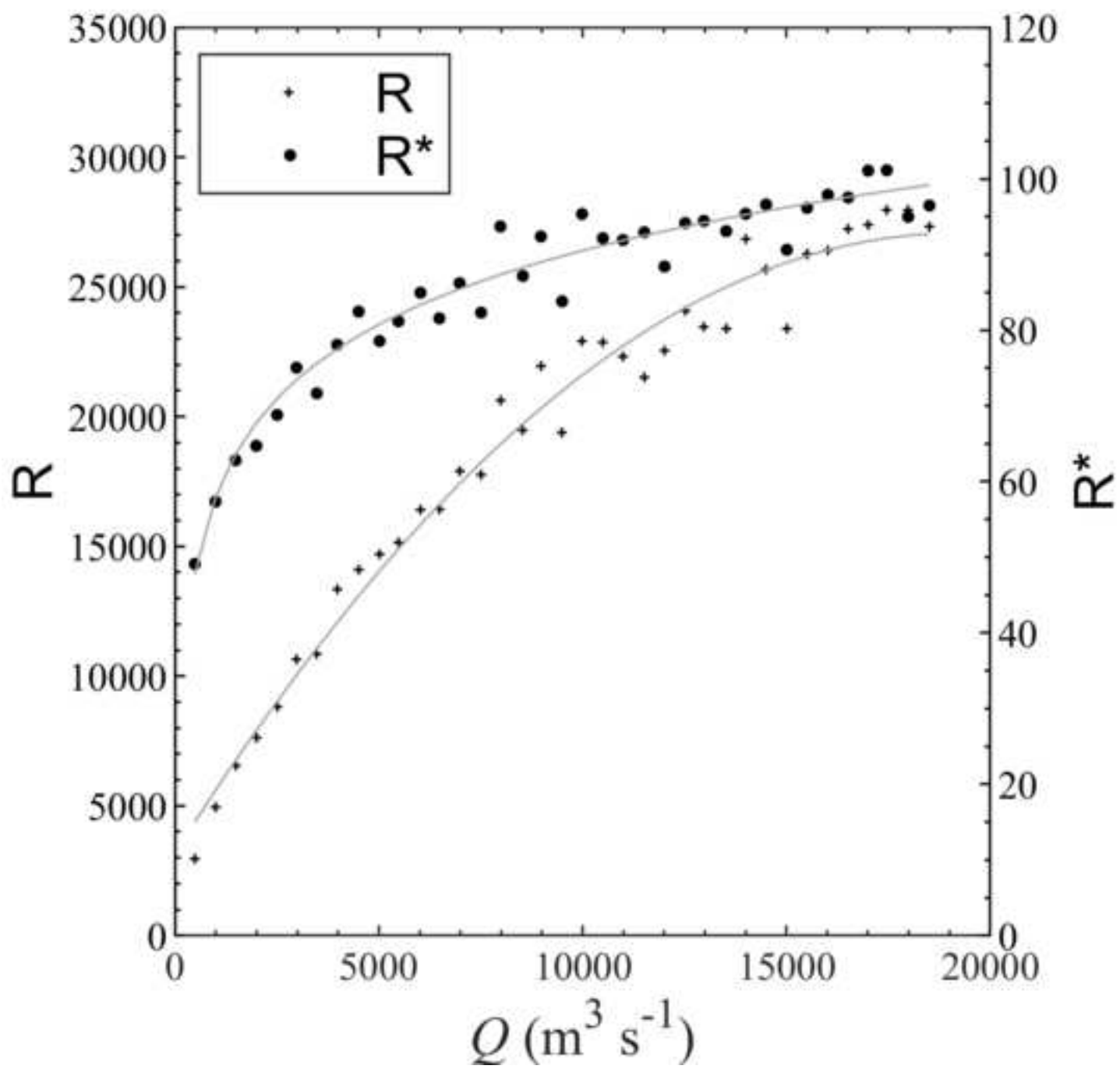
HEC-RAS 2D				TELEMAC 2D			
$Q_p$ (m <sup>3</sup> s <sup>-1</sup> )	$t_a$ (s)	$NSE$ (-)	$RMSE$ (m <sup>3</sup> s <sup>-1</sup> )	$Q_p$ (m <sup>3</sup> s <sup>-1</sup> )	$t_a$ (s)	$NSE$ (-)	$RMSE$ (m <sup>3</sup> s <sup>-1</sup> )
18416	580	0.83	2336	18150	574	0.84	2274

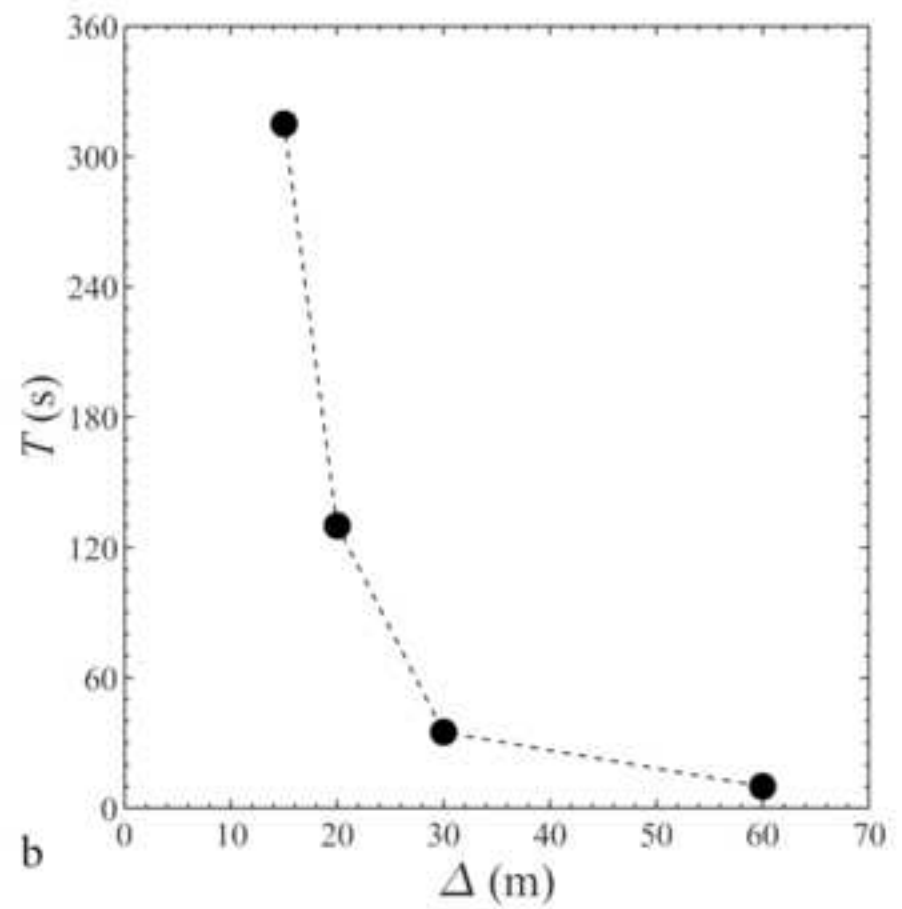
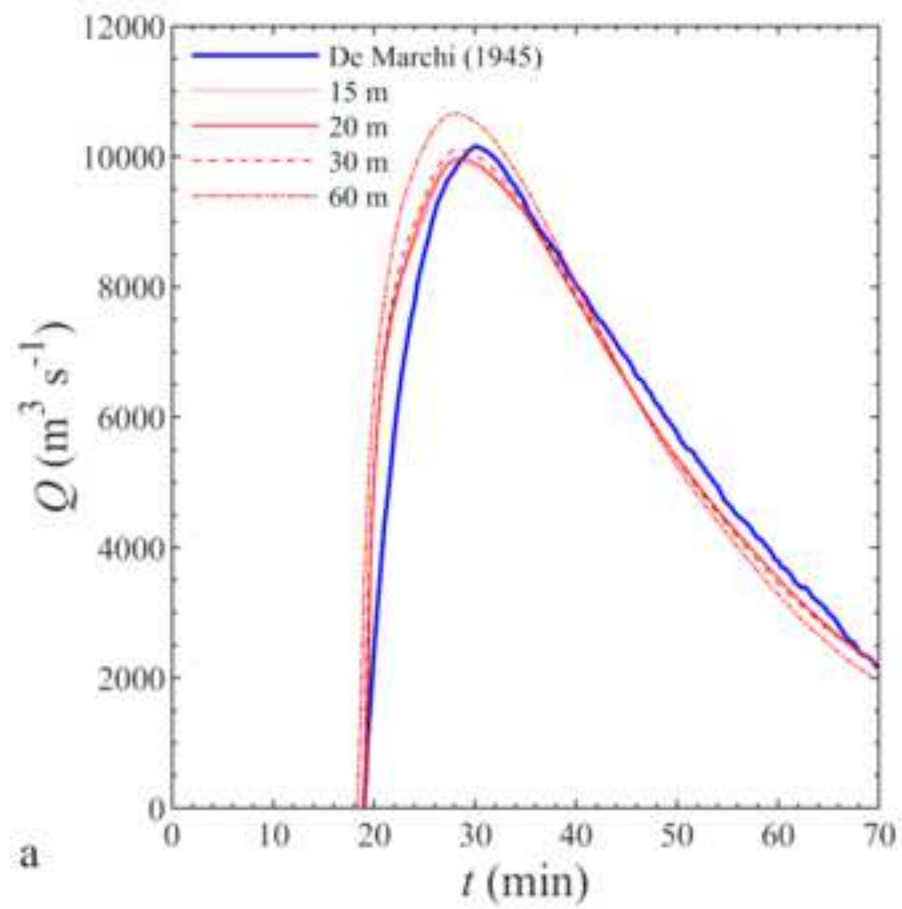


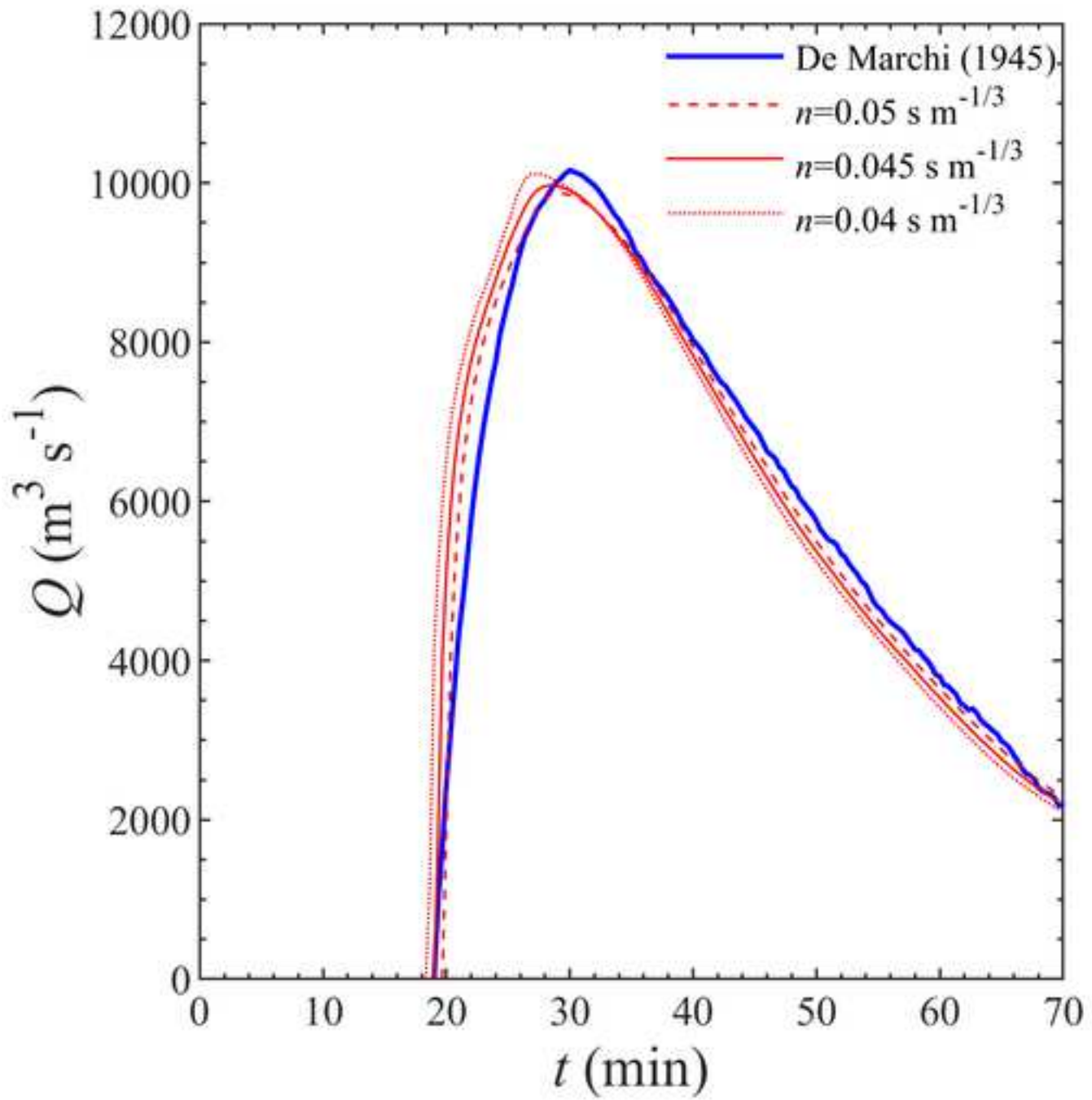


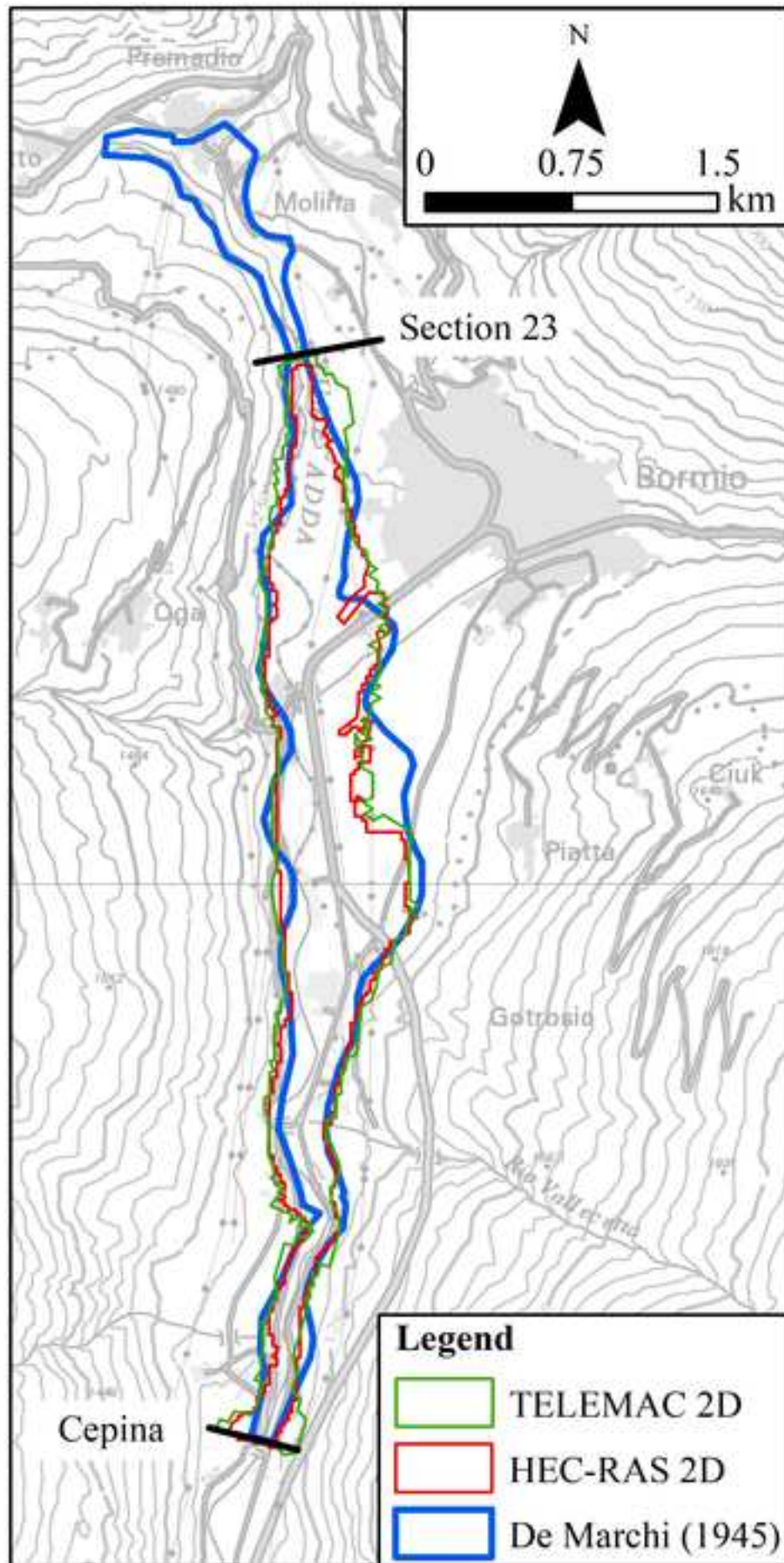


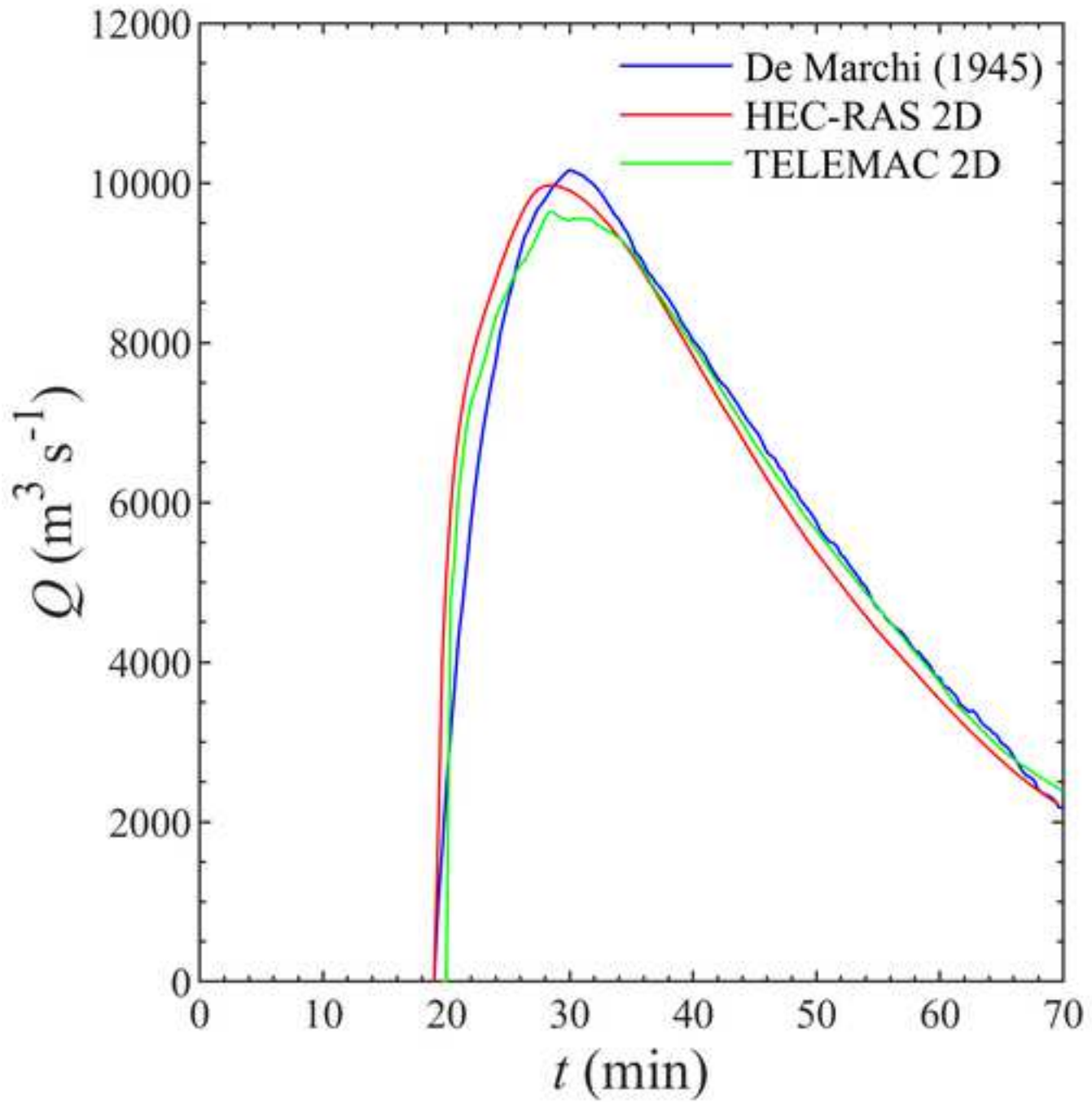


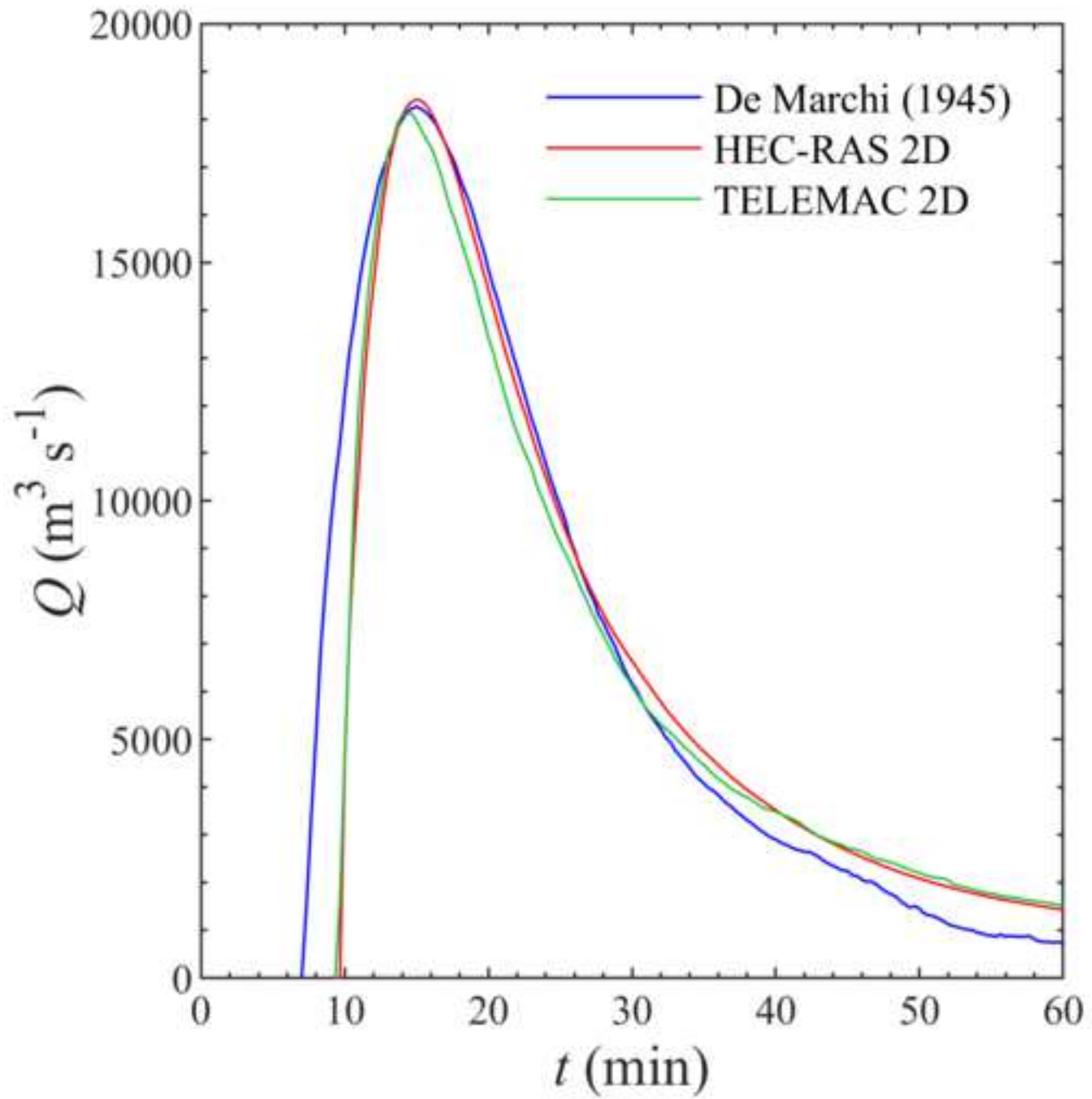












**Fig. 1.** (a) The Cancano I dam as seen from the Cancano II dam during a total emptying of the reservoir (courtesy of [www.progettodighe.it](http://www.progettodighe.it)). This reservoir is bounded upstream by the San Giacomo di Fraele dam. (b) Plan view of the current configuration of the San Giacomo and Cancano II dams with trace of the Cancano I dam.

**Fig. 2.** (a) Satellite view of the study area with the indication of the two stretches analyzed by De Marchi (1945) using physical and numerical models. The upper inset shows the location of the investigated site in the Italian Alps. (b) Longitudinal profile of the upstream stretch of the valley from the dam up to Cepina (modified from Pilotti et al., 2014).

**Fig. 3.** (a) Planimetric view of the physical model used by De Marchi to study the dam-break wave propagation between the dam and Cepina. The red dots show the locations of the discharge measurement stations. (b) View of the physical model: locations of the cross-sections used to reconstruct the valley bathymetry and recording instrument for measuring discharge hydrographs (modified from De Marchi, 1945).

**Fig. 4.** Flooded areas measured on the physical model for the total dam-break scenario with the exact location of the original cross-sections (digitized from De Marchi, 1945).

**Fig. 5.** Measured discharge hydrographs for the total (dark blue lines) and partial (light blue lines) collapse scenarios at three control cross-sections (digitized from De Marchi, 1945).

**Fig. 6.** Average values  $R$  and  $R^*$  at the model scale as a function of the prototype discharges for the stretch between Section 23 and Cepina along with approximating curves.

**Fig. 7.** (a) Sensitivity of the total collapse discharge hydrograph computed at Cepina and (b) of the computational time  $T$  (s) on the average size of the computational grid  $\Delta$  (data referred to a i7-8550U CPU with 16 GB RAM). The roughness coefficient is  $n = 0.045 \text{ s m}^{-1/3}$ . Time  $t$  (min) is measured from the dam collapse.

**Fig. 8.** Sensitivity of the discharge hydrograph at Cepina on the value of the roughness coefficient (reference mesh with 20 m average grid spacing).

**Fig. 9.** Comparison of the observed flooded areas (De Marchi, 1945) with those predicted by HEC-RAS 2D ( $n = 0.045 \text{ s m}^{-1/3}$ , 20 m average grid spacing) and TELEMAC 2D between Section 23 and Cepina.

**Fig. 10.** Comparison between the experimental discharge hydrograph at Cepina and the numerical hydrographs computed with HEC-RAS 2D and TELEMAC 2D ( $n = 0.045 \text{ s m}^{-1/3}$ ).

**Fig. 11.** Total collapse simulation along the stretch from the Cancano I dam to Section 23 ( $n = 0.05 \text{ s m}^{-1/3}$ ). Comparison between experimental and numerical discharge hydrographs at Section 23.