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Plant response to metal-containing engineered nanomaterials: an omics-based perspective

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## 1 Plant Response to Metal-Containing Engineered Nanomaterials: An 2 Omics-Based Perspective

3 Roberta Ruotolo,<sup>†</sup> Elena Maestri,<sup>†,‡,§</sup> Luca Pagano,<sup>†</sup> Marta Marmiroli,<sup>†</sup> Jason C. White,<sup>||</sup>  
4 and Nelson Marmiroli<sup>\*,†,‡,§</sup>

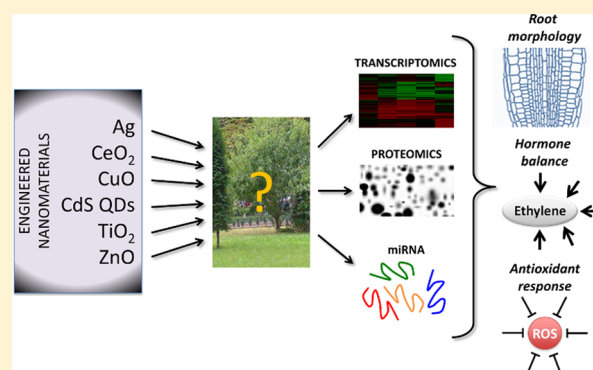
5 <sup>†</sup>Department of Chemistry, Life Sciences and Environmental Sustainability and <sup>‡</sup>Consorzio Interuniversitario Nazionale per le Scienze  
6 Ambientali (CINSA), University of Parma, Parma 43121, Italy

7 <sup>§</sup>Interdepartmental Centre for Food Safety, Technologies and Innovation for Agri-food (SITEIA PARMA), Parma, Italy

8 <sup>||</sup>Department of Analytical Chemistry, The Connecticut Agricultural Experiment Station (CAES), New Haven, Connecticut 06504,  
9 United States

### 10 **S** Supporting Information

11 **ABSTRACT:** The increasing use of engineered nanomaterials  
12 (ENMs) raises questions regarding their environmental impact.  
13 Improving the level of understanding of the genetic and molecular  
14 basis of the response to ENM exposure in biota is necessary to  
15 accurately assess the true risk to sensitive receptors. The aim of this  
16 Review is to compare the plant response to several metal-based  
17 ENMs widely used, such as quantum dots, metal oxides, and silver  
18 nanoparticles (NPs), integrating available “omics” data (trans-  
19 criptomics, miRNAs, and proteomics). Although there is evidence  
20 that ENMs can release their metal components into the  
21 environment, the mechanistic basis of both ENM toxicity and  
22 tolerance is often distinct from that of metal ions and bulk materials.  
23 We show that the mechanisms of plant defense against ENM stress  
24 include the modification of root architecture, involvement of  
25 specific phytohormone signaling pathways, and activation of antioxidant mechanisms. A critical meta-analysis allowed us to  
26 identify relevant genes, miRNAs, and proteins involved in the response to ENMs and will further allow a mechanistic  
27 understanding of plant–ENM interactions.



### 28 ■ INTRODUCTION

29 Engineered nanomaterials (ENMs), a class of materials with  
30 dimensions between 1 and 100 nm, are characterized by unique  
31 physicochemical properties that differ from their respective bulk  
32 materials.<sup>1</sup> The differences are a consequence of both their  
33 large surface area to mass ratio but also reflect the nature of the  
34 surface coating used, solubility, shape and morphology, and  
35 tendency toward self-aggregation.<sup>2</sup> In recent years, there has  
36 been a considerable increase in metal-based ENM production  
37 and marketing.<sup>3</sup> The global production of ENMs is forecast to  
38 be higher than 0.5 Mt by 2020;<sup>4,5</sup> meanwhile, concerns are  
39 being voiced over the environmental consequences of this level  
40 of production and release. There is an urgent need to gain  
41 better understanding of ENM properties and to assess their  
42 potential risks for human health and environment.<sup>6–8</sup> The  
43 interaction of ENMs with plants is particularly important, given  
44 that plants are the primary trophic level in several ecosystems  
45 and represent the base of the food chain for many animals,  
46 including humans.<sup>3,4</sup>

47 Plant response to ENM exposure is variable, depending  
48 significantly on factors, such as particle size and characteristics,  
49 dose, duration of exposure, plant species, and environmental

conditions<sup>9</sup> (Table 1). Metal-based ENMs can be taken up by  
the plant roots either apoplastically or symplastically through  
the leaf cuticle, stomatal pores, or cuticle-free flowers.<sup>3</sup> The  
tendency of ENMs to cross the root barrier and translocate  
through the vascular system into various tissues is strongly  
affected by their physicochemical characteristics as well as by  
the plant species and rate of transpiration.<sup>4,10–28</sup> Cell wall  
composition, the presence of mucilage and other exudates, root  
symbiont activity, and the availability of soil organic matter all  
impact the mobility, bioavailability, and reactivity of  
ENMs.<sup>13,29–33</sup>

Negative effects of exposure to metal-based ENMs on  
germination, root and shoot growth, and on the number of  
leaves formed have been observed in *Arabidopsis thaliana* (L.)  
Heynh as well as in a number of crop species.<sup>10,17,21,26,27,30,34–45</sup> Although examples have been provided  
for the release of metal cations from ENMs, in general, free ions

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Table 1. List of Omics Studies Considered in This Review

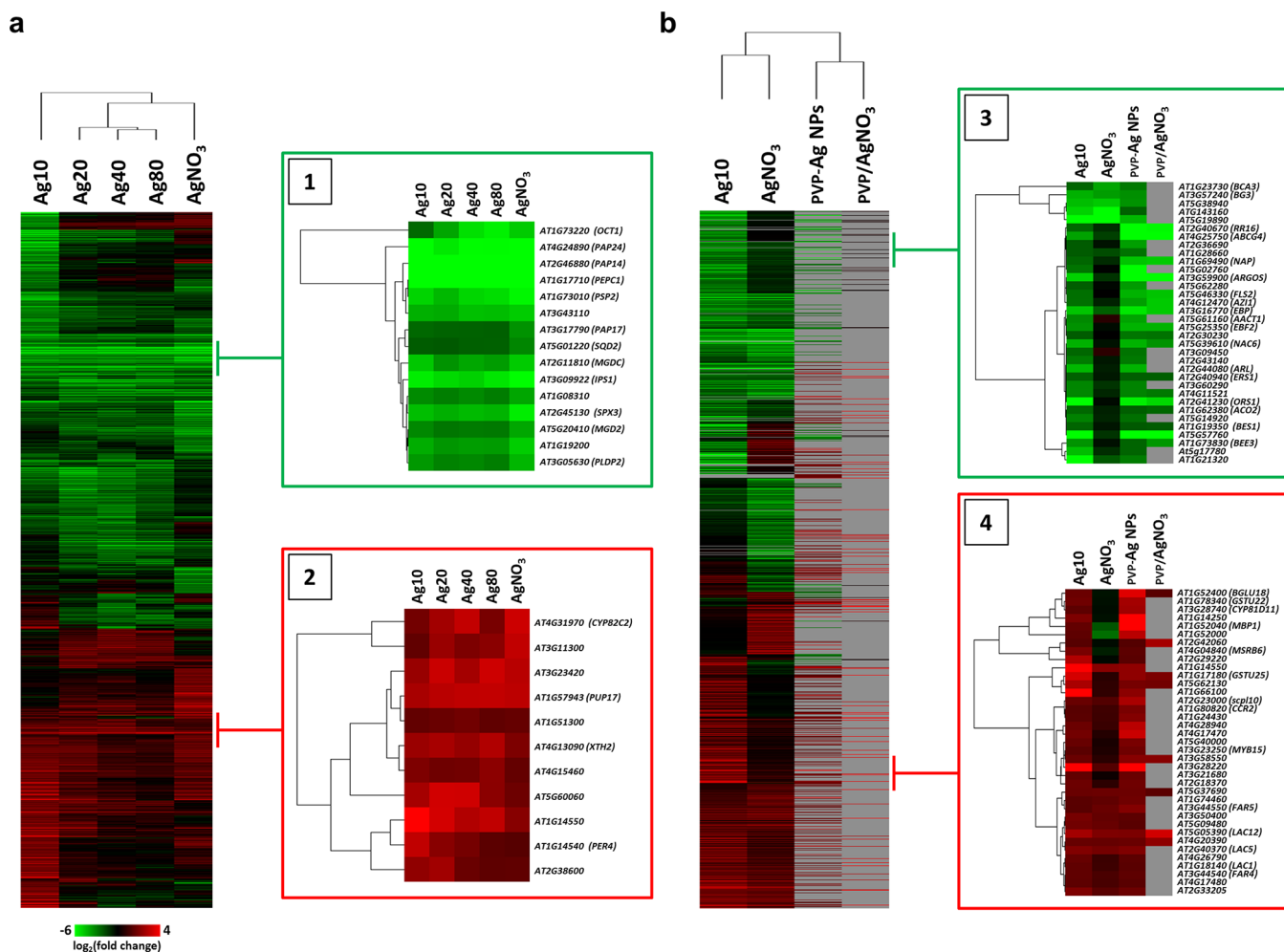
paper	plant	plant organ	age of plants at treatment	ENM incubation time for omics analysis	dose	ENMs	particle size (nm)	ENM treatment effect	additional information <sup>a</sup>
transcriptomic data									
García-Sánchez et al., 2015	<i>A. thaliana Col-0</i>	whole plant	3 weeks	2 days	0.2 mg L <sup>-1</sup>	Ag NPs	10, 20, 40, and 80	no effect	a
Kaveh et al., 2013	<i>A. thaliana Col-0</i>	whole plant	seeds	10 days	5 mg L <sup>-1</sup>	PVP–Ag NPs	20	negative effect	b
García-Sánchez et al., 2015	<i>A. thaliana Col-0</i>	whole plant	3 weeks	2 days	20 mg L <sup>-1</sup>	TiO <sub>2</sub> NPs	10, 20, and 40	no effect	a
Landa et al., 2012	<i>A. thaliana Columbia</i>	roots	6 weeks	7 days	100 mg L <sup>-1</sup>	TiO <sub>2</sub> NPs	<150	no effect	c
Tumburu et al., 2015	<i>A. thaliana Col-0</i>	whole plant	seeds	12 days	500 mg L <sup>-1</sup>	TiO <sub>2</sub> NPs	33	positive effect	c
Tumburu et al., 2017	<i>A. thaliana Col-0</i>	roots and shoots	seeds	29 days	500 mg L <sup>-1</sup>	TiO <sub>2</sub> NPs	33	no effect	a
Tumburu et al., 2015	<i>A. thaliana Col-0</i>	whole plant	seeds	12 days	500 mg L <sup>-1</sup>	CeO <sub>2</sub> NPs	21	positive effect	c
Tumburu et al., 2017	<i>A. thaliana Col-0</i>	roots and shoots	seeds	29 days	500 mg L <sup>-1</sup>	CeO <sub>2</sub> NPs	21	positive effect	a
Landa et al., 2012	<i>A. thaliana Columbia</i>	roots	6 weeks	7 days	100 mg L <sup>-1</sup>	ZnO NPs	<100	negative effect	b
Landa et al., 2015	<i>A. thaliana Col-0</i>	roots	4 weeks	7 days	4 mg L <sup>-1</sup>	ZnO NPs	20	negative effect	b
Tang et al., 2016	<i>A. thaliana Bay-0</i>	roots	15 days	2 h	10 mg L <sup>-1</sup>	CuO NPs	30–50	negative effect	c
Marmioli et al., 2014	<i>A. thaliana Ler-0</i>	whole plant	2 weeks	21 days	40 or 80 mg L <sup>-1</sup>	CdS QDs	5	negative effect	a
microRNA profiling									
Burklew et al., 2012	<i>N. tabacum</i>	whole plant	seeds	3 weeks	0.1, 0.5, or 1.0%	Al <sub>2</sub> O <sub>3</sub> NPs	not indicated	negative effect	
Frazier et al., 2014	<i>N. tabacum</i>	whole plant	seeds	3 weeks	0.1 or 1.0%	TiO <sub>2</sub> NPs	<25	negative effect	
proteomic data									
Vannini et al., 2013	<i>E. vesicaria</i>	roots and shoots	seeds	5 days	10 mg L <sup>-1</sup>	PVP–Ag NPs	10	positive effect	
Mirzajani et al., 2014	<i>O. sativa</i>	whole plant	10 days	20 days	30 or 60 mg L <sup>-1</sup>	Ag NPs	18.3	negative effect	
Vannini et al., 2014	<i>T. aestivum</i>	roots and shoots	seeds	5 days	10 mg L <sup>-1</sup>	PVP–Ag NPs	10	negative effect	
Majumdar et al., 2015	<i>P. vulgaris</i>	seeds	seeds	102 days	62.5, 125, 250, or 500 mg/kg	CeO <sub>2</sub> NPs	8	no effect	

<sup>a</sup>Transcriptomic data considered in this review are characterized by whole database (a) or modulated gene set (b,  $-1 < \log_2$  fold change  $< 1$ ; c,  $-2 < \log_2$  fold change  $< 2$ ).

67 contribute only partially to the toxicity of many metal-based  
 68 ENMs.<sup>24,27,35,46</sup> Several mechanisms have been proposed to  
 69 explain the phytotoxicity of these materials.<sup>3</sup> Uptake of ENMs  
 70 into the root may lead to the blocking of root pores, effectively  
 71 inhibiting the apoplastic flow of water and micronu-  
 72 trients.<sup>24,47,48</sup> The induction of reactive oxygen species  
 73 (ROS) is a commonly observed consequence of the exposure  
 74 to metal-based ENMs and significantly contributes to the  
 75 observed toxicity.<sup>39,49–53</sup> ROS induce lipid peroxidation, alter  
 76 plant cell membranes and wall structures,<sup>54</sup> and directly damage  
 77 proteins and DNA.<sup>3</sup> Many ENMs cause genotoxic effects,  
 78 including chromosomal aberrations, mitotic division impair-  
 79 ment, and cellular disintegration.<sup>21,39,49,50,55</sup>  
 80 Notably, there are reports in the literature showing that  
 81 ENM exposure can also positively influence plant growth and  
 82 development.<sup>22,56–61</sup> For example, in tomatoes (*Solanum*  
 83 *lycopersicum* L.), CeO<sub>2</sub> nanoparticles (NPs) slightly improve  
 84 plant biomass, although the data suggest that the second

generation of seedlings show some physiological deficits as 85  
 compared to those of control plants.<sup>22,62</sup> 86

Although a number of reviews on plant–ENM interactions 87  
 have been published,<sup>3,44,45,53,63–69</sup> the specific purpose of this 88  
 work is to provide a comprehensive evaluation and integration 89  
 of omics data describing the complex molecular networks in 90  
 ENM response. High-throughput data considered in this 91  
 Review (Table 1) include the transcriptomic response of *A.* 92  
*thaliana* to Ag,<sup>70,71</sup> TiO<sub>2</sub>,<sup>61,70,72,73</sup> CeO<sub>2</sub>,<sup>61,73</sup> ZnO,<sup>72,74</sup> and 93  
 CuO<sup>75</sup> NPs or cadmium sulfide (CdS) QDs<sup>46</sup> (Table S1). 94  
 miRNA profiling data are obtained from tobacco (*Nicotiana* 95  
*tabacum* L.) plants exposed to TiO<sub>2</sub><sup>76</sup> and aluminum oxide 96  
 (Al<sub>2</sub>O<sub>3</sub>)<sup>77</sup> NPs (Table 1), while the proteomic data sets involve 97  
 the response to Ag NP exposure in rocket salad (*Eruca vesicaria* 98  
*L. Cav.*),<sup>78</sup> rice (*Oryza sativa* L.)<sup>79</sup> or wheat (*Triticum aestivum* 99  
 L.),<sup>80</sup> and the response to CeO<sub>2</sub> NPs in kidney beans 100  
 (*Phaseolus vulgaris* L.)<sup>81</sup> (Table S2). A systems biology 101  
 approach integrating data from large-scale measurements can 102  
 lead to a more mechanistic understanding of the plant 103



**Figure 1.** Hierarchical clustering of Ag NP-responsive genes in *A. thaliana*. (a) Genes altered with respect to their transcription level following a 2 day exposure to Ag NPs of 10, 20, 40, or 80 nm diameter (respectively, Ag10, Ag20, Ag40, and Ag80) and bulk material (AgNO<sub>3</sub>). The heat map in box 1 (green) displays genes responding to Pi starvation that are down-regulated by all levels of Ag stress. Box 2 (red) shows genes up-regulated by both Ag NPs and bulk treatments. These include *At4g13090* (Xth2, xyloglucan/xyloglucosyl transferase), *At4g31970* (Cyp82c2, cytochrome P450), *At1g57943* (Atpup17, purine transporter), *At1g14540* (Per4, peroxidase involved in the response to oxidative stress) and *At1g14550* (putative anionic peroxidase). (b) Genes altered with respect to transcription level following exposure to either Ag10, PVP-Ag NPs, bulk material, or Ag<sup>+</sup> ions in the presence of the stabilizing polymer PVP (PVP-AgNO<sub>3</sub>).<sup>71</sup> The heat map in box 3 (green) displays transcripts down-regulated in all NP-exposed plants, including *At2g40940* (Ethylene Response Sensor 1, Ers1) and *At5g61160* (anthocyanin 5-aromatic acyltransferase 1, Aact1). Genes up-regulated in plants exposed to Ag NPs are shown in box 4 (red), including *At1g18140* (laccase 1, Lac1), *At5g05390* (laccase 12, Lac12), *At2g40370* (laccase 5, Lac5), and *At3g28740* (cytochrome P450, Cyp81d11).

104 physiological response to ENM exposure and a more-accurate  
105 assessment of risk.<sup>82–85</sup>

## 106 ■ METHODOLOGICAL NOTES ON COMPARATIVE IN 107 SILICO ANALYSIS OF OMICS DATA

108 In this Review, we summarize a number of multiomics studies  
109 on plant response to ENM stress (Tables 1, S1, and S2). ENM  
110 dose and particle size, as well as germination conditions and  
111 developmental stages assayed in the experiments, are annotated  
112 (Table 1).

113 For microarray data, relative expression ratios (treatment  
114 over control) are log<sub>2</sub>-transformed, and genes showing  
115 expression ratios ≥2 or ≤0.5 are classified as up- or down-  
116 regulated by ENM treatment. Gene ontology (GO) analysis is  
117 conducted using the Plant GeneSet Enrichment Analysis  
118 toolkit.<sup>52</sup> Biological processes associated with ENM toxicity-  
119 modulating genes are identified and evaluated for statistical  
120 significance (*P* value of ≤1 × 10<sup>-03</sup>). A hierarchical clustering

analysis (Pearson correlation, average linkage) of differentially  
121 expressed transcripts is achieved using Cluster v3.0 software,<sup>86</sup>  
122 and the clustered data are visualized using Java Treeview.<sup>87</sup>  
123 MapMan v3.6.0RC1<sup>88</sup> is employed to map transcriptomic data  
124 to metabolic pathways and other biological processes.  
125

Box plots (Figure S1) and principal component analysis  
126 (PCA), performed with R software (<https://www.r-project.org/>)  
127 are used to show the distribution of gene expression data  
128 and extract major variables (in the form of components) from  
129 the large set of variables available in the transcriptomic data set  
130 (Table S1). The EnrichmentMap plug-in<sup>89</sup> is used to visualize  
131 as a network the results of an analysis performed with the  
132 DAVID Functional Annotation Tool<sup>90</sup> using the Cytoscape  
133 network visualization software.<sup>91</sup>  
134

## 135 ■ SILVER NANOPARTICLES

**Transcriptomic Response.** A pair of studies have  
136 investigated the transcriptional response of *Arabidopsis* exposed  
137



138 to Ag NPs (nanosilver) using whole-genome expression  
139 microarrays (Table S1): García-Sánchez et al.<sup>70</sup> reported that  
140 a brief exposure to low doses of 10–80 nm nanosilver did not  
141 affect the plant growth; Kaveh et al.<sup>71</sup> reported moderate  
142 toxicity to 10 day old seedlings exposed to 20 nm nanosilver in  
143 the presence of the stabilizing polymer polyvinylpyrrolidone  
144 (PVP).<sup>92</sup> A significant overlap is observed between the sets of  
145 genes differentially expressed in response to nanosilver<sup>70,71</sup> and  
146 bulk material<sup>70</sup> or Ag<sup>+</sup> ion<sup>71</sup> treatments (Figure 1), but the  
147 transcriptomic response induced by a brief exposure to the  
148 smaller 10 nm Ag NPs differs to a greater extent from the bulk  
149 treatment (Figure 1a). Notably, several studies suggested that  
150 ENM uptake and toxicity increased with decreasing particle  
151 size.<sup>14,34,93–95</sup>

152 A Gene Ontology (GO) enrichment analysis (Table S3)  
153 reveals that gene expression changes induced by a brief  
154 exposure to smaller nanosilver (10 nm diameter) are different  
155 from those by the PVP–Ag NPs (Figure 1b). Genes encoding  
156 for proteins involved in response to ROS (e.g., peroxidases;  
157 superoxide dismutases, SODs) and in xylem development were  
158 repressed by an early exposure to nanosilver but induced by  
159 PVP–Ag NPs (Table S3). Early transcriptional repression of  
160 genes encoding for antioxidant enzymes upon exposure to  
161 nanosilver can be explained considering the central role that  
162 ROS have in ENM stress response.<sup>3,53</sup> In fact, ROS are essential  
163 components of signal transduction in response to devel-  
164 opmental and environmental cues and transcriptional regu-  
165 latory networks can be activated upon long-term nanosilver  
166 treatment to maintain nontoxic levels of ROS.<sup>96</sup>

167 A brief exposure to nanosilver (10–80 nm diameter) also  
168 down-regulates genes involved in root development (Tables S1  
169 and S3). An altered root morphology has been identified as a  
170 consequence of exposure to various ENMs,<sup>76,79,93,97</sup> nanosilver  
171 appears to inhibit primary root growth by acting directly on the  
172 root tip meristems<sup>76,79,93,94,97</sup> and on root-hair growth.<sup>43,70</sup>  
173 Genes implicated in differentiation of trichoblasts, specialized  
174 epidermal cells from which root hair emerge, as well as genes  
175 responsive to ethylene and auxin, positive regulators of root  
176 hair development,<sup>98</sup> are indeed down-regulated by an early  
177 exposure to nanosilver (Table S3), indicating that plants can  
178 respond quickly to nanosilver by reducing the root hair growth.  
179 In fact, a hairless-like root phenotype was noted in *A. thaliana*  
180 plants upon nanosilver treatment.<sup>70</sup> Root-hair function is  
181 related to absorption of water and nutrients, and a long-term  
182 repression of root hair development due to nanosilver exposure  
183 could have negative effects on plant growth and yield.<sup>64,99</sup> Ag<sup>+</sup>  
184 ions may occupy the ethylene-binding pocket of the ETR1  
185 receptor and prevent downstream hormone signaling necessary  
186 for the root hair development.<sup>98</sup> It is possible that nanosilver or,  
187 more likely, the released Ag<sup>+</sup> ions can inhibit the ETR1-  
188 dependent ethylene signaling pathway.

189 Adaptive changes in root architecture may be mediated by  
190 ethylene and auxin in response to low phosphorus (Pi)  
191 concentrations, a condition that promotes lateral and hairy root  
192 formation but suppresses primary root growth.<sup>100</sup> Interestingly,  
193 genes induced in the response to Pi starvation are repressed by  
194 an early treatment to both nanosilver and the bulk material  
195 (Table S3). In addition, genes involved in galactolipid  
196 biosynthesis (*MGD2*, *MGD3*, and *SRG3*) are also significantly  
197 down-regulated by both forms of Ag (Table S3). Membrane  
198 phospholipids, which constitute ~30% of total phosphorus  
199 storage in the plant,<sup>101</sup> are hydrolyzed in the response to Pi  
200 starvation and replaced by nonphosphorus lipids, such as

galactolipids, which serve to maintain the functionality and  
201 structure of plasma membranes.<sup>102</sup> Nanosilver exposure can  
202 likely trigger alterations in several pathways involved in an  
203 efficient mobilization and acquisition of Pi from the growth  
204 medium and intracellular stores, impairing membrane phos-  
205 pholipid composition as well as root development. Con-  
206 sequently, nanosilver may have negative effects on plant growth  
207 under Pi-deficient conditions. 208

The early transcriptional response to nanosilver (10–80 nm  
209 diameter) also prompted repression of pathogen-activated  
210 genes involved in the systemic acquired response (SAR)  
211 mediated by salicylic acid (SA) as well as genes involved in  
212 abiotic stress responses. Geisler-Lee et al.<sup>41</sup> showed that  
213 exposure to nanosilver compromises plant ability to limit  
214 pathogen growth. Nanosilver exposure of infected plants was  
215 associated with increased bacterial colonization, but supple-  
216 mentation with SA prior the addition of ENMs prevents  
217 bacterial growth and also counteracts the inhibition of root hair  
218 formation caused by ENM stress.<sup>70</sup> A repression of SAR genes  
219 under periods of prolonged ENM exposure may therefore  
220 negatively affect the plant capacity to tolerate biotic stress. 221

Genes strongly up-regulated upon early and long-term  
222 nanosilver treatments encode for proteins involved in defense  
223 response (Table S1): defensin-like proteins, plant thionin,  $\beta$ -  
224 glucosidases, cytochrome P450 proteins, and  $\tau$ -class glutathione  
225 S-transferase (GST) members. GST expression is induced by a  
226 wide variety of stress conditions,<sup>103</sup> including ENMs,<sup>104,105</sup>  
227 and the over-expression of GST isoforms after nanosilver exposure  
228 might be needed for the detoxification of released Ag<sup>+</sup> ions by  
229 binding to thiol groups of glutathione (GSH) mediated by  
230 these enzymes.<sup>104</sup> PVP–Ag NP treatment also induces the  
231 transcription of a small operon-like cluster of genes, which are  
232 required for the synthesis and modification of the triterpene  
233 thalianol (Table S3), a class of secondary metabolites  
234 frequently implicated in plant defense response. 235

In addition, genes involved in phenylpropanoid synthesis, in  
236 particular suberin, are significantly up-regulated upon nano-  
237 silver exposure but not in response to Ag<sup>+</sup> ions (Table S3).  
238 Phenylpropanoids are precursors of diverse secondary metab-  
239 olites, such as lignins, suberin, and flavonoids, and can play  
240 important roles in plant development and stress response.<sup>107,108</sup>  
241 Suberin is a cell-wall polymer composed predominantly of long-  
242 chain hydroxylated fatty acids and is deposited apoplastically to  
243 generate a lipophilic barrier to the uncontrolled flow of water,  
244 gases, and ions;<sup>109</sup> thus, suberin provides a first line of defense  
245 against abiotic stresses, such as ENM treatment. 246

Long-term exposure to PVP–Ag NPs also up-regulates a  
247 number of genes required for the synthesis of cell-wall  
248 polysaccharides and lignin (Table S3); these biomolecules  
249 play a key role in modulating cell-wall structure in response to  
250 several stressors.<sup>110</sup> Lignin deposition, which occurs late in  
251 xylem cell differentiation, serves to waterproof the cell wall;<sup>111</sup>  
252 therefore, a prolonged exposure to nanosilver could lead to a  
253 decrease in cell wall extensibility and/or turgor. Laccases are  
254 responsible for the extracellular polymerization of lignin  
255 precursors,<sup>112</sup> and the genes encoding these enzymes are also  
256 up-regulated by PVP–Ag NP exposure (Table S1). 257

**Proteomic Response.** A total of three studies of plant  
258 proteomic response to nanosilver exposure have been published  
259 to date, involving *E. vesicaria*,<sup>78</sup> rice,<sup>79</sup> and wheat<sup>80</sup> (Tables 1  
260 and S2). PVP–Ag NP (10 nm diameter) treatment did not  
261 show any significant effect on *E. vesicaria* seed germination,  
262 whereas an increased root growth was noted.<sup>78</sup> Proteomic 263

264 analysis shows only a limited overlap between the response to  
265 PVP–Ag NPs and bulk material (Table S2). Both forms of Ag  
266 strongly induce accumulation of proteins related to oxidative  
267 stress response (SOD, peroxiredoxin) and the seed-specific  
268 proteins belonging to the jacalin lectin family,<sup>113</sup> which catalyze  
269 the hydrolysis of glucosinolates, a group of S-rich metabo-  
270 lites.<sup>114</sup> Glucosinolates may be considered a potential storage  
271 form of sulfur and an increased hydrolysis of these metabolites  
272 has been reported under S deficiency.<sup>114</sup> In accordance with  
273 these observations, the levels of key enzymes in cysteine and  
274 methionine synthesis are enhanced by ENM-induced stress,  
275 indicating that the S metabolism can play a crucial role in  
276 nanosilver tolerance. Interestingly, thiol ligands, such as  
277 cysteine, strongly bind Ag<sup>+</sup> ions leading to increased dissolution  
278 rate of nanosilver.<sup>115</sup>

279 Synthesis of seed storage proteins, as cruciferins, is increased  
280 by nanosilver treatment. In *Arabidopsis*, seedling germination  
281 requires the breakdown of cruciferins, which are used as an  
282 initial source of nitrogen.<sup>116</sup> Such a mechanism could be  
283 correlated with the positive effects induced by ENM treatment  
284 in rocket root growth.

285 Proteomic analysis also showed an increase in the levels of  
286 detoxifying enzymes (e.g., glucosidase 23)<sup>117</sup> localized in  
287 endoplasmic reticulum (ER) in *E. vesicaria* plants exposed to  
288 nanosilver. An altered ER morphology is observed upon  
289 nanosilver treatment, and these results indicate that ER might  
290 be a crucial cellular target of the plant response to PVP–Ag  
291 NPs.<sup>78,80</sup> In addition, nanosilver exposure decreases the  
292 abundance of two vacuolar-type proton ATPase subunits  
293 (Table S2), suggesting a role for the vacuole in ENM  
294 detoxification, as reported in other species.<sup>38,93</sup>

295 Mirzajani et al.<sup>79</sup> reported protein expression changes in rice  
296 roots exposed to nanosilver (18 nm diameter; Tables 1 and S2).  
297 Nanosilver treatment in *O. sativa* enhances the cellular levels of  
298 proteasome subunits and a 60S acidic ribosomal protein,  
299 indicating that the accumulation of damaged proteins, followed  
300 by their degradation via the ubiquitin pathway, and de novo  
301 protein synthesis are processes associated with ENM stress  
302 response. As in *E. vesicaria*,<sup>78</sup> the levels of enzymes involved in  
303 oxidative stress response (e.g., SOD and ascorbate peroxidase)  
304 are increased in rice plants treated with nanosilver. This could  
305 be the consequence of an enhanced transcription of these  
306 genes, as observed by Ag NP treatment in *Arabidopsis* (Table  
307 S1).<sup>43,71</sup> Moreover, nanosilver exposure in rice reduces the  
308 abundance of Ca<sup>2+</sup>-binding messengers calmodulin 1 and 3,  
309 known to be involved in signal transduction in response to  
310 various biotic and abiotic stressors;<sup>118,119</sup> an alteration of the  
311 Ca<sup>2+</sup>-signaling pathway mediated by nanosilver can negatively  
312 affect cell metabolism in rice.

313 Proteomic analysis was also conducted in wheat treated with  
314 10 mg L<sup>-1</sup> PVP–Ag NPs (10 nm diameter), a level sufficient to  
315 compromise both root and shoot elongation (Tables 1 and  
316 S2).<sup>80</sup> PVP–Ag NP treatment enhances the accumulation of  
317 three  $\alpha$ -amylases in wheat roots, and increased levels of these  
318 proteins can be related to the observed reduction of starch  
319 grains in treated roots.<sup>80</sup>

320 In both rocket and wheat,<sup>78,80</sup> PVP–Ag NP exposure results  
321 in an increase in the levels of malate dehydrogenase (MDH),  
322 an enzyme which catalyzes the reversible reaction of  
323 oxaloacetate to malate. A higher root exudation of organic  
324 acids, such as malate, mediated by MDH is known to be  
325 connected with metal stress tolerance.<sup>120</sup> Organic acids in root  
326 exudates can play a dual role in ENM mobility and

bioavailability: they could either mobilize ENMs to accelerate  
327 uptake in plants or complex with ENMs to inhibit their  
328 translocation.<sup>121</sup> Proteins belonging to the 14–3–3 family,  
329 known to stimulate the activity of the plasma membrane H<sup>+</sup>-  
330 ATPase and increase root exudation,<sup>122</sup> are also accumulated in  
331 root cells when exposed to PVP–Ag NPs (Table S2).

332 As observed in rocket and rice,<sup>78,79</sup> nanosilver exposure also  
333 affects the concentration of proteins with a role in plant  
334 defense, such as GSTs, peroxidases, or chitinases.<sup>123,124</sup> In  
335 addition, PVP–Ag NP exposure enhances the levels of  
336 energetic metabolism enzymes (Table S2), and this likely  
337 reflects an increased energy demand during nanosilver stress.  
338 Higher levels of the eukaryotic translation initiation factor 5A2  
339 (eIF5A), the 60S acidic ribosomal protein but also of  
340 proteolytic enzymes suggest that nanosilver may affect protein  
341 synthesis and degradation in wheat, as reported in rice.<sup>79</sup>  
342 Although differences in the time of exposure, dose, particle size,  
343 and plant material can make it difficult to obtain a mechanistic  
344 understanding of plant response to nanosilver, different omics  
345 data show that nanosilver exposure triggers plant defense  
346 pathways, involving the antioxidant response or synthesis of  
347 sulfhydryl-containing ligands. 348

## ■ TITANIUM DIOXIDE NANOPARTICLES 349

**Transcriptomic Response.** Several reports have been  
350 published<sup>61,70,72,73</sup> in which *Arabidopsis* was exposed to  
351 uncoated TiO<sub>2</sub> NPs (nanotitania), with experiments differing  
352 in particle size, concentration, time of exposure, and plant  
353 developmental stage (Table 1). Analysis of differentially  
354 expressed genes reveals a general down-regulation induced by  
355 early exposure (2 days) to nanotitania (10–40 nm diameter;  
356 Tables 1 and S1 and Figure S2).<sup>70</sup> Conversely, a more  
357 prolonged exposure (29 days) to high concentrations (500 mg  
358 L<sup>-1</sup>) of nano titania (33 nm diameter) up-regulates 55% and  
359 63% of transcripts in roots and shoots of *Arabidopsis* seedlings,  
360 respectively.<sup>73</sup> Smaller changes in gene expression (Figure S2)  
361 are instead produced in *Arabidopsis* by nanotitania treatments  
362 for 7 to 12 days.<sup>61,72</sup> Hierarchical clustering analysis reveals that  
363 the transcriptional profiles depend more strongly on the time of  
364 exposure (or on plant materials) than on the size or doses of  
365 the ENMs, and that response to short-term exposure to  
366 nanotitania<sup>70</sup> is rather similar to that induced by bulk material  
367 (Figure S2). 368

369 Early exposure to nanotitania (10–40 nm; Tables 1 and S4)  
370 causes down-regulation of genes encoding proteins involved in  
371 pathways usually associated with plant stress responses, such as  
372 ROS detoxification (e.g., peroxidases), triterpenoid and phenyl-  
373 propanoid metabolism, or with hormone-signaling pathways  
374 involved in the response to SA, jasmonic acid (JA), ethylene,  
375 and brassinosteroids (BRs). Similar to what was observed with  
376 nanosilver (see above), genes classified into these GO  
377 categories are significantly up-regulated during the longer-  
378 term exposure to nanotitania (33 nm)<sup>73</sup> (Table S4).  
379 Furthermore, SA supplement rescues the depressive effects of  
380 nano titania on root-hair development, as observed for  
381 nanosilver.<sup>70</sup>

382 Plant response to water stress is mainly controlled by a  
383 complex molecular network regulated by abscisic acid (ABA)  
384 and the activities of transcription factors (TFs) involved in the  
385 regulation of stomatal responses to enable plants to adapt and  
386 survive.<sup>125</sup> Genes encoding components of ABA signaling  
387 pathway, involved in stomatal complex development, lignin  
388 biosynthesis, in response to chitin (e.g., chitinases) and to water

389 deprivation (e.g., aquaporins) are significantly induced by long-  
390 term exposure to TiO<sub>2</sub> NPs<sup>73</sup> (Table S4). A prolonged  
391 treatment with nano titania could therefore induce drought  
392 stress. Nanotitania accumulation in maize (*Zea mays* L.)  
393 primary roots is, in fact, accompanied by a reduction in the cell-  
394 wall pore diameter that negatively affects water transport and  
395 transpiration.<sup>47</sup> In cucumber (*Cucumis sativus* L.), TiO<sub>2</sub> NPs are  
396 transported to the leaf trichomes, suggesting that these  
397 structures serve as a sink or even an excretory organ for  
398 these ENMs.<sup>126</sup> Trichomes, which are generally considered to  
399 have evolved to protect against water loss and herbivorous  
400 animals, are also involved in defense against heavy metal  
401 stress.<sup>127</sup>

402 Nanotitania treatment also induces genes associated with  
403 photosynthesis and chloroplast organization (Table S4). In *S.*  
404 *oleracea*, TiO<sub>2</sub> NPs increase light absorbance, chlorophyll  
405 formation, and plant photosynthetic rates.<sup>57,60,128,129</sup> These  
406 ENMs are thought to enter the chloroplast, where they likely  
407 promote energy transfer and oxygen evolution in photosystem  
408 components, thereby accelerating the photosynthetic reactions.  
409 It is also possible that nano titania can protect the chloroplast  
410 from excessive light by augmenting the activity of antioxidant  
411 enzymes.<sup>57</sup>

412 A high induction of genes in the GO category “microtubule  
413 organization” is also observed upon long-term exposure to nano  
414 titania (Table S4).<sup>73</sup> Small TiO<sub>2</sub> NPs (2.8 nm diameter) can  
415 induce microtubule disorganization in leaf epidermal and  
416 stomatal cells, followed by the 26S proteasome-dependent  
417 degradation of tubulin monomers.<sup>130</sup> This effect could be a  
418 secondary consequence of ROS generated by these ENMs<sup>2</sup> but  
419 could also arise from a direct physical interaction between the  
420 ENMs and the cytoskeleton. In fact, TiO<sub>2</sub> NP binding to  
421 microtubules has been observed *in vitro*, resulting in conforma-  
422 tional changes to the cytoskeleton.<sup>131</sup>

423 **Case of Post-Transcriptional Regulation: miRNA**  
424 **Response to TiO<sub>2</sub> NP Exposure.** A study with tobacco<sup>76</sup>  
425 showed that nanotitania (25 nm diameter; Table 1) exposure  
426 inhibits root elongation and biomass formation and significantly  
427 influences the expression profiles of several microRNAs  
428 (miRNAs), short noncoding RNA (about 22 nucleotides in  
429 length) with a role in plant development and response to  
430 environmental stresses,<sup>132,133</sup> usually controlling mRNA  
431 stability or translation of target genes. Nano titania exposure  
432 strongly increases the expression levels of miR395 and miR399,  
433 and to a lesser extent, that of miR159, miR169, miR172,  
434 miR393, miR396, and miR398.<sup>76</sup> miR395 and miR399 control  
435 plant adaptive responses to nutrient stress.<sup>134</sup> miR395  
436 expression is greatly increased under sulfate starvation, and its  
437 known targets are transcripts involved in sulfur assimilation;<sup>135</sup>  
438 these data are in agreement with the up-regulation of  
439 glucosinolate metabolism genes observed in *Arabidopsis* plants  
440 exposed to ENMs<sup>61,73</sup> and it is possible that symptoms of S  
441 starvation may be induced by nano titania exposure in tobacco.  
442 In *Arabidopsis*, miR399 is up-regulated by Pi deficiency,<sup>136</sup> and  
443 its mature form is translocated from shoot to root via the  
444 phloem, where it targets the transcript of the gene encoding E2-  
445 conjugase Pho2, leading to the expression of Pi transporters.<sup>137</sup>

446 The miR169 family is conserved in plant species and  
447 mediates the transcriptional regulation of several genes involved  
448 in plant development and in response to environmental  
449 stresses. The miR169 family responds differentially to nutrient  
450 deficiency in *Arabidopsis*,<sup>133</sup> nitrogen starvation up-regulates  
451 miR169d–g, while S and Pi starvation reduces the abundance

of nearly all miR169 members.<sup>136</sup> The compromised growth  
and development of tobacco seedlings challenged with TiO<sub>2</sub>  
NPs<sup>76</sup> may therefore reflect a nutrient deficiency induced by  
ENM exposure. The overabundance of miR169a and miR169c  
reduces the transcriptional levels of *NFYAS*, encoding for a  
transcriptional regulator of drought tolerance.<sup>138,139</sup> Drought  
stress also enhances the abundance of miR159.<sup>140</sup> Thus, it is  
also possible that exposure to nanotitania causes water stress in  
tobacco, as has been shown for both maize<sup>47</sup> and  
*Arabidopsis*.<sup>61,73</sup> In tobacco, miR395, miR399, miR169,  
miR398, and miR159 are also induced when plants are exposed  
to Al<sub>2</sub>O<sub>3</sub> NPs,<sup>77</sup> which have a negative effect on root growth  
and germination<sup>26,34,77</sup> (Table 1).

Both miR163<sup>72</sup> and miR408<sup>70</sup> are reduced in abundance  
when *Arabidopsis* is exposed to nanotitania. Targets of miR163  
are genes for components of the defense pathways,<sup>141</sup> while  
those of miR408 encode various Cu-containing proteins, such  
as plantacyanin and laccases. Plantacyanin is essential for  
electron transfer between the cytochrome b6f complex  
(plastoquinol–plastocyanin reductase) and photosystem I.<sup>142</sup>  
Laccases are involved in different physiological mechanisms,  
such as in lignin synthesis, maintenance of cell wall structure  
and integrity<sup>143</sup> and response to stress.<sup>136</sup> It is relevant that  
genes encoding components involved in photosynthesis and  
lignin metabolic processes are up-regulated by nano titania in  
*Arabidopsis* (Table S4).

In summary, plant general stress response based on  
phenylpropanoid metabolism (e.g., lignin), hormone signaling  
pathways and ROS detoxification is involved in response to  
nanotitania and nanosilver. Transcriptomic profiling (including  
miRNA) analyses show that nutritional starvation and drought  
stress are closely associated with nano titania toxicity. These  
results are in agreement with those of two recent papers<sup>144,145</sup>  
focused on the metabolomic response of *O. sativa* plants treated  
with nanotitania. The studies show nanotitania exposure yields  
high levels of aspartic and glutamic acids, indicative of an  
increase in GSH metabolism and instrumental in maintaining  
the intracellular redox status,<sup>144,145</sup> and increased levels of  
linoleic and linolenic acid in treated rice leaves,<sup>144</sup> suggesting a  
potential membrane lipid peroxidation. High levels in plants of  
the multifunctional amino acid proline, which plays various  
roles in abiotic stress including drought,<sup>146</sup> are also observed in  
rice upon nanotitania treatment.<sup>144,145</sup>

## ■ CERIUM DIOXIDE NANOPARTICLES

**Transcriptomic Response.** A pair of reports that were  
recently published<sup>61,73</sup> (Table 1) show that CeO<sub>2</sub> NPs  
(nanoceria; 21 nm in diameter) promote seed germination  
and seedling growth in *Arabidopsis*. The up-regulation of genes  
(Table S5) involved in water and nutrient uptake, trichoblast  
differentiation, and lateral root and xyloglucan metabolism is in  
agreement with the observation that seedling growth was  
enhanced by nanoceria treatment.<sup>61</sup> Notably, xyloglucan  
catabolism increases cell wall extensibility<sup>147,148</sup> that, in  
association with an increased nitrate accumulation (Table  
S5),<sup>149</sup> can lead to growth stimulation.

As previously described for nanosilver and nanotitania, a  
prolonged exposure to nanoceria (29 days)<sup>73</sup> increases the  
transcription of genes repressed by ENM treatment performed  
for shorter times (12 days).<sup>61</sup> Genes associated with several  
stress responses, including ROS detoxification, various  
metabolic processes associated with SAR, response to ethylene  
stimulus and S-containing compound metabolism are repre-



514 tentative of this differential molecular response associated with  
515 different times of ENM exposure. A strong down-regulation of  
516 genes involved in oxidative stress response has been observed  
517 after shorter time of nanoceria treatment<sup>61</sup> (Table S5),  
518 indicating that ROS may play a crucial role at early stages of  
519 *Arabidopsis* seed germination. Interestingly, ROS are produced  
520 during germination in radish through an active, developmentally  
521 controlled, physiological process for protecting the  
522 emerging seedling against pathogens and other stressors.<sup>150</sup>

523 **Proteomic Response.** Majumdar et al.<sup>81</sup> reported a  
524 proteomic analysis in kidney bean seeds exposed to nanoceria  
525 (8 nm diameter; Tables 1 and S2). The levels of 23 proteins are  
526 differentially modulated upon nanoceria exposure; the majority  
527 of these proteins (91%) are under-abundant in treated plants.  
528 Although the plants did not exhibit overt toxicity, the levels of  
529 seed proteins associated with nutrient storage (phaseolin),  
530 carbohydrate metabolism (lectins), and protein storage  
531 (legumin) were significantly reduced in a dose-dependent  
532 manner (Table S2). The authors suggest that nanoceria could  
533 impair the nutritional content and quality of kidney beans.  
534 Lectins, associated with carbohydrate metabolism, also play a  
535 role in defense against biotic stress.<sup>151</sup> Therefore, their  
536 reduction indicates that nanoceria could diminish pathogen  
537 resistance in beans. Increased levels of purple acid phosphatase  
538 suggest that nanoceria can induce better Pi acquisition, in  
539 agreement with a higher Pi content observed in plants exposed  
540 to these ENMs.<sup>81,152</sup>

541 Therefore, long-term treatment with nanoceria in *Arabidopsis*  
542 plants increases expression of genes associated with SAR,  
543 ethylene-dependent pathway, S-containing compound metabo-  
544 lism, and in the oxidative stress response.<sup>73</sup> In the same way, a  
545 recently published study on a proteomic and metabolomic  
546 analysis in *Phaseolus vulgaris* L.<sup>153</sup> shows that nanoceria alters  
547 the abundance of antioxidant compounds, such as carotenoids  
548 and phenolics, glucosinolate metabolism, and the abundance of  
549 some key enzymes involved in response to oxidative stress, such  
550 as ascorbate peroxidase and glutathione peroxidase. In the seeds  
551 of exposed kidney beans, many under-abundant proteins are  
552 involved in nutrient storage, carbohydrate metabolism, and  
553 protein storage.<sup>81</sup>

## 554 ■ ZINC OXIDE NANOPARTICLES

555 ZnO NPs have been reported to be more toxic than other  
556 ENMs.<sup>26,27,72,74</sup> Different doses (4–100 mg L<sup>-1</sup>) of ZnO NPs  
557 (20–100 nm diameter) negatively affect plant growth and  
558 morphology and induce similar transcriptional changes in  
559 *Arabidopsis* (Tables 1 and S6).<sup>72,74</sup> GO analysis of the affected  
560 genes (Table S6) revealed commonalities with the response to  
561 Zn<sup>2+</sup> ions.<sup>154</sup> The up-regulation of genes (Table S1) encoding  
562 proteins involved in metal binding, transport (e.g., Nramp4,  
563 Zif1, Hma4), metal homeostasis and detoxification (e.g.,  
564 metallothioneins and oligopeptide transporter Opt3) suggests  
565 that Zn<sup>2+</sup> ion release by ZnO NPs is a key factor in mediating  
566 their toxicity.<sup>74</sup>

567 ZnO NP exposure strongly represses genes involved in the  
568 biosynthesis of BRs (Table S6), which have been shown to play  
569 a critical role in alleviating heavy metal stress.<sup>155</sup> BR  
570 supplementation to tomato seedlings treated with ZnO NPs  
571 reduces oxidative stress, by increasing the activities of key  
572 antioxidant enzymes, and decreases Zn content in plants.<sup>155</sup>  
573 Negative effects induced by ENM treatment in *Arabidopsis* can,  
574 therefore, be related to the repression of BR biosynthesis  
575 genes.<sup>72,74</sup>

ZnO NP exposure induces the expression of genes involved  
576 in N and Pi starvation and in lateral root formation, while it  
577 represses genes for primary root and root hair development  
578 (Table S6). *PHR1*, a master regulator of the plant transcrip-  
579 tional response to Pi starvation,<sup>156</sup> along with the transcription  
580 factor *WRKY75*, is induced by exposure to ZnO NPs<sup>72</sup> but not  
581 to Zn<sup>2+</sup> ions (Table S1).<sup>582</sup>

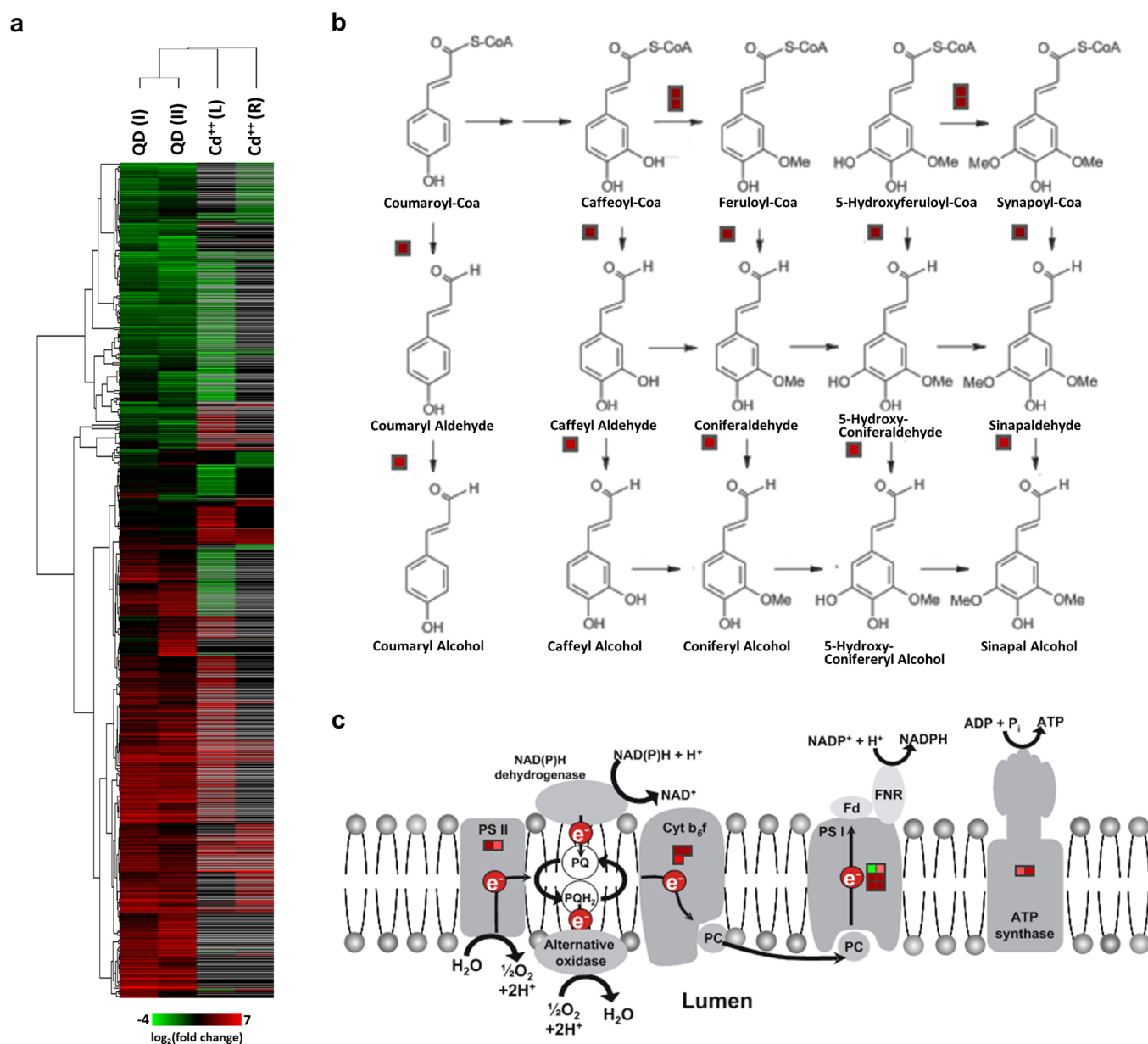
The presence of ZnO NPs reduces the abundance of  
583 transcripts involved in the modification and degradation of  
584 hemicellulose (Table S6), which is able to adsorb heavy metal  
585 ions.<sup>45,157</sup> ZnO NPs also induce alterations in cell division, cell  
586 structure, and nucleosome assembly, leading to perturbations in  
587 DNA packaging and transcriptional regulation (Table S6). As  
588 reported for other ENMs,<sup>79,80</sup> ZnO NP treatment inhibits  
589 ribosome biogenesis and, consequently, protein synthesis,  
590 increases protein degradation, and down-regulates transcripts  
591 involved in electron transport and energy production, especially  
592 photosynthesis (Figure S3 and Table S6). These adverse effects  
593 can be related to an increased production of ROS induced by  
594 these ENMs.<sup>10</sup><sup>595</sup>

In summary, negative effects are observed in the roots of  
596 *Arabidopsis* upon ZnO NP exposure. Response to ZnO NPs  
597 involves several pathways centered on oxidative stress response,  
598 root architecture remodeling, protein synthesis/turnover and  
599 energy balance. Modulation of key proteins and enzymes  
600 involved in metal homeostasis and detoxification indicate that  
601 Zn<sup>2+</sup> ions are released by these ENMs. A gap in the current  
602 literature is the lack of proteomic studies focused on plant  
603 response to ZnO NPs; future research efforts should target  
604 pathways involved in the response to ZnO NPs so as to provide  
605 necessary mechanistic information for an accurate assessment  
606 of risk from these particles.<sup>607</sup>

## 608 ■ COPPER OXIDE NANOPARTICLES

609 Experiments performed by Tang et al.<sup>75</sup> on *Arabidopsis*  
610 seedlings exposed to CuO NPs (30–50 nm diameter; Table  
611 1) under hydroponic conditions showed a reduction in root  
612 elongation. In these conditions, an altered expression of genes  
613 that are responsive to oxidative stress, phenylpropanoid  
614 biosynthesis and several hormone signaling pathways is  
615 observed (Table S7). Although there are no reports in  
616 literature of microarray experiments conducted in *Arabidopsis*  
617 plants treated with Cu<sup>2+</sup> ions under experimental conditions  
618 comparable to those of Tang et al.<sup>75</sup> to use as a comparison, it  
619 is possible to hypothesize that metal ions can be released by  
620 these ENMs,<sup>158</sup> this aspect could partially explain some effects  
621 reported, as observed in *O. sativa* by Wang et al.<sup>159</sup> For  
622 example, CuO NPs strongly up-regulate *ZAT12*, encoding a  
623 transcription factor involved in abiotic stress response,<sup>160</sup> that  
624 play a key role in ROS signaling pathway.<sup>75</sup> *Zat12* also seemed  
625 to be involved in response to metal ions (Cu<sup>2+</sup> and Cd<sup>2+</sup>) and  
626 iron deficiency.<sup>161</sup> Furthermore, *Zat12* is co-expressed with the  
627 gene *orf31*, a chloroplastic electron carrier involved in  
628 photosynthesis that has been identified as putative biomarker  
629 of ENM exposure and effect in some crops.<sup>162,163</sup> Wang et al.<sup>164</sup>  
630 reported how CuO NPs inhibited general chloroplast  
631 functionality, particularly through ROS generation and electron  
632 transport chain inhibition. Nair and Chung<sup>165</sup> reported primary  
633 root growth delay, enhanced lateral root formation, and loss of  
634 root gravitropism upon CuO NP exposure. As observed for  
635 ZnO NPs, the transcription factor *WRKY75* involved in the  
636 transcriptional response to Pi starvation was also up-regulated  
637 by CuO NP treatment (Table S1).





**Figure 2.** Transcriptional response to CdS QDs. (a) CdS QD-responsive genes compared to those regulated by  $\text{Cd}^{2+}$  ions in roots (R) or leaves (L) in *A. thaliana*. QD (I) and QD (II) represent gene expression profiles of plants exposed to two doses of CdS QDs ( $40$  and  $80 \text{ mg L}^{-1}$ , respectively). (b) Flux through the phenylpropanoid synthesis pathway is enhanced by exposure to CdS QDs but not by exposure to  $\text{Cd}^{2+}$  ions. Several genes involved in phenylpropanoid synthesis, such as *At5g44630* (encoding terpene synthase and cyclase) and *At4g16740* (ATTPS03, terpene synthase 03), are induced by CdS QDs. (c) Genes associated with photosynthesis are up-regulated by CdS QDs.

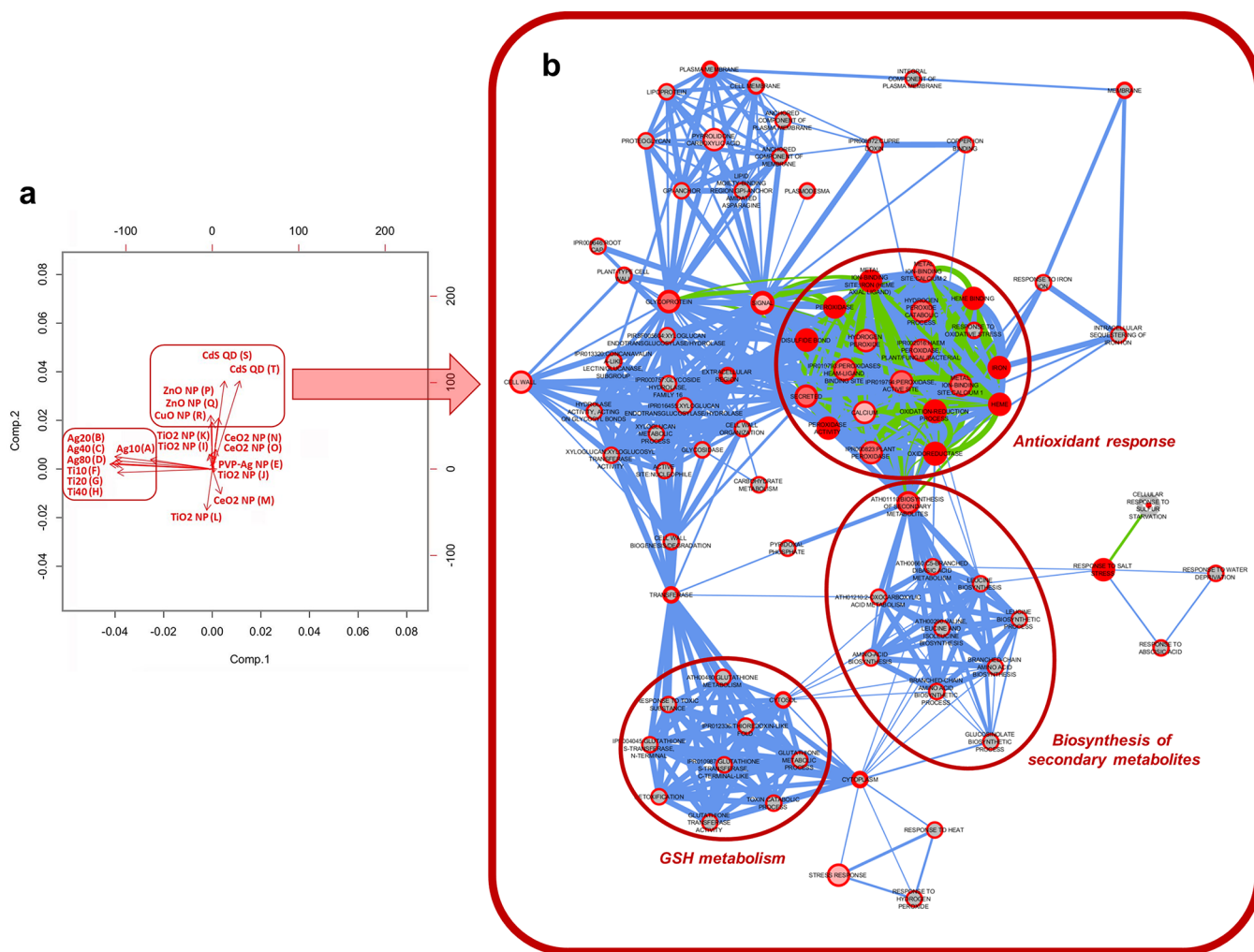
638 Therefore, CuO NPs modulate genes involved in root  
639 development and in plant stress response, as well as those  
640 implicated in hormone signaling, oxidative stress response and  
641 phenylpropanoid biosynthesis. Similar to ZnO NPs, CuO NP  
642 treatment affects the expression of genes associated with metal  
643 stress, suggesting a release of  $\text{Cu}^{2+}$  ions from these ENMs.

### 644 ■ CADMIUM SULFIDE QUANTUM DOTS

645 Marmiroli et al.<sup>46,166</sup> characterized the major transcriptomic  
646 and proteomic changes associated with exposure to CdS QDs  
647 ( $5 \text{ nm}$  diameter) in *Arabidopsis*. CdS QD treatment decreases  
648 biomass accumulation, respiration, and chlorophyll content  
649 while inducing a reprogramming of the transcription with  
650 respect to  $>1000$  genes (63% of which were up-regulated;  
651 Figure 2). Various evidence suggests a negligible  $\text{Cd}^{2+}$  ion

652 release from CdS QDs;<sup>46,167</sup> in fact, neither of the *Arabidopsis*  
653 mutants identified as tolerant to CdS QDs shows tolerance to  
654  $\text{Cd}^{2+}$ ,<sup>46</sup> and in addition, neither of the  $\text{Cd}^{2+}$  hypersensitive  
655 mutants is hypersensitive to CdS QDs.<sup>168</sup>

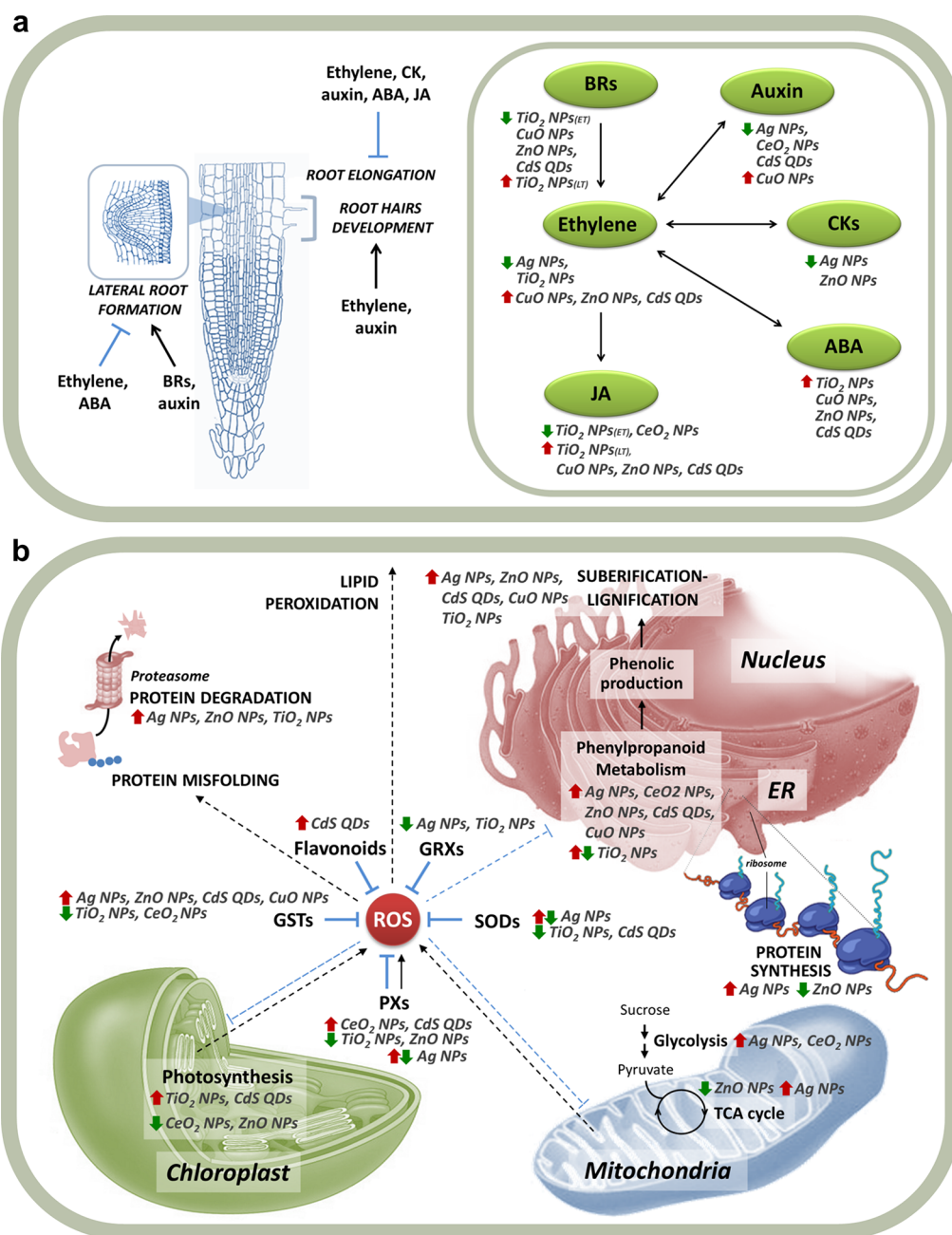
656 Genes encoding antioxidant enzymes are up-regulated in  
657 both  $\text{Cd}^{2+}$  and CdS-QD-treated plants, suggesting that the QDs  
658 induce ROS production (Table S1). Plant response to CdS  
659 QDs and  $\text{Cd}^{2+}$  includes the production of anthocyanins,  
660 antioxidant pigments able to chelate metals.<sup>54,71,72,169–172</sup> CdS  
661 QD exposure represses the genes involved in pectin synthesis  
662 (Table S8), and it has been shown that pectin degradation  
663 mediated by ROS is promoted by other ENMs.<sup>54</sup> As observed  
664 for other ENMs (Tables S3, S4, S6, and S7), CdS QDs down-  
665 regulate genes encoding for components of trichoblast  
666 differentiation and root development pathways (Table S8).



**Figure 3.** PCA and enrichment analysis and similarities among plant responses to metal-based ENMs. (a) PCA of normalized microarray data considered in this review. Capital letters (A–T) refers to different sets of microarray data enlisted in Table 1: Ag NPs [10 (A), 20 (B), 40 (C), and 80 (D) nm diameter] from García-Sánchez et al.;<sup>70</sup> PVP-Ag NPs (E) from Kaveh et al.;<sup>71</sup> TiO<sub>2</sub> NPs [10 (F), 20 (G), and 40 (H) nm diameter] from García-Sánchez et al.;<sup>70</sup> TiO<sub>2</sub> NPs (I) from Landa et al.;<sup>72</sup> TiO<sub>2</sub> NPs (J) from Tumburu et al.;<sup>61</sup> TiO<sub>2</sub> NPs (roots, K; shoots, L) from Tumburu et al.;<sup>73</sup> CeO<sub>2</sub> NPs (M) from Tumburu et al.;<sup>61</sup> CeO<sub>2</sub> NPs (roots, N; shoots, O) from Tumburu et al.;<sup>73</sup> ZnO NPs (P) from Landa et al.;<sup>72</sup> ZnO NPs (Q) from Landa et al.;<sup>74</sup> CuO NPs (R) from Tang et al.<sup>75</sup> and CdS QDs [40 (S) and 80 (T) mg L<sup>-1</sup>] from Marmioli et al.<sup>46</sup> PCA plot with relative proportions of variance of overall data set; the first two components (25.6% and 11% of variance, respectively) correspond to data sets from early exposure to Ag and TiO<sub>2</sub> NPs,<sup>70</sup> and data sets from plants treated with ENMs that showed negative effects, as CdS QDs, CuO, and ZnO NPs. (b) Enrichment analysis identify shared response to CdS QDs, CuO, and ZnO NP exposure. Analysis performed with the DAVID Functional Annotation Tool is visualize as a network using the Cytoscape network visualization software. Nodes represent gene sets, and edges represent mutual overlap; highly redundant gene sets are grouped together as clusters. Node (inner circle) size corresponds to the number of genes in data set 1 (modulated genes shared by CdS QDs, CuO NPs, and ZnO NP treatments) within the gene set. Node border (outer circle) size corresponds to the number of genes in data set 2 (shared by two out of three treatments) within the gene set. The color of the node (inner circle) and border (outer circle) correspond to the significance of the gene set for data set 1 and data set 2, respectively. Edge size corresponds to the number of genes that overlap between the two connected gene sets. Green edges correspond to data set 1, and blue corresponds only to data set 2.

667 The treated plants heightened response to water stress suggests  
 668 that CdS QD exposure may reduce hydraulic conductivity in  
 669 the primary root, leading to a reduction in leaf transpiration and  
 670 growth (Table S8). It is conceivable that physical interactions  
 671 between CdS QDs and the cell wall lead to increased root  
 672 lignification, thus compromising root morphology, apoplastic  
 673 flow and stomatal function.  
 674 CdS QD exposure up-regulates genes involved in root sulfate  
 675 uptake (Table S8). In Cd-treated plants, the increase in sulfate  
 676 uptake is related to changes in the levels of antioxidant species  
 677 (e.g., glutathione) and Cd-binding peptides involved in metal  
 678 detoxification, such as phytochelatins, or is caused by higher  
 679 turnover of sulfur-containing proteins inactivated by metal

stress.<sup>173,174</sup> Possible changes in S-dependent mechanisms may  
 be also implicated in CdS QD stress response. Genes involved  
 in photosynthesis and phenylpropanoid synthesis<sup>107</sup> are up-  
 regulated by the presence of CdS QDs but not by that of the  
 Cd<sup>2+</sup> ions (Figure 2).  
 In summary, CdS QDs release negligible amounts of Cd<sup>2+</sup>  
 ions and the toxicity observed upon CdS QD exposure seems  
 to be associated with the ENM itself. CdS QD treatment  
 decreases biomass, chlorophyll content, and respiratory  
 efficiency in *Arabidopsis* but increases the transcription of  
 gene products involved in antioxidant synthesis, water stress  
 response, photosynthesis, and plant-root development.



**Figure 4.** Pathways mediated by metal-based ENMs in plants. (a) Simplified cross-talk diagram showing the interaction between hormone signaling pathways and NP stress response. Hormone-signaling pathways coordinate root growth and lateral root and root-hair development through complex cross-talk. The activities of auxin, ethylene, ABA, JA, cytokinins (CKs), and brassinosteroids (BRs) exhibit either synergistic or antagonistic interactions. TiO<sub>2</sub> NPs (ET), early treatment to nanotitania; TiO<sub>2</sub> NPs (ST), long-term treatment to nanotitania. (b) Schematic illustration of cellular pathways responding to NP stress. PXs, peroxidase proteins; GRXs, glutaredoxin proteins; TCA cycle, tricarboxylic acid cycle. Black arrows indicate stimulatory effects; blue horizontal bars indicate inhibitory effects. Dotted lines represent hypothetical interactions. Up-regulated genes are indicated by red arrows and down-regulated by green arrows.

## 692 ■ INTEGRATING “OMICS” APPROACHES FOR 693 METAL-BASED ENM TOXICITY

694 In this Review, plant response to metal-based ENM exposure  
695 has been analyzed comparing data from various “omics”  
696 studies<sup>46,61,70–75</sup> to construct a holistic representation of the  
697 plant response to ENMs (Figures 3 and 4). Complexity  
698 underlying the reported data likely reflects experimental  
699 differences regarding the size, dose and aggregation state of  
700 the ENMs, as well as the plant developmental stages and time  
701 of exposure (Table 1). A further complication is due to the fact

that omics studies considered in this review (Table 1) identify  
very few proteins (Table S2)<sup>78–81</sup> or miRNAs<sup>76,77</sup> modulated  
by ENM treatments in plants other than *Arabidopsis*, whose  
molecular functions are often hypothetical.

Response to various metal-based ENMs involves both  
common and specific pathways, but in general, the toxicity of  
metal-based ENMs may result from a synergistic action of the  
metal in nano and ionic forms. In an effort to identify molecular  
pathways affected by different ENMs and those genes similarly  
modulated in different conditions, PCA (Figure 3a) was used to  
assess the relationships between the transcriptomic responses



713 induced by ENM treatments. The first and second components  
714 of these analysis (Figure 3a), which captured, respectively,  
715 25.6% and 11% of the variation, are represented by the  
716 response to early treatments to Ag (10–80 nm) and TiO<sub>2</sub> (10–  
717 40 nm) NPs<sup>70</sup> (first component) and ENM treatments that  
718 cause negative effects in plant growth (second component),  
719 especially CdS QDs (5 nm) but also ZnO (20–100 nm) and  
720 CuO (30–50 nm) NPs. Notably, PVP–Ag NP (20 nm)  
721 exposure causes negative effects on plant biomass,<sup>71</sup> but the  
722 observed transcriptomic changes are not crucial in terms of  
723 effects on the total variance of the system (Figure 3a).

724 Microarray analysis uncovers several genes, e.g., involved in  
725 ROS response or root architecture remodeling, whose  
726 expression is repressed during the shorter but over-expressed  
727 under longer treatments with Ag and TiO<sub>2</sub> NPs. It is possible  
728 that early signaling events may influence the capacity of plants  
729 to trigger a successful adaptive response and a transcriptional  
730 repression of stress-related genes is suspected to be an  
731 important molecular mechanism to maintain plant responses  
732 under tight control.<sup>175</sup> This hormetic time-response emphasizes  
733 a dynamic adaptive response or phenotypic plasticity of the  
734 plant following exposure to metal-based ENMs. Among the  
735 genes transcriptionally repressed by an early exposure to Ag  
736 and TiO<sub>2</sub> NPs, a number are activated by nutrient starvation,  
737 water stress and other stimuli that modulate root system  
738 architecture (Figure 4 and Tables S3 and S4). Many studies  
739 have shown that the exposure to metal-based ENMs affects the  
740 nutritional status (e.g., Pi, S, and Fe content) of many crop  
741 species.<sup>48,81,105,176–180</sup> S metabolism, normally directed to  
742 produce Met and Cys for protein synthesis, can be redirected  
743 to the production of GSH, a key element for antioxidant  
744 response upon ENM exposure. Nutritional changes associated  
745 with ENM exposure are also highlighted by the modulation of  
746 several miRNAs (e.g., miR395 and miR399) that regulate these  
747 pathways under nanotitania exposure in tobacco plants.  
748 Furthermore, a decreased abundance of proteins associated  
749 with nutrient storage (e.g., phaseolin) mediated by nanoceria  
750 treatment in *P. vulgaris* suggests that ENMs also affect the  
751 nutritional quality of seeds.<sup>81</sup>

752 In addition, PCA plot (Figure 3a) shows that ENM  
753 treatments that cause negative effects in *Arabidopsis* plant  
754 (CdS QDs, CuO, and ZnO NPs) clustered together. GO  
755 analysis of shared genes modulated by these “negative” ENM  
756 treatments reveals that several pathways are significantly  
757 enriched (Tables S9 and S10 and Figure 3b). “Negative”  
758 ENMs cause an overexpression of genes involved in other stress  
759 responses (e.g., water deprivation) and in phenylpropanoid  
760 metabolism (Figures 3b and 4b), suggesting that an increase in  
761 suberification or lignification of plant cell walls can be crucial  
762 for the ENM stress response. However, excessive lignification  
763 of the cell wall makes mineral and water uptake more difficult,  
764 subsequently reducing plant growth and total chlorophyll  
765 content.<sup>181</sup>

766 “Negative” ENMs also increase the expression of genes  
767 belonging to hormone signaling pathways<sup>182,183</sup> (Figure 4a and  
768 Tables S9 and 10). Ethylene acts primarily to increase cell  
769 expansion along the transverse axis,<sup>183</sup> and synergistically with  
770 auxin, to promote root-hair formation, inhibiting simultaneous  
771 primary root elongation.<sup>184</sup> Lateral root formation is also  
772 prevented by ethylene, but it is increased by auxin and  
773 brassinosteroids; up-regulation of genes involved in the  
774 ethylene signaling pathway is observed in the presence of  
775 CdS QDs, CuO, and ZnO NPs (Tables S6–S8 and S10).

Notably, an early exposure to Ag and TiO<sub>2</sub> NPs have the  
opposite effect (Tables S3 and S4). ABA plays a key role in  
inhibiting lateral root formation when plants are exposed to  
environmental stress<sup>185</sup> and acts as an antagonist of BR-  
promoted growth. Genes induced by ABA are up-regulated by  
CdS QDs and CuO and ZnO NPs, while genes involved in BR  
biosynthesis are repressed by treatment with these ENMs  
(Tables S6–S8 and Figure 4a). The major role of JA is in  
defense against pathogen attack, but this hormone also has a  
role in plant growth control;<sup>183</sup> the transcription of some JA-  
responsive genes is increased upon exposure to “negative”  
ENMs (Tables S6–S8). Genes involved in biosynthesis of SA, a  
signaling molecule that plays a role in general plant stress  
response, are down-regulated by an early exposure to Ag and  
TiO<sub>2</sub> but are up-regulated by exposure to CdS QD and CuO  
and ZnO NP treatments (Tables S3, S4, S6–S8, and S10).

The “omics” platforms are consistent in predicting that  
“negative” ENMs induce in *Arabidopsis* an oxidative stress  
response through ROS production (Tables S9 and S10; Figures  
3b and 4b), as reported in crops.<sup>105,162,163</sup> Genes encoding  
proteins belonging to NADPH oxidase and SODs but mainly  
peroxidases and GST families, all involved in antioxidant  
pathways that drive ROS detoxification, are significantly  
modulated upon CdS QD and CuO and ZnO NP treatments  
(Figures 3b and 4b). The up-regulation of genes as GSTs can  
be associated with an elevated S demand in root and leaves.<sup>105</sup>  
In addition, the biosynthesis of nonenzymatic antioxidants (e.g.,  
flavonoids) is increased upon CdS QD exposure (Tables S8).

Oxidative stress can damage lipids, proteins and DNA and  
interfere with biochemical reactions, such as reducing photo-  
synthesis.<sup>3,21,39,49–52,54,55</sup> Interestingly, alteration in the tran-  
script or protein levels of key components of protein synthesis  
and protein degradation are modulated in plants exposed to Ag,  
TiO<sub>2</sub>, and ZnO NPs (Tables S3, S4, and S6; Figure 4b).  
Conversely, different transcriptional changes are observed in  
genes involved in synthesis and function of both photosynthetic  
complexes I and II upon ENM treatments (Figure 4b).  
Negative and positive effects on chlorophyll synthesis have  
been observed upon treatment with several  
ENMs.<sup>3,10,20,46,186–188</sup> A more-practical insight that arises  
from these molecular studies is related to the possibilities to  
develop new strategies and predictive tools for assessing  
exposure and effects (e.g., biomarkers) in plants.<sup>162</sup>

## ENVIRONMENTAL IMPLICATIONS

Omics approaches have succeeded in identifying certain  
responses, which point to potential toxicity pathways and to  
modes of action of ENMs. Exposure to ENMs clearly provokes  
a generalized stress response, and plenty of evidence favors the  
notion that oxidative stress is one of its drivers. However, ENM  
exposure is also associated with the regulation of a suite of  
genes involved in nutrient uptake and transport, root  
development, and hormone-signal transduction that induce a  
range of physiological and morphological changes. This more-  
holistic view of the plant response may well conflict with  
outcomes inferred from purely transcriptomic or proteomic  
data, which impose a largely mechanistic perspective. Here, the  
approach was to consider omics data derived from a variety of  
sources, and the issue was how to integrate multiple data sets  
derived from distinct experimental conditions and based on a  
number of different ENMs. The comparisons have been further  
complicated by inherent differences in the size of the omic data  
sets. This disparity in itself mitigates against any straightforward

838 application of multivariate statistics. Nevertheless, some  
839 interesting insights have been obtained, as reported in Figures  
840 3 and 4.

841 Phenotypic data, while being highly informative, suffer from a  
842 lack of robustness as reflected in the variability, which arises  
843 from the existence of genotype-environment interactions;  
844 instead, omics data, while generally robust, tend to be less  
845 informative and are essentially descriptive, if not properly  
846 deciphered and integrated. It has been frequently noted that  
847 transcriptomic and proteomic data are at best only loosely  
848 correlated with one another,<sup>166,189</sup> but this discrepancy arises  
849 from other processes, notably post-translation modification,  
850 which intervene between transcription and protein accumu-  
851 lation. Nevertheless, omics-based analyses do clearly benefit  
852 from the use of mutants, just as phenotypic studies do.<sup>46,166</sup> In  
853 critically reviewing the existing data, we have not fully  
854 considered metabolomic studies, in part because most of  
855 these works have been published only recently.<sup>144,145,153,190,191</sup>  
856 Indeed, metabolomic profiling analysis is a powerful tool that  
857 can provide a deeper insight into the response of complex  
858 biological systems under ENM stress. Metabolites in many  
859 cases represent the final downstream product of gene  
860 expression, and as such, the metabolome is strongly related  
861 to the phenotype when a genetic control in the response has to  
862 be considered. The incorporation of these omics metadata into  
863 network analysis should promote the integration of  
864 mechanistic and holistic views of the living organism.

865 From an environmental perspective, a thorough under-  
866 standing of plant response to ENMs is very important. New  
867 applications of nanotechnologies in agriculture, which range  
868 from crop productivity and nutritional quality to plant  
869 protection,<sup>192–195</sup> may in fact pose unpredictable risks  
870 associated with the intentional release of ENMs into the  
871 environment.<sup>196</sup> This may lead to higher input fluxes than  
872 predicted to date.<sup>197</sup>

873 Therefore, some results discussed suggest caution when  
874 advocating the use of metal-based ENMs on crop plants  
875 because properties, such as nanoscale size, composition,  
876 coating, and application method, may cause problematic effects  
877 even when overt toxicity is not evident.<sup>192</sup> In addition, further  
878 studies are needed to understand how ENMs are transferred  
879 through ecosystems along various pathways, how these  
880 materials can cause toxicity to different organisms and  
881 communities, including affecting biodiversity, and how transfer  
882 within food chains to top level consumers can occur. Many of  
883 these questions will need to be addressed prior to the  
884 sustainable application of ENMs in agriculture.

## 885 ■ ASSOCIATED CONTENT

### 886 ● Supporting Information

887 The Supporting Information is available free of charge on the  
888 ACS Publications website at DOI: 10.1021/acs.est.7b04121.

889 Additional details on negative and positive effects of  
890 metal-based ENMs in plants is presented. Figures  
891 showing a boxplot of the distribution of normalized  
892 microarray data, the hierarchical clustering and the  
893 functional analysis of TiO<sub>2</sub> NP responsive genes in *A.*  
894 *thaliana*, and the metabolic pathways associated with the  
895 transcriptional changes induced by the exposure of *A.*  
896 *thaliana* to ZnO NPs. (PDF)

897 Tables showing the complete set of gene expression data  
898 from plants exposed to metal-based NPs, differentially

expressed proteins identified, the GO biological process 899  
analysis of ENM modulated genes, and lists of shared 900  
genes identified in treatments with negative effects 901  
identified by PCA and their GO characterization. (ZIP) 902

## 903 ■ AUTHOR INFORMATION

### 904 Corresponding Author

\*Phone: +39-0521905606; fax: +39-0521906222; e-mail: 905  
nelson.marmiroli@unipr.it. 906

### 907 ORCID

Roberta Ruotolo: 0000-0002-5895-8006 908

Jason C. White: 0000-0001-5001-8143 909

Nelson Marmiroli: 0000-0001-9261-4699 910

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