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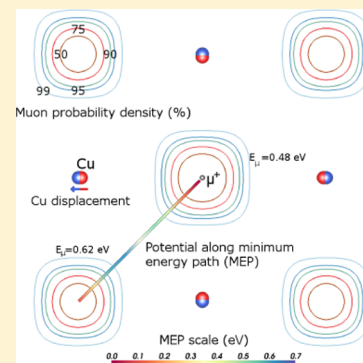
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Efficient and Reliable Strategy for Identifying Muon Sites Based on the Double Adiabatic Approximation

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ABSTRACT: We revise the simple and yet powerful approach of the Double Adiabatic Approximation (DAA) to study positive muon embedding sites in condensed matter. This method introduces a tractable and reasonably accurate description of the quantum nature of the muon in Density Functional Theory (DFT) simulations aiming at identifying muon sites. Moreover, this approach overcomes the limitations of the harmonic approximation in terms of both computational efforts and accuracy. Notably, it also improves treatment of muon diffusion. We use the DAA and Nudged Elastic Band calculations to determine the muon sites and the activation energies between equivalent sites in MnSi and copper. In metallic Cu, the proposed phonon-assisted mechanism for the low-temperature muon diffusion in the lattice is confirmed.



INTRODUCTION

Muon spin rotation and relaxation (μ SR) spectroscopy is an experimental technique that provides the time evolution of the muon spin polarization by exploiting the anisotropic emission of the decay positron.

The main field of application of μ SR is material science, but it is also adopted in chemistry for studying molecular dynamics and radical kinetics.^{1–3} Both μ^- SR and μ^+ SR exist, but, since μ^- undergoes nuclear capture and the surviving μ^- fall into atomic orbitals extremely close to the nuclei, μ^+ SR is more commonly used to investigate condensed matter.

μ SR experiments provide a measure of the microscopic field distribution at the interstitial site(s) where the μ^+ stop inside the sample. A seemingly embarrassing point is that these sites are usually unknown to the experimenter and the additional lack of knowledge on the perturbation generated locally by the positive muon probably represent the most common criticisms of the experimental technique. Even though in a large variety of cases ignoring the muon position does not represent a limitation, having access to information on interstitial sites and on muon-induced perturbations might be crucial to evaluate electronic properties such as long-range magnetic orders or localized magnetic moments.

Predicting the position of interstitial muon sites is not a trivial task. During the final stages of thermalization in the sample the scattering between the muon and the atoms of the compound leads to a kinetic energy degradation from hundreds to tenths of electronvolts. Nonetheless, the identification of diamagnetic muon sites with approaches based on the Density Functional Theory (DFT) is emerging as a new and valuable strategy to routinely assist μ SR experiments interpretation. After the pioneering work of Van de Walle et al., in recent years, this method, which provides information on the electronic and crystalline perturbations introduced by the

muon, has been validated in many experimental cases with great success.^{4–13}

There are two main difficulties in the DFT approach. One is inherited from the mean-field description which cannot always correctly describe some classes of materials, like strongly correlated electrons systems or even some semiconductors mistaken for metals. This problem can be alleviated with the many ad hoc corrections that have been developed so far.^{14–18} The second problem arises from the omission of the quantum nature of the nuclei. In standard DFT simulations, the nuclei are treated with the usual Born–Oppenheimer (BO) approximation in order to solve two separate Schrödinger equations for the nuclei and for the electrons. The BO approximation represents one of the major drawbacks in the description of the muon/sample interactions since the muon enters as a (light) proton in the Kohn–Sham Hamiltonian, which is self-consistently solved only for the electrons. When modeling the effect of the impurity, one usually finds many metastable configurations that turn out to be unstable when the kinetic energy of the muon is taken into account.

Motivated by the study of muon vibrational energies or proton coupled transfer reactions, many approaches to go beyond the BO scheme have been developed.^{19–29} However, since our aim is to provide a supporting tool for μ SR data analysis (as it is already the case for many other spectroscopic techniques), these methods are found to be prohibitively demanding of storage and computer time. For this reason the quantum nature of the muon, which is of course relevant given its small mass, is introduced a posteriori.^{8,30}

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78 A method already used in this context is the linear response
79 approach to study the vibrational modes of the muon impurity
80 in the hosting material.^{8,31} This strategy has the advantage of
81 treating the muon and the nuclei on the same footing and uses
82 the usual BO separation for the electrons. This is reasonable in
83 view of the small ratio between the proton mass and the muon
84 mass. However, it is known that, even for the protons, the
85 harmonic approximation can break down.³² Of course, this is
86 usually the case also for the muon. For this reason we recovered
87 a different approach based on the Double Adiabatic
88 Approximation (DAA) which has already been used for both
89 the proton and the muon to study their ground state
90 energy.^{23,30} While its applicability is limited to materials having
91 heavy nuclei, starting from magnesium the ratio $m_{\text{Mg}}/m_{\mu} \sim 214$
92 exceeds m_{μ}/m_e , making the electronic BO separation the main
93 source of approximation. Moreover, as discussed below, this
94 approach has the additional advantage of providing a rough but
95 cost-effective analysis of the diffusion mechanisms which can
96 take place after muon's thermalization.^{33–38}

97 The article is divided as follows. In the next section we briefly
98 summarize our DFT approach to identify muon sites and report
99 the DAA equations for clarity. Then we present two examples
100 which illustrate the advantages of using the DAA method for
101 studying the ground state energy of the muon.

102 ■ MUON SITE IDENTIFICATION WITH DFT

103 The main idea, introduced by many works so far,^{6–9,11,13} is to
104 consider the positive diamagnetic muon as a hydrogenoid
105 impurity in the system under study. The relaxed positions for
106 the charged impurity are then identified by sampling the
107 interstitial space with a grid of points in the unit cell and
108 minimizing the forces in the system for each of the starting
109 positions. This kind of simulations are usually performed with
110 periodic boundary conditions, therefore supercells are con-
111 structed to limit the contribution arising from the interaction of
112 the impurity with its periodic replica. It has been found that a
113 neutral supercell approach provides the best results for metals,
114 while a charged supercell with a corresponding compensating
115 background is a better approximation for insulators.^{6–8,10} This
116 is understood by considering that in metals the charged particle
117 is effectively screened by conduction electrons and the neutral
118 supercell approach is therefore a better description of the real
119 system.

120 During the above minimization procedure, many local
121 minima are occasionally encountered. We label them
122 “candidate muon sites”. Usually not all candidate sites are
123 muon sites. This is due to the quantum nature of the muon
124 which is neglected in the relaxation steps. This problem is not
125 so relevant when studying hydrogen intercalation since small
126 energy barriers are sufficient to trap hydrogen impurities.
127 However, the ground state energy of the muon can be up to an
128 order of magnitude larger than that of the proton, thus making
129 many interstitial positions unstable. To discriminate between
130 binding and nonbinding sites, the ground state energy of the
131 muon must be evaluated.

132 Incidentally, we remark that the quantum description of the
133 muon also provides relevant information on phenomena such
134 as muon diffusion or hyperfine couplings.^{5,8}

135 ■ DOUBLE ADIABATIC APPROXIMATION

136 As already discussed, a quantum description of the muon can
137 be introduced by studying its vibrational modes. However,

apart from accuracy problems, the number of irreducible modes
that have to be analyzed grows with the number of basis
elements within the simulation cell. Since treating the muon as
an impurity requires a supercell approach, this method often
becomes extraordinarily demanding even for the most powerful
supercomputers.

Here we propose instead to introduce a double adiabatic
approximation to separate the degrees of freedom of the muon
from those of the electrons and of the nuclei. This is a rather
crude approximation in some cases, but it has at least three
valuable advantages. First, it allows to take into account the
potential felt by the muon beyond the harmonic approximation.
Second, this method can provide ground state energies for
unrelaxed structures. This is especially useful when studying
diffusion processes as will be discussed later. Finally, this
approach provides information on the muon wave function
with a reasonable amount of computational effort.

We now briefly recall the DAA equations for a single muon
in the sample. The total Hamiltonian of the system is

$$\mathcal{H}_{\text{tot}} = -\frac{\hbar^2}{2m_{\mu}}\nabla_{\mu}^2 - \sum_{j=1}^{N_N} \frac{\hbar^2}{2M_j}\nabla_{N_j}^2 + \mathcal{H}_e \quad (1)$$

$$\mathcal{H}_e = -\sum_{i=1}^{N_e} \frac{\hbar^2}{2m_e}\nabla_i^2 + V(\mathbf{r}_e, \mathbf{r}_{\mu}, \mathbf{R}) \quad (2)$$

$$\mathcal{H}_{\text{tot}}|\Psi\rangle = E|\Psi\rangle \quad (3)$$

where index j runs over the N_N nuclei having the set of position
operators \mathbf{R} and masses M_j , \mathbf{r}_{μ} and m_{μ} are the position operator
and the mass of the muon and the index i runs over the N_e
electrons having the set of coordinate operators \mathbf{r}_e and mass m_e
and $V(\mathbf{r}_e, \mathbf{r}_{\mu}, \mathbf{R})$ includes all the interactions between the
electrons, the nuclei and the muon. If we approximate the total
wave function $|\Psi\rangle$ with the product wave function $|\psi_e\rangle|\phi_{\mu}\rangle|\chi_N\rangle$,
the position operators of the muon and of the nuclei enter only
as parameters in the eigenvalue problem for the electrons
 $\mathcal{H}_e\psi_e(\mathbf{r}_e; \mathbf{r}_{\mu}, \mathbf{R}) = \epsilon(\mathbf{r}_{\mu}, \mathbf{R})\psi_e(\mathbf{r}_e; \mathbf{r}_{\mu}, \mathbf{R})$ that is solved with the
Kohn–Sham iterative scheme. By solving the Schrödinger
equation of the electrons for the positions $\{\mathbf{r}_{\mu}\}$ we can
construct the potential entering the Schrödinger equation of
the muon:.

$$\left(-\frac{\hbar^2}{2m_{\mu}}\nabla_{\mu}^2 + \epsilon(\mathbf{r}_{\mu}, \mathbf{R})\right)\phi_{\mu}(\mathbf{r}_{\mu}; \mathbf{R}) = E_{\mu}(\mathbf{R})\phi_{\mu}(\mathbf{r}_{\mu}; \mathbf{R}) \quad (4)$$

Let $E_{\mu}^{(i)}$ be the i -th eigenvalue of eq 4, in the following we will
refer to $E_i = E_{\mu}^{(i)}(\mathbf{R}) - \min_{\mathbf{r}_{\mu}}[\epsilon(\mathbf{r}_{\mu}, \mathbf{R})]$ as the i -th energy of the
 i -th muon eigenfunction ($i = 0$ being the ground state).

At a first sight it may seem that this approach is rather
impractical since it requires the execution of a large number of
self-consistent loops. However, the intermediate mass of the
muon greatly reduces the number of points to be acquired.
Indeed the ground state energy of the muon is usually slightly
less than 1 eV. This makes the calculation feasible since it is
possible to obtain a good accuracy up to a couple of eV by
interpolating a points cloud formed by 5 to 10 hundred points.
The favorable convergence against the supercell sizes (usually
less than 100 atoms are required) and the constantly increasing
computational power available on computer clusters make this
method effective for many materials.

189 ■ EXPLORATION ALGORITHM

190 Cutting the computational costs needed to acquire the
191 potential felt by the muon is of utmost importance. To this
192 aim it is necessary to optimize the sampling process used to
193 construct the points cloud on which the interpolation is
194 performed. Acquiring all points in a cubic grid would probably
195 result in a too expensive computational procedure. Moreover,
196 only a small subset of the points acquired with this strategy
197 could eventually be used to interpolate the potential of eq 4
198 since interstitial positions close to the nuclei have rapidly
199 increasing total energies ϵ . Finally, as discussed in the next
200 sections, the potential felt by the muon is usually far from being
201 harmonic, thus, making the sampling over a sphere of
202 increasing radius similarly inefficient.

203 A simple exploration algorithm was designed to perform an
204 efficient sampling of the a priori unknown potential felt by the
205 muon. The exploration is controlled by three parameters: a
206 cutoff energy ϵ_C , a grid separation length δ , and a “search
207 horizon” Δ . The first parameter is used to specify the highest
208 energy that should be explored. The second parameter governs
209 the interspacing between explored points in a cubic grid. The
210 third parameter controls the maximum distance between two
211 consecutively examined points during the exploration process.
212 This last parameter is essential for two reasons. First and
213 especially in magnetic materials, the previously calculated
214 electron density must be used to converge to the correct
215 ground state and avoid other local minima in the total energy
216 landscape which reproduce, for example, different magnetic
217 configurations. This can be done only if the impurity is not too
218 far from the position where the previous self-consistency was
219 obtained. Second, reuse of electron densities speeds up the
220 calculation significantly.

221 The algorithmic procedure begins with the definition of the
222 starting point for the exploration (labeled i) and the evaluation
223 of its total energy ϵ_i . Let $n(i)$ be the number of neighboring
224 points of point i in a cubic grid for which the total energy has
225 not been calculated yet (in the implementation used in this
226 article we considered only the nearest neighbors: left, right, up,
227 down, front, back). From the initial point, the iteration
228 proceeds as follows:

- 229 • The total energies of the neighboring points $n(i)$ of the
230 current point i are inspected.
- 231 • The number of unexplored neighbors $n(i)$ for all
232 explored points i is updated.
- 233 • Among the already explored points j , those with $n(j) > 0$
234 form the set of the candidate positions for the next move.
235 The point j having the lowest energy ϵ_j and having
236 distance $d(i,j) < \Delta$ is chosen as the next point for the
237 exploration.
- 238 • The current position is set to position j and the algorithm
239 is iterated.

240 The iteration stops when there are no points j fulfilling the
241 conditions $n(j) > 0$, $d(i,j) < \Delta$, and $\epsilon_j < \epsilon_C$.

242 For $\Delta = \infty$ (infinite “search horizon”) all points with $\epsilon < \epsilon_C$
243 will be explored. This is no longer true when we limit the
244 maximum distance between consecutively explored points since
245 the algorithm can remain trapped in dead-end paths. To avoid
246 this situation we can imagine to label visited points with three
247 different colors: red, explored points whose energy exceeds the
248 cutoff energy, $\epsilon > \epsilon_C$; yellow, explored points having $\epsilon < \epsilon_C$ and
249 at least one neighbor unexplored; green, explored points
250 without unexplored neighbors.

When a dead-end point is reached, there are two possible
scenarios: either none of the explored points is yellow or there
is at least one yellow point. In the first case, the exploration is
finished, otherwise, we move from point k , where the system is
trapped, to the nearest yellow point, l . If reuse of electron
density is required, the path that minimizes the distance $d(k,l)$,
obtained for example with the algorithm by Klein and co-
workers,³⁹ can be used in order to reduce the additional
computational cost of traversing already explored points.

At the present stage, it is up to the user to check if the set of
explored points can accurately interpolate the potential up to
the threshold that is required for the subsequent analysis.

Starting from the points cloud $\{\epsilon(\mathbf{r}_\mu)\}$, the potential V_μ is
obtained by interpolation with radial basis function (RBF)
interpolants and with the Quadratic Shepard method.^{40–42}

In what follows we will refer to the above algorithm as
Potential Exploration Algorithm (PEA).

■ COMPUTATIONAL DETAILS

The DFT simulations were performed with the QUANTUM
ESPRESSO suite of codes.⁴³ The PEA code is built on top of
the routines of PW.X.

In all the calculations shown hereafter, we used the GBRV
pseudopotential library and the generalized gradient approx-
imation (GGA) as parametrized by Perdew–Burke–Ernzerhof
(PBE) to describe the exchange–correlation potential.^{44,45} The
self-consistency threshold for electronic optimization was set to
 $\leq 10^{-8}$ Ry. For relaxation calculations, in order to reach
convergence, two conditions had to be met: less than 10^{-3} Ry/
Bohr for forces and total energy differences between relaxation
steps smaller than 10^{-4} Ry. The Broyden–Fletcher–Goldfarb–
Shanno (BFGS) algorithm has been used for structural
optimization.

To locate the saddle points and optimize the transition path
between equivalent muon sites, we performed Nudged Elastic
Band (NEB) calculations.^{46,47} To comply with the DAA
approximation, we kept the atoms of the compound fixed in
their relaxed positions in all the images of the NEB simulations.
This gives an upper bound for the saddle point energy between
equivalent muon sites. In all NEB calculations, the convergence
threshold was set to 0.05 eV/Å for the forces normal to the
path.

■ MANGANESE SILICIDE

The muon site in ferromagnetic MnSi has been thoroughly
studied in a recent experiment by Amato and co-workers.⁴⁸ A
single muon site is identified with high accuracy using
transverse field μ SR measurements of the dipolar tensor in
the paramagnetic state. For this reason, MnSi represents an
ideal test-case for our computational scheme.

The candidate muon sites were identified by performing
structural relaxations on a 64 atoms MnSi supercell plus the
muon impurity. We used a cutoff of 60 and 400 Ry for plane
waves expansion and for the charge density respectively and a
 $2 \times 2 \times 2$ Monkhorst–Pack (MP) grid for reciprocal space
sampling.⁴⁹ Spin polarization was included in all simulations.
With these parameters the total energy was converged to 15
meV. Four starting points were selected to sample the
interstitial space in the unit cell. Their positions together
with the symmetry replica are shown in Figure 1. We find three
candidate muon sites which are reported in Table 1.

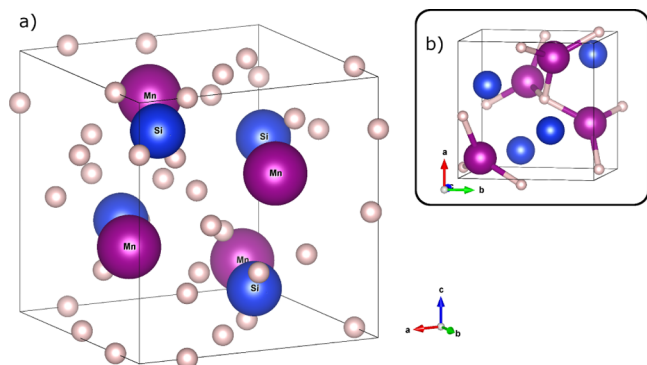


Figure 1. (a) Interstitial points (together with the symmetry replica) used as starting positions for the identification of the candidate muon sites. (b) Stable site found in MnSi (some equivalent sites outside the unit cell are shown to help the comparison with Figure 2b,c).

Table 1. Candidate Muon Sites Obtained with Structural Relaxations in a $64 + 1$ Atoms Supercell^a

candidate sites	position	$\epsilon^{(i)} - \epsilon^{(A)}$ (eV)	$E_0^{(i)}$ (eV)
A	(0.542, 0.542, 0.542)	0	0.6
B	(0.607, 0.477, 0.220)	0.86	
C	(0.329 0.329, 0.329)	1.12	

^a $\epsilon^{(i)}$ is the total energy of the relaxed structure, $E_0^{(i)}$ is the ground state energy of the muon (see eq 4). The Mn and Si positions in the unperturbed cubic lattice are assumed at (0.1381, 0.1381, 0.1381) and (0.8462, 0.8462, 0.8462), respectively. The experimentally identified muon site is in fractional coordinates (0.532, 0.532, 0.532).

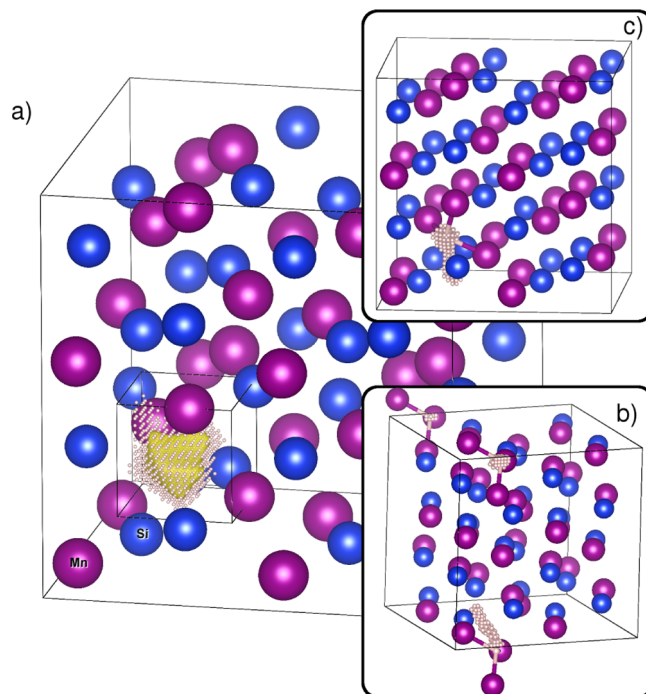


Figure 2. Main panel (a) shows the potential felt by the muon in the DAA approximation and the points cloud used for the interpolation. The isosurface is at 0.8 eV. (b, c) Small dots identify some of the points acquired, with the exploration algorithm described in the text starting from sites B and C, which are found to be local minima and nontrapping sites. In both cases, site A (see Table 1) is reached.

310 We checked if candidate muon sites are binding sites with the
 311 DAA approximation. For the sake of speed, in the PEA
 312 simulations we slightly reduced the accuracy of the calculation
 313 by lowering to 50 and 250 Ry the kinetic energy and charge
 314 expansions and sampling the Brillouin zone using only the
 315 Baldereschi point.⁵⁰ This guarantees a convergence of the total
 316 energy of ~ 0.5 meV/atom. While the total energy convergence
 317 may seem poor, we checked the accuracy of the differences
 318 between the relaxed and the displaced positions energies by
 319 performing calculations with higher cutoff and a $2 \times 2 \times 2$ MP
 320 sampling grid for reciprocal space. These tests were done for
 321 the first 20 points of the PEA calculation and for other random
 322 points. The worst case discrepancy was 4 meV with an average
 323 value of 1.5 meV. The spacing $\delta = 0.15875$ Å was used for the
 324 cubic grid and the maximum step length between consecutive
 325 moves was set to $3\sqrt{3}\delta$.

326 Sites B and C are local minima in $V(r_\mu)$ and the barrier
 327 toward site A, which in both cases is lower than 0.15 eV, is too
 328 small to bind the muon.

329 Starting from site A, 827 points provide an accurate sampling
 330 of the potential up to 1.13 eV. The points cloud shown in
 331 Figure 2a is used for the interpolation and the Schrödinger
 332 equation for the muon is solved in a 2.54 Å edge cubic box with
 333 both Dirichlet and periodic boundary conditions. From the
 334 solution of the Schrödinger equation we obtain a ground state
 335 energy $E_0 = 0.6$ eV (independently of the interpolation method
 336 and the boundary conditions used). The probability density of
 337 the ground state wave function (not shown) is well localized
 338 and, by considering the concept of turning point volume,⁶ the
 339 same conclusion can be drawn from Figure 2a, where even the
 340 isosurface for $V_\mu = 0.8$ eV is found to be confined inside the
 341 points cloud acquired during the simulation.

It should be noted that $E_0^{(A)}$ is smaller than what it is reported
 342 by Amato et al.⁴⁸ This is because in our previous paper we
 343 provided preliminary results obtained by solving the
 344 Schrödinger equation for the muon in the unperturbed
 345 electrostatic potential. The disagreement between the two
 346 approaches is not surprising since in the latter case the
 347 electrons are frozen in the unperturbed ground state density.
 348

From these results we can conclude that only one muon site
 349 is present in MnSi. This is in agreement with the experimental
 350 outcomes and the position obtained from the simulation differs
 351 from the experimental estimation by less than 0.05 Å.⁴⁸
 352

■ COPPER

353 Face-centered cubic copper represents an interesting test case
 354 since it is known that the muon diffuses through the material
 355 with a correlation time τ_c which, in the temperature range from
 356 100 to 300 K, follows an exponential law and ranges from τ_c^{-1}
 357 ~ 0.1 to $10 \mu\text{s}^{-1}$.³⁵ The diffusion mechanism was thoroughly
 358 studied with both experimental and theoretical ap-
 359 proaches.^{36,51–54} In one of the last experiments performed on
 360 highly pure FCC copper samples, the high temperature data
 361 could be fitted with both a classical thermal activated law, that
 362 is, $\tau_c^{-1} \propto \exp(-E_A/k_B T)$ (E_A activation energy to overjump the
 363 barrier) and a phonon-mediated tunneling model that takes
 364 into account the small polaron theory.^{55,56} In the latter case, a
 365 temperature-dependent prefactor multiplies the exponential
 366 law.^{51,57} Above 300 K, a few experimental data points seem to
 367 completely miss the 90–300 K and Schilling et al.⁵¹ and
 368 Teichler^{57,58} suggested that, at high temperature, an additional
 369 diffusion mechanism should take place.
 370

Here we checked if the DAA approach is sufficiently accurate
 371 to allow the description of the diffusion mechanism(s). The
 372

373 identification of the muon site followed the standard procedure
 374 described above. A cubic supercell with 32 Cu atoms and the
 375 muon was considered. Two candidate positions in the
 376 octahedral (O) and the tetrahedral (T) cavity sites were
 377 correctly identified with the structural relaxations. In the T and
 378 O sites, the nearest neighboring Cu atoms are outward
 379 displaced from the muon by 5.9 and 2.3%, respectively. The
 380 latter value is slightly smaller than what is experimentally
 381 observed, but it is in agreement with previous calculations.^{52,59}
 382 The same result is also obtained with a 109 atom cell, thus,
 383 confirming the convergence against the supercell size.
 384 We collected the points needed to interpolate V_μ with PEA
 385 calculations performed with cutoffs of 50 and 250 Ry for the
 386 plane wave and the charge density expansion respectively and a
 387 $3 \times 3 \times 3$ MP grid for reciprocal space integration. The same
 388 spacing δ used for MnSi was adopted. Starting from the T site,
 389 the barrier toward O sites is just 0.3 eV and no localized states
 390 at the T site were found. The first 800 points acquired starting
 391 from the O site are shown in Figure 3b. As it is evident from

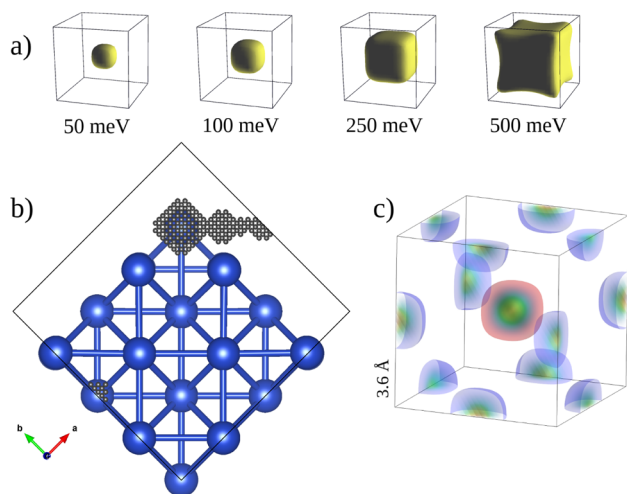


Figure 3. (a) Four isosurfaces for the interpolated potential felt by the muon in the octahedral site. Notice that at nuclear “phonon-like” energies, the potential almost retains its spherical shape. On the other hand, at muon vibration energies, the potential is far from being harmonic. (b) First 800 points acquired during the exploration with the PEA. Copper atoms are in blue, while all the positions occupied by the muon are in gray. The route toward the neighboring octahedral site passing through the tetrahedral site is clearly visible. Copper atoms do not appear at all the cell boundaries because of the small distortion introduced by the muon (see text). (c) Probability densities for the ground state and the degenerate excited states are depicted with a color map and the 95% probability densities are shown with red (central) and blue (corners) isosurfaces, respectively.

392 the figure, during the exploration process the PEA reached the
 393 saddle point between the O and the T site, explored the T site
 394 and then moved to the equivalent O minimum of the
 395 neighboring cell.

396 In conclusion, the O site is clearly the global minimum of V_μ
 397 and we can deduce that the muon hops among O sites only.⁵²

398 To check if the mechanism responsible for the muon
 399 diffusion is the classical overbarrier hopping or a phonon-
 400 assisted quantum tunneling, the energy barrier between the O
 401 sites and the eigenstates for a muon in the distorted O site and
 402 in the neighboring sites must be evaluated.

403 By imposing the 48 point group symmetry operations on the
 404 acquired points, a 9301 points cloud was obtained and used to

interpolate the potential of the distorted O site and of the 12
 neighboring equivalent sites, providing an accurate description
 of the potential up to 1.5 eV. We then solved the Schrödinger
 equation for the muon in the potential V_μ which is obtained by
 interpolation of the data points with radial basis functions (with
 r , r^3 , and $r^2 \times \log(r)$ as basis functions) and trilinear
 interpolation with periodic boundary conditions.

We found a ground state with $E_0 \sim 0.48$ eV and degenerate
 excited states at $E_1 = 0.62$ eV (the results from the various
 interpolation schemes differ by about 10 meV). As shown in
 Figure 3c, the two energies correspond to a muon completely
 localized in the distorted O site and in the neighboring O sites,
 respectively. No states localized only in the T site were found
 for energies up to 1 eV.

To evaluate the overbarrier activation energy, the minimum
 energy path between equivalent O sites was calculated with
 NEB simulations. The results, obtained using the same
 parameters for reciprocal space integration and convergence
 criteria as for the PEA calculations, are shown in Figure 4. The

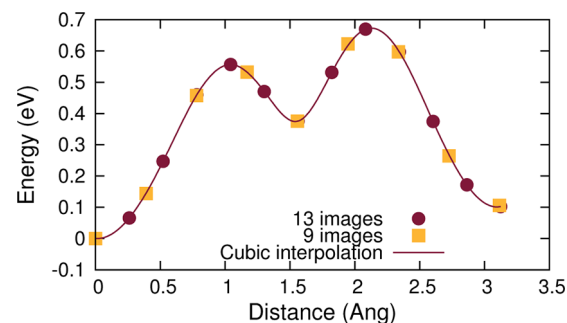


Figure 4. Minimum energy path between equivalent octahedral muon sites obtained with 13 and 9 images NEB simulations. The solid line, obtained from the cubic interpolation of the 13 images NEB results, is used to estimate the value of the saddle points' energy. The difference between the initial and the final energy is due to the distortion introduced by the muon in its starting site.

curve is asymmetric due to the distortion introduced by the
 muon in the starting O site. The best path toward the
 neighboring O site passes through the T site. The saddle point
 energy between the O and the T site is at $E_{OT} = 0.56$ eV, while
 the one between two neighboring O sites is at about $E_{OO} = 0.66$
 eV above the energy of the starting muon site. The same
 information can be directly extracted from the interpolated
 potential. The estimation of E_{OT} and E_{OO} extracted from the
 interpolated potential agrees to within 5 meV with the one
 obtained with the NEB approach. The path between O sites
 passing through a Cu–Cu bond has a much higher saddle point
 energy (about 1.6 eV).

The energy difference $E_{OO} - E_1 \sim 40$ meV indicates that the
 phonon-assisted quantum tunneling dominates over the
 classical hopping at low temperature, that is, in the 80–300
 K range. However, the classical diffusion mechanism should
 take place at slightly higher temperatures. Indeed, Schilling and
 co-workers found a better agreement of the experimental data
 with the phonon assisted small polaron diffusion theory and
 extracted an activation energy of about 80 meV.⁵¹ The value
 found with the DAA is about 1.7 times larger, but this is
 probably an overestimation due to the adiabatic approximation.

446 ■ DISCUSSION AND CONCLUSION

447 The main message of this paper is that an accurate analysis of
448 the ground state energy of the muon (possibly beyond the
449 harmonic approximation) allows to evaluate the stability of the
450 numerous candidate muon sites that are provided by the
451 structural relaxations. This can strikingly improve the predictive
452 power of DFT simulations in providing muon embedding sites.
453 In this paper we discussed an efficient and yet sufficiently
454 accurate method to obtain a quantum description of the muon.
455 The PEA machinery provides a reliable way to distinguish
456 between trapping and nontrapping muon sites (which are
457 routinely obtained during the structural relaxations) with a
458 computationally tractable description of the ground state
459 energy of the muon. Moreover, differently from methods
460 based on the analysis of the vibrational modes of the μ^+
461 impurity, this approach can provide eigenfunctions and energies
462 for a muon in an unrelaxed neighborhood, thus allowing the
463 analysis of quantum tunneling mechanisms. The estimation of
464 the muon wave function can also produce accurate ab initio
465 evaluations of many observables (e.g., contact and transferred
466 hyperfine couplings).^{8,30} While the applicability of the DAA is
467 limited to the compounds having heavy nuclei, even the mass
468 ratio m_μ/m_C is smaller than 1%.

469 The accuracy and the limits of the BO approximation have
470 been extensively discussed in literature.^{60–62} An encouraging
471 result for the muon case in this context has been provided by
472 Takahashi and Takatsuka who considered the accuracy of the
473 BO approximation for the $pp\mu^-$ molecule (p stands for proton)
474 and found a deviation smaller than 5% from the non-BO
475 vibronic energies calculated with semiclassical methods.⁶³
476 It should also be noted that for both MnSi and Cu the
477 potential felt by the muon is far from being harmonic nor it is
478 possible to fully describe it with small an-harmonic corrections
479 as evidenced in Figure 2a and Figure 3a. This is the case for
480 almost all the compound that we examined so far.

481 To verify the accuracy of the DAA, we had to revert to the
482 comparison with the experiment. In doing so, we chose two
483 nontrivial examples that have been thoroughly characterized
484 experimentally. In MnSi, we found that the ground state energy
485 of the muon makes two candidate sites unstable leaving only
486 one trapping muon site per unit cell. The final site is in nice
487 quantitative agreement with the measured muon position,
488 which differs by less than 0.05 Å. In FCC copper, an accurate
489 evaluation of the ground state energy of the muon allowed to
490 distinguish between classical and phonon-mediated tunneling
491 diffusion, providing slightly overestimated values for the
492 activation energies, but correctly identifying a lower activation
493 energy for the phonon-mediated mechanism, in agreement with
494 the experimental data.

495 Moreover, even though in literature correct estimations of
496 the muon sites were drawn from the analysis of the electrostatic
497 potential in metals, these must be regarded as fortunate cases
498 since they are based on crude and not well-justified
499 assumptions. On the other hand, it is always possible to
500 estimate the accuracy of the DAA approach by comparing the
501 kinetic energies of the species forming the compound under
502 study.

503 As a side remark, we add that the PEA code can also be used
504 for studying proton vibrational energies in those compounds
505 where the harmonic approximation is not sufficiently accurate.
506 We tested some textbook cases (hydrogen compounds HRB

and HI) and found that the DAA approach slightly improves 507
the agreement with the experimental results. 508

We finally mention that the results shown in this article were 509
obtained with a computational power ranging from 8 to 64 510
cores operating at clock speeds between 2.2 and 3 GHz. These 511
performances are nowadays becoming available also on 512
standalone workstations. 513

The source codes used for the PEA calculations, which 514
include the potential exploration tool and the 1D and 3D 515
Schrödinger equation solvers,^{64,65} are published on the web.⁶⁶ 516

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520 Notes

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