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Towards a sustainable diet combining economic, environmental and nutritional objectives

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1 **Towards a sustainable diet combining economic, environmental and**
2 **nutritional objectives**

3

4

5 **Abstract**

6 Foods consumed and dietary patterns are strong determinants of health status. Diet and
7 nutrition have a key role in health promotion and maintenance during the entire lifetime, but
8 what we choose to eat and drink greatly affects the environmental impact on ecosystems as
9 well as monetary resources. Some studies suggest that a healthy diet with a low environmental
10 impact is not necessarily more expensive. This paper aims to identify a healthy, greener and
11 cheaper diet based on current consumption patterns. Dietary information was collected from
12 104 young adults in the last year of high school in Parma (Italy). Diet was monitored with 7-
13 day dietary records. Subsequently, food items were decoded to obtain nutritional, economic
14 and environmental impact data. An optimization tool based on mathematical programming
15 (Multi-Objective Linear Programming) was used to identify sustainable diet. Three different 7-
16 day diets were identified, based on nutrition recommendations for the healthy Italian adult
17 population, characterized by different targets and optimizing different impacts: first the diet at
18 the lowest cost (Minimum Cost Diet – MCD), then the Environmentally Sustainable Diet
19 (ESD) obtained by minimizing the three environmental indicators (CO₂e emissions, H₂O
20 consumption and amount of land to regenerate the resources – m²). Finally, the Sustainable
21 Diet (SD) was identified by integrating environmental and economic sustainability objectives.
22 Lastly, suggestions and recommendations for communication campaigns and other
23 interventions to achieve sustainable diet are suggested.

24

25 **Keywords:**

26 Sustainable diet; Diet cost; Nutrients; Greenhouse gases; CO₂e emissions; Ecological impact.

27

28

29

30 **Introduction**

31 Foods consumed and dietary patterns are strong determinants of health status during our entire
32 lifetime, and what we choose to eat and drink also has environmental impact on ecosystems
33 and affects monetary resources (WHO, 2008; Duchin, 2005). The agricultural and food sector
34 is responsible for more than 25% of all greenhouse gas (GHGs) emissions, contributing to
35 fresh and marine water pollution, and using about a half of ice-free land area on Earth as
36 cropland and pasture (Tilman and Clark, 2014). Animal origin food production causes greater
37 environmental impacts than fruit and vegetable production, and most plant-based foods can
38 have protective effects against the major chronic diseases (De Marco *et al.*, 2014). Moreover,
39 some studies suggest that a healthy diet with a low environmental impact is not necessarily
40 more expensive (Conforti and D'Amicis, 2000; Barilla Center for Food and Nutrition, 2011b;
41 Germani *et al.*, 2014). Population growth, agriculture intensification, lifestyle changes,
42 poverty, and food security are also part of this picture leading to the necessity to re-define food
43 systems and dietary patterns from environmental and health perspectives (Johnston *et al.*,
44 2014; Hallström *et al.*, 2015).

45 It is generally acknowledged that what a person chooses to eat makes a difference from an
46 environmental perspective (van Dooren *et al.*, 2014; Vieux *et al.*, 2012). For instance, Marlow
47 and colleagues (2009) have estimated that a non-vegetarian diet requires 2.9 times more water,
48 2.5 times more primary energy, 13 times more fertilizer, and 1.4 times more pesticides than the
49 vegetarian diet. It has been estimated that Mediterranean, pescetarian and vegetarian diets may
50 reduce by 30%, 45% and 55% respectively per capita emissions from food production, as
51 compared to projected 2050 income-dependent diet (Tilman and Clark, 2014). These diets
52 might therefore be considered more sustainable than others. One of the first formalizations of
53 the concept of sustainable diet was introduced in the seminal work by Gussow and Clancy
54 (1986), who looked at foods from the nutritional point of view and also considering their
55 impact on natural resources. More recently, the FAO provided a new definition which takes
56 into account the role of dietary patterns on sustainable development and the elimination of
57 poverty and food insecurity: “Sustainable diets are those diets with low environmental impacts
58 which contribute to food and nutrition security and to healthy life for present and future
59 generations. Sustainable diets are protective and respectful of biodiversity and ecosystems,
60 culturally acceptable, accessible, economically fair and affordable; nutritionally adequate, safe
61 and healthy; while optimizing natural and human resources” (FAO, 2010, p. 7).

62 The positive impacts of sustainable diets are related to public health (e.g., reduced diet-related
63 chronic disease, etc.), environmental sustainability (e.g., mitigation of water and land use,
64 reduction of GHG emissions, etc.), economic sustainability (e.g., employment and trade
65 opportunities, etc.), social inequalities (e.g., closing gaps in health, incomes and food
66 affordability in developed and developing countries, etc.), and other possible benefits (e.g.,
67 psychological and physical well-being, animal welfare, cultural and social diversity, etc.)
68 (Johnston *et al.*, 2014). The multidimensional character of sustainable diets is given by factors
69 and effects that are closely interconnected and interdependent, so that modifying one or more
70 components of a diet might have different and unintended effects across these categories. For
71 instance, although reducing beef consumption might improve environmental quality and public
72 health, it could negatively affect the economic stability of beef producers and related food
73 systems. Public authorities aiming at stimulating sustainable consumption need to consider
74 these links carefully.

75 Affordability, income distribution and costs related to food products are further important
76 determinants influencing food choices. The sudden price increases of food commodities on
77 world markets after 2008 led to increased concern over the ability of the world food economy
78 to adequately feed billions of people (FAO, 2011). At the same time, the globalization of the
79 food system has contributed to the spread of cheaper foods high in energy but low in important
80 nutrients in developed and developing countries (Johnston *et al.*, 2014). This means that unless
81 sustainable options become more affordable, people will continue to disregard environmental
82 considerations when making food purchases. Moreover, it was suggested that inequities exist
83 in the affordability of the health and sustainable food basket and the typical basket at the
84 household level, with the most disadvantaged neighbourhoods and lowest income households
85 spending proportionately more on sustainable food (Barosh *et al.*, 2014). Other studies have
86 demonstrated that total expenditure on a healthy (Conforti and D'Amicis, 2000) and
87 environmental sustainable diet (Barilla Center for Food and Nutrition, 2011b) would in fact be
88 lower than the actual current expenditure.

89 Health, affordability and environment are the three key components of food consumption
90 which need to be balanced for there to be a sustainable diet in line with health
91 recommendations. Literature suggests that these three dimensions of the sustainable diet might
92 be represented as and accommodated in optimization problems. One potentially useful class of
93 operational research tools is mathematical programming (Stigler, 1945; Dantzig, 1948; Paris,
94 1991). Mathematical programming, linear programming in particular, has long been used to

95 identify adequately healthy, environmentally friendly and affordable human diets. The Nobel
96 Prize winning economist George Stigler formulated one of the first linear programming
97 problems on the minimum cost of diet for the American population in 1945. Subsequently,
98 various researchers tried to optimize human diet using optimization techniques. Briend *et al.*
99 (2003) suggested the application of linear programming to support paediatricians in identifying
100 complementary foods to provide children of 6-24 months of age with additional energy and
101 nutrients. Macdiarmid *et al.* (2012) developed a linear programming model able to identify a
102 diet which would be environmentally resource saving, acceptable and economically reasonable
103 for the United Kingdom population. Their study shows the potential of mathematical
104 programming as a tool to make the use of food resources for global warming mitigation
105 efficient without increasing the food expenditure for consumers. These authors impose a series
106 of constraints, beyond the macro and micro-nutrient constraints, to reach a realistic solution for
107 the different scenarios through lower and upper weight food limits. The issue of realistic
108 palatable and varied diet was also tackled by Wilson *et al.* (2013) through linear programming,
109 where the objective was to suggest for New Zealand consumers a healthy, cheap and
110 environmentally sustainable food basket. They indicated that results from an optimization
111 model can be used to design planning policy instruments to promote the consumption of
112 healthy and environmental sustainable foods. Communication campaigns, labelling and
113 economic instruments such as taxation can be used to orient the public to a more aware and
114 sustainable diet.

115 This study aims to identify a healthy, greener and cheaper diet based on current consumption
116 patterns. We considered the dietary patterns of a sample of 104 young adults attending high
117 school, and assessed their nutritional, environmental and economic impacts. This target group
118 was selected in the light of current concerns about low dietary quality of young adults and
119 consequent possible dietary deficiencies (Turconi *et al.*, 2008). In this framework, we
120 performed an optimization analysis using a linear programming model to produce nutritionally
121 correct 7-day diets that minimize the environmental impact (ecological sustainability) and the
122 cost paid by consumers (economic sustainability), considering at the same time palatability and
123 viability constraints. The resulting dietary scenarios should be in line with recommendations
124 for a healthy diet (SINU, 2012). The results will be useful to inform food policy makers about
125 the health, economic and environmental impact of the current dietary patterns of young
126 Italians, and to suggest possible interventions to achieve a more sustainable diet.

127

128 **Material and Methods**

129 *Data collection*

130 Dietary information was collected from students attending eight different final year classes in
131 high schools in Parma (Italy). The schools were selected in order to include participants with
132 different socio-economic backgrounds. One-hundred twenty participants were recruited but 16
133 were eliminated because of data missing from the dietary record. The final sample included
134 104 young adults (38 male; 66 female), age 18-20 years, BMI 21.8 ± 3.3 Kg/m² (mean \pm
135 standard deviation). Their diet was monitored with 7-day weighed dietary records (Dall'Asta *et al.*
136 *al.*, 2012). Participants were asked to weigh all food and drinks consumed and to use standard
137 household measures (e.g. table spoon, tea spoon, cup) to estimate the amount where weighing
138 was not possible. A food diary database with a list of 544 food items was created. Food items
139 included in dietary records were used to create a nutritional database, linked to the food code
140 of the European Institute of Oncology (EIO) database (Gnagnarella *et al.*, 2008). The
141 following nutritional values were selected from the database: energy, proteins, total
142 carbohydrates, sugars, lipids, saturated fats, sodium (mandatory nutrition declaration -
143 Regulation (EU) No 1169/2011 of the European Parliament and of the Council of the European
144 Union) and dietary fibre, of keen interest for the majority of consumers. The EIO code was
145 used to generate an economic database and an environmental database.

146 The environmental impact was calculated taking into account the three indexes most
147 representative of the agri-food system: carbon footprint (CO₂e emissions), water footprint
148 (H₂O consumption) and ecological footprint (m² land needed to regenerate the resources)
149 (Germani *et al.*, 2014). These three indexes were retrieved from the database set up by BCFN
150 (Barilla Center for Food and Nutrition) and used for the construction of the Double Pyramid
151 (Barilla Center for Food and Nutrition, 2011a). The environmental impact was evaluated for a
152 period of 7 days per person for each dietary model, taking into account both quantities and
153 frequency of consumption for the different food items.

154 In May and June 2014, four outlets were surveyed in the Province of Parma (Northern Italy).
155 The food items in the survey instrument represented the aggregate list of the foods indicated by
156 the participants 7-day dietary records. From this list, it was possible to infer the outlets of large
157 retailers most frequented by the participants. The survey instrument was used to record
158 availability, price, weight, unit of measurement and price per unit weight for each food item. If
159 the item was normally available (stocked), the actual price/brand of each food item was

160 documented. If the brand of a food item was not available in the outlet, the median price of
161 other brands of that item was calculated from price data reported in the same outlet. The
162 recorded price of each available food item was converted into price per unit weight (€/g in case
163 of solid foods and €/litre in the case of liquid foods), and then the price per required weight for
164 the diet was calculated. The total cost of each diet was calculated by summing up the cost per
165 required weight of each food item in the diet, calculated per person per week. The total cost per
166 food item does not consider the energy cost due to the cooking operations.

167

168 *The mathematical programming model*

169 The information about the food consumption collected by the food diaries (except for
170 ingredients) and the data on the nutritional intakes, environmental impacts and food prices
171 were used to build a mathematical programming model aiming at optimizing diet according to
172 three objectives. These objectives were to identify i) the diet at the minimum cost, 2) the diet
173 with minimum environmental impact, and iii) the diet that minimizes both consumer
174 expenditure and environmental impact.

175 The modelling followed the approach proposed by Stigler (1945), where he adopted a linear
176 programming model to identify a combination of foods able to satisfy the nutrient
177 requirements of a moderately active man of 70 Kg at minimum cost. Unlike Stigler's model,
178 we include in the model nutrient requirement constraints, as well as restrictions on the
179 consumption frequency of each food. This is to prevent the model showing too small a number
180 of food items and to promote diversification in food consumption. The information about the
181 daily and weekly consumption frequency for each category of products can be found in LARN
182 (Levels of Absorption Reference of Nutrients and Energies for the Italian population)
183 guidelines (SINU, 2012). Five classes of constraints are identified: 1) nutritional constraints; 2)
184 food portion constraints; 3) food consumption frequency constraints; 4) food association
185 constraints; 5) food alternative constraints. We now give details of each constraint using
186 analytical formulation where necessary. All these constraints were incorporated into the
187 optimization model.

188 The nutritional constraints were drawn up taking into account daily energy requirements
189 distinguishing between men and women, and mapping the different sources of energy. Daily
190 energy requirement was defined according to the lifestyle and sports activity of the young adult

191 population investigated. The model incorporates restrictions on energy and macronutrients
 192 according to LARN recommendations, as shown in Table 1.

193 The information in Table 1 can be represented algebraically as follows:

$$194 \quad \sum_i \sum_j food_{i,j} a_{i,k} \geq low_k \quad \forall k \quad (1)$$

$$195 \quad \sum_i \sum_j food_{i,j} a_{i,k} \leq upp_k \quad \forall k \quad (2)$$

196 where $food_{i,j}$ indicates the food item $i(i=1,2,\dots,I)$ belonging to each food category
 197 $j(j=1,2,\dots,J)$ considered in the study, $a_{i,k}$ the coefficients of energy and macronutrients
 198 $k(k=1,2,\dots,K)$ per gram of food i ; while the left hand side parameters low_k and upp_k identify
 199 the lower and upper level of nutrients respectively.

200

201 **Table 1:** Energy intakes and macronutrient restrictions imposed in the mathematical
 202 programming model

Constraints	Unit	Men		Women	
		Lower	Upper	Lower	Upper
Energy intake	kJ/day	$\geq 11715 - 10\%$	$\leq 11715 + 10\%$	$\geq 8786 - 10\%$	$\leq 8786 + 10\%$
Carbohydrates	g/day	≥ 350	≤ 455	≥ 262.5	≤ 341.25
Proteins	g/day	≥ 70	≤ 105	≥ 52.5	≤ 7.75
Fats	g/day	≥ 77.84	≤ 108.92	≥ 58.38	≤ 81.69
Sodium	g/day	≥ 1.5	≤ 2.0	≥ 1.5	≤ 2.0
Cholesterol	g/day	≥ 0	≤ 0.3	≥ 0	≤ 0.3
Saturated fatty acid	g/day	≥ 0	≤ 31.1	≥ 0	≤ 23.3
Simple sugars	g/day	≥ 0	≤ 46.67	≥ 0	≤ 35
Fibre	g/day	≥ 25		≥ 25	

203

204 The Italian Society of Human Nutrition (SINU) establishes the standard consumption quantity
 205 for each food category, i.e. the recommended daily amount or portion for a healthy diet (SINU,
 206 2012). This information is considered as an adding restriction in the mathematical
 207 programming model. In other terms:

$$208 \quad \sum_i food_{i,j} \leq port_j (1+0.10) \quad \forall j \quad (3)$$

209 where $port_j$ identifies the portion associated with each food category. To give the model some
 210 flexibility, the right hand side of constraint (3) allows for 10% tolerance of the standard
 211 portion.

212 The SINU guidelines show the range of minimum and maximum frequency of each portion of
 213 food category, which is implemented by the model as follows:

$$214 \quad \frac{\sum_i food_{i,j}}{port_j} \geq freqmi_j \quad \forall j \quad (4)$$

$$215 \quad \frac{\sum_i food_{i,j}}{port_j} \leq freqma_j \quad \forall j \quad (5)$$

216 where $freqmi_j$ and $freqma_j$ indicate the minimum and maximum frequency of each food
 217 category j .

218 Using constraints (1)-(5), the model selects the foods that minimize an objective function
 219 taking into account costs and environmental impacts. In other words, it depicts both economic
 220 and environmental competition between food items in the final food basket. In reality, not all
 221 foods can be considered pure substitutes of others, but in certain cases and for certain
 222 consumption occasions, some foods are complementary with other foods. An example is
 223 biscuits as a complement with coffee or tea. To make the results more realistic, we formulated
 224 a specific constraint to model an association between groups of complementary foods.

225 At the same time, it is unlikely for some foods to be eaten at the same meal. For instance, it is
 226 not usual to eat both beef and fish. In modelling consumption behaviour to reflect real world
 227 eating habits, the model incorporates an “alternative” constraint avoiding the combination of
 228 certain food items in the same meal.

229 The optimization strategy was led by six objectives:

- 230 - The minimization of the total cost of weekly food consumption;
- 231 - The minimization of carbon dioxide emission from weekly food consumption;
- 232 - The minimization of water consumption for a weekly food basket;
- 233 - The minimization of the ecological footprint for a weekly food basket;
- 234 - The simultaneous minimization of the three environmental objectives (min CO₂e, min
 235 H₂O and min ecological footprint);

236 - The simultaneous minimization of the economic (weekly expenditure) and
 237 environmental objectives.

238 According to these objectives, six optimization models were developed to identify six different
 239 food diets. The first one aims to identify the lowest cost diet through the following objective
 240 function:

$$241 \quad \min_{food_{i,j} \geq 0} MCD = \sum_i \sum_j food_{i,j} c_i \quad (6)$$

242 where the minimum cost diet (MCD) is determined by identifying the combination of food
 243 items $food_{i,j}$ that minimizes the total expenditure taking account of the cost of each item c_i .

244 The objective functions for the models that minimize environmental impact can be formulated
 245 as follows:

$$246 \quad \min_{food_{i,j} \geq 0} MEM = \sum_i \sum_j food_{i,j} em_i \quad (7)$$

$$247 \quad \min_{food_{i,j} \geq 0} MWA = \sum_i \sum_j food_{i,j} wa_i \quad (8)$$

$$248 \quad \min_{food_{i,j} \geq 0} MEC = \sum_i \sum_j food_{i,j} ec_i \quad (9)$$

249 The objective functions (7)-(9) minimize carbon dioxide emission (MEM), water consumption
 250 (MWA) and ecological footprint (MEC) respectively, with food item specific impact em_i
 251 (CO_2e emissions), wa_i (H_2O consumption) and ec_i (ecological footprint).

252 The simultaneous minimization of the three environmental impacts requires a more complex
 253 objective function, which needs to include three different objectives to optimize. To avoid
 254 possible bias due to the weight of the absolute value of each item specific impact, we used
 255 multiobjective target programming; this optimizes on the basis of relative differences rather
 256 than absolute impact values. These differences are calculated considering as benchmark (or
 257 target value) the results obtained from the models specified using objective functions (7)-(9).
 258 In analytical terms, the integrated environmental objective function can be defined as:

$$259 \quad \min_{food_{i,j} \geq 0} ESD = \frac{\left(\sum_i \sum_j food_{i,j} em_i \right) - MEM}{MEM} + \frac{\left(\sum_i \sum_j food_{i,j} wa_i \right) - MWA}{MWA} + \frac{\left(\sum_i \sum_j food_{i,j} ec_i \right) - MEC}{MEC}$$

260 (10)

261 The objective function of the environmentally sustainable diet (ESD) model is the sum of the
 262 relative differences of each specific environmental impact and the corresponding target value.
 263 The implicit assumption is that each environmental impact has the same weight in the
 264 minimization process.

265 In the case of the simultaneous minimization of the economic and environmental objective,
 266 following the multiobjective target approach, the objective function can be defined as:

$$\begin{aligned}
 \min_{food_{i,j} \geq 0} SD = & \frac{1}{2} \left\{ \frac{\left(\sum_i \sum_j food_{i,j} em_i \right) - MEM}{MEM} + \frac{\left(\sum_i \sum_j food_{i,j} wa_i \right) - MWA}{MWA} + \frac{\left(\sum_i \sum_j food_{i,j} ec_i \right) - MEC}{MEC} \right\} \\
 & + \frac{1}{2} \left\{ \frac{\left(\sum_i \sum_j food_{i,j} c_i \right) - MCD}{MCD} \right\}
 \end{aligned}
 \tag{11}$$

268
 269 Equation (11) identifies the objective function of the sustainable diet (SD), where the total
 270 environmental component has the same weight as the economic component.

271

272 **Results**

273 For the sake of simplicity, we present the results for three diets characterized by different
 274 targets and optimizing different impacts: first the lowest cost diet (Minimum Cost Diet –
 275 MCD) identified by objective function (6), then the Environmentally Sustainable Diet (ESD)
 276 obtained by minimizing the three environmental indicators (CO₂e emissions, H₂O consumption
 277 and amount of soil and water to regenerate the resources) identified by function (10). Finally,
 278 the Sustainable Diet (SD) integrating environmental and economic sustainability objectives
 279 identified by objective function (11). The resulting food basket for each dietary pattern is
 280 shown in Table 2¹.

281 The results provided by the optimization models reveal a lower food quantity consumed in the
 282 current diet (5,503 g/person/week) compared to the three optimal diets (from 8,148 to 10,389

¹ Individual food items were grouped into 9 food categories: 1) fruits and vegetables, 2) dairy (e.g. milk, cheese, yogurt), 3) meat, 4) fish/seafood, 5) bread and substitutes (e.g. pizza), 6) pasta and rice, 7) legumes, 8) sweets (e.g. cakes, biscuits, croissants), and 9) other (e.g. olive oil, eggs).

283 g/person/week). The CD (Current Diet) of the young adults surveyed is rich in meat but very
 284 poor in fruits and vegetables, which indicates low fibre consumption. Fruit and vegetable
 285 consumption (less than 180 g/day) is lower than the WHO recommended amount (400 g/day).
 286 In all three optimizations of diet, meat consumption disappears due to high economic and
 287 environmental costs, and is substituted by an increase in fruits and vegetables, legumes and
 288 dairy foods. In the MCD, the food category of bread and substitutes decreases significantly
 289 because of the high unitary cost. On the other hand, the environmental (ESD) and sustainable
 290 (SD) diets require a greater incidence of this category. The frequency constraints contribute to
 291 boosting the consumption of fruits and vegetables, and in MCD, ESD and SD models these
 292 increase by more than 200% compared to CD.

293 A detailed analysis of MCD food items shows that the reduction in the consumption of bread
 294 and substitutes compared to the CD is mainly due to the reduction in the consumption of pizza,
 295 which is popular with young people, but expensive compared to other foods. The increase in
 296 fruits and vegetables is explained by the low intake recorded in the CD, and the process of
 297 substitution of calories from meat. The fruits and vegetable category includes dried fruit in all
 298 diets configured, particularly the SD, because it is rich in calories and relatively inexpensive
 299 given its nutritional content, and also has low environmental impact.

300

301 **Table 2:** Food quantity (g/person/week) in the Current Diet (CD), Minimum Cost Diet (MCD),
 302 Environmentally Sustainable Diet (ESD) and Sustainable Diet (SD).

Food item	CD		MCD		ESD		SD	
	g	%	g	%	g	%	g	%
Fruit & Vegetables	1,256	22.8	5,148	63.2	4,234	41.3	4,359	42.0
Dairy	649	11.8	1,145	14.1	1,135	11.1	1,112	10.7
Meat	705	12.8	0	0.0	0	0.0	0	0.0
Fish/seafood	135	2.5	0	0.0	0	0.0	0	0.0
Bread and substitutes	1,028	18.7	481	5.9	3,065	29.9	3,132	30.2
Pasta and rice	890	16.2	920	11.3	907	8.9	920	8.9
Legumes	21	0.4	152	1.9	407	4.0	329	3.2
Sweets	503	9.1	233	2.9	375	3.7	417	4.0
Other	316	5.7	70	0.9	120	1.2	120	1.2
Total grams	5,503	100.0	8,148	100.0	10,242	100.0	10,389	100.0

303

304 In terms of food energy intake, Table 3 shows that the sample consumes on average a lower
 305 level of calories in their CD (41,981 KJ/person/week) than in the optimal diets. The
 306 optimization and the nutrients constraints in the model lead to an increase of energy intake in
 307 the MCD of 61%, in ESD of 95% and in SD of 87%. Current dietary habits of the young adults
 308 investigated are in fact inadequate and poor. Their main sources of energy are bread and
 309 substitutes (28%), pasta and rice (20%), sweets (19%) and meat (13%). And although the CD
 310 is varied, our model suggests that it is seriously insufficient to sustain the intellectual and
 311 physical activity. The strong increase in the quantity (grams per week) of dairy products
 312 consumed by the young adults in the envisaged optimal diets does not find an equivalent
 313 dynamic in the food energy intake. This means that the internal composition of the dairy
 314 products changes when the diet is optimized. In particular, milk and yogurt are preferred to the
 315 other dairy foods (see Appendix I).

316

317 **Table 3:** Food energy intake (kJ/person/week) in the Current Diet (CD), Minimum Cost Diet
 318 (MCD), Environmentally Sustainable Diet (ESD) and Sustainable Diet (SD).

Food item	CD		MCD		ESD		SD	
	kJ	%	kJ	%	kJ	%	kJ	%
Fruit & Vegetables	2,583	6.2	34,995	51.5	27,324	33.4	25,513	32.4
Dairy	2,358	5.6	2,549	3.8	3,514	4.3	2,904	3.7
Meat	5,463	13.0	0	0.0	0	0.0	0	0.0
Fish/seafood	725	1.7	0	0.0	0	0.0	0	0.0
Bread and substitutes	11,920	28.4	4,140	6.1	12,473	15.3	12,712	16.2
Pasta and rice	8,398	20.0	17,010	25.0	21,757	26.6	21,850	27.8
Legumes	122	0.3	2,040	3.0	7,004	8.6	5,526	7.0
Sweets	7,880	18.8	4,545	6.7	6,531	8.0	7,077	9.0
Other	2,533	6.0	2,637	3.9	3,110	3.8	3,110	4.0
Total kJ	41,981	100.0	67,915	100.0	81,714	100.0	78,693	100.0

319

320 The optimization models also show the environmental impact of the three diets. Figure 1
 321 shows the CO₂e emissions of the food basket in the four different diets. For each new diet
 322 configured, there is a reduction in CO₂e emissions larger than 50% compared to the current
 323 diet. This is mainly a result of replacing meat with legumes and other food items with a lower
 324 impact in terms of CO₂e. Within “pasta and rice”, pasta has an important role in CO₂e
 325 mitigation. The SD is the model with lowest CO₂e emissions, indicating that the multiobjective

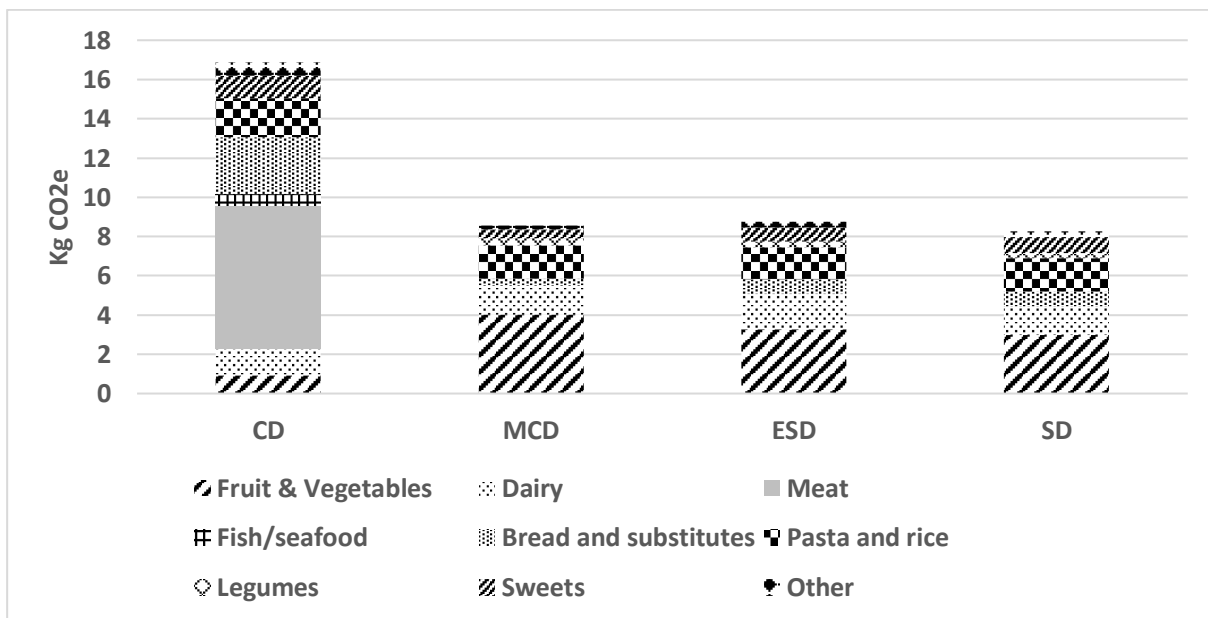
326 programming including the objective cost function is able to better optimize the CO₂e emission
 327 component than the ESD.

328 A similar result is obtained for water consumption, where the SD model provides the best
 329 solution, i.e. the combination of food items that minimizes water use (Figure 2). In terms of
 330 water use, Figure 2 shows also how the minimization of the total food expenditure (MCD
 331 model) determines a displacement of meat by an important quantity of the “fruits and
 332 vegetables” category that incorporates more than 8,000 litres per week of water against 1,000
 333 litres per week in the observed diet (CD). The best solution in terms of food expenditure entails
 334 a reduction of efficiency in water consumption.

335 The SD shows better results for CO₂e and water than the ESD because of different distribution
 336 of the differences with regard to the specific environmental targets. The ESD model gives a
 337 result nearer to target value for the ecological footprint, while the SD model gives the result
 338 nearest to target value for the first two environmental factors. Figure 3 demonstrates this
 339 achievement with an ecological footprint level higher in the SD than in the ESD model.

340

341 **Figure 1:** CO₂e emissions (KgCO₂e/person/week) of the Current Diet (CD), Minimum Cost
 342 Diet (MCD), Environmentally Sustainable Diet (ESD) and Sustainable Diet (SD).

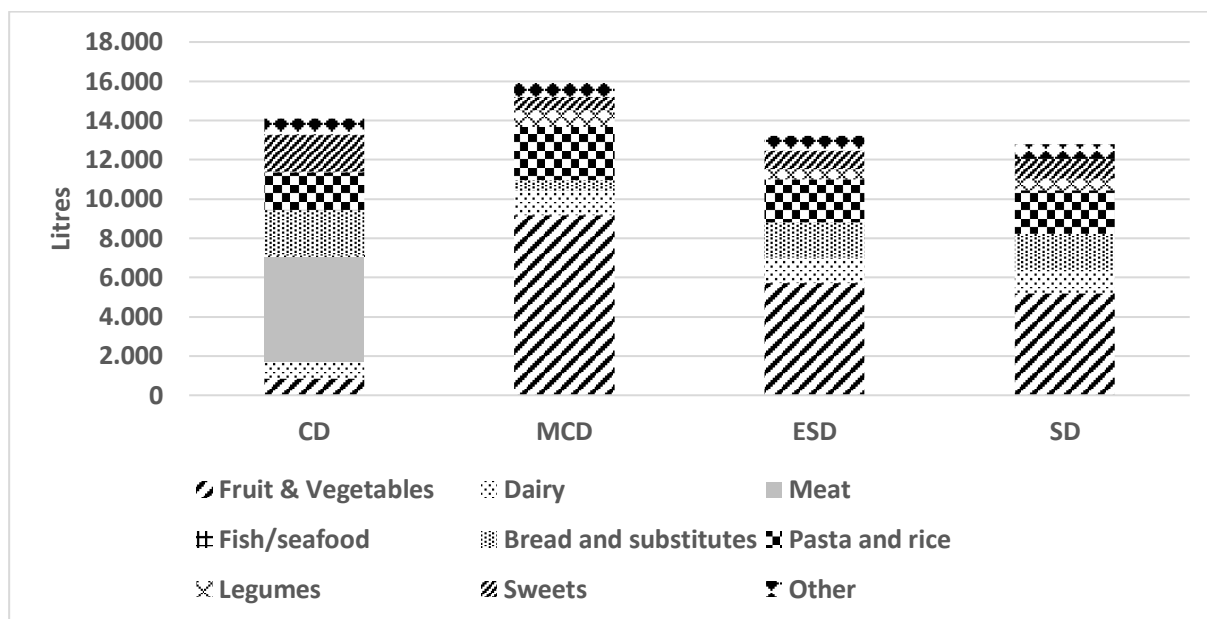


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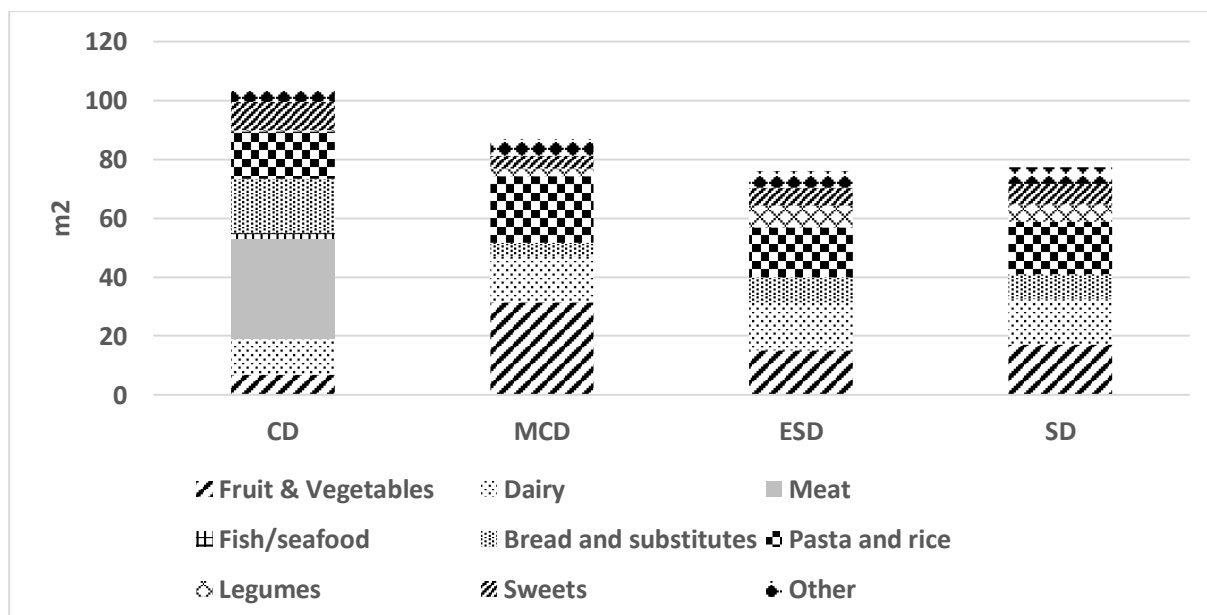
346 **Figure 2:** H₂O (litres/person/week) consumption of the Current Diet (CD), Minimum Cost
 347 Diet (MCD), Environmentally Sustainable Diet (ESD) and Sustainable Diet (SD).



348

349

350 **Figure 3:** Land needed to regenerate the resources (m²/person/week) of the Current Diet (CD),
 351 Minimum Cost Diet (MCD), Environmentally Sustainable Diet (ESD) and Sustainable Diet
 352 (SD).



353

354

355

356 Table 4 shows that the individual weekly cost of the current diet is € 41.6, with a high
 357 incidence of bread and substitutes (26.7%), meat (26.2%), sweets (11%), and pasta and rice
 358 (10.3%). Fruit and vegetables account only for a limited share (9%) of the total budget. The
 359 optimal economic solution (MCD) costs € 31.1 /person/week allowing consumers to save more
 360 than € 10 per week, or -25% compared to the CD. Percentages spent on different items in the
 361 MCD were 74.6% for fruit and vegetables, 8% for pasta and rice, and 6.3% for dairy products.
 362 The Environmentally Sustainable Diet (ESD) costs € 7.4 more per week (+17.8%) than the CD
 363 (i.e., overall budget € 49 /person/week). Fruit and vegetables (59.9%), bread and substitutes
 364 (10.8%) and dairy products (8%) are the food categories with highest cost incidence. This
 365 implies that the market prices do not incorporate an incentive to consume foods with low
 366 negative environmental externality.

367

368 **Table 4:** Weekly cost (€/person/week) of the Current Diet (CD), Minimum Cost Diet (MCD),
 369 Environmentally Sustainable Diet (ESD) and Sustainable Diet (SD).

Food item	CD		MCD		ESD		SD	
	€	%	€	%	€	%	€	%
Fruit & Vegetables	3.73	9.0	23.19	74.6	29.38	59.9	23.24	57.4
Dairy	2.11	5.1	1.95	6.3	3.91	8.0	2.23	5.5
Meat	10.92	26.2	0.00	0.0	0.00	0.0	0.00	0.0
Fish/seafood	2.05	4.9	0.00	0.0	0.00	0.0	0.00	0.0
Bread and substitutes	11.09	26.7	0.92	3.0	5.28	10.8	5.39	13.3
Pasta and rice	4.28	10.3	2.48	8.0	3.66	7.5	3.55	8.8
Legumes	0.07	0.2	0.48	1.6	3.85	7.8	2.93	7.2
Sweets	4.57	11.0	1.43	4.6	2.19	4.5	2.39	5.9
Other	2.79	6.7	0.63	2.0	0.77	1.6	0.77	1.9
Total € per week	41.62	100.0	31.07	100.0	49.04	100.0	40.48	100.0

370 Source: our elaborations.

371

372 In order to investigate the cost and affordability of a healthy and environmentally sustainable
 373 diet, we also estimated the cost of the sustainable diet (SD), which is not considerably different
 374 from the cost of the current diet. There thus appear to be no significant differences between the
 375 total budget for the SD and the total budget for current diet, which indicates that the SD is
 376 economically affordable for the young adults surveyed. However, there is a substantial
 377 difference in the allocation of budget across the different food categories. In particular, the

378 weekly budget spent on fruit and vegetables in the CD is almost € 20 lower than in the SD
379 model.

380

381 **Discussion and Conclusions**

382 This paper presented integrated solutions analyzing the quantitative linkages between nutrition,
383 environmental and economic impacts of the dietary patterns of a sample of young Italian
384 adults. Several mathematical programming model configurations were used to design diets at
385 minimum cost, at lowest environmental impact and at the lowest integrated impact (economic
386 and environmental) for consumers. Mathematical programming has the capacity to consistently
387 reproduce the nutritional constraints to guarantee a healthy diet under different (economic
388 and/or environmental) objectives.

389 The current diet of the young adults investigated consists of food products rich in animal
390 proteins and extremely poor in fibre. The model suggests that there need to be radical changes
391 for the young adults to have an affordable and environmentally sustainable diet. In particular,
392 the model suggests that there needs to be the complete substitution of meat and fish with
393 vegetal proteins (legumes), dairy products and bread, and a significant increase in fruit and
394 vegetable consumption to achieve a nutrient adequate intake. However, from a purely
395 nutritional point of view, there are no strong evidence to exclude the consumption of animal
396 products (meat and fish) in a healthy adult population. In particular, consumption of fish once
397 or twice a week is recommended in order to consume sufficient polyunsaturated fatty acids
398 (WHO, 2003). However, polyunsaturated fatty acids could be readily obtained also from plant
399 foods, such as nuts. The evidence favouring nut consumption for reduction in CVD deaths,
400 cancer deaths and all-cause mortality, is getting strong (Banel *et al.*, 2009; Grosso *et al.*, 2015).
401 Indeed, plant-based diets could be a healthy choice, favouring a balanced intake of macro- and
402 micro-nutrient intake, as well as a more sustainable scenario. Despite this, if meat and fish
403 were excluded from diet, a detailed assessment of micronutrient would be required.

404 The sustainable diet, according to our model, may lead to a 51% cut in CO₂e emissions, 9%
405 reduction in H₂O consumption and 26% less land needed to regenerate the resources compared
406 to the current diet. Hallström *et al.* (2015), having considered the environmental impact of
407 dietary changes in 14 studies, have suggested that vegan and vegetarian diets (i.e. removing
408 meat products) have the lowest GHG emissions, with up to 53% reduction compared to
409 reference scenarios. Moreover, since the possibility of reducing the area of land required to

410 feed humans depends largely on the amount of meat consumed, our results are similar to many
411 already appearing in the literature (Hallström *et al.*, 2015). Our results also suggest that the
412 sustainable diet – where the environmental pressures and food expenditure are simultaneously
413 minimized (SD) – is not more expensive than the current diet, therefore fully affordable for the
414 population under study. This confirms other findings that a healthier and more eco-friendly
415 diet is not necessarily more expensive (Conforti and D’Amicis, 2000; Barilla Center for Food
416 and Nutrition, 2011b; Germani *et al.*, 2014).

417 Given these results, one can reasonably ask how we might motivate sustainable dietary patterns
418 among young adults. Policy-makers know that consumer behaviour change would be central to
419 any policy process aiming at integrating nutrition and sustainability (Lang and Barling, 2013).
420 Policies aiming at stimulating healthy eating are usually divided into two broad categories: those
421 aimed at supporting informed choice by consumers, mostly through the provision of information
422 or education, and those aiming at changing the market environment, by influencing food prices
423 or availability. Most measures adopted in the EU are those intended to promote informed choice,
424 mostly through public information campaigns and nutrition education in schools (Capacci *et al.*,
425 2012). Because they have large audiences, television cooking shows have also been suggested
426 as a way of enhancing cooking skills among young people. This has been tried on limited scale
427 in the UK (Wilson *et al.*, 2013). The development and dissemination of guidelines promoting
428 sustainable diets is also necessary and currently takes place in some countries, like the US
429 (HHS/USDA, 2015), Germany (German Council for Sustainable Development, 2008), France
430 (ADEME, 2015), the UK (NCC/SDC, 2006) and Australia (NHMRC, 2013). The progressive
431 abandonment of the healthy Mediterranean diet pattern induced by socioeconomic changes
432 (Dernini *et al.*, 2013) is another issue that Mediterranean countries must necessarily consider in
433 the future.

434 Measures aiming at modifying the food "environment" have mostly been focused on directly
435 providing healthy foods in schools (e.g. fruits). Increasing the price of foods and beverages
436 high in fat, sugar and salt content through taxation is a potential policy measure which should
437 discourage over-consumption (Cornelsen *et al.*, 2015). In recent years, a number of countries
438 have introduced health-related food taxes. Hungary and Mexico have taxes on foods high in
439 salt, sugar or fat content, Finland has a tax on sweets, ice-cream and soft drinks, and France
440 and the US California city of Berkeley have taxed sugar-sweetened beverages (Cornelsen and
441 Carreido, 2015). Denmark introduced the world’s first tax on saturated fat in 2011, but
442 although it showed short run effects on consumption (Jensen and Smed, 2013) the tax was

443 removed after only 15 months for lack of political support (Vallgård *et al.*, 2015). Subsidies
444 or voucher programs, which have also been developed in some countries to assist low income
445 families (Wilson *et al.*, 2013), may be more socially acceptable than taxes. It has been
446 suggested that the combination of taxes on unhealthy food with a subsidy on more healthy
447 food can be more economically neutral (i.e. not regressive) with respect to poverty than simply
448 imposing taxes on foods high in fat or sugar (Madden, 2015). Fiscal measures could thus be an
449 effective tool for shifting current dietary patterns towards more sustainable ones. These
450 regulatory tools might properly address and promote a nutritional adequate and environmental
451 friendly diet such as that identified by the purely environmental minimization model (ESD).

452 A further issue raised by this study is the need for an integrated and comprehensive database of
453 data on nutrition and the environmental and socio-economic impact of dietary change. Other
454 authors also stress the need to improve metrics and measurement mechanisms in order to
455 understand how dietary behaviours might improve human and environmental health, without
456 affecting affordability of food, and to disseminate these findings to consumers (see, e.g.,
457 Johnston *et al.*, 2014). The development of integrated databases and indicators might also help
458 policymakers to understand the potential tradeoffs for making investments in promoting such
459 diets, while addressing any potential negative consequences, and providing adequate incentives
460 to the supply chains (Menozzi *et al.*, 2015).

461 Some limitations of the study should be highlighted. First of all, the nutritional constraints do
462 not take into account micronutrients, such as vitamins, that define a diet consisting of more
463 varied foods than those in the present setting. Secondly, the model does not implement the
464 cultural and traditional factors which can strongly affect food choices, and this affects the level
465 of realism of our findings. For example, the total elimination of meat and seafood from the diet
466 would be unacceptable in some population for cultural reasons for both consumers and
467 producers. The adoption of a plant-based diet could be difficult for many people, since it
468 requires significant changes in dietary patterns (Van Dooren *et al.*, 2014). Therefore, cultural
469 acceptability of diet is a crucial factor for the definition and the implementation of a
470 sustainable diet, according to the FAO's definition (FAO, 2010). Nevertheless, our study
471 reported and based simulations on registered consumption data, and current consumption
472 patterns have the advantage of being more realistic than hypothetical dietary scenarios
473 (Hallström *et al.*, 2015). Third, in this study, we considered three environmental impacts
474 (carbon footprint, water footprint and ecological footprint) identified in international literature.
475 Unfortunately, it was not possible to make these measurements territorial or food chain

476 specific because of the absence of studies on local foods (e.g. life cycle analysis). As noted by
477 other authors, geographical variability of input data may lead to variability of results
478 (Hallström *et al.*, 2015). Data related more precisely and specifically to the Italian food system
479 might have produced different results. Similarly, beside food prices, other socio-economic
480 components such as poverty indices, income distributions, etc., could be possibly included in
481 the further analyses. Fourth, the fact that lower amounts of food in grams are reportedly
482 consumed in the current diet compared to the three optimal diets may suggest that a certain
483 level of underreporting of self-reported dietary records occurred in the sample. Furthermore,
484 another important limitation of the study is the absence of an appraisal of food waste
485 embedded in the dietary pattern, and for some foods (e.g. fruit and vegetables) this might be
486 very important in terms of resource consumption. Finally, the results cannot be safely
487 generalized to the whole Italian population given the small size and the nature of the sample.
488 The application of the model to large random samples representative of the Italian adolescent
489 population is a useful avenue for future research.

490 Despite these limitations, this study provides useful findings for recommendations on the
491 sustainability of current diets. The models suggested that substituting animal-based products
492 with vegetable proteins may lead to a substantial reduction in CO₂e emission and resource
493 depletion, at the same cost for consumers. This demonstrates that the food choices based on
494 environmental and health objectives are not necessarily more expensive. This study, moreover,
495 shows that evidence-based policy recommendations for improving the sustainability of current
496 diets require the thorough and efficient integration of nutritional, environmental and economic
497 information and data.

498

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608 **Appendix 1 – Model’s results per specific food item**

Food item	Grams/person/week				kJ/person/week				kg CO2e /person/week				liters H2O/person/week				M2/person/week			
	CD	%	SD	%	CD	%	SD	%	CD	%	SD	%	CD	%	SD	%	CD	%	SD	%
Vegetables	375.3	6.8	1,207.9	11.6	593	1.4	1,811	2.3	0.3	1.8	0.8	10.3	210	1.5	960	7.5	1.4	1.3	1.9	2.4
Legumes	20.6	0.4	328.8	3.2	122	0.3	5,526	7.0	0.0	0.1	0.3	3.1	38	0.3	566	4.4	0.4	0.4	5.8	7.5
Fruits	874.0	15.9	2,693.6	25.9	1,801	4.3	13,312	16.9	0.6	3.6	1.6	19.0	593	4.2	3,242	25.3	5.5	5.3	7.7	10.0
Dried fruits	6.9	0.1	457.3	4.4	189	0.4	10,391	13.2	0.0	0.1	0.6	6.8	59	0.4	979	7.6	0.1	0.1	7.5	9.7
Milk/Yogurt	585.2	10.6	1,112.3	10.7	1,523	3.6	2,904	3.7	0.8	5.0	1.5	17.9	645	4.6	1,218	9.5	8.1	7.8	15.4	19.9
Cheese	63.5	1.2	0.0	0.0	835	2.0	0	0.0	0.5	3.2	0.0	0.0	202	1.4	0	0.0	4.1	4.0	0.0	0.0
Butter	4.5	0.1	0.0	0.0	35	0.1	0	0.0	0.0	0.1	0.0	0.0	8	0.1	0	0.0	0.1	0.1	0.0	0.0
Red meat	504.9	9.2	0.0	0.0	4,180	10.0	0	0.0	5.8	34.2	0.0	0.0	4,494	31.9	0	0.0	27.2	26.2	0.0	0.0
White meat	200.5	3.6	0.0	0.0	1,283	3.1	0	0.0	1.5	8.8	0.0	0.0	866	6.1	0	0.0	6.5	6.3	0.0	0.0
Fish/Seafood	135.5	2.5	0.0	0.0	725	1.7	0	0.0	0.6	3.4	0.0	0.0	43	0.3	0	0.0	2.0	2.0	0.0	0.0
Bread	452.8	8.2	3,132.4	30.2	4,460	10.6	12,712	16.2	0.4	2.2	0.7	9.0	578	4.1	1,829	14.3	3.5	3.3	8.6	11.1
Bread subs.	66.7	1.2	0.0	0.0	1,193	2.8	0	0.0	0.1	0.6	0.0	0.0	69	0.5	0	0.0	0.6	0.6	0.0	0.0
Pizza	508.8	9.2	0.0	0.0	6,267	14.9	0	0.0	2.4	14.3	0.0	0.0	1,691	12.0	0	0.0	14.4	13.9	0.0	0.0
Pasta and rice	889.8	16.2	920.0	8.9	8,398	20.0	21,850	27.8	2.0	11.8	1.7	20.6	1,919	13.6	2,226	17.4	16.1	15.5	17.8	23.1
Biscuits/cakes	352.8	6.4	416.7	4.0	5,825	13.9	7,077	9.0	0.8	4.8	0.8	10.1	1,026	7.3	1,028	8.0	5.3	5.1	6.9	8.9
Other sweets	150.0	2.7	0.0	0.0	2,054	4.9	0	0.0	0.3	1.9	0.0	0.0	841	6.0	0	0.0	4.2	4.0	0.0	0.0
Eggs	51.9	0.9	50.0	0.5	324	0.8	472	0.6	0.1	0.7	0.1	1.0	170	1.2	123	1.0	0.8	0.7	0.7	0.9
Olive oil	40.8	0.7	70.0	0.7	1,535	3.7	2,638	3.4	0.1	0.7	0.2	2.2	418	3.0	626	4.9	3.3	3.2	4.9	6.4
Wine/Beer	173.1	3.1	0.0	0.0	375	0.9	0	0.0	0.4	2.2	0.0	0.0	201	1.4	0	0.0	0.1	0.1	0.0	0.0
Alcoholic beverages	45.4	0.8	0.0	0.0	263	0.6	0	0.0	0.1	0.5	0.0	0.0	24	0.2	0	0.0	0.1	0.1	0.0	0.0
Total	5,503.1	100.0	10,389.0	100.0	41,981	100.0	78,693	100.0	16.9	100.0	8.3	100.0	14,094	100.0	12,795	100.0	103.7	100.0	77.2	100.0

609